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1999-0582-F; 2005-0336-F

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Collection/Office of Origin: Science and Technology Policy, Office of (OSTP)
Series: Bromley, D. Allan, Files
Subseries: International Files - Country Files

OA/ID Number: 62066
Folder ID Number: 62066-004

Folder Title:
International Countries, White House: Japan [1992]

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Doc. No. / Type	Subject/Title	Date	Restriction	Classification
01. Paper	U.S.-Japan Science and Technology Agreement (UJST) A Strategy and Proposal for Renewal (2 pp.)	05/92	(b)(1)	

Page 1 of 1

Collection: Bush Presidential Records
Science and Technology Policy
D. Allan Bromley Files

Record Group: Bush Presidential Records

Office: Science and Technology Policy, Office of (OSTP)

Series: Bromley, D. Allan

Subseries:

WHORM Cat.:

File Location: Int Cty 1992-1993, White House: Japan

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Date Closed: 11/2/2000

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Re-review Case #: 2005-0336-F

P-2/P-5 Review Case #:

Processed by: William A. Harris

Processed by:

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THE WHITE HOUSE

WASHINGTON

April 17, 1992

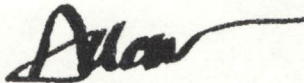
Harold
Dear ~~Dr.~~ Brown:

Thank you for your letter of March 4, 1992. I appreciate your ongoing effort to keep me informed of National Research Council (NRC) activities related to Japanese science and technology.

I would enjoy hearing further about the proposed workshops between the New Energy Development Organization of MITI and the NRC, after you learn more about the NEDO interests.

Best wishes.

Sincerely yours,



D. Allan Bromley
The Assistant to the President
for
Science and Technology

The Honorable Harold Brown
Chairman, Committee on Japan
Office of Japan Affairs
National Research Council
2101 Constitution Avenue
Washington, D.C. 20418

"Document Control"

TYPE: INFORMATION

ORIGINATOR: 02

STATUS C

DOCUMENT NUMBER: 9200740

DIRECTORATE STATUS

FROM: BROWN, Harold: NATIONAL RESEARCH COUNCIL

TO: DR. D.A. BROMLEY

DATE OF
CORRESPONDENCE: 03/04/92

SUBJECT: RE: THEIR MEETINGS WITH THE NEW ENERGY DEVELOPMENT
ORGANIZATION OF MITI.

ack

*Sara -
for
discussion
Jx
3/16/92*

DIRECTORATE
ASSIGNED: STAFF
ASSIGNED:

ACTION
REQUIRED:

STAFF
ACTION:

SENDER'S DUE DATE:

OSTP DUE DATE:
DATE COMPLETED:

STAFF DUE DATE
DATE COMPLETED/DEPT:

COPIES TO: D. Allan Bromley
INTERNATIONAL/POLICY

WHITE HOUSE TRACKING #:

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PHONE:

EXT:

REMARKS:

OSTP RECEIVED: 03/06/92
FILE: INTERNATIONAL-JAPAN

DEPT RECEIVED:

CENTRAL FILES:

0740 I

OFFICE OF JAPAN AFFAIRS
NATIONAL RESEARCH COUNCIL

OFFICE OF INTERNATIONAL AFFAIRS
2101 Constitution Avenue Washington, D.C. 20418

TELEPHONE: (202) 334-2815
FAX: (202) 334-1748/3094

02 MAR 6 P 1: 59

RECEIVED
OFFICE LOCATION
Foundry Building
Suite 2045
1055 Thomas Jefferson Street, N.W.
Washington, D.C. 20007

MAIL ROOM

March 4, 1992

Dr. D. Allan Bromley
Director
Office of Science and Technology Policy
Executive Office of the President
Old Executive Office Building #358
Washington, DC 20506

Dear Allan:

As you know, the Committee on Japan of the National Research Council has organized a series of discussions on issues in U.S.-Japan scientific and technological relations with senior members of Japan's scientific and technological community over the past years. These discussions have served to highlight problems, such as asymmetries in access to each other's technology innovation and market systems, and they have also helped to identify areas for joint action. We wrote to you last fall with observations from our bilateral meeting last summer, and believe it is important to continue to keep your office informed about these activities.

Along these lines, you should be aware that officials from the New Energy Development Organization of MITI recently made an informal inquiry about whether the National Research Council would be interested in participating in a series of workshops over the next year on topics such as international R&D collaboration. Their interest appears to be in making available more information about Japanese programs, in deepening understanding of how Japanese technology policy is developed, and in comparing and contrasting Japanese and American approaches.

We will respond to this invitation, and keep you informed, after we have had the opportunity to learn more about what the NEDO people have in mind and to evaluate whether or not such workshops would complement the programs of the Committee and the Academies.

Sincerely,



Harold Brown
Chairman, Committee on Japan

cc: Robert M. White
U.S. Department of Commerce

THE WHITE HOUSE

WASHINGTON

April 17, 1992

Dear Dr. Shimada:

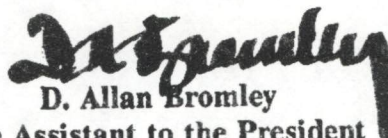
Thank you for your letter on the progress your organization has made.

I appreciate your dedication to help foster United States and Japanese relationships. Fortunately, under the U.S.-Japan Science and Technology Umbrella agreement, we are making progress in improving communications. We have established a "liaison group" on geosciences which is chaired on the US side by Dr. Dallas Peck, Director of the US Geological Survey. This group has already held a very successful joint workshop on global change. In addition, the Joint High Level Advisory Panel (JHLAP), drawn from high-level individuals in the private sector, serves as a conduit for advice on Japan exchange between the private sector.

While communications and relationships can always be improved, I am satisfied for the moment with our current arrangements.

Thank you, however, for bringing your concern to my attention.

Sincerely yours,



D. Allan Bromley
The Assistant to the President
for
Science and Technology

Dr. T. Shimada
President, AAI Inc.
P.O. Box 4201
Frederick, Maryland 21701

T. SHIMADA, Ph.D., President

AMBIENCE AWARENESS INTERNATIONAL, INC.
Consultancy, Biotechnology

P.O. Box 4201
Frederick, MD 21701

TEL: 301-662-1023
FAX: 301-662-3764

"Document Control"

TYPE: ACTION

DOCUMENT NUMBER: 9125221

ORIGINATOR: 02

STATUS I

DIRECTORATE STATUS

FROM: SHIMADA, T.: AMBIENCE AWARENESS INTERNATIONAL

TO: DR. D.A. BROMLEY

DATE OF
CORRESPONDENCE: 12/20/91

SUBJECT: A LETTER OFFERING HIS BUSINESS TO SERVE AS A LIASON
BETWEEN THE UNITED STATES AND JAPAN TO FACILITATE
SMOOTH COMMUNICATION.

DIRECTORATE

ASSIGNED: INTERNATIONAL/POLICY

STAFF

ASSIGNED: SB

ACTION

REQUIRED: DIRECT REPLY

STAFF

ACTION:

OSTP DUE DATE: SENDER'S DUE DATE: 12/06/98

DATE COMPLETED: 12/06/98

STAFF DUE DATE: 1/6/98

DATE COMPLETED/DEPT:

COPIES TO: D. Allan Bromley
LIFE SCIENCES

WHITE HOUSE TRACKING #:

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OSTP RECEIVED: 12/23/91

FILE: INTERNATIONAL-JAPAN

DEPT RECEIVED:

CENTRAL FILES:

Sara -
My recollection is that
DOB answered earlier
comes pondence on his
own. Let's discuss
before you develop
a draft.

JX
12/30/91

52215

RECEIVED

91 DEC 23 P 3: 49

OSTP
MAIL ROOM

D. Allan Bromley, Ph.D.
Director
Office of Science and Technology Policy
Executive Office of the President
Washington, D.C. 20506

Dear Dr. Bromley:

December 20, 1991

As the end of year 1991 approaches, allow us to share our Progress(?) Report with you.

Through my attending to numerous professional and government advisory committee meetings, and one to one meetings with various key officials in the area of biotechnology, in academia or government and industry, on both sides of the Pacific Ocean, I have come to grasp more clearly of the reality of U.S.-Japan relationship.

Unfortunately, it has been an experience to confirm what I suspected as causalities for this unhealthy situation while I was a bench level scientist. Indeed, it has been a year for me to walk through in person the concern you righteously expressed in your letter dated May 9, 1991, "opportunities may be lost due to communication difficulties".

I sense an urgent need for some mechanisms to ameliorate this situation for the good of citizens on both sides of the ocean. As a new small business company, what we could offer is limited. However, we could function as a liaison for U.S. government program(s) to facilitate smooth communication across the Pacific Ocean. Enclosed, please find my proposal to Dr. David J. Galas of Department of Energy for us to act as a liaison for U.S. Global Change Research Program.

Thank you very much for your attention for this matter. We would be most delighted to hear from you on this matter.

Sincerely yours,



T. Shimada, Ph.D.
President, AAI Inc.
P.O. Box 4201
Frederick, MD 21701

Enclosure:

Dr. David J. Galas
Associate Director for Health
and Environmental Research
Office of Energy Research
Department of Energy
Washington, D.C. 20545

Dear Dr. Galas:

December 19, 1991

It was my great pleasure to have had an opportunity to meet you few weeks ago.

To be very honest, I felt rather embarrassed for taking your time for a matter which I could have done in more time saving way for both of us. Nevertheless, the meeting was very fruitful to this end and I am greatful to you.

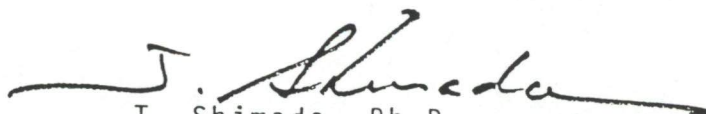
The more I recognize the reality of U.S.-Japan relationship, the more I sense the urgent need for the function of liaison such as ours. As you might have suspected, I am collecting information on activities of U.S. organization which I see a striking resemblance with those of my client in Japan. Obviously, there was some type of contact between the organizers of U.S. Global Change Research Program and Japanese counterpart earlier, directly or indirectly, and yet there has been no follow ups until now.

I started this firm because I had seen too many and too much waste which contributed negatively to U.S.-Japan mutual understandings. Our firm would be most delighted if we could be designated as an official liaison for Pacific Rim of U.S. Global Change Research Program and act for smooth information transmittal across the Pacific Ocean. Because of the nature of this program "GLOBAL", we believe it is essential for this program to establish international networks.

I would be very happy to hear your response on this matter.

Enclosed, please find a prototype capability statement of our firm for your review.

Sincerely yours,



T. Shimada, Ph.D.
President, AAI Inc.
P.O. Box 4201
Frederick, MD 21701

Enclosures;
CC: Dr. D. Allan Bromley

**EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY
WASHINGTON, D.C. 20506**

May 9, 1991

Dear Dr. Shimada:

It was my pleasure to have met you at the NIH/PMA Technology Transfer Conference. The issues you identified in your letter regarding U.S.-Japan cooperation are most important and I share your concern that opportunities may be lost due to communication difficulties. Your organization has embarked on a challenging course and I wish you success. Thank you for bringing these efforts to my attention.

Sincerely yours,


**D. Allan Bromley, Ph.D.
Director**

**T. Shimada, Ph.D.
President, AAIL
P. O. Box 4201
Frederick, Maryland 21701**

EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY
WASHINGTON, D.C. 20506

DATE Feb 27, 1992

TO: *Michele*

ADDRESS: *431*

TELEPHONE NUMBER:

FAX NUMBER: *3719*

FROM: JOYCLYN ANDRÉA TUSSEY

TELEPHONE NUMBER: (202) 456-6272

FAX NUMBER: (202) 395-3261

NUMBER OF PAGES, INCLUDING COVER SHEET *L*

Michele -

Here you go!

JT

THE WHITE HOUSE
WASHINGTON

June 22, 1992

MEMORANDUM FOR DISTRIBUTION

FROM: D. ALLAN BROMLEY *Amu*
SUBJECT: RENEWAL OF U.S.-JAPAN S&T AGREEMENT

The attached memorandum of June 10 from John Boright informs us that all U.S. agencies on the Joint Working Level Committee (JWLC) agree that the U.S.-Japan S&T agreement should be renewed for another five years as is, with the understanding that we will work to streamline implementation of the agreement.

I strongly endorse this approach, and believe it is the most effective means of enhancing our S&T cooperation with Japan. I welcome your comments. If I do not hear from you by June 29, I will assume I have your concurrence. At that point, as co-chair of the JHLC, I will inform Dr. Boright of my approval of the proposed JWLC approach and ask him to work with you closely in the streamlining exercise.

Attachment

DISTRIBUTION:

Robert White, DOC
Joseph Massey, USTR
Walter Massey, NSF
Daniel Goldin, NASA
James Mason, HHS

Subject
file

THE WHITE HOUSE
WASHINGTON

June 22, 1992

MEMORANDUM FOR DISTRIBUTION

FROM: D. ALLAN BROMLEY *Amu*
SUBJECT: RENEWAL OF U.S.-JAPAN S&T AGREEMENT

The attached memorandum of June 10 from John Boright informs us that all U.S. agencies on the Joint Working Level Committee (JWLC) agree that the U.S.-Japan S&T agreement should be renewed for another five years as is, with the understanding that we will work to streamline implementation of the agreement.

I strongly endorse this approach, and believe it is the most effective means of enhancing our S&T cooperation with Japan. I welcome your comments. If I do not hear from you by June 29, I will assume I have your concurrence. At that point, as co-chair of the JHLC, I will inform Dr. Boright of my approval of the proposed JWLC approach and ask him to work with you closely in the streamlining exercise.

Attachment

DISTRIBUTION:

Robert White, DOC
Joseph Massey, USTR
Walter Massey, NSF
Daniel Goldin, NASA
James Mason, HHS

EXECUTIVE OFFICE OF THE PRESIDENT
OFFICE OF SCIENCE AND TECHNOLOGY POLICY
WASHINGTON, D.C. 20506

June 15, 1992

MEMORANDUM FOR D. ALLAN BROMLEY

THRU: J. THOMAS RATCHFORD

FROM: JEFF SCHWEITZER 

SUBJECT: RENEWAL OF THE U.S.-JAPAN S&T AGREEMENT

The attached June 10 memorandum from John Boright informs us that all U.S. agencies on the Joint Working Level Committee (JWLC) agree that the U.S.-Japan S&T Agreement should be renewed for another five years as is, with the understanding that implementation of the agreement will be streamlined. Tom supports this recommendation.

We suggest that you distribute John Boright's memo to a limited number of Joint High Level Committee members stating that you endorse this approach, and asking for their concurrence. A draft letter is attached for you to send to them, should you agree.

Attachments



United States Department of State

*Bureau of Oceans and International
Environmental and Scientific Affairs*

Washington, D.C. 20520

June 10, 1992

TO: OSTP - Dr. Tom Ratchford
FROM: OES/S - John P. Borighe
SUBJECT: Renewal of U.S. - Japan S&T Agreement

As you know, the Joint High Level Committee tasked the Joint Working Level Committee (JWLC) last October with reviewing the U.S. - Japan S&T Agreement (UJST), which is due to expire in June of 1993. As the U.S. co-chair of the JWLC, I would like to report that on May 29 all U.S. agencies on the JWLC agreed that the UJST should be renewed for another five years as is, with the understanding that discussions will take place internally, and then with the Japanese, on how we might improve the way we implement the Agreement. Attached is the strategy paper from which this decision was taken.

This consensus interagency view follows on a year of discussions where it has become evident that many in the interagency would like to see the Agreement streamlined and refocused; no one advocates a re-negotiation. Streamlining would generally eliminate some project-specific and work-intensive joint efforts, and focus more on policy-relevant interactions. The Department supported this approach. Assuming this approach is acceptable, I will be convening a meeting within the coming month at the working level to discuss specific changes that can be made to address the Agreement's implementation. At this time we will also begin the C-175 process.

I welcome your comments and views, as well as Dr. Bromley's, as we continue to work on strengthening the UJST.

Enc: As stated.

U.S. - JAPAN SCIENCE AND TECHNOLOGY AGREEMENT (UJST)
A Strategy and Proposal for Renewal
May 1992

ISSUE AND RECOMMENDATION

The USG must agree on a strategy for renewal of the U.S. - Japan Science and Technology Agreement (UJST). There are essentially five options:

- (1) To extend the Agreement for five years, without amendments;
- (2) to extend the Agreement without amendments, but reach understandings with the GOJ regarding certain matters of implementation;
- (3) to renegotiate to extend the Agreement with minor modifications to address areas of implementation;
- (4) to renegotiate to extend and amend the Agreement without limitations on modifications;
- (5) to let the Agreement lapse.

The Department of State proposes that we adopt option 2. In making this recommendation, the Department suggests that we agree to extend the Agreement for five years without amendment. Once agreed, our interagency work would focus on specific steps that can be proposed to better implement the Agreement. Steps proposed should not necessitate an "opening up" of the Agreement (see attachment for examples of how the Agreement can be implemented differently without making changes in the text).

BACKGROUND

The Agreement is due to terminate by its terms on June 20, 1993. The USG indicated to the Japanese at the July 1991 Joint Working Level Committee (JWLC) meeting that the U.S. was beginning internal deliberations on how best to approach the Agreement's renewal. Prior to the October 1991 Joint High Level Committee (JHLC) meeting, CISST endorsed an official statement to that effect which was transmitted at the JHLC meeting by U.S. co-chair Dr. Bromley. More recently, JWLC co-chair John Boright chaired an interagency meeting where the renewal was discussed in more detail and a discussion paper was circulated. Each of these actions has been useful as a means for gauging and clarifying interagency views.

RATIONALE FOR RENEWAL

The UJST should be renewed for the following reasons, and therefore option 5 is not an option. In an age where competitiveness and technology are gaining greater attention in the foreign policy arena, the S&T Agreement provides an increasingly important and invaluable policy framework for

enhanced dialogue and mutual understanding with one of our most important S&T partners. The UJST also sets forth a policy framework which affirms the values of comparable access, commitment to equitable contributions, shared responsibilities, adequate and effective protection of IPR, and enhanced dissemination of information. In so doing, the working groups of the UJST review and discuss the overall S&T relationship, which includes the assessment of cross-cutting issues of mutual significance, such as access and information flow. Finally, in light of recent tensions between the U.S. and Japan regarding economics and trade, both governments have recognized the value of the S&T relationship as a mechanism for developing greater understanding and long-term mutually beneficial partnerships.

Given the Agreement's long and arduous negotiation, and given that the Agreement was presented to the public as a model for other agreements, the Department does not believe that substantive re-negotiation would be in the interest of the Administration. Regarding intellectual property protection, the annex in the existing Agreement was touted as a model for IPR protection at the time it was concluded, has served its purpose well, and has not created any problems.

RATIONALE FOR OPTION 2

Option 2 addresses a prevailing interagency view that the Agreement could be better implemented. The type of changes which have been suggested can be accomplished through mutual understandings on how to implement the Agreement, without amending the text. If necessary, these understandings can be embodied in letters between appropriate officials.

We know that renewal is acceptable to the Japanese, as they have indicated both formally and informally their strong preference for renewing the Agreement as is. It is also our understanding that the majority of USG agencies accept the approach outlined in option 2. For this reason, the Department of State proposes for JWLC and JHLC U.S. member approval the following:

The USG adopts the position that the UJST be renewed for another five years as is, with the understanding that discussions will take place internally, and then with the Japanese, on how we might improve the way we implement the Agreement.

Once this approach is approved by the U.S. members of the JWLC and the JHLC, the U.S. members of the JWLC will address specific implementation changes that can be presented for discussion with our Japanese counterparts.

THE WHITE HOUSE
WASHINGTON

June 30, 1992

MEMORANDUM FOR JOHN BORIGHT

FROM: D. ALLAN BROMLEY

Alan

SUBJECT: RENEWAL OF U.S.-JAPAN S&T AGREEMENT

Your memo of June 10 to Dr. Ratchford advanced the view that the U.S.-Japan S&T agreement should be renewed for another five years as is, with the understanding that we will work to streamline implementation of the agreement. I strongly endorse this approach, and believe it is the most effective means of enhancing our S&T cooperation with Japan.

You have my full support in your efforts to proceed on the suggested course. I know that you will work closely with the JHLC in the streamlining exercise.

THE WHITE HOUSE
WASHINGTON

August 17, 1992

Dear Mr. Wakeland:

Thank you for your letter of August 4, 1992 and attachment describing the EAGLE Japan Program. I commend you on your approach to fostering international cooperation in engineering. Your program in Japan, and the proposed activity in Russia, are indeed interesting.

President Bush has asked me to meet with Russian officials this fall to explore avenues for enhancing cooperation in science and technology between the United States and Russia. The meeting on August 13 to which you referred in your letter was a session hosted by the Office of Science and Technology Policy to solicit input from the scientific community on their activities in Russia. The objective is to understand the magnitude and character of these interactions, in preparation for the fall meeting. Representatives were invited from organizations with extensive experience in Russia. We are also supporting a similar exercise with the business community.

Thank you again for the material you sent, and for your interest in enhancing international science and technology cooperation. I wish your organization great success.

Sincerely,



D. Allan Bromley
The Assistant to the President
for
Science and Technology

Dr. H.L. Wakeland
Associate Dean & Director
EAGLE - Japan Program
University of Illinois at Urbana-Champaign
College of Engineering
207 Engineering Hall
1308 West Green Street
Urbana, IL 61801

THE WHITE HOUSE
WASHINGTON

October 15, 1992

Dear Dale:

Thank you for your letter of September 12, 1992. As co-chairman of the Joint High Level Committee of the U.S.-Japan Science and Technology Agreement, I am pleased that you have accepted my invitation to serve as a member of the Joint High Level Advisory Panel.

With your knowledge and achievements, I am confident that your insight will be invaluable to the Panel in identifying scientific and technological areas that would benefit from enhanced cooperation, as well as mechanisms to achieve desired objectives in our cooperation.

The Advisory Panel is an important component of overall U.S.-Japan science and technology cooperation. With a new mandate and renewed composition, the Panel has the opportunity to play an even greater role in shaping our bilateral S&T relationship with Japan. I look forward to your participation.

Sincerely yours,



D. Allan Bromley
The Assistant to the President
for
Science and Technology

Dr. Dale R. Corson
President Emeritus
Cornell University
615 Clark Hall
Ithaca, NY 14853

Enclosures

CURRENT JHLAP MEMBERS: U.S. SIDE

Dr. Mary Good (Chair)
Senior Vice President for Corporate Technology
Allied-Signal, Inc.

Dr. John McTague (ex-officio Chair)
Senior Vice President for R&D
Scientific Research Laboratories
Ford Motor Company

Dr. Rodney W. Nichols
Chief Executive Officer
The New York Academy of Sciences

Dr. Phillip Sharp
Massachusetts Institute of Technology

James C. McGroddy
Director of Research, IBM
T.J. Watson Research Laboratories

Mr. Richard C. Messinger
Vice President and Chief Technical Officer
Cincinnati Milacron

Dr. Robert M. White
President
National Academy of Engineering

Mr. Dale R. Corson
President Emeritus
Cornell University

TYPE: ACTION DOCUMENT NUMBER: 9202940
ORIGINATOR: 02 STATUS I DIRECTORATE STATUS

FROM: CORSON, Dale R.: CORNELL UNIVERSITY

TO: DR. D.A. BROMLEY

DATE OF
CORRESPONDENCE: 09/12/92

SUBJECT: HE IS WRITING TO ACCEPT MEMBERSHIP ON THE JOINT HIGH
LEVEL ADVISORY PANEL AND REQUESTS A LIST OF ITS'
MEMBERSHIP.

DIRECTORATE
ASSIGNED: INTERNATIONAL/POLICY

STAFF
ASSIGNED: JS

ACTION
REQUIRED: DIRECT REPLY

STAFF
ACTION: FOR JTR's signature

***** SENDER'S DUE DATE:
OSTP DUE DATE: 10/12/92 STAFF DUE DATE 10/12/92
DATE COMPLETED: DATE COMPLETED/DEPT:

COPIES TO: D. Allan Bromley

WHITE HOUSE TRACKING #:

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EXT:

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OSTP RECEIVED: 09/28/92
FILE: P-INTERNATIONAL

DEPT RECEIVED:

CENTRAL FILES:

2910

CORNELL UNIVERSITY
615 CLARK HALL
ITHACA, N. Y. 14853

PRESIDENT EMERITUS

September 12, 1992

Dr. D. Allan Bromley
The Assistant to the President
for Science and Technology
The White House
Washington, D.C.

Dear Allan:

I am happy to accept membership on the Joint High Level Advisory Panel for the U.S.-Japan Science and Technology Agreement. I have been interested in our science and technology policy vis-a-vis the Japanese for a number of years and I look forward to participation in the processes that lead to that policy.

Will you please have someone send me the membership of the Advisory Panel, both U.S. and Japanese. I would also like to know the composition of the Joint High Level Committee. Anything you or Tom can tell me about the concerns and activities of the Joint Committee and of the Advisory Panel will be useful.

Sincerely,



Dale R. Corson