

1 the beginning, and then end-of-life care.

2 I work with Daniel Brinkley, who brings end-of-
3 life care, particularly to veterans in the VA hospitals,
4 which I think is so important in our country, to really
5 change the practice of medicine. He called me before I
6 came today and he is going to be here December 5th and
7 6th and would like to have dialogue with all of you.

8 The other point I have to make is that surgery
9 is one of the great places to study CAM treatments, and
10 this book, if you don't know it, Dr. Oz's book from
11 Columbia, he has been doing before-and-after treatment of
12 his surgical heart transplant patients and bypass
13 patients. It is a pretty exciting book, called "Healing
14 from the Heart."

15 That is a very limited sphere. So, it makes
16 research really possible. Finally, I just want to say
17 that one of the phrases I liked that you used so much,
18 Jim, is that outside the measures of current science,
19 like you were saying, if we are going to look at the
20 body, you can have all your body parts and not be alive.
21 This idea of electrical medicine is so important to us.

22 My twin brother is a neurosurgeon who is very

1 suspicious of complementary therapy. He hated the movie
2 "Patch Adams," because it made him feel bad. So I would
3 like to end on that, and say that I really agree that
4 some kind of conflict resolution where we can present
5 these things, perhaps inviting Victor Frankel to the next
6 conference on medical education.

7 Thank you.

8 DR. GORDON: Thank you.

9 Any questions or comments?

10 [No response.]

11 DR. GORDON: Thank you very much.

12 I am going to ask if the four people who also
13 signed up -- we got our signals mixed a little bit here
14 -- if the four people who also signed up for public
15 testimony, can you all do that tomorrow? Would that be
16 all right?

17 [Response.]

18 DR. GORDON: You can't do it tomorrow? None of
19 you can? See, I don't want to run us too late. If you
20 can possibly do it tomorrow. It would be wonderful if
21 you could do it tomorrow because we should have really
22 limited it.

1 So, Tony Martinez, you can't do it tomorrow?

2 All right. The two people who can't do it tomorrow, if
3 you would come up, and the others have my gratitude, our
4 gratitude, and blessings.

5 So we have Carl Sandler and Tony Martinez.

6 Tony, would you like to begin.

7 MR. MARTINEZ: Thank you very much.

8 It is good to be here again, to attend another
9 Commission meeting. I have had the opportunity in
10 previous meetings to provide some observations and some
11 insights that I hope will make this whole process
12 fruitful.

13 This afternoon, I am really excited that there
14 is going to be this discussion about looking into
15 research and that you have invited a group of really very
16 talented, brilliant, experienced people, but with that in
17 mind, I want to go back to observe that there are two key
18 policy themes that keep hitting home, and I hope you will
19 bear this in mind.

20 That is, first, what all the professionals want
21 is licensure and coverage, and I hope and submit that
22 your questions to the witnesses should address that.

1 The second issue being, people want access and
2 they want insurance coverage. Now, I find that it is
3 great that the FDA has come to be here shortly, but I
4 submit, and I am speaking as an attorney, that the FDA,
5 as much as it is a scientific agency, is really more an
6 enforcement agency. I don't know if you are going to get
7 all the answers that you are looking for there.

8 I do want to bring up a couple of points to
9 keep in mind when you engage them in testimony. First of
10 all, their goal is to keep bright-line distinctions in
11 the law between foods, drugs, medical devices, those
12 things. They have a real tough time with the products of
13 complementary and alternative medicine, which, really,
14 many of them are dietary supplements, herbs and things.

15 The second issue that should be brought to your
16 attention is that the division that has responsibility
17 for most of these products, the Center for Food Safety
18 and Applied Nutrition, gets the least amount of funds
19 when it comes to the budget for the FDA. I think it is
20 important to put on the record this lack of appropriate
21 funding for this section of the FDA because they need the
22 funds in order to do the job so they can enforce the laws

1 that are already on the books and be able to do a good
2 job.

3 I wanted you to be aware of that. Lastly, I
4 think it also brings in a good question to ask the FDA as
5 a matter of policy, that when it comes to alternative
6 medicine or anything with experimental drugs, the policy
7 of the FDA is to make it possible to get access to
8 experimental therapies, but the ultimate decision-maker
9 is the FDA and the doctor, not the patient and the
10 doctor.

11 As in the case of the little boy, Thomas
12 DeVaro, who is suffering with cancer, he still can't get
13 access. He wants to get access. The doctors want to
14 give him access to antineoplastics, which is under FDA
15 review, but the FDA will not grant that Compassionate Use
16 exception.

17 Lastly, because I know the time, I notice here
18 also for the record and I am glad that a representative
19 from the Federation of State Medical Boards, a good
20 question to ask them would be why is that the federation
21 has really engaged in what I have seen from their
22 publications and their conferences, really a very anti-

1 complementary alternative medicine policy. Why have they
2 as an association consult with individuals, whose agendas
3 are clearly anti-CAM.

4 Anyway, I wanted to bring this out and thank
5 you for the great work that you are doing. I also sent
6 to you, Mr. Chairman, the Minnesota legislation that you
7 requested in the last one.

8 DR. GORDON: Thank you. I got the legislation
9 and I am going to read it very soon.

10 So, thank you very much and thank you for
11 consistently really being on point with us and making
12 specific suggestions. Some of the issues, as far as
13 licensure and coverage, we will definitely be taking up
14 in subsequent sessions.

15 This session is really focused on research. If
16 you have any particular examples or people you feel we
17 should be in touch with or issues that are important,
18 again, please let me know and we will move ahead with
19 them.

20 MR. MARTINEZ: Will do. Thank you.

21 DR. GORDON: Are there questions for Tony?

22 [No response.]

1 DR. GORDON: Thank you.

2 Carl Sandler.

3 DR. SANDLER: Thank you. It is nice to see you
4 again.

5 You, Mr. Chairman, recorded a birthday book to
6 me when I was reading and given a birthday present a
7 number of years ago at your house, one of your many books
8 that I have read and enjoyed. Thank you.

9 DR. GORDON: You are welcome.

10 DR. SANDLER: I look upon you here and I must
11 tell you I am not really a medical person. I am a Ph.D.
12 nuclear scientist, a former chief scientist in the entire
13 United States of America, going back 25 years ago. I
14 represented all American consumers.

15 My life history of paralytic polio when I was
16 five years old and a disability going back 25 years has
17 got me into clinical medicine and, in fact, I do train
18 medical people, physical and occupational therapists,
19 doctors and others in continuing education and I am
20 clinical.

21 I have a rather different point of view and
22 that is why I came here today. For 25 years, I have been

1 doing research in medicine and related areas, as well as
2 these other things, as being an environmental engineer
3 scientist. Now, the reason for it is because I couldn't
4 be here and I really wouldn't be alive if I didn't do it.

5 It turns out that the most important thing I
6 know about medicine, which is physical medicine, that is
7 about 80 percent of medicine, as you recall, is
8 repetitive movement injury. That is about a \$100
9 billion-a-year problem in direct costs in this country,
10 and affects most of the people in occupations, and other
11 things, which we have to deal with.

12 Physical medicine requires that we have
13 movement beyond exercise. Exercise doesn't cut it.
14 Lifestyle alone won't do it. You have got to integrate
15 into what your lifestyle is, various things that are
16 built in by design that are hundreds of thousands of
17 millions of years old and that mostly medical and science
18 people don't know about. Dancers know more about it.
19 Certainly occupational therapists know more about it and
20 so forth.

21 It starts with breathing. Breathing is one
22 thing that almost all of us can't argue about. You have

1 to do it and almost 90 percent of the American population
2 doesn't breathe properly. To understand this in terms of
3 research, I had to go as far away as Australia and look
4 at the 40,000 year history of the Australian aboriginals
5 and of native peoples around the world.

6 There, the movement patterns, their breathing
7 patterns and the problems on repetitive movement injury
8 are different. They simply don't have them like we do,
9 and they don't have the chronic diseases either.

10 Thank you.

11 DR. GORDON: Thank you very much.

12 Any questions from anyone?

13 [No response.]

14 DR. SANDLER: I will submit for the record
15 later. Thank you.

16 DR. GORDON: Wonderful. Thank you very much.

17 Our next speaker is Dr. Stephen Straus, the
18 director of the National Center for Complementary and
19 Alternative medicine. Dr. Straus is going to give a
20 somewhat longer presentation.

21 His agency is the chief federal agency
22 concerned with research in complementary and alternative

1 medicine and, therefore, he has the mandate to lead the
2 effort. So, we are going to give him an opportunity to
3 talk about NCAM and also to help guide us and help us to
4 help shape the even larger research agenda.

5 So it is great to have you here.

6 **Session IV: Research Support and Collaborations**

7 DR. STRAUS: Thank you, Dr. Gordon, Dr. Groft,
8 members of the Commission. I appreciate the opportunity
9 to speak and actually have some extended time to do so.
10 This is opportune because I think this is really an
11 important occasion for the American people to deliberate
12 the broad range of issues around complementary and
13 alternative medicine.

14 On a more personal level, tomorrow is the first
15 anniversary of my appointment as center director. This
16 is a good time for me to reflect with you what we have
17 done over the past year and where we are going.

18 I needn't remind you, the breadth of
19 complementary and alternative medicine, the degree to
20 which the various modalities touch upon all facets of
21 life, all ages, genders. All clinical specialties and
22 subspecialties are touched upon.

1 This creates really a very broad range of
2 opportunities for us to consider in a research
3 institution. That at the NIH is our responsibility. You
4 are charged with deliberating many other things. I have
5 the simple task of simply thinking about research and not
6 all the policy and education and licensure and access
7 issues that have been brought up.

8 You are also aware that the mandate for the
9 national center derives from the broad appeal that
10 complementary and alternative medicine has for the
11 American people, who are turning to these modalities
12 increasingly, as ways of sustaining and enhancing their
13 health, but, unfortunately, the public is not guided by
14 evidence of the type that we would wish in making public
15 health policies.

16 Our charge in the national center is to try to
17 help create a scientific base so that individuals,
18 practitioners and institutions are better informed in
19 terms of making these decisions.

20 Now, there enormous controversy about these
21 modalities and the controversy arrives in is sustained
22 because of differences in belief and philosophy, but they

1 are sustained to a great extent because of the lack of
2 evidence that exists to help resolve these controversies.
3 But we know enough about at least certain modalities
4 within the larger realm of complementary and alternative
5 medicine to suggest that some of them very likely work
6 and are safe and can enhance human health.

7 We have only to turn to the many reports over
8 the past couple of years about St. John's wort, for
9 example, and its use for treatment of mild to moderate
10 depression. Within the past year alone, there have been
11 two or three good control trials suggesting that it is
12 superior to placebo and probably as effective and perhaps
13 even better tolerated than one of the standard, old line
14 tricyclic antidepressants, imipramine.

15 That data reassures us that there is something
16 substantive worthy of larger investment. But when one
17 begins to study these modalities, one learns not only are
18 there potential positive effects, because after all if
19 something were to exert a positive physiologic effect, it
20 would have the potential to exert negative physiologic
21 effects. Now, well-publicized evidence has shown that
22 St. John's wort activates hepatic metabolism of other

1 drugs and can interfere with important drugs; birth
2 control pills, drugs needed to suppress the immunity and
3 sustain organ transplants and the like.

4 So, there are opportunities and there are
5 challenges and there are challenges as well to try to
6 craft a scientific agenda in a field that has largely
7 existed in a parallel universe to that of scientific
8 medicine and to bring these together is really an
9 important responsibility. That is the essence of the
10 mandate that Congress gave us in 1998 and underlies the
11 establishment of NCAM, the center, in February of 1999.

12 Fortunately, this particular challenge to us
13 has been met by enormous generosity on the part of the
14 American people, who gave us nearly \$70 million this
15 first year to expend on research and Congress is
16 projecting even greater generosity for 2001. We are
17 waiting for the final figures.

18 But I thought I would tell you how we were
19 approaching this mandate and how we are spending this
20 money. We begin as one must in developing a cogent plan
21 and our strategic plan was developed, reviewed by our
22 advisory council. Many public organizations and

1 individuals commented upon it over the period of the
2 summer.

3 It is now released and on our web site. We in
4 our strategic plan delineate four major goals for the
5 center and these are the kind of goals one would expect
6 of one of the institutes and centers at the NIH. Our
7 primary goal is investment in research. Because this is
8 a new research field, we have also the responsibility to
9 promote the training of investigators within areas of
10 complementary and alternative medicine.

11 Because there is so much misinformation that
12 bathes the American public and in some cases even
13 handicaps rather than supports their ability to make the
14 decisions, we have a large responsibility in outreach in
15 communicating scientific findings in an objective way. A
16 modality either works and is safe or it doesn't work and
17 is unsafe. The data will speak for itself.

18 Finally, because the practice of complementary
19 and alternative medicine also largely exists outside of
20 the established conventions of academic and
21 institutionalized medicine, it is our belief that we have
22 the responsibility to help use the information that is

1 accrued through the scientific process and allow that
2 information to allow individuals, practitioners and
3 institutions to incorporate those modalities that are
4 safe and effective, what we call the process of
5 facilitating integration.

6 I am going to spend my time talking in greater
7 detail about our approaches to each of these four
8 strategic domains with, of course, the greatest emphasis
9 on research.

10 Research, as I have been accustomed at the NIH
11 as a bench and clinical practitioner for 23 years, often
12 moves from understandings of molecules and mice before it
13 goes into people. There is a hierarchy of evidence even
14 in the clinical arena from initial trials, small studies,
15 to larger, more formidable studies, to definitive
16 clinical studies.

17 In the field of complementary and alternative
18 medicine, these modalities are already in the field, but
19 as a rule have very little preclinical support. There is
20 often not even cogent hypotheses about mechanism. So,
21 there is a challenge to us as to how we approach the
22 hierarchy of evidence and how we construct a research

1 portfolio in an area where the hierarchy of evidence is
2 very different from that conventional scientific
3 medicine.

4 We are approaching it, therefore, not by
5 emphasizing preclinical research, not by emphasizing
6 mechanism at this time, although we study those and they
7 are important, but by studying things that are in the
8 clinical arena now. So, our largest investments involve
9 human subjects as opposed to molecules, cells and mice.
10 Our research investments are not only primarily based on
11 clinical research issues, but we are creating
12 infrastructure to provide opportunities for those studies
13 to be conducted well.

14 To facilitate that, we have created a center's
15 program I will describe and the research conducted in
16 those centers and through the large portfolio and rapidly
17 growing portfolio of investigator initiated applications
18 is really tied as one would expect to the NIH areas of
19 research emphasis. All of us would agree on what the
20 most important public health priorities are. Those are
21 no different across the NIH.

22 Where there are those same targets for

1 complementary and alternative medicine, that helps
2 prioritize our work.

3 Finally, although we had about \$70 million this
4 year, that is a small fraction of the NIH budget and our
5 expertise, which of necessity must cut across all
6 disciplines of medicine, cannot be adequate to be
7 entirely subsumed within our staff in NCAM. So, if we
8 are to be successful, we need to leverage both our
9 financial and intellectual resources against those of the
10 other institutions.

11 One of the gratifying findings in my past year
12 is the degree to which my fellow institute and center
13 directors have been very eager to collaborate with us.
14 In fact, if anything, the opportunities are far more
15 numerous than we can all address at this present time.
16 They are working with us to prioritize them.

17 I mentioned that one of our first efforts to
18 develop a research portfolio was to help support
19 infrastructure and we are doing so in part through the
20 development of the center's program. We are currently
21 funding four institutions in the United States to conduct
22 research into botanicals. Together with the Office of

1 Dietary Supplements and other NIH institutes, we are
2 funding centers whose investigators are identifying and
3 characterizing important botanicals currently widely used
4 by the American public.

5 We are assessing the bioavailability and
6 activity of those botanicals. We are exploring their
7 mechanisms of action. We are conducting some preclinical
8 studies, and they are conducting early phase, Phase I and
9 II, clinical evaluations as well. Those centers provide
10 a focus for training of new generations of investigators
11 in this field. Importantly, the results of their
12 deliberations help us identify botanicals that are ready
13 for larger, more definitive investment.

14 So this is an important part of our center's
15 program. We are also funding 11 other centers covering
16 arthritis, pediatrics, drug addiction, chiropractic,
17 craniofacial health, women's health, aging,
18 cardiovascular disease. I am pleased to say that with
19 the press release today, which you should find, NCAM has
20 announced the funding of its first two specialized
21 centers for cancer research in complementary and
22 alternative medicine.

1 Our first two centers in this area, I am
2 pleased to say, also reflect our capacity to draw in the
3 best and brightest from the research community to join
4 with us. Dr. Adrian Dobbs and his colleagues of Johns
5 Hopkins University will be undertaking four studies. Dr.
6 Steven Tom and his colleagues at the University of
7 Pennsylvania are running four studies in our second
8 center.

9 We have actually had no difficulty recruiting
10 outstanding investigators. Many individuals told me
11 before I took the job that we wouldn't have that kind of
12 partnership and I am gratified to see that that
13 pessimistic viewpoint was incorrect.

14 Not only do we have excellent scientists
15 joining us in these 15 centers, we are already conducting
16 five large randomized multi-center placebo controlled
17 clinical trials, the kinds of trials with the kinds of
18 objective endpoints that would, I think, change the
19 opinion of many in academic medicine about opportunities
20 in conducting rigorous research in CAM.

21 Our first study of St. John's wort for
22 depression, the largest, longest term such study, is

1 conducted by investigators with their center at Duke
2 University. All patients have been enrolled and data are
3 nearly all accrued. They will be by this winter. That
4 study is being conducted in collaboration with our
5 colleagues in the National Institute of Mental Health.

6 We are conducting a study a study of shark
7 cartilage as adjunctive therapy for treatment of non-
8 small cell lung cancer through the aegis of the
9 community-based cooperative oncology program sponsored by
10 the National Cancer Institute. With the National
11 Institute of Aging and other sister institutes at the
12 NIH, we are conducting the largest prospective study ever
13 done of the development of dementia in aging Americans,
14 Three thousand healthy Americans, age 75 and older are
15 randomized to ginkgo biloba or placebo. With the
16 Arthritis Institute, we are conducting two large
17 definitive studies, a multi-center study of acupuncture
18 for relief of pain associated with osteoarthritis and a
19 five arm placebo controlled trial of glucosamine
20 chondroitin sulfate, alone or in combination versus a
21 cyclo-oxygenase 2 inhibitor versus placebo in 1,500
22 Americans with chronic osteoarthritis of the knees.

1 What is important in each of these trials is
2 our capacity to leverage our resources and those of other
3 institutes in co-funding these studies with us, allows us
4 to fund multimillion-dollar studies with strong,
5 rigorous, objective endpoints. It is not sufficient for
6 12 people to get up and say I feel better. It is not the
7 standard of evidence that we need to entertain.

8 Now, there are key principles that I am
9 articulating, obviously, in this kind of research
10 portfolio. First of all, we need to use the same kinds
11 of designs and outcome instruments as allowed definitive
12 studies of conventional practices. Where one can,
13 placebo controlled trials are best. They are the
14 strongest and represent the gold standard, but there are
15 some modalities that can't be blinded. You can't
16 randomize patients to a massage study and have them and
17 the therapist not know who is getting a massage.

18 But one can do large studies with parallel
19 observation groups with good endpoints. Because some of
20 our studies involve more than tests of a single modality,
21 but entire systems, we have to exercise real flexibility
22 and creativity in studying those. Those are challenges

1 that we are tempting to meet as well.

2 In addition, to the same extent that one would
3 not study a neurosurgical intervention without having
4 neurosurgeons on the team and performing those
5 interventions, there are many CAM interventions that
6 will, of necessity, require partnership and the practice
7 of skilled CAM practitioners. I could not deliver
8 acupuncture effectively, but I could help identify
9 scholars and truly experienced individuals to participate
10 with us.

11 So, these are ways to develop a portfolio of
12 aggressive and rigorous research that to the degree
13 possible emulates the best practices of conventional
14 scientific medical investigation. But there are also
15 domains of CAM, as I alluded to ten minutes ago, for
16 which there are hypotheses in some cases at best and
17 often very little preclinical data.

18 We need to be open to explore those other
19 modalities that don't have a place right now in the
20 medical textbooks, that don't have an explanation, but
21 for which there is huge interest and there is a large
22 anecdotal experience to say that it is helpful. For

1 those areas, we need to pursue those anecdotes with
2 developmental studies to see what looks more promising
3 and worthy of larger study. We have a series of
4 mechanisms we put in place to accrue not only these
5 better understood, more conventional types of research
6 through investigator initiated applications, through
7 center's portfolio, through our calls for applications in
8 targeted areas, but we are also calling for applications
9 in more difficult areas.

10 This is where the imagination of the American
11 medical and scientific community is being challenged. We
12 are calling for applications at this time for what we
13 call our frontier medicine portfolio. Here our goal is
14 to do exploratory studies with substantive funding for
15 the first time of things for which there is huge
16 interest, as I say, but not a lot of scientific support;
17 bioelectromagnetic therapies, issues of therapeutic
18 prayer and spiritual healings, certain uses for
19 homeopathy and other forms of energy healing.

20 These need to be conducted in a cohesive way
21 and we have presented a mechanism to do that. We can
22 discuss that in greater detail if you wish.

1 In addition, we actively go out into the
2 community to seek ideas that are worthy of exploration
3 and developmental study. We have a particular model we
4 are approaching first in the area of cancer and as Dr.
5 Gordon and Dr. Fair know well, we have the Cancer
6 Advisory Panel for Complementary and Alternative
7 Medicine. That is an 18 member, chartered advisory panel
8 to me and this panel includes expertise in oncology,
9 clinical trials, statistics, pathology, radiology,
10 bioethics and, of course, complementary and alternative
11 medicine in a variety of its modalities.

12 The goal here is to identify novel
13 opportunities that are worthy of study. One mechanism
14 that CAPCAM is beginning to explore is what we call the
15 Best Case Series. My colleague, Dr. Jeffrey White, the
16 director of the Office of Cancer and Complementary and
17 Alternative Medicine in the NCI, might have mentioned
18 this this morning or may do so in his second round with
19 you later this afternoon. He has done a very energetic
20 job of advertising our interest to the alternative
21 medical community, to have practitioners send us in a
22 synopsis of their experience with alternative practices

1 that have seemed to give unexpectedly good results.

2 We have actually a rather simple series of data
3 that we request of those practitioners and it is that
4 type of process that has allowed our current funding of
5 the regimen described I believe earlier this morning by
6 Dr. Nick Gonzalez for treatment of advanced pancreatic
7 cancer.

8 Dr. Karen Antman and John Chabot, and their
9 colleagues at Columbia University in New York and the New
10 York Presbyterian Hospital, are working together to
11 explore the Gonzalez regimen. We are exploring Best Case
12 Series opportunities as well with Dr. Alexander Sun, who
13 has a very novel regimen of an intensive vegetable
14 supplementation to the diet. He has preliminary and
15 certainly provocative data on its efficacy in non-small
16 cell lung cancer. We are exploring how to carry that
17 further.

18 Our cancer portfolio, therefore, runs from
19 these very exploratory things, which we have the
20 important assistance and guidance of the CAPCAM to
21 initiatives that we call for in our research
22 opportunities. This year and in the coming year, just in

1 the cancer area include our call soon for applications to
2 study special CAM approaches, to pain management.

3 We are calling for applications to look at
4 botanical drug interactions because they can not only
5 enhance, but as I said, also potentially interfere with
6 other drugs that cancer patients and other patients will
7 need.

8 With the NCI, we have called for applications
9 through a novel mechanism we call Quick Trials of Novel
10 Cancer Therapies. This is an expedited review process to
11 explore new studies. We have issued a call for
12 applications to provide supplements to NCI's many other
13 cancer centers, to try to be an incentive for those great
14 institutions to begin to explore cancer therapies.

15 We are also calling for applications
16 specifically to study CAM approaches for end of life care
17 for patients with cancer and HIV/AIDS. All of these are
18 mechanisms that will enrich our research portfolio. We
19 have such mechanisms as well in the area of arthritis, in
20 the area of aging, in the area of nursing research, in
21 the area of cardiovascular research and asthma and the
22 like. Time wouldn't allow me to discuss all of these.

1 So, the major emphasis of NCAM's efforts thus
2 far is to develop a research portfolio that will garner
3 the respect of mainstream medicine and convince CAM
4 practitioners and the general public that, in fact, we
5 are serious about exploring their interest in doing so in
6 an unbiased fashion.

7 I mentioned as well that we need to be joined
8 in this endeavor by competent investigators. Many of our
9 investigators have been leaders within their
10 subspecialties, but we need to draw new cadres of
11 individuals, including young individuals, who can enter
12 this arena with a fresh vigor and enthusiasm without
13 attached biases that often exist in older, more
14 established fields.

15 We are doing this by supporting the entire
16 portfolio of NIH mechanisms for training and career
17 development. We are funding pre and post-doctoral
18 fellowship training. We are funding training through all
19 of the allied health professions schools. We are
20 supporting junior, mid-career and senior level faculty to
21 provide them protected time to move into this field or
22 expand their research endeavors within these fields.

1 I will speak about one other particular
2 training opportunity I am quite proud of. It is very
3 clear that medical students in the United States today
4 are eager for some formal training about complementary
5 and alternative medicine, not just because they are
6 curious about it as the public is in general, but because
7 they know that their patients will be bringing questions
8 to them that they need to have some concept of to answer.

9 We are not interested in teaching the average
10 physician to be a chiropractor or a homeopath, a
11 naturopath or an acupuncturist, but they need to
12 understand what those words mean and what those practices
13 could or couldn't deliver to the care of their patients.
14 In this regard, last spring we called for the first time
15 for applications through the NIH R25 mechanism, as I have
16 learned it is called, for development of model curricula.

17 We put this on a fast track and this month we
18 have funded the first five institutions for the first
19 time have NIH funding to develop model curricula, four in
20 medical schools and one in a nursing school. This is a
21 substantive investment of \$1.5 million for the first
22 year. We are talking a serious response here.

1 I mentioned at the beginning that there is
2 research and there is research training. We have the
3 responsibility of helping the findings of research be
4 integrated where appropriate into the general practice of
5 medicine. We do it through training. We do it through
6 research. We are also calling for special grant
7 applications and health outcomes research and health
8 services research to begin to look at the community at
9 large in medicine and academic institutions, to begin to
10 find out what the real obstacles to integration of safe
11 and effective practices, what institutional strategies
12 can be used to get around those obstacles, to remove
13 those barriers and create opportunities.

14 We have identified funding to explore these as
15 well. We want to see the best institutions and the best
16 social scientists join us and explore this important
17 philosophical issue within our own community.

18 Finally, in terms of our strategic plan,
19 because I have really wanted to leave a lot of
20 opportunity to take your questions, rather than merely
21 summarize some of the things that have excited me over
22 the past year is opportunities to help articulate this

1 information to the American public.

2 We have a web site. We have a clearinghouse
3 and a toll free number. We have a citation index that
4 specifically identifies CAM literature. We have a news
5 letter. I meet with many organizations, many advocacy
6 groups, practitioners, patient groups around the country.
7 I spent my first year trying to set up some structure and
8 a general approach and philosophy in the center. For
9 better or worse, I now have 14 trips scheduled between
10 now and June to go on the road outside of the Washington
11 area and begin to articulate this message.

12 Part of this is also our approach to town
13 meetings as you are appropriately reaching out across the
14 United States. We held our first town meeting last March
15 in Boston in collaboration with David Eisenberg and his
16 colleagues at Harvard University. Our second town
17 meeting is going to be this March at the University of
18 Arizona in Tucson in parallel with our meeting of all of
19 our centers' directors and we expect to continue to move
20 to important areas of the country and hear, as you are,
21 from the American public and practitioners what their
22 interests are and, frankly, hear from them whether they

1 think we are doing a good job.

2 We can sit in Bethesda and pontificate. We can
3 design an agenda for the nation, but instead I believe we
4 are asking the best scientists to send us their ideas in
5 the format of research applications that go through peer
6 review. We solicit information from practitioners in the
7 general community through many mechanisms and we are
8 attempting to identify a paradigm that will be
9 particularly successful in meeting these important
10 challenges.

11 Thank you for the opportunity to make these
12 extended remarks and I am eager, if you wish, Dr. Gordon,
13 to take questions.

14 DR. GORDON: Thank you very much on my behalf,
15 and on behalf of all of us for giving us a sense, both of
16 the scope of your efforts, which is considerable, and
17 also of the spirit of openness and inquiry that you are
18 bringing to it. It is great to hear.

19 We will take plenty of time to talk with you.

20 Dean, you are first.

21 DR. ORNISH: It is not a question so much as a
22 perspective. I remember being at the very first meeting

1 of the complementary and alternative medicine, it wasn't
2 even called that at the time, many years ago and I just
3 think what you are doing is remarkable and I just want to
4 say "thank you" for doing it.

5 DR. STRAUS: Thank you, Dean.

6 DR. GORDON: Yes. Tom and then Effie and then
7 Joe.

8 MR. CHAPPELL: With the mention of the
9 portfolio of the research portfolio, could you give some
10 dimension in terms of time and money? How long a period
11 are we looking at before we provide impact? Is it 10
12 years. Is it 20 years? This is a large undertaking and
13 the extraordinary with which you talk about it makes it
14 sound quite simple, but it is not simple. There is so
15 much complexity here.

16 How much time are we talking about before we
17 see workable solutions?

18 DR. STRAUS: I have been a scientist at the NIH
19 for 23 years and a few years at Washington University
20 before that. There are certain research areas that have
21 occupied me for most of that time. I have spent 21 years
22 trying to understand why it is that this simple, lowly,

1 herpes simplex virus actually can continue to recur in
2 us.

3 We have made enormous progress in that regard.
4 We still don't have definitive answers, but along the way
5 I did the first studies for suppression of genital herpes
6 with antiviral drugs. We learned a lot.

7 So, I am a lifer in the science field. I can
8 only speak from that perspective. I have the long view.
9 I think that is, in fact, the only view that one can have
10 because the best discoveries of science result not only
11 from painstaking, long-term deliberation, but from
12 thoughtful fortuitous observation.

13 So, some of the best observations that may be
14 relevant to CAM may come from the Dental Institute or
15 from something published in, you know, Physics Letters or
16 Tetrahedron or something like that, basic science
17 journals of importance.

18 But to be more specific, let me give you
19 examples. This ginkgo biloba study, which all of the
20 individuals who advised us and met with the groups to
21 assemble those particular protocols felt that that is a
22 study that can't be done in less than five years and it

1 is costing us about \$16 million.

2 If you want to get an answer, it takes time.
3 The American public, age 75 is at risk of dementia, but
4 if you figure what percentage of them develop dementia in
5 a given year, we could not do that study faster unless we
6 accrued 40 or 50 thousand people. So, a practical
7 compromise was a mere 3,000.

8 The St. John's wort study will only have taken
9 a couple of years. The osteoarthritis studies will each
10 take about three or four years. We can get information
11 more quickly in smaller pilot studies. Our developmental
12 research portfolio, what in NIH parlance we call R-21
13 applications, which fund things in the neighborhood of
14 \$100- or \$125,000 a year, are two years applications.

15 At the end of two years, we expect those
16 investigators to have substantive publishable data that
17 could then be considered worthy of larger, more
18 definitive investment or not.

19 Our mainstay of research at the NIH,
20 investigator initiator applications, is what is known as
21 the R01 mechanism. These are grants that go from 200,
22 500 thousand dollars and up. Their average duration is

1 about four years for a study.

2 Studies that I have conducted myself in the
3 clinical area have often taken a year to design, three or
4 four years to conduct, a year to analyze and a year to
5 publish. That is actually pretty average.

6 I would say that the American public is
7 understandably impatient. Fortunately, at least, in
8 terms of many of these modalities, they are already in
9 the public domain; also, perhaps, unfortunately, because
10 we are not providing the evidence we would wish. But all
11 I am saying in many words, perhaps too many words, is
12 that this is a long process and the duration depends upon
13 the mechanism and the question.

14 There have already been publications that
15 emanated from work from the former Office of Alternative
16 Medicine. We have seriously ramped up the level and
17 seriousness of intensity. I think it likely that our
18 largest trials won't be in the literature for at least
19 another year.

20 MR. CHAPPELL: Is your portfolio prioritized
21 around the areas of need, symptoms-based need in our
22 society?

1 DR. STRAUS: Right. What I didn't go into in
2 great detail in talking about priorities and we just
3 alluded to some of the ways we have done our
4 prioritization is we have tied our areas of emphasis to
5 the major public health areas. So, it is no accident
6 that we are investing in cancer and pain and issues of
7 aging and dementia and the like.

8 Our largest investments reflect opportunities
9 that are appropriate for large investment and not just
10 public interest and need. Public interest and need will
11 get us into the game, but we engaged in studies of
12 glucosamine and chondroitin sulfate because there were
13 smaller placebo controlled trials suggesting that they
14 worked. We were ready for large investments. If you
15 look at our portfolio, at this time it is heavily skewed
16 in a dollar sense towards things like acupuncture and
17 botanicals and other chemicals and biologicals.

18 Those are things for which there was already
19 more data. IN terms of the number of applications, they
20 cover the waterfront and we are building a structure
21 within our center to periodically sit and examine our
22 portfolio by CAM modality, by health care area to see

1 where the lacunae are, where the holes are in that
2 portfolio.

3 Starting this past winter, we have created what
4 all institutes at the NIH do is a series of planning
5 retreats for our scientific staff twice a year to really
6 look exactly at our portfolio and it is those holes in
7 the portfolio that have always guided where we will call
8 for new investment, as opposed to passively awaiting for
9 applications to come to us.

10 MR. CHAPPELL: Thank you.

11 DR. GORDON: Effie and then Joe.

12 DR. CHOW: Dr. Straus, having been also on the
13 first panel many years ago, thank you very much for the
14 enlightening synopsis. Really exciting.

15 There is a certain problem that we face with
16 CAM is that many practitioners don't know the system and
17 they are getting tremendous results, perhaps unbelievable
18 results and they are not good at writing. They have no
19 idea about research.

20 Do you have any thought about how you would
21 reach or, you know, like carrying out research on people
22 who really have some results but not quite the best. Do

1 you have ideas how we could reach those or is there
2 anything built in your program?

3 DR. STRAUS: That is an excellent question and
4 we have given it a great deal of thought and I don't
5 believe we have yet arrived at adequate or proven
6 mechanisms to do so. Let me say that to the same sense
7 that I wouldn't presume to do acupuncture or
8 neurosurgery, I wouldn't presume that the average
9 practitioner can do science, not because it is in some
10 way too sophisticated or too intellectual for them to
11 grasp, but their interests in background and training are
12 not commensurate with what it takes to do good objective
13 prospective science.

14 So, our first capacity to test these ideas that
15 are in the domain is to look at the literature and invite
16 experienced scientists to join us and they are doing so.

17 The second thing has to do with the ability to
18 create mechanisms for individuals, who do have training
19 in complementary and alternative medicine, to learn to do
20 science and it is for that reason that we are eager to
21 fund individuals who have degrees in chiropractic, for
22 example, to go on to get doctorates, to get Ph.D.s. The

discipline of chiropractic is just beginning to teach research approaches. I as a physician needed to take several years of additional training to become a medical scientist. It wasn't sufficient that I spent seven years becoming a physician.

So, we have that mechanism as well. In addition, I mentioned the CAPCAM process of trying to solicit ideas from these practitioners, with the understanding that we are not asking them to test the practice, but to help guide others to help them test it. One of the first examples, it is controversial, but we are willing to be controversial where the situation demands, is to invest in an institution like Columbia University to explore Dr. Nicholas Gonzalez's observations.

Those are the approaches that we are doing.

DR. GORDON: Thank you.

Joe.

DR. FINS: Thank you very much. It was really a spectacular overview and all the good work that you are doing.

I really want to just make a point and then ask

1 you a question that is related to these issues of
2 outreach. The Best Case Series, I think, is not only
3 good for CAM, it is also good for medicine. It is a
4 methodology where practicing clinicians of whatever
5 stripe they are can share an observation and anecdote and
6 have a serendipitous observation that can then lead to
7 very good science.

8 I think that is emblematic of a methodology
9 that should be disseminated widely in all the institutes.
10 So, that is a wonderful thing.

11 I just want to ask you a little bit about
12 CAPCAM and how it works in reality and anything that we
13 might be able to do to help make it work even better. If
14 people submit research protocols to a study section, what
15 is the mechanism that allows your group to actually input
16 on the decision-making process about whether or not it
17 gets funded and so that there is a collaborative view of
18 it and not just a study section, which may or may not be
19 as philic to these novelties as CAPCAM itself might be.

20 DR. STRAUS: So, let me first separate these
21 issues because CAPCAM and study sections are different.
22 So, a study section is a group of individuals who are

1 called together to provide peer review, the same way that
2 we review articles submitted to journals. The NIH gets
3 approximately 30,000 research proposals of substance a
4 year that go through peer review.

5 Applications that investigators write and
6 submit to the NIH unsolicited, in all cases go to the
7 Center for Scientific Review, which has a large series of
8 standing study sections. If it is a therapy in cancer,
9 for example, it would go to a study section that deals
10 exclusively with clinical trials for cancer patients.

11 Dr. Fair, I think, would have more experience
12 with that particular example even than I. That panel is
13 expert in the design and conduct of clinical research,
14 but may not have any expertise in complementary and
15 alternative medicine. Those review panels historically
16 call for ad hoc individuals to add expertise where
17 necessary. NIH intramural scientists are usually not
18 called as ad hoc'ers, but as an intramural scientist at
19 the NIH on a number of occasions, I was called to provide
20 ad hoc advice in certain areas.

21 So, those things occur. I would like to
22 believe and I think the data is very much in favor of it,

1 that they are doing an excellent job because not only did
2 our number of applications to NCAM increase this past
3 year over the prior year fourfold, but we have actually
4 funded twice as many applications. So, that process is
5 working.

6 Let me say when we call for special targeted
7 areas of emphasis, when we call for our centers'
8 applications or for applications for frontier medicine or
9 if we have special initiatives in pain or end-of-life
10 care, we construct our own what is known as special
11 emphasis panel, which will include many of the same kinds
12 of individuals that the Center for Scientific Review
13 would put on their peer review panels. These are experts
14 in those areas from around the country and our staff has
15 the opportunity and the responsibility to see to it that
16 those review panels contain adequate representation from
17 all the domains.

18 DR. GORDON: Charlotte first and Bill and
19 Tieraona. That is going to be it. We really need to
20 move along.

21 MS. KERR: Thank you for your presentation.

22 Two quick questions. The first is you have an

1 18 member advisory panel for cancer. Do you happen to
2 have any nurse researchers on that advisory council?

3 DR. STRAUS: Yes.

4 MS. KERR: Thank you.

5 The other is this morning as you know, Dr.
6 Joseph -- is it Pizzorno -- spoke from Bastyr. One of
7 the recommendations he suggested was holding a consensus
8 conference on CAM research protocols. I wonder if you
9 would respond to that.

10 DR. STRAUS: Well, NIH consensus conferences
11 are operated out of the Office of Medical Applications of
12 Research. There is a new director, who I have met with.
13 The consensus conference process is a complex one that
14 requires prioritization and the question has to be one
15 for which there is already substantive evidence. You
16 don't want to have a consensus conference, where there
17 already is consensus that something works or a consensus
18 conference where you will be embarrassed that the experts
19 you bring in have very mixed opinions and are unable to
20 derive the consensus.

21 So, those kinds of proposals can be made to
22 OMAR, as it is called, and they will make those

1 determinations. Those consensus conferences is not the
2 consensus of the NIH. The NIH sponsors a conference of
3 individuals in the medical community, who are charged to
4 arrive at a consensus.

5 DR. LOW DOG: Again, mine is quick, too.

6 DR. GORDON: Let me just something. I know
7 everybody wants to ask Dr. Straus questions. We all need
8 to be very brief because we are already going over time.

9 DR. LOW DOG: With all your work on botanicals,
10 I just had a couple of questions. One, I think the need
11 for genotoxicity and mutagenicity and interaction with
12 P450 and all of these things are very important. Are you
13 collaborating with other organizations that are doing a
14 lot of work with botanicals and studies with standards,
15 United States Pharmacopoeia, consumer labs, Herb Research
16 Foundation, American Botanical Council, are you doing a
17 lot of collaboration? Because I do tend to see that we
18 end up doing a lot of repetition so that we have got
19 numerous sort of groups sort of doing the same thing and
20 when we have limited funds, how much of this could we do
21 together?

22 DR. STRAUS: Right, Dr. Low Dog, it is a good

1 question. I will say that we are obviously funding major
2 botanical expert institutions, who do collaborate with
3 all those groups. I meet with representatives from -- I
4 have not met with all of them as yet, but this is
5 obviously an important issue. I have charged one of my
6 special assistants to help assemble a special colloquium
7 this coming spring, in fact, to meet with various aspects
8 of industry because I think that they have a
9 responsibility to develop meaningful and cogent data as
10 do we. It is important that we collaborate and not work
11 at cross purposes.

12 DR. GORDON: Dean.

13 DR. ORNISH: A quick question. Unlike studies
14 of a new drug, where you can control access to it for a
15 control group, when you study things like ginkgo biloba
16 or St. John's wort and you are doing these large scale
17 randomized trials, which I applaud, given the Internet,
18 all the information that is out there, how do you keep
19 the control group from being contaminated?

20 DR. STRAUS: That could be a difficulty in some
21 studies, but the majority of Americans are not yet using
22 ginkgo biloba and healthy Americans over age 75 are not

1 using it at the same rate as some younger Americans. To
2 a certain extent, as you well know, Dr. Ornish, clinical
3 research is an issue of trust. You set out your
4 expectations and informed consent and you ask people not
5 to do it. There are times in certain kinds of studies in
6 which one then does blood levels to monitor for achieving
7 and there is an illustrious tradition through biomedical
8 research of people attempting to second guess and to
9 cheat.

10 You have to build that into the assumptions of
11 the study design to some extent. But, by and large, my
12 experience is that patients really want to get it right
13 and don't want to stand in the way of getting a good
14 answer.

15 DR. ORNISH: So, you ask the control group
16 specifically not to take --

17 DR. STRAUS: Of course.

18 DR. GORDON: Wayne.

19 DR. JONAS: Steve, I want to say that you are
20 doing a great job. I mean, you have been a year on and
21 moved forward and developed a lot of things, an
22 infrastructure. I can foresee this continuing on in a

1 very successful pattern. So, congratulations.

2 DR. STRAUS: Thanks for your encouragement, Dr.
3 Jonas.

4 DR. JONAS: You are really taking the bull by
5 the horns and moving forward and using the resources
6 appropriately.

7 I have one very short, small question and then
8 one more general question, which you may not be able to
9 get to, but I think it is at the heart of what we can do
10 here in terms of saying how can we facilitate research
11 that will be integrated in terms of complementary
12 medicine.

13 One simple question is who is paying for the
14 five arm glucosamine trials? It is, obviously, a huge
15 trial. What percent of the trial is coming from NCAM?

16 DR. STRAUS: Well, there are various parts of
17 that study. It has got a number of years of out-year
18 costs. The vast majority of the funding at this time is
19 from NCAM, but we are getting very substantive co-funding
20 from the Arthritis Institute.

21 DR. JONAS: Do you have a sense of how much
22 percent-wise for NCAM and Arthritis Institute?

1 DR. STRAUS: I actually can't give you a
2 percentage.

3 DR. JONAS: I think that would be helpful to
4 know, if we can get at least a general idea of whether it
5 is 50 percent, 10 percent, 20 percent, 80 percent,
6 whatever.

7 DR. STRAUS: Some of these studies you might
8 recall were negotiated before I came on board at the NIH.
9 My posture is to ask my colleagues to ante up. It is not
10 our responsibility to relieve them of their obligation to
11 study this field, but to inspire them to do more with us.

12 DR. JONAS: I think that is very important and
13 leveraging is essential in all cases no matter how much
14 you have.

15 This relates to the second question, which is
16 could you address or help us understand better some of
17 the risks of focusing on clinical research. One of the
18 reasons the hypericum [ph] trial is a three-armed trial
19 is because we wanted to make sure the trial worked. We
20 wanted to make sure that the active, known, proven
21 antidepressant actually worked. The reason for that is,
22 obviously, because the sensitivity of clinical research

1 can be fairly fickle at times and if the trial didn't
2 work then, you know, we would use that information
3 differently than it did.

4 Now, that kind of thing is not built into a lot
5 of trials and doing clinical trials that do that are
6 quite expensive and even then, they may not give us clear
7 answers as to whether things work or don't work. There
8 are a number of examples of that.

9 Garlic, we thought, worked for lowering blood
10 pressure. Now there are some real questions about it.
11 Vitamin C, we thought didn't work for cancer and now
12 there are some questions about the route of delivery.

13 Acupuncture doesn't work better than its own
14 placebo for smoking cessation, but it works better than
15 no treatment and at least as good as other proven
16 treatments.

17 DR. STRAUS: I think in the interest of time,
18 you are answering the question very well.

19 [Laughter.]

20 DR. JONAS: The issue is what are some of the
21 risks in flipping what is the normal research strategy
22 approach that we take in NIH and in science, in general,

1 which is building from the bottom up, so that when we do
2 the clinical trials, we are more likely to get a
3 foundation of good results and flipping that and doing it
4 the other way around.

5 DR. STRAUS: So, as somebody who has spent a
6 lot of time thinking about this, you are aware that these
7 are complex issues. I will try to be telegraphic in the
8 response.

9 There are risks, not because clinical research
10 is insensitive. It depends upon the effect size as to
11 whether something is very potent. A lot of these
12 modalities are not very potent. I have done placebo-
13 controlled trials that had very powerful statistical
14 results with 32 individuals in the entire study because
15 100 percent of the people on placebo had one outcome, and
16 100 percent of the people on the drug had another
17 outcome. We don't see that in this area.

18 I held the initiation of the glucosamine trial
19 to add a fifth arm because I felt it was necessary to do
20 that. I feel that that is important in designing studies
21 and I would rather not do a study because of the issue
22 that the sample size is going to be larger, it is going

1 to be a little more expensive than we wished, if it can't
2 be done well. I would rather do it well than a lot of
3 mediocre things that we would be criticized about.

4 But there are risks because although there are
5 many things within conventional medicine that have not
6 been subjected to large placebo-controlled randomized
7 trials. They arise, their use arises within a body of
8 information, preclinical and clinical. You know the
9 molecule. You know the mechanism. You know what it does
10 in cells. You know what it does in mice.

11 Therefore, it is logical that it may possess an
12 activity. As an infectious disease person, many
13 antibiotic regimens I use have not been subjected to
14 placebo-controlled trials, but we know that they kill
15 bugs and we know that they are effective in pneumonia, if
16 not sepsis, if not otitis, if not meningitis.

17 The lack of that broad underpinning of science
18 creates the greatest challenge to CAM research, which is
19 why I insist that we do preclinical studies and we look
20 at mechanism at the same time and not merely pursue whim
21 and anecdote.

22 Thank you.

1 DR. GORDON: In the interest of giving
2 everybody a little change, Bill and then --

3 DR. FAIR: I just wanted to second Joe's
4 comment about your presentation and also about the Best
5 Case Series. I know you have a lot of detractors about
6 initiating the Best Case Series, but I think clearly you
7 are on the right track. I mean, if you look in
8 allopathic medicine, the practice of surgery is without
9 doubt the best example of the Best Case Series. Later
10 on, surgical procedures may be subjected to double-blind
11 or randomized control, but in the beginning they are all
12 Best Case Series.

13 My question to you is, having done as well as
14 you have done thus far, is what kind of recommendations
15 would you like to see from this committee to help your
16 job in the next few years?

17 How do we help you? You have given us an awful
18 lot of information here. How can we help along this way?

19 DR. STRAUS: I think that is an excellent
20 question, Dr. Fair.

21 I would say that this is too young an
22 enterprise and I am still too new to it to have proven

1 its worth and my worth. So, I think the first thing to
2 ask is patients. The second is that however the creation
3 of NCAM was arrived at, I believe, and I am encouraged to
4 hear that you believe as well, that we are on track. I
5 think that track should be encouraged and supported.
6 Everybody has an opinion about everything. It is
7 important that science has input from the public and
8 advocacy groups, constituency organizations, its elective
9 representatives.

10 But the real decisions of science and how to do
11 it need to be left to people, who are professionals at
12 that. I would encourage whatever ultimate deliberations
13 you have to reinforce the fact that science is a process
14 not a philosophy. There, obviously, are biased
15 scientists, but it is a process that has greatly advanced
16 the human condition over the past centuries.

17 Anything you can do to support basic science,
18 clinical science, to encourage advocacy organizations, to
19 encourage industry, to encourage the broad sweep of the
20 American public to get behind asking questions critically
21 and credibly will serve us well.

22 DR. GORDON: Thank you.

Reminds me of R. Nixon
"I am not a crook"!

1 He may not be here next year. He may be
2 Secretary of State.

3 [Laughter.]

4 George.

5 DR. STRAUS: I will stay in science. I am not
6 a politician.

7 DR. BERNIER: I a
8 you, Dr. Straus.

*Reminds me of R. Nixon
"I am not a crook"!*

9 DR. STRAUS: That

10 DR. BERNIER: Mar

11 research trials are going

12 great many of the question

13 As you look down the road,

14 areas like massage therapy that won't

15 themselves very well to clinical trials per se or is that
16 just an anomalous one?

17 DR. STRAUS: No. I think there are harder
18 trials and there are easier trials. The scientific
19 method of generating hypothesis of testing rigorously and
20 letting the data speak for itself could work. We are
21 funding several studies in massage therapy. We have a
22 considerable investment in Dr. Taylor's groups at the

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2 Secretary of State.

3 [Laughter.]

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6 a politician.

7 DR. BERNIER: I again, want to congratulate
8 you, Dr. Straus.

9 DR. STRAUS: Thank you.

10 DR. BERNIER: Many feel that the clinical
11 research trials are going to provide the answers to a
12 great many of the questions that you are dealing with.
13 As you look down the road, do you see there are a lot of
14 areas like massage therapy that won't be lending
15 themselves very well to clinical trials per se or is that
16 just an anomalous one?

17 DR. STRAUS: No. I think there are harder
18 trials and there are easier trials. The scientific
19 method of generating hypothesis of testing rigorously and
20 letting the data speak for itself could work. We are
21 funding several studies in massage therapy. We have a
22 considerable investment in Dr. Taylor's groups at the

1 University of Virginia in Charlottesville, as you may
2 know, and others who are interested in massage.

3 There are methodologic questions that make it
4 hard, but as Dr. fear correctly pointed out, one can
5 accrue a compelling body of knowledge and information
6 through many different strategies and we have to commit
7 ourselves to do that. I do think that we are going to
8 find answers. Science actually never lies.

9 Our interpretation of the data may be
10 incorrect and the results may not always be in accord
11 with our hypotheses. They generate new hypotheses and we
12 may not even like the answers. Also, in terms of certain
13 approaches that are not very robust and don't have what
14 we call very large effect sizes, various studies can
15 conflict in results, which is why we are not doing just
16 one study of acupuncture. We do several and we do
17 several studies of massage therapy. That way in the
18 aggregate, we try to build some body of evidence to
19 suggest that there is merit or not.

20 One of the things that the American public is
21 going to have to accept and, frankly, they largely do
22 accept it when they are properly informed is that some of

1 the stuff will not work and will not be safe and there
2 will be some people whose own vested interests are hurt
3 by that. But that is too bad.

4 I have done a lot of wonderful trials that have
5 gotten published in good journals that have been
6 negative, but that negative data is useful just the way
7 positive data is useful.

8 DR. GORDON: I want to thank you very much, Dr.
9 Straus. It has been great working with you here, as well
10 as outside of this arena.

11 One thing that I wanted to leave you with and
12 maybe ask you to ponder, rather than respond to now since
13 these are big questions, are some of the issues that have
14 come up in our planning session earlier this morning and
15 that are part of our world view. Maybe we can talk about
16 them in the future.

17 One is the issue that people have back to about
18 outreach to people who are doing good or what appears to
19 be good clinical work and are not quite sure how to do
20 the research, beyond how do we facilitate the Best Case
21 Series mechanism? Just something to think about.

22 The other is the whole issue of --

1 DR. STRAUS: Sir, as a member of CAPCAM, you
2 will see us developing some new models to present in the
3 coming months.

4 DR. GORDON: Terrific. That will be great.

5 The second is the whole issue of health
6 promotion and in this research area, how do we begin to
7 do research on strategies for health promotion?

8 The third is, and this came in our first
9 discussion of world view and I am sure will come again
10 tomorrow, is the whole issue of devoting more attention
11 to studying integrative programs rather than single
12 modalities.

13 The fourth is the whole question at both a
14 basic science level and the clinical level of individual
15 differences. So, I am just putting those small topics
16 out, but I think that those are some of the issues that
17 we would love to sort of say to you that these are issues
18 that we are interested in and we want to continue talking
19 with you, as well as among ourselves about those issues.

20 DR. STRAUS: Thanks. Fortunately, there are a
21 lot of other wise people to advise you on those thorny
22 issues as well.

1 Thank you.

2 DR. GORDON: Thank you very much.

3 We are going to take a break now for 15
4 minutes.

5 [Recess.]

6 DR. GORDON: This is Session V, facilitating
7 CAM research and regulatory challenges. I want to thank
8 our panel for coming and for bearing with our lateness.

9 The first speaker will be Dr. Janet Woodcock.

10 **Session V: Facilitating CAM Research**

11 **and Regulatory Challenges**

12 DR. WOODCOCK: I am the director of the Center
13 for Drug Evaluation and Research at the FDA. I am
14 pleased to be here. I regret that I will have to leave
15 at 4:30 because of some other prior commitments.

16 I wanted to talk about regulatory challenges
17 for the study of drug-like products. I passed out a
18 handout. I just sort of want to walk you through what
19 the regulatory framework is for alternative and
20 complementary products, basically that are substances of
21 some kind, not devices, not foods, not procedures. They
22 would be drugs if they are used for disease claim. In

1 other words, if the intended use has to do with the
2 disease and they don't meet the category of dietary
3 supplement.

4 So they would be considered drugs, whether they
5 are ingested or topically applied or inhaled or
6 parenteral or whatever. Now, these products typically, I
7 think, are botanicals that fall into this category, but
8 there may be other types of alternative and complementary
9 products that are not botanicals that would also fall
10 into the drug definition, based on the fact they were
11 intended to be used to treat or mitigate or prevent
12 disease.

13 Now, when human research on a drug is
14 contemplated, it is required that an investigational new
15 drug application be submitted to the agency. It is
16 called an IND. That technically is an exemption from the
17 actual requirements that if you ship a drug around in
18 interstate commerce in the United States, you have to
19 have marketing approval.

20 So you can get this IND, this exemption from
21 that approval requirement in order to study a product,
22 say, during drug development.

1 These regs, which, I have appended a copy to
2 your little handout of just the outline of those
3 regulations, apply, in addition to regulations on human
4 subject protection and IRBs that would ordinarily apply
5 to any kind of research on human subjects.

6 Now, I was asked to identify what are the
7 barriers then to research in this area, given that an IND
8 is required. Frankly, the drug development system has
9 traditionally been set up for synthetic, highly purified
10 drugs, even though most traditional and original drugs
11 came often from plants and that is kind of the roots of
12 drugs, but nowadays in the current system the ideas that
13 have been developed and the framework has really been
14 tailored somewhat to the synthetic drugs that are made in
15 the sort of ordinary drug development process.

16 Now, we have identified this as an issue and
17 our solution, at least for botanicals is we have
18 published in August, and actually it is just very timely,
19 this document. I can give this to Steve and make it
20 available to you.

21 This is called Botanical Drug Products. It is
22 a guidance document. A guidance is non-binding advice

1 from the FDA on how to do something. In this case, this
2 guidance is for botanical drug products, how to study
3 them and then if you wish to, how you would go through
4 the approval process.

5 The basic issues that we wanted to address, we
6 wanted to open the door for the study of traditional
7 botanical products without the barriers that currently
8 exist, particularly in the chemical characterization, the
9 pharmaceutical testing, and the toxicology, especially
10 for products that have been extensively used in people in
11 various settings for a long time.

12 This is just a proposal and we are seeking
13 comment. There is a 60-day comment period, but people
14 can comment on this at any time. We will issue a final
15 guidance based on the comments that we get. That could
16 be subject to ongoing revisions if necessary.

17 This basically constitutes a kind of cookbook.
18 If you want to study, in people, a traditional botanical
19 product, how you could go about that and actually get
20 into a trial where you test the effectiveness and safety
21 of that botanical without jumping through a tremendous
22 number of hoops of animal testing and so forth.

1 That is predicated on the fact that that
2 botanical has been used in people before and as some of
3 identification or characterization attached to it in
4 other words so you kind of know what you are testing. If
5 the product, for example, had been marketing as a dietary
6 supplement and there was human experience from that
7 marketing or the product had been marketed in other
8 countries and someone was able to sort of collect that
9 experience and provide it. That kind of information
10 could form the basis for going ahead and doing a human
11 trial.

12 There are other requirements in the IND. Of
13 course, you have to follow a protocol and so forth. The
14 FDA is prepared to provide assistance to people on how to
15 set up such trials and so forth. We do this routinely
16 with pharmaceutical sponsors, but, of course, they know
17 the know the system. It is easy for them to come and
18 talk to us. They may not think so, but it is relatively
19 easy.

20 Our guidance really urges that people consider
21 doing controlled clinical trials early in this process
22 and so it is not aiming people at getting into the IND

1 and then doing observational or anecdotal type of studies
2 or small studies. We think it is very important to start
3 learning substantive information about the botanical
4 product early in the development.

5 So, a dose response trial could be done or a
6 placebo-controlled trial or something like that could be
7 done. It could be a trial big enough to really tell you
8 something about whether there is activity for this
9 indication you are looking at or not.

10 We work people through the steps. It is
11 written in plain English initiatives. So, hopefully, it
12 should really be responsive to some of the barriers that
13 people have identified to getting into the ordinary
14 system of how drug development and clinical testing is
15 done.

16 Now, you asked about regulatory changes that
17 might be necessary. One change we have identified is in
18 our combination products regulations. Again, these were
19 written and targeted for drugs, purified drugs and they
20 are really considering like a cold and cough medicine,
21 where you combine two known ingredients together and we
22 have different requirements for doing that and so forth.

1 What we are doing is actually working on a draft
2 proposed regulation change that would address these
3 issues for botanicals, again, to open the door.

4 Not having such a change in regulations would
5 not impede testing of products, but it could impede
6 approval of botanical products if they got to that stage.
7 So, we will have to keep pressing on that.

8 As far as FDA resources, you asked about that,
9 that probably would be a factor. Our resources are
10 somewhat strained in the area of drug review and what we
11 find is that people who are not experienced in drug
12 development require considerable assistance, including
13 small companies require often considerable FDA assistance
14 in almost every aspect of developing their drug. So, we
15 would expect that that would put some additional resource
16 needs on us.

17 What we have chosen to do in anticipation of
18 all that is happening, we have written some internal
19 standard operating procedures. We hope to group most of
20 the review of the early trials of botanicals together in
21 our OTC group, over-the-counter group, so that a single
22 group of people will be dealing with the sponsors.

1 if a product, say, would become very promising
2 for some disease, then at some point there would be a
3 handoff to our subspecialists after those original trials
4 have been completed. But we are trying to form a group
5 that would deal with most of these and we think that, of
6 course, some of these products would be intended for the
7 over-the-counter market, many of them, and would not be
8 intended for the prescription market. Some of them
9 might, you know, so, basically our over-the-counter group
10 would be handling that and we have created a position
11 within our over-the-counter group that will be dealing
12 with botanicals, a physician who knows about Chinese
13 medicine as well.

14 But, anyway, our resources are strained and
15 that could perhaps limit our ability to assist sponsors
16 if, in fact, people really are able to respond to this
17 guidance and come in with studies.

18 Now, what about approval of products,
19 alternative or complementary products, that had gone
20 through this process. We would approve products that had
21 been demonstrated through our standards of evidence to be
22 safe and effective. So, the requirements for clinical

1 safety and effectiveness for approval of any of these
2 products as a drug would be no different than for any
3 other drugs.

4 We would expect at the time a product became
5 that close to further drug development, where it was
6 actually considered to be for approval, that it would
7 have to be characterized well enough that you know what
8 you have. Of course, this is an issue for many plant
9 substances and other complex substances, the testing of
10 them, the reproducibility and stability and constant dose
11 and everything is difficult.

12 So, we think that additional chemistry and
13 manufacturing standards would be required at that point,
14 but those could be tailored to the individual product.
15 There may be other types of substances that are not
16 plants that would also be considered drugs and we are
17 certainly open and probably would apply the same type of
18 reasoning to these substances that we are attempting to
19 apply here to the botanical substances.

20 So, I think we have or will be accomplishing
21 what we hope to carry out, which is to open the door to
22 human testing and development of these products so that

1 we can truly find out whether they have usefulness in the
2 armamentarium.

3 Thank you.

4 DR. GORDON: Thank you very much, Dr. Woodcock.

5 I am going to say a couple of words about how
6 we are structuring this panel. Because you have to go, I
7 think we will take a few minutes, if we could, now for
8 people to ask questions of you. Then Dr. Feigal will be
9 speaking and then Dr. Levitt and Dr. Lewis and the fifth
10 person here is David Dorsey, who is the counsel for the
11 FDA.

12 You are here to just answer questions. But you
13 are not going to be making a statement then.

14 Okay. So, if there are questions for Dr.
15 Woodcock, please.

16 Yes, Joe.

17 DR. FINS: I have a couple of questions. I
18 know that for drug approval, there is often a private
19 governmental partnership in the cost of the approval
20 process. Is it the same for this process, given the
21 constraints of resources that you have?

22 The second question is that given the fact that

1 you are mentioning that you have strained resources and
2 one of the points in the Executive Order was to increase
3 access to these issues, what kind of funding would be
4 necessary and how does the FDA make decisions about
5 putting resources into botanical issues versus drugs?

6 The third question, in case you don't have
7 enough, is this notion of degree of modification; that
8 is, when does a botanical become a drug? Is it the
9 delivery route? Is it the dosage, et cetera?

10 Those are three simple questions for you to
11 tackle.

12 DR. WOODCOCK: The first question, I think you
13 are referring to the Prescription Drug User Fee Act and
14 the program whereby sponsors have to file a fee at the
15 time they submit their application. Generally, small
16 manufacturers and others who do not have a robust
17 business have an opportunity for fee waiver. That is how
18 that program and orphan products and so forth, so that is
19 how that probably would be addressed unless if a major
20 pharmaceutical firm would submit a marketing application
21 for one of these products, we would charge them a user
22 fee or another company that was, you know, already a

1 going concern.

2 Your second question, can you repeat that?

3 DR. FINS: The priority setting of botanicals,
4 how do you use your resources?

5 DR. WOODCOCK: We try to get everything done
6 that we need to get done. We have had some inquiries
7 about botanicals and that is what precipitated us to
8 assign a position and to create this guidance and work on
9 this regulation and so forth. We will, obviously,
10 respond to people who submit INDs. We also have what is
11 called a pre-IND program. People can call us up and come
12 in and meet before they file their IND to get different
13 advice and so forth. This is labor intensive and I can
14 tell you we do not have a formal process to assign
15 resources. Congress sometimes tells us how to spend our
16 resources, but otherwise we try to just get done what
17 comes in.

18 Now, your third question was how do you
19 determine whether something is a drug, a substance. As I
20 said, and that is why we brought our counsel with us, a
21 substance that would be used to treat, mitigate, prevent
22 and so forth, disease, unless it were a device or

1 whatever, a food, would be a drug. Substances that are
2 intended to alter the structure and function of the body
3 could be dietary supplements or drugs. All right?

4 We included all those definitions everything in
5 the package. So, those substances that are dietary
6 supplements are ingested. So, substances that are
7 intended to do structure function or treat diseases or
8 whatever that are inhaled or injected or rubbed on would
9 all be drugs.

10 DR. GORDON: I just want to clarify for one
11 second. Ginkgo, if it is used in a clinical trial to
12 treat dementia or to prevent dementia, is a drug, but it
13 is also a food. Is that right? So, it is sort of like
14 an electron. It is both a particle and a weight.

15 DR. WOODCOCK: There you go. We issued a
16 regulation, right, on the dividing line, which we can
17 submit to you for your perusal, if you would like, and it
18 describes what types of claims would make the same
19 substance would be a structure function claim and make
20 something a dietary supplement or food or whatever and
21 what types of claims would make it a drug.

22 DR. GORDON: So, it is the claim in that

1 instance that makes it what it is. Is that right?

2 DR. WOODCOCK: That is correct. The intended
3 use --

4 DR. GORDON: Tom and then Conchita.

5 MR. CHAPPELL: Thank you.

6 I am curious to know a little bit more about,
7 this is sort of a new opening then from DSHEA versus NDA.
8 This new is an in-between way to learn about the
9 prospects, the probabilities. This is really very
10 important and very helpful.

11 Would you advise companies to, quote, think of
12 partnering in this process or to just work through
13 attorneys? This is always a big question for us in our
14 company. Yet, the simplicity of it all is to sit down
15 and talk about it beforehand. I hear you saying this
16 pretrial provides that opportunity.

17 DR. WOODCOCK: That is correct.

18 MR. CHAPPELL: You would welcome and encourage
19 that with or without counsel?

20 DR. WOODCOCK: Yes. The Center for Drugs also
21 has a small business assistance group in their Office of
22 Training and Communication. You can find them on our web

1 page and they are specifically constituted to try and
2 help small businesses, which are new to our processes.
3 They may not be that small, but our process can seem
4 formidable to people, to help them through those steps.

5 The guidance I have described, certainly, it is
6 congruent with all our current regulations. In other
7 words, it is a legal way for us to do things. It just
8 shows how we can incorporate the existing knowledge on
9 botanical product from human use and experience into our
10 assessment of the safety to go into clinical trials and
11 do clinical trials.

12 MR. CHAPPELL: Last, the formulations that are
13 both in part meeting monographs of an OTC, like a
14 decongestant, but has echinecea [ph] and time and so
15 forth that are DSHEA items, these half DSHEA, half OTC
16 remain dilemmas for us all or --

17 DR. WOODCOCK: Well, that is why I mentioned
18 the combination product regulations. Okay? Certainly,
19 you could do studies of those combinations and those
20 studies would be, say, you had pseudoephedrine or
21 something like that or whatever as one of the ingredients
22 or say you had an antihistamine as one of the ingredients

1 and you wanted to add something to it, you would have to
2 show eventually in your trials that the addition of the
3 botanical product provided an additional benefit.

4 MR. CHAPPELL: But not based on secondary
5 language or DSHEA claims?

6 DR. WOODCOCK: Well, that is a different issue
7 about whether you want to combine products and have one
8 be a supplement and one continue to be a drug. I am
9 saying if you want to do human testing on those products
10 for drug claims.

11 MR. CHAPPELL: Okay. Thank you.

12 DR. GORDON: Conchita.

13 DR. PAZ: I am a private practitioner, who sees
14 people in their office on multiple, over-the-counter
15 substances, along with their medication. How might one
16 go about either finding out either adverse effects,
17 combinations with medication or how might one report
18 those particular events when they do occur? Because they
19 do.

20 DR. WOODCOCK: I will defer to my colleagues
21 from the Center for Foods about pure dietary supplements
22 and their issues. For drug interactions we have issued

1 some public health advisories. Those would be on our web
2 site and Med Watch sends them around to groups.

3 As far as reporting, you can report drug
4 interactions to Med Watch, directly to Med Watch, and we
5 will not only get those, but we will send them over to
6 the Center for Foods for the dietary supplement piece of
7 that.

8 DR. GORDON: Wayne.

9 DR. JONAS: Thank you.

10 I am really glad to see your effort moving
11 forward to kind of develop these areas. I think it is
12 very clear this is essential.

13 I am wondering if there are some things that
14 would be useful for us because we are going to be
15 thinking about, all right, how can we help you move
16 better and further in these areas. One of the things
17 that I have heard a lot and experienced, actually, is
18 that you need more resources. You need not just
19 authorization. You need appropriation to be able to deal
20 with this.

21 So, if there were some suggestions you had for
22 us of specific policy or regulatory items that could

1 provide that in the FDA, that would be helpful, I think.

2 That brings up another question is that,
3 obviously, the guidance is there, but dealing with these
4 things is really a unique phenomena. It is very much
5 like biologics in some sense. They are really not drugs.
6 They are really not devices. What are they?

7 I am wondering if it would be useful to have a
8 place in the FDA with sufficient staffing that
9 specifically dealt with this and then could work with
10 various groups of various levels to encourage the
11 examination of botanicals as treatments in these areas.

12 I think to know if and how that might occur for
13 us, I think, would be extremely important. I am just
14 wondering if that is something you could comment or
15 something you could provide or if you think those are
16 good ideas?

17 DR. WOODCOCK: We might be able to provide
18 something on the resources. I think my experience is
19 that in general it is best to have it, for something that
20 is unusual, it is better to have a core team that deals
21 with it on a routine basis and it becomes very good in
22 the art and has connections to the outside world in that

1 particular area.

2 So, I think that would be a very desirable
3 thing for us to have and we certainly have a number, as I
4 said, of practitioners within the Center for Drugs, who
5 are very interested in herbals and who are well-versed in
6 certain herbal conditions and so forth and very
7 knowledgeable, but generally they are spending their time
8 reviewing synthetic drugs.

9 So, I think that is a very good idea. Those
10 people have to matrix with all the subspecialists as you
11 get into endpoints in specific disease areas and
12 everything. So, with the resources available, I would
13 probably create a team such as that.

14 DR. JONAS: Is the FDA looking at other
15 countries? Because I know a number of other countries
16 have begun to develop kind of their own offices, even
17 sections of their equivalent of the FDA to deal with
18 these types of things.

19 DR. WOODCOCK: Well, I personally have talked
20 at great length to Canadians about their structure that
21 they put in place. The Australians, we have also looked
22 at what they have done and their levels of evidence and

1 the different things they have done.

2 So, obviously, we have to do things that are
3 congruent with our statute and our own statutory
4 frameworks that we have to work under. But I think they
5 have taken some very good common sense approaches to this
6 and we have something to learn from them.

7 DR. GORDON: Thank you.

8 Effie.

9 DR. CHOW: I am happy to hear your progressive
10 work in this area. I want to dispel a myth. In
11 educational circles they are saying that it takes \$250
12 million and 15 years, approximation, to put a drug on the
13 market. Can you verify that, or dispel that myth?

14 Also, what about studying the herbs, is there
15 an average that you can see that for monies for
16 researching this?

17 DR. WOODCOCK: The first question has to do
18 with how much does it cost to develop a drug and the
19 estimates vary widely. The \$250 million, as I
20 understand, or other high figures represent the cost to
21 large firms that include all the R&D to discover the
22 different candidate drugs, all the development work to

1 select from the candidates, the ones that will be taken
2 forward, all the trials, the costs of all the failed
3 candidates that go into clinical trials, of which there
4 are many, and then do not win, you know, maybe have
5 safety problems or not as effective or they just choose
6 not to carry them forward.

7 All those costs are put into that estimate.
8 So, another way of stating that estimate would be to get
9 one drug on the market, starting from the laboratory
10 phase, it would cost \$250 million in some companies.

11 Now, I think if you are starting with a
12 compound, you are further along that process, but I don't
13 think you can give an estimate for how much it would
14 cost. It would really depend on what kind of trials you
15 had to do and what you were trying to prove.

16 DR. GORDON: Thank you.

17 Tieraona. Then that will be the last question.

18 DR. LOW DOG: Really, thanks for all the work
19 that you are doing. We were all very happy to see this
20 when it came out in August.

21 I have a question, though, that I hope you can
22 answer.

1 Say we do a trial on St. John's wort, have a
2 standardized product and this trial comes out where it
3 was equivalent in efficacy to Zoloft. So, whoever was
4 the manufacturer of this St. John's wort company, then
5 applies for that to be a prescription drug.

6 What, then, happens to all of the St. John's
7 wort that lots of companies make as far as -- I mean,
8 what happens if you find an herb, which is sort of
9 abundant and somebody takes that to a drug status, what
10 happens to that in my garden and what happens -- do you
11 understand my question? It is something I don't know
12 what we do when we come to that place.

13 MR. DORSEY: I will try to answer that. Part
14 of the definition of "dietary supplement" in our statute
15 says that if an article has been marketed as a dietary
16 supplement and then is subsequently approved as a drug,
17 it can still be marketed as a dietary supplement.

18 I guess the proviso would be that the articles
19 being marketed as dietary supplements wouldn't be able to
20 say, you know, for the treatment of depression or as good
21 as Zoloft or whatever. Because that would mean their
22 intended use would make them drugs. So, you would

1 actually have two categories of products out in the
2 market.

3 You would have the approved prescription drug,
4 which could say of itself for the treatment of depression
5 and then you would have the dietary supplement products,
6 which could say of themselves for a better mood or
7 whatever they say.

8 DR. LOW DOG: Right. Healthy neurotransmitter
9 system, right. That is kind of interesting, though,
10 because we could have an identical product. Let's not
11 get into bioequivalence and all that between products,
12 but you could have an identical product then, one that
13 was being sold through your hospital formulary at a lot
14 higher price and then you could just go down to the local
15 grocery store, but nobody would really -- the consumer,
16 just speaking on behalf of the consumer, the consumer
17 could just get the same thing at the drugstore or the
18 health food store as what you would be getting from the
19 pharmacy with a prescription.

20 Is that correct?

21 MR. DORSEY: I think that is right. I guess
22 what it suggests is that why would anyone go through the

1 process.

2 DR. LOW DOG: That is my point. To make the
3 claim, I mean, because that would be part of this is so
4 that you don't have to trick consumers on the label by
5 saying health, restore a healthy neurotransmitter
6 balance. If it actually is clinically shown to be an
7 effective antidepressant, then it would be advantageous
8 to the consumer to be able just to know that, to not have
9 to play a lot of label games.

10 I just had a question. I thank you for
11 answering.

12 DR. GORDON: Thank you, Dr. Woodcock.

13 What we would appreciate is both receiving the
14 information that you suggested you would send and also if
15 you have thoughts or proposals or ways to facilitate this
16 transition toward having a really thoughtful focus on how
17 to work with herbal therapies and others that would fit
18 into this category, we would really appreciate anything
19 you could send us.

20 Thank you very much.

21 Dr. David Feigal.

22 DR. FEIGAL: Thank you very much.

1 I am the director of the Center for Devices and
2 Radiological Health. I would like to make some general
3 comments, but actually leave plenty of time for questions
4 because I think that is probably the best use of your
5 time. I have brought some general information about the
6 center, the organization, what parts to call, and I will
7 leave that behind.

8 One of the things to realize about the device
9 industry in the United States is how large it is and how
10 vibrant it is. Every business day, the device
11 manufacturers introduce into the marketplace
12 approximately 50 new devices that have not been
13 previously marketed. About half of those are exempt from
14 any type of premarket review because they have been
15 classified as Class I exempt devices.

16 The majority of the remainder are approved
17 through a process, which is known in a term that probably
18 only a government person could love, a 510(k) process. I
19 believe that is the statute, not the regulations. That
20 is a standard that clears drugs. It doesn't approve
21 them. Not drugs, devices. It clears devices based on a
22 standard of showing that the product is substantially

1 equivalent to a predicate device and if you were to think
2 broadly about the concept of this, it is probably most
3 similar to thinking about a generic drug, except that you
4 can't have bioequivalence for a scalpel and you can't
5 have drug levels to compare peak levels and so forth for
6 a CT scanner or for an acupuncture needle.

7 The device amendments, the laws that really
8 clarify the regulatory paths for devices, did not exist
9 until 1976. So products, which were legally marketed
10 before 1976 were the original predicates and they were
11 largely presumed to be safe and effective. There was a
12 process in the late seventies, early eighties of
13 classifying devices, putting them into about 5,000
14 different classifications, which are written up in the
15 code of federal regulations and identifying a risk-based
16 level of evidence.

17 One of the interesting things about devices is
18 that that level of evidence is allowed to change over
19 time, so that, for example, there was a time when you had
20 to clinically study contact lenses. Now you don't have
21 to do clinical studies at all to manufacture some types
22 of contact lenses out of well-known materials to well-

1 known standards. It really comes down to engineering
2 specifications.

3 In fact, 90 percent of all marketed devices
4 have no human testing whatsoever in order to be brought
5 to market. Our standard is different. The drug standard
6 is adequate in well-controlled trials. The device
7 standard is clinical trials and other valid scientific
8 evidence. So, it is a much more flexible standard.

9 There are many parallels in drugs, in
10 investigational new drug exemption. An IND has its
11 parallel in devices with the investigational device
12 exemption, IDEs.

13 But in contrast to the four or five thousand
14 devices, which are approved each year, there are probably
15 only three or four hundred IDEs each year and the IDEs to
16 actually study clinical trials are reserved for devices
17 in high risk settings and in settings for novel devices
18 and other settings where informed consent is required.

19 This isn't to say that a device manufacturer
20 may not collect clinical data, but it does not
21 necessarily play a role in our decision-making process.
22 There are, for example, some well-characterized hip

1 joints, hip implants, and for marketing purposes, the
2 manufacturer probably needs to have some clinical
3 experience, but they may not need that experience to get
4 approval from us if it is made of well-known materials.

5 It is a knock off, if you will, of a previously
6 marketed device. Let me stop with the broad materials.
7 The only thing to say is aside from the 510(k), our
8 version of the new drug application is the PMA, the
9 premarket approval. It is actually very similar to a new
10 drug application. It typically does have, in fact, it
11 almost always has human trials.

12 There are only about 60 of them a year, 60 to
13 100 a year. So, it is a relatively small subset of
14 devices that require a PMA. One question that often
15 comes up is what class am I in and is it possible to
16 change our class. Our web site, which has about 5
17 million hits a month, one of our more popular sites is a
18 site run by our Health Industries Program Group, called
19 "Device Advice" and it has got an algorithm that you can
20 interact with. If you have got something you think you
21 have invented and would like to market, it will help you
22 walk through and see if you can classify it or how to

1 determine what level of evidence that you would have.

2 Let me make some comments about CAM. We don't
3 have any kind of separate classifications for
4 complementary and alternative devices. A device is a
5 device and the kinds of intended uses and claims for
6 them, we treat them the same, whether it appears to be
7 something rather novel or something is very well-
8 established.

9 One of the things that probably occurs to
10 anybody, who rides an airline and looks at the catalog in
11 the back seat is look at all of these things being
12 advertised, all these bracelets and inserts that you
13 could put in your shoes and all of these kinds of things,
14 do these things have FDA or what is their standing with
15 devices? Typically, what you are looking at are Class I
16 exempt devices, devices that needed no premarket
17 clearance.

18 Now, they are making a claim, which actually is
19 not, often not, part of an approved claim. So, in fact,
20 there should be a process for them submitting evidence
21 for that. One of our challenges in terms of our
22 resources is to look at which types of products and which

1 types of claims should be a priority for us to pursue and
2 insist on evidence.

3 I think we set our priorities where you would
4 expect us to when claims are made that involve major
5 diseases that make claims that might lead someone to not
6 use a known, conventional therapy, those types of claims.
7 But I think it is one of those situations that it is
8 difficult because it appears that those products are
9 being legally marketed.

10 I think it is somewhat equivalent to the
11 speeders on the highway, that they are not all stopped,
12 but it is not that the speed that they are going is
13 legal.

14 One of the other comments to make is that the
15 usual FDA framework is that you are working with a
16 manufacturer, who is distributing and promoting a use to
17 practitioners. What often happens with complementary and
18 alternative devices is that actually a practitioner has
19 taken an approved device and is promoting new uses for
20 it.

21 Sometimes you will actually see an unapproved
22 diagnostic device that is claimed to be able to monitor,

1 for example, an unapproved therapy. The claim may be
2 that the combination of the two will allow you to obtain
3 some sort of relief from some sort of condition.

4 This is made more complicated by the fact that
5 advertising in many settings is regulated by the Federal
6 Trade Commission and the practice of medicine is
7 regulated by the states in the way that it licenses
8 various types of practitioners. Nonetheless, someone who
9 is advertising and promoting an unapproved use for an
10 approved device is, in fact, doing something which is not
11 legal from the FDA's standpoint and which would require
12 that they submit evidence and have that claim either
13 cleared through a 510(k) process or approved through a
14 PMA process.

15 Part of our problem is that since many of these
16 devices are devices, which are not required to file
17 premarket approval because they are exempt devices, they
18 are not even on our radar screen. We really don't see
19 these unless we have complaints or see other types of
20 reasons to take action. It is a constant issue for us in
21 terms of what level of priority to take for those
22 devices.

1 The final comment to make about what makes
2 devices somewhat complicated is that many of the
3 predicate devices have a use, which we nickname sometimes
4 a tool indication. No one ever took a scalpel and showed
5 that it was efficacious for taking out the appendix. So,
6 your question is is this a good cutting tool and you
7 don't get down to making very specific claims.

8 On the other hand, if you take a cutting tool
9 and you shape it in such a way that it clearly is
10 designed to remove the endometrium, you start to say,
11 well, what disease did they have in mind and what exactly
12 is going to be the marketing for this.

13 Why would someone want such a tool as opposed
14 to a general purpose tool. That is one of the areas that
15 we often get into protracted discussions about what
16 exactly are the limits of the claims, what exactly can
17 you do with this. It often, again, comes back to things
18 which are on the market but not exactly with that claim.

19 But if we take the marketing authorization for
20 acupuncture needles, that is an example really of a tool
21 plan. There was no requirement to show that acupuncture
22 was safe and effective. It was taken as a given that

1 acupuncture as a licensed practice was something that was
2 approved.

3 On the other hand, some of the alternative ways
4 of practicing acupuncture by applying heat to the same
5 points you might have used in acupuncture needle or
6 pressure, some of those do not have the long established
7 use, those, in the judgment of the center, were back to
8 asking how would you demonstrate that those, in fact, did
9 get substantially equivalent results to a predicate
10 device or demonstrate that they were safe and effective
11 in a PMA.

12 Let me just stop there and see what types of
13 questions there are. My overall comment about the
14 devices is that one of the things that you see
15 historically with the consumer protection laws that the
16 Food and Drug Administration is responsible for is that
17 as time went on, Congress got more and more detailed in
18 the laws. We suffered from being last or I guess next to
19 the last if we picked the dietary supplements.

20 So, we have some of the more complex laws. As
21 Dr. Woodcock mentioned, we have an active program to
22 interact with people who have questions about what have I

1 got, how do I get to where I want to go. If I want to
2 market something what do I have to establish to market?
3 If I need clinical evidence, what is the evidence?

4 Congress, to make sure that we got the spirit
5 right, asked us and actually put in the law that we are
6 only allowed to require the least burdensome pathway to
7 market for PMAs and 510(k)s. So, it is the concept that
8 the amount of evidence should be that minimum data set
9 that allows you to establish either substantial
10 equivalence or safety and efficacy.

11 DR. GORDON: Thank you very much, Dr. Feigal.

12 In the interest of time, what I would like to
13 do is to continue with Mr. Levitt and Dr. Lewis's
14 presentation, and then we can ask all three of you the
15 questions.

16 MR. LEVITT: Very good. Thank you.

17 I am Joe Levitt. I am the director of the Food
18 Center at FDA, which has lead responsibility over
19 regulation of dietary supplements under DSHEA, unless, of
20 course, as you have heard, it is a drug and not a food.
21 We have already had some of that discussion and I welcome
22 a little more probing into that area because it is

1 something we live with all the time.

2 Let me just correct one thing, which is, I
3 invited Dr. Christine Lewis to come here with me, both to
4 help answer questions and to just introduce you to the
5 person within our center, who really has dedicated
6 responsibility over this area. It is not her only area.
7 She heads up the Office of Nutrition, Labeling and
8 Dietary Supplements.

9 But virtually everything that happens with
10 dietary supplements is under her purview, but she has a
11 lot of things that do not involve dietary supplements
12 that are also under her purview. We do not really have
13 enough of a staffing at this point to really warrant a
14 separate dedicated view. I will come back to that in a
15 minute because it is clearly of interest.

16 My background, as I mentioned briefly, I think,
17 I am a lawyer. I have worked at FDA all my career, about
18 22 years now, all around, in the Chief Counsel's Office,
19 where David Dorsey works. I worked in the Commissioner's
20 Office for nearly a decade. I worked in Medical Devices
21 for five or six years.

22 I took this job two and a half years ago. The

1 only really important part of that history is that I was
2 working in Medical Devices when DSHEA was passed,
3 thinking in other things and not really involved at all
4 with the whole passing of DSHEA, which was a very
5 controversial time, on a very controversial issue.

6 One thing which I have tried to instill, if you
7 will, is a new attitude about that law, what our
8 responsibilities are under the law and basically it is a
9 law like any other law. It gets passed, grow up, let's
10 work with it and let's figure how to do it.

11 About a year and a half or so ago, we decided
12 that although it is interesting, you know, you think you
13 have done a lot. People actually, when DSHEA was passed,
14 there was a lot of activity within FDA. In the first
15 four or five years, we actually counted up 25 Federal
16 Register notices that had been published relating solely
17 to dietary supplements.

18 But, yet, the general image was that FDA hadn't
19 done much at all in implementing the DSHEA and somebody
20 even suggested that to the extent we headed efforts, it
21 was to undermine DSHEA, not to implement DSHEA. So, what
22 we said we would do is we would, if you will, call a time

1 out and say let's pretend that DSHEA passed yesterday.
2 What would it take to implement this law fully on its
3 face properly?

4 We spent about a year. We had public meetings
5 both on this coast and on the West Coast. I chaired them
6 both personally and we developed what you have in your
7 notebooks of our strategic plan for dietary supplements.
8 For me, it was very interesting in kind of getting into a
9 newer area. We began in what I will call the East Coast
10 meeting, the first meeting, which was attended by mostly
11 people that are in Washington, it was in Washington, and
12 mostly by people that are involved in the dietary
13 supplement area. They market it. They use it. They are
14 very interested.

15 We got largely, I thought, a very useful what I
16 would call "To Do" list. Most everybody said, well, if
17 you have limited resources, you have to look at safety
18 first. That certainly seems logical, which means you
19 have to look at your Adverse Event Reporting System. You
20 need to look at good manufacturing practices, which are
21 authorized by the law to assure consistency of the
22 product and even to be sure you are enforcing.

1 Interesting, I didn't expect to hear that, but even
2 within the industry, they say wait a minute, if there
3 were rules, if you expect me to follow them, you have got
4 to make that guy follow them, too.

5 So, a high interest in a level playing field.
6 What was interesting and very important that we didn't
7 really expect, from a lot of different quarters there
8 just started to be calls for we need more science here.
9 We need to get away from just being kind of marketing and
10 into where is the science base.

11 Even though everybody came at it from a
12 different direction, you should look to NIH, you should
13 look at the Academy of Sciences, you should do it
14 privately, whatever it was, nevertheless, it was a theme
15 that pervaded, which we were actually very enthusiastic
16 to hear. There were other emphases about need, the focus
17 on substantiations, botanicals that we have talked about
18 a little before, clearly resources, what we call
19 leveraging, how you leverage outside as well as inside.

20 It was really, I thought a very useful meeting.
21 I joked afterwards that you wouldn't have known it was a
22 meeting about dietary supplement by its tone. It seemed

1 like a perfectly what I will call civilized meeting, not
2 what I was prepared for, you have to understand.

3 However, we went out to the West Coast and
4 apparently what had happened was word had gone around and
5 through some of the communities that were not well-
6 represented at the first meeting, which was largely the
7 medical community and the consumer community and the
8 tenor of the second meeting was just utterly different.

9 It was high skepticism, high distrust for the
10 product line and basically they told us we don't trust
11 the safety. We don't trust the claims. We don't know
12 what is in it. FDA, your job is to go out and tell
13 everybody how lousy these products are and that it is
14 caveat emptor, buyer beware.

15 We had one mother, whose son had died, she
16 believed, from a dietary supplement. We think it
17 actually was one of the GHBGBL, really drug variations
18 that tried to sneak in under DSHEA. We had people
19 questioning the need to be an adverse event reporting
20 system to report, not knowing there was one, so, clearly
21 some education to do and a lot of concern about taking
22 advantage of marketing to vulnerable populations,

1 especially marketing to children, marketing to elderly
2 and kind of taking advantage.

3 You also got a lot of the earlier themes, once
4 you got past that. Again, everybody agrees you have to
5 do safety first. You have to look at adverse event
6 reporting. They need to be enforced. You need to have
7 science and so on and so forth.

8 But they were clearly contrasted. So, I kind
9 of felt we have to kind of take those two as the bookends
10 and put them together. So, what we did is we went back
11 and basically formed five teams, safety team, labeling
12 team, what I call boundaries team, which gets into what
13 is a supplement and what is a drug and so forth, an
14 enforcement team and a research team.

15 We got all that together and we kind of first
16 came up with what I will call some interim insights. One
17 is that this is not something we are going to fix
18 overnight. This is something that we have to have a
19 long-term commitment to.

20 No. 2 is that science has got to become more
21 central to this process. If we are going to have
22 credibility behind these products, we are going to have

1 to get both in reality and in perception a stronger
2 scientific basis underlying these products.

3 Clearly, it is going to require a lot of work.
4 It will require resources, but we also felt that this is
5 doable. This is something where a blueprint is clearly
6 achievable. One of my mentors used to say if the job
7 seems to big, break it down in small pieces. They all
8 seem so big. You add them up and you can kind of figure
9 out you can see your way to the end.

10 So, we took that and we put this together. We
11 decided that it had four primary objectives. No. 1, we
12 are going to fully implement this law. As I said, it is
13 the law of the land. That is what our job is at the Food
14 and Drug Administration.

15 No. 2, the ultimate goal needs to be a high
16 level of confidence in the safety, labeling and
17 composition of these products. That is what we need to
18 get to through whatever we do.

19 Three, we need to make a science-based
20 regulatory approach, what I say look at what makes our
21 other successful programs successful and apply that here.

22 Fourth, as I said, recognize that it is going