

Originally Processed With FOIA(s):
2005-0336-F

FOIA Number:
2005-0336-F

FOIA MARKER

**This is not a textual record. This is used as an
administrative marker by the George Bush Presidential
Library Staff.**

Record Group/Collection: George H.W. Bush Presidential Records
Collection/Office of Origin: Counsels Office, White House
Series: Appointment Files
Subseries:

OA/ID Number: 20145
Folder ID Number: 20145-013

Folder Title:
Associate Director for Industrial Technology William Dale Phillips Office of Science and Technology Policy

Stack:	Row:	Section:	Shelf:	Position:
G	11	27	1	2

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
01. Memorandum	Appointment Memorandum for William D. Phillips [personal information redacted] (5 pp.)	12/18/89	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director for Industrial Technology William Dale Phillips Office of Science and Technology Policy

Date Closed:	1/4/2010	OA/ID Number:	20145-013
FOIA/SYS Case #:	2005-0336-F	Appeal Case #:	
Re-review Case #:		Appeal Disposition:	
P-2/P-5 Review Case #:		Disposition Date:	
AR Case #:		MR Case #:	
AR Disposition:		MR Disposition:	
AR Disposition Date:		MR Disposition Date:	

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

P-1 National Security Classified Information [(a)(1) of the PRA]
P-2 Relating to the appointment to Federal office [(a)(2) of the PRA]
P-3 Release would violate a Federal statute [(a)(3) of the PRA]
P-4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
P-5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
P-6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Removed as a personal record misfile.

Freedom of Information Act - [5 U.S.C. 552(b)]

(b)(1) National security classified information [(b)(1) of the FOIA]
(b)(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
(b)(3) Release would violate a Federal statute [(b)(3) of the FOIA]
(b)(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
(b)(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
(b)(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
(b)(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
(b)(9) Release would disclose geological or geophysical information

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
02. Form	Financial Disclosure Report for William D. Phillips [personal information redacted] (9 pp.)	10/24/89	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director for Industrial Technology William Dale Phillips Office of Science and Technology Policy

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AR Disposition:		MR Disposition:	
AR Disposition Date:		MR Disposition Date:	

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Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
03. Memorandum	Appointment Memorandum for William D. Phillips [personal information redacted] (4 pp.)	12/18/89	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director for Industrial Technology William Dale Phillips Office of Science and Technology Policy

Date Closed:	1/4/2010	OA/ID Number:	20145-013
FOIA/SYS Case #:	2005-0336-F	Appeal Case #:	
Re-review Case #:		Appeal Disposition:	
P-2/P-5 Review Case #:		Disposition Date:	
AR Case #:		MR Case #:	
AR Disposition:		MR Disposition:	
AR Disposition Date:		MR Disposition Date:	

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- P-2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P-3 Release would violate a Federal statute [(a)(3) of the PRA]
- P-4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
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THE WHITE HOUSE
WASHINGTON

rec'd 1/2/89 FOW

December 29, 1989

MEMORANDUM FOR FRED NELSON

FROM: DIANA C. KENDRICK *Del*

For your information, the full field investigation is complete for the following:

William Dale Phillips

Please expedite review. Thank you.

Rm 114
Fred -
Returning to your
custody, per our
discussion
Michelle Van Cleave

Name:

Date:

1. Have you ever been arrested or detained by law enforcement officers?
2. Have you ever had any difficulty with local, state or federal law enforcement officials?
3. Did you file your tax return(s) for calendar year(s) 1986, 1987 and 1988 timely?
4. Have you ever had any difficulties with local, state or federal tax authorities?
5. To the best of your knowledge and belief, are you currently under investigation by any local, state or federal entity, e.g., SEC?
6. Upon reflection, is there anything in your background, personal or otherwise, which, if revealed in conjunction with your nomination, could be embarrassing to you or to the President?
7. Are you, or have you ever been a registered foreign agent?

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
04. Memorandum	To: Boyden Gray From: William Phillips Re: Personal Data Statement [personal information redacted] (2 pp.)	10/24/89	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director for Industrial Technology William Dale Phillips Office of Science and Technology Policy

Date Closed:	1/4/2010	OA/ID Number:	20145-013
FOIA/SYS Case #:	2005-0336-F	Appeal Case #:	
Re-review Case #:		Appeal Disposition:	
P-2/P-5 Review Case #:		Disposition Date:	
AR Case #:		MR Case #:	
AR Disposition:		MR Disposition:	
AR Disposition Date:		MR Disposition Date:	

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Document Originally
Attached to
Following Page

10/26 86 to Becky
to get copies of
Wm Phillips

Oct. 30, 1989

THE WHITE HOUSE
WASHINGTON

Dear Mr. Phillips,

Enclosed is the packet of FBI forms you have so promptly returned. However, our General Counsel's office has asked that you submit 4 copies of each of the documents enclosed - all with original signatures.

We will do our best to ensure that your file is processed as expeditiously as possible once these forms are returned.

You may send them to Diana Kendrick in the White House General Counsel's Office. If you have any questions you can reach her at 456-2632, or me at 456-6570.

Thank you very much for your patience and cooperation.


Becky Armendariz
Special Assistant
Presidential Personnel

Document Originally
Attached to
Following Page

William D. Phillips
6310 Waterman Avenue
St. Louis, Missouri 63130
November 4, 1989

Ms. Diana Kendrick
White House General Counsel's Office
The White House
Washington, D. C. 20500

Dear Ms. Kendrick:

Per the attached request of Becky Armendariz,
I am resubmitting the original along with four copies of
the completed FBI forms in support of my candidacy for
the position of Associate Director, Office of Science and
Technology Policy.

Sincerely,

A handwritten signature in dark ink, appearing to read "William D. Phillips". The signature is fluid and cursive, with the first name "William" and last name "Phillips" clearly distinguishable.

William D. Phillips

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
05a. Form	Questionnaire for Sensitive Positions for William D. Phillips [personal information redacted] (20 pp.)	10/24/89	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director for Industrial Technology William Dale Phillips Office of Science and Technology Policy

Date Closed:	1/4/2010	OA/ID Number:	20145-013
FOIA/SYS Case #:	2005-0336-F	Appeal Case #:	
Re-review Case #:		Appeal Disposition:	
P-2/P-5 Review Case #:		Disposition Date:	
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C. Closed in accordance with restrictions contained in donor's deed of gift.

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(b)(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
(b)(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
(b)(9) Release would disclose geological or geophysical information

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
05b. Form	Fingerprint Card of William D. Phillips [personal information redacted] (1 pp.)	10/24/89	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director for Industrial Technology William Dale Phillips Office of Science and Technology Policy

Date Closed:	1/4/2010	OA/ID Number:	20145-013
FOIA/SYS Case #:	2005-0336-F	Appeal Case #:	
Re-review Case #:		Appeal Disposition:	
P-2/P-5 Review Case #:		Disposition Date:	
AR Case #:		MR Case #:	
AR Disposition:		MR Disposition:	
AR Disposition Date:		MR Disposition Date:	

RESTRICTION CODES

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(b)(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
(b)(9) Release would disclose geological or geophysical information

TAX CHECK WAIVER

I am signing this waiver to permit the Internal Revenue Service to release information about me which would otherwise be confidential. This information will be used in connection with my appointment or employment by the United States Government. This waiver is made pursuant to 26 U.S.C. 6103(c).

I request that the Internal Revenue Service send the information to: President Bush and the Office of Counsel to the President, acting on behalf of the President.

The information I wish released is:

1. Have I failed to file any Federal income tax return which was required to be filed for any of the last three years?

If this waiver is received by the Internal Revenue Service before July 1, the "last three years" shall mean the latest three years for which information is available, since the return for the immediate last year may not yet have been processed.

2. Were any of these returns filed more than 45 days after the due date for filing (determined with regard to any extension of time for filing)?
3. Have I failed to pay any tax, penalty or interest during the last three years within 45 days of the date on which the Internal Revenue Service gave notice of the amount due and demanded payment?
4. Has any penalty for negligence under Section 6653(a) of the Internal Revenue Code been assessed against me this year or during the last three years?
5. Am I or have I ever been under investigation by the Internal Revenue Service for possible criminal offenses, and what were the results of such investigation(s)?
6. Has any civil penalty for fraud been assessed against me?

If the information which is to be released includes a "YES" answer to any of the above six questions, I authorize the Internal Revenue Service to release any information relative to that question.

William D. Phillips
6310 Waterman Avenue
St. Louis, Missouri 63130
October 24, 1989

Ms. Diana Kendrick
Special Assistant to the
Counsel to the President
2nd Floor/West Wing
The White House
Washington, D. C. 20500

Dear Ms. Kendrick:

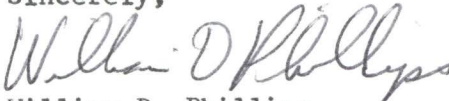
As you are probably aware, Dr. Allan Bromley has nominated me for the position of Associate Director, Office of Science and Technology Policy.

I am returning the following completed documents for my background check:

Personal Data Statement (Memo to C. Boyden Gray)
Financial Disclosure Report
Form 86
Continuation Sheet for Form 86 (Form 86A)
Supplement to Form 86
Fingerprint cards (2 copies)
Tax Check Waiver

Please call me at (314) 721-8187 if I can clarify any response on the attached or provide you with additional information.

Sincerely,


William D. Phillips

THE WHITE HOUSE
WASHINGTON

October 16, 1989

Dear Dr. Phillips:

With respect to your prospective appointment to be an Associate Director of the Office of Science and Technology Policy, it will be necessary for you to complete the enclosed forms at your earliest convenience. Regarding the Personal Data Statement, that form can be answered in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. When the forms have been completed, please return them to:

Diana C. Kendrick
Executive Assistant to the Counsel
to the President
Second Floor, West Wing
The White House
Washington, D.C. 20500.

If you have any questions regarding these forms, please contact my office at 202/456-2632.

Sincerely,



C. Boyden Gray
Counsel to the President

William D. Phillips, Ph.D.
6310 Waterman Avenue
St. Louis, MO 63130

THE WHITE HOUSE
WASHINGTON

November 7, 1989

MEMORANDUM FOR FRED NELSON

FROM: DIANA C. KENDRICK *Del*

Attached for your review is the financial file for the following:

William Dale Phillips - Associate Director for
Industrial Technology, Office of
Science and Technology Policy.

Fred, you might want to discuss the process for conflict of interest reviews with Amy Schwartz or Lew Fischer. Of course, you should feel free to call me anytime.

In general we try to turn these around as quickly as possible, so that when a candidates full field investigation is complete, we will not be holding the nomination up. In any event, I will send you a memo when the full field is complete. If you receive one of these, please give the file your immediate attention.

Thank you.

OFFICE OF SCIENCE AND TECHNOLOGY POLICY, ASSOCIATE
DIRECTORS OF THE

Executive Office of the President

AUTHORITY: 42 U.S.C. 6612
P.L. 94-282, Sec. 203, May 11, 1976

METHOD: Nominated to the Senate

ASSOCIATE
DIRECTORS: Not more than four

TERM: Pleasure of the President

SALARY: Level III (5 U.S.C. 5314)

THE WHITE HOUSE
WASHINGTON

November 8, 1989

MEMORANDUM FOR MICHELLE K. VAN CLEAVE
DESIGNATED AGENCY ETHICS OFFICIAL
OFFICE OF SCIENCE AND TECHNOLOGY POLICY

FROM: FREDERICK D. NELSON *F. Nelson*
ASSOCIATE COUNSEL TO THE PRESIDENT

SUBJECT: Attached SF-278 from William D. Phillips

I am transmitting to you for review a copy of the SF-278 provided to this office by the above-named candidate for a position at your agency. I am in the process of reviewing his financial disclosure forms and wanted to give you the opportunity to begin your own review so that we can work together during the clearance process.

As you know, the nomination process is a sensitive one, and as a general matter, the White House requests that agencies keep all information confidential, including the identity of the candidates for particular positions, unless and until the White House makes an official announcement.

Please feel free to call me if you have any questions. I can be reached at 456-2607.

Attachment

THE WHITE HOUSE

WASHINGTON

10.11

OCT 11 5:51

MEMORANDUM FOR JANE DANNENHAUER
SUE AUTHER
KATJA BULLOCK

FROM: REBECCA ARMENDARIZ
OFFICE OF PRESIDENTIAL PERSONNEL
Room 145, X6570

Please start appropriate clearance for the following prospective nominee and return clearance/comments to room 145 OEOB. Thank you.

William Dale Phillips
6310 WATERMAN AVENUE
ST. LOUIS, MISSOURI

Date of Birth 10/10/25 Place of Birth Kansas City, Missouri

Who is under serious consideration for appointment as: _____

Associate Director (Industrial Technology)
Office of Science and Technology Policy

Associate Director Martha Goodwin (x7606)

Presidential Approval _____

Security Package sent 10/11/89 Counsel clearance _____

Legislative clearance _____

Withdrawal/Redaction Sheet

(George Bush Library)

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06. Form	Personal Information Sheet for William D. Phillips [social security number redacted] (1 pp.)	10/6/89	(b)(6)	

Collection:

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THE WHITE HOUSE
WASHINGTON

Prepared By: Jan Greer
DATE: 10/6/89

NAME: William Dale Phillips

NAME & STATE: William D. Phillips of Missouri

POSITION: Associate Director (Industrial Technology),
Office of Science and Technology Policy

TYPE: PAS: XX PA: SES: FT: XX PT: TERM: POP

VICE: new position

CURRENT HOME ADDRESS:

6310 Waterman Avenue
St. Louis, Missouri 63130

SEX: M DOB: October 10, 192

BIRTHPLACE:

Kansas City, Missouri

PARTY:

Republican

LEGAL VOTING RESIDENCE:
(City, State)

St. Louis, Missouri

RESIDENCE PHONE:

(314) 721-8187

CURRENT POSITION & ADDRESS:

Science Advisor to the Governor of Missouri
and Professor of Chemistry

SSAN: (b)(6)

Washington University

RACE:

St. Louis, Missouri 63130

Caucasian

WORK PHONE:

(314) 889-5000

ETHNIC HERITAGE:

Welsh

PREVIOUS POSITION HELD:

Senior VP, Science and Technology
Mallinckrodt & Co, Inc.
675 McDonell Blvd.
St. Louis, Missouri 63134

FAMILY:

SPOUSE: Esther Jean Parker
Phillips, wife

CHILDREN: Edward Dale, 31
Katherine Daniels,

EDUCATION:

BA, University of Kansas, 1948
PhD, Massachusetts Institute of Technology,
1951

MILITARY SERVICE:

US Navy, 1943-46

PREVIOUS PRESIDENTIAL APPOINTMENTS:

None

AWARDS:

Member, National Academy of
Sciences
Member, American Academy of
Arts and Sciences

President approved: _____

Security Package Sent: OCT 11 1989 JMG

Counsel Clearance: _____

OLA Clearance: _____

Bush Library Photocopy

Biographical Data

William D. Phillips

Home Address: 6310 Waterman Avenue
St. Louis, MO 63130

Telephone: (314) 721-8187

Birth: October 10, 1925
Kansas City, MO

Military Service: U.S. Navy
1943-1946

Education: B.A. University of Kansas, 1948 (Chemistry)
Ph.D. Massachusetts Institute of Technology, 1951
(Physical Chemistry)

Professional History:

1951-1959	Research Chemist, Central Research Department E.I. du Pont de Nemours and Co., Wilmington, Delaware
1959-1965	Research Supervisor, Central Research Department E.I. du Pont de Nemours and Co., Wilmington, Delaware
1965-1974	Associate Director of Research, Central Research Department E.I. du Pont de Nemours and Co., Wilmington, Delaware Directed du Pont's basic studies of plant and animal biology.
1974-1976	Technical Director, Development Department E.I. du Pont de Nemours and Co., High Wycombe, England A joint American/British effort aimed at microbiological conversion of carbohydrate to protein for human foodstuffs.
1976-1978	Assistant Director of Research and Development Plastic Products and Resins Department E.I. du Pont de Nemours and Co., Wilmington, Delaware
1978-1984	Charles Allen Thomas Professor of Chemistry, Chairman Department of Chemistry, and Professor of Biological Chemistry, School of Medicine, Washington University, St. Louis, Missouri
1982-1984	Director of the Center for Biotechnology Washington University, St. Louis, Missouri
1984-1987	Senior Vice President, Science and Technology Mallinckrodt, Inc., St. Louis, Missouri
1987-	Professor of Chemistry, Washington University, St. Louis, Missouri
1987-	Science Advisor to the Governor of Missouri
1989-	President, Missouri Advanced Technology Institute

Honors:

National Academy of Sciences 1971-
American Academy of Arts and Sciences 1970-

Other Professional Activities:

Board Directorships:

Mallinckrodt, Inc. 1980-1982
Sigma-Aldrich 1982-1984
Missouri Corporation for Science and Technology 1983-
Atlanta University Board of Trustees 1985-1988
St. Louis Science Center 1985-
St. Louis Technology Center 1985-

Editorial Boards:

Biochemical and Biophysical Research Communications, Editor 1970-88
Biochemistry 1968-1972
Magnetic Resonance 1969-1972
Macromolecules 1970-1972
~~Inorganic Biochemistry 1970-1972~~
Analytical Biochemistry 1982-1986
Journal of Biomolecular Structure and Dynamics 1983-

Advisory Committees:

UCSD, Chemistry Department 1968-1978
MIT, Department of Biology 1970-1972
Princeton University, Department of Chemistry 1967-1971
Argonne National Laboratory, Department of Chemistry 1966-1969
National Magnet Laboratory, MIT 1980-1984
Stanford Synchrotron Radiation Laboratory Science Policy Board
1981-1984
Carnegie Mellon University, Department of Biology 1980-1984
NSF Division of Chemistry 1984-1987
NRC Commission on Physical Sciences, Mathematics and Resources
1985-1988

Societies:

American Chemical Society
American Physical Society
American Society of Biological Chemists
Biophysical Society
FASEB
AAAS
Society of Magnetic Resonance in Medicine
Society of Chemical Industry