

Originally Processed With FOIA(s):
2005-0336-F

FOIA Number:
2005-0336-F

FOIA MARKER

**This is not a textual record. This is used as an
administrative marker by the George Bush Presidential
Library Staff.**

Record Group/Collection: George H.W. Bush Presidential Records
Collection/Office of Origin: Counsels Office, White House
Series: Appointment Files
Subseries:

OA/ID Number: 20121
Folder ID Number: 20121-031

Folder Title:
Associate Director Karl Albert Erb Office of Science and Technology Policy

Stack:	Row:	Section:	Shelf:	Position:
G	11	25	7	2

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
01. Memorandum	Appointment Memorandum for Karl Albert Erb [personal information redacted] (2 pp.)	3/20/92	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director Karl Albert Erb Office of Science and Technology Policy

Date Closed:	1/4/2010	OA/ID Number:	20121-031
FOIA/SYS Case #:	2005-0336-F	Appeal Case #:	
Re-review Case #:		Appeal Disposition:	
P-2/P-5 Review Case #:		Disposition Date:	
AR Case #:		MR Case #:	
AR Disposition:		MR Disposition:	
AR Disposition Date:		MR Disposition Date:	

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

- P-1 National Security Classified Information [(a)(1) of the PRA]
- P-2 Relating to the appointment to Federal office [(a)(2) of the PRA]
- P-3 Release would violate a Federal statute [(a)(3) of the PRA]
- P-4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
- P-5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
- P-6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Removed as a personal record misfile.

Freedom of Information Act - [5 U.S.C. 552(b)]

- (b)(1) National security classified information [(b)(1) of the FOIA]
- (b)(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
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- (b)(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
- (b)(9) Release would disclose geological or geophysical information

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
02. Memorandum	Appointment Memorandum for Karl Albert Erb [personal information redacted] (2 pp.)	3/20/92	(b)(6)	

Collection:

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File Location: Associate Director Karl Albert Erb Office of Science and Technology Policy

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Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
03. Form	Public Financial Disclosure report for Karl A. Erb [personal information redacted] (7 pp.)	1/20/92	(b)(6)	

Collection:

Record Group: Bush Presidential Records
Office: Counsels Office, White House
Series: Appointment Files
Subseries:
WHORM Cat.:
File Location: Associate Director Karl Albert Erb Office of Science and Technology Policy

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THE WHITE HOUSE
WASHINGTON

12-16-91

91 DEC 17 AIO: 55

MEMORANDUM FOR JANE DANNENHAUER
HOLLY STEGER
KATJA BULLOCK

FROM: COURTNEY ZWART
OFFICE OF PRESIDENTIAL PERSONNEL
Room 145, x6570

Please initiate appropriate clearances for the following
prospective nominee and return clearance/comments to room 145.

Karl Albert Erb

Date of Birth 6-30-42 Place of Birth Chicago, IL

Who is under serious consideration for appointment as:

Associate Director, Office of Science and
Technology Policy

Associate Director Natasha Goodwin (x7606)

President approved: _____

Security Package sent: 12-13-91

Counsel Clearance: _____

OLA Clearance: _____

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
04. Form	Personal Information Sheet for Karl Albert Erb [social security number redacted] (1 pp.)	12/13/91	(b)(6)	

Collection:

Record Group: Bush Presidential Records
Office: Counsels Office, White House
Series: Appointment Files
Subseries:
WHORM Cat.:
File Location: Associate Director Karl Albert Erb Office of Science and Technology Policy

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THE WHITE HOUSE

WASHINGTON

NAME: Karl Albert Erb

PREPARED BY: Jan M. Greer

DATE: December 13, 1991

NAME & STATE: Karl A. Erb of Virginia

POSITION: Associate Director, Office of Science and
Technology Policy

TYPE: PAS: XX PA: SES: FT: XX PT: TERM: POP

VICE: Eugene Wong

CURRENT HOME ADDRESS:

4115 33rd Road North
Arlington, Virginia 22207

RESIDENCE PHONE:

(703) 525-7585

CURRENT POSITION & ADDRESS:

Acting Associate Director, Physical
Sciences and Engineering
Office of Science and Technology
Policy

OEOB 432 1/2

The White House

Washington, D. C. 20506

OFFICE PHONE:

(202) 456-5130

PREVIOUS POSITION HELD:

Assistant Director, Physical
Sciences and Engineering
Office of Science and Technology
Policy

EDUCATION:

Ph.D., Physics, The University of
Michigan, 1970

M.S., The University of Michigan,
1966

B.A., New York University, 1965

SEX: M DOB: June 30, 1942

BIRTHPLACE: Chicago,
Illinois

PARTY: Independent

LEGAL VOTING RESIDENCE:

(City, State)
Arlington, Virginia

SSAN: [REDACTED] (b)(6)

RACE: Caucasian

ETHNIC HERITAGE: Norwegian

FAMILY: wife, Betty Hesse

SPOUSE: Erb

CHILDREN: Janet Erb, 1966;
Margaret Erb, 1969

MILITARY SERVICE:

None

PREVIOUS PRESIDENTIAL APPOINTMENTS:

None

AWARDS:

See resume

President Approved: _____

Security Package Sent: DEC 13 1991 JMG

Counsel Clearance: _____

OLA Clearance: _____

Bush Library Photocopy

CURRICULUM VITAE - KARL A. ERB

**Acting Associate Director, Physical Sciences and Engineering
Office of Science and Technology Policy, The White House
(Detailed from The National Science Foundation)**

October 27, 1991

Education:

Ph.D., Physics, The University of Michigan, 1970

M.S., The University of Michigan, 1966

B.A., New York University, 1965

Positions Held:

10/91 - Present

**Acting Associate Director, Physical Sciences and Engineering,
Office of Science and Technology Policy (OSTP),
Executive Office of the President,
Washington, D.C.
(Detailed from The National Science Foundation)**

12/89 - 10/91

**Assistant Director, Physical Sciences and Engineering, OSTP
(Detailed from NSF)**

5/91 - Present

**Deputy Director, Physics Division, The National Science Foundation,
Washington, D.C.**

7/86 - 5/91

Program Director, Nuclear Physics, The National Science Foundation

8/80 - 6/86

**Physicist, Physics Division, Oak Ridge National Laboratory (ORNL),
Oak Ridge, TN**

11/82 - 9/84

Technical Assistant to the Associate Director for Physical Sciences, ORNL

7/72 - 8/80

Yale University, New Haven, CT

Associate Professor of Physics, 1979 - 1980

Assistant Professor, 1974 - 1979

Instructor, 1972 - 1974

9/70 - 6/72

**Postdoctoral Research Associate, The University of Pittsburgh,
Pittsburgh, PA**

Awards/Honors:

Special Act Award, National Science Foundation 1990

**Outstanding Performance Awards, National Science
Foundation, 1991, 1990, 1989, 1988, 1987**

Visiting Professor, J. W. Goethe University, 1979

National Science Foundation Graduate Fellowship, 1965

Major Committees: Federal Coordinating Council, Committee on Physical, Mathematical and Engineering Sciences, 1991
Federal Manager, NSF/DOE Nuclear Science Advisory Committee, 1987 - 1989
Brookhaven Relativistic Heavy Ion Collider Policy Committee, 1985 - 1986
Columbia University Physics Faculty Search Committee, 1985
NSF/DOE Nuclear Science Advisory Committee (NSAC) 1983 - 1985
NSAC Panel on Electron Accelerator Facilities, 1983
NSAC Long Range Plan Working Group, 1983
Oak Ridge National Laboratory Director's Discretionary Funds Committee, 1981 - 1983
Yale Economic Status of the Faculty Committee, 1979 - 1980

Research Areas: Nuclear physics and accelerator physics

Publications: Over 40 papers in refereed physics journals
Several book chapters and encyclopedia articles
Several dozen invited talks published in conference proceedings
A publication list is available on request.

Personal:

Date of Birth: June 30, 1942, Chicago, Illinois
Spouse: Betty Hesse Erb (married 1965)
Children: Janet (1966), Margaret (1969)
Residence: 4115 33rd Rd. North, Arlington, VA, 22207

Historical Note

Legislative History. For legislative history and purpose of Pub.L. 94-282, see 1976 U.S. Code Cong. and Adm. News, p. 880.

Code of Federal Regulations

Office of Science and Technology Policy.

Emergency preparedness, see 47 CFR 202.0 et seq.
Policy and responsibilities, see 47 CFR 201.0 et seq.

Library References

C.J.S. Health and Environment § 61 et seq.

§ 6612. Director; Associate Directors

There shall be at the head of the Office a Director who shall be appointed by the President, by and with the advice and consent of the Senate, and who shall be compensated at the rate provided for level II of the Executive Schedule in section 5313 of Title 5. The President is authorized to appoint not more than four Associate Directors, by and with the advice and consent of the Senate, who shall be compensated at a rate not to exceed that provided for level III of the Executive Schedule in section 5314 of such title. Associate Directors shall perform such functions as the Director may prescribe.

(Pub.L. 94-282, Title II, § 203, May 11, 1976, 90 Stat. 463.)

Historical Note

Legislative History. For legislative history and purpose of Pub.L. 94-282, see 1976 U.S. Code Cong. and Adm. News, p. 880.

§ 6613. Functions of Director

(a) The primary function of the Director is to provide, within the Executive Office of the President, advice on the scientific, engineering, and technological aspects of issues that require attention at the highest levels of Government.

(b) In addition to such other functions and activities as the President may assign, the Director shall—

(1) advise the President of scientific and technological considerations involved in areas of national concern including, but not limited to, the economy, national security, health, foreign relations, the environment, and the technological recovery and use of resources;

(2) evaluate the scale, quality, and effectiveness of the Federal effort in science and technology and advise on appropriate actions;

(3) advise the President on scientific and technological considerations with regard to Federal budgets, assist the Office of Management and Budget with an annual review and analysis of funding proposed for research and development in budgets of all Federal agencies, and aid

Historical Note

History
U.S.

Federal Regulations

202.0 et seq.
201.0 et seq.

Bibliography

seq.

State Directors

The Office a Director who shall be appointed with the advice and consent of the Senate, and who shall be provided for level II of the Executive Schedule. The President is authorized to appoint such Directors, by and with the advice and consent of the Senate, at a rate not to exceed that provided in section 5314 of such title. As to such functions as the Director may pre-

11, 1976, 90 Stat. 463.)

Historical Note

History
U.S.

Director

The Director is to provide, within the Executive Order, on the scientific, engineering, and technological attention at the highest levels of Gov-

functions and activities as the President may

scientific and technological considerations of concern including, but not limited to, the health, foreign relations, the environment, and use of resources.

ity, and effectiveness of the Federal effort and advise on appropriate actions;

in scientific and technological considerations, assist the Office of Management and Budget, assist the Office of Management and Budget, and analysis of funding proposed for the budgets of all Federal agencies, and aid

the Office of Management and Budget and the agencies throughout the budget development process; and

(4) assist the President in providing general leadership and coordination of the research and development programs of the Federal Government.

(Pub.L. 94-282, Title II, § 204, May 11, 1976, 90 Stat. 463.)

Historical Note

Legislative History. For legislative history and purpose of Pub.L. 94-282, see 1976 U.S. Code Cong. and Adm. News, p. 880.

§ 6614. Policy planning; analysis; advice

(a) The Office shall serve as a source of scientific and technological analysis and judgment for the President with respect to major policies, plans, and programs of the Federal Government. In carrying out the provisions of this section, the Director shall—

(1) seek to define coherent approaches for applying science and technology to critical and emerging national and international problems and for promoting coordination of the scientific and technological responsibilities and programs of the Federal departments and agencies in the resolution of such problems;

(2) assist and advise the President in the preparation of the Science and Technology Report, in accordance with section 6618 of this title;

(3) gather timely and authoritative information concerning significant developments and trends in science, technology, and in national priorities, both current and prospective, to analyze and interpret such information for the purpose of determining whether such developments and trends are likely to affect achievement of the priority goals of the Nation as set forth in section 6601(b) of this title;

(4) encourage the development and maintenance of an adequate data base for human resources in science, engineering, and technology, including the development of appropriate models to forecast future manpower requirements, and assess the impact of major governmental and public programs on human resources and their utilization;

(5) initiate studies and analyses, including systems analyses and technology assessments, of alternatives available for the resolution of critical and emerging national and international problems amenable to the contributions of science and technology and, insofar as possible, determine and compare probable costs, benefits, and impacts of such alternatives;

(6) advise the President on the extent to which the various scientific and technological programs, policies, and activities of the Federal Government are likely to affect the achievement of the priority goals of the Nation as set forth in section 6601(b) of this title;

(7) provide the President with periodic reviews of Federal statutes and administrative regulations of the various departments and agencies which affect research and development activities, both internally and in relation to the private sector, or which may interfere with desirable technological innovation, together with recommendations for their elimination, reform, or updating as appropriate;

(8) develop, review, revise, and recommend criteria for determining scientific and technological activities warranting Federal support, and recommend Federal policies designed to advance (A) the development and maintenance of broadly based scientific and technological capabilities, including human resources, at all levels of government, academia, and industry, and (B) the effective application of such capabilities to national needs;

(9) assess and advise on policies for international cooperation in science and technology which will advance the national and international objectives of the United States;

(10) identify and assess emerging and future areas in which science and technology can be used effectively in addressing national and international problems;

(11) report at least once each year to the President and the Congress on the overall activities and accomplishments of the Office, pursuant to section 6615 of this title;

(12) periodically survey the nature and needs of national science and technology policy and make recommendations to the President, for review and transmission to the Congress, for the timely and appropriate revision of such policy in accordance with section 6602(a)(6) of this title; and

(13) perform such other duties and functions and make and furnish such studies and reports thereon, and recommendations with respect to matters of policy and legislation as the President may request.

(b) Omitted

(Pub.L. 94-282, Title II, § 205, May 11, 1976, 90 Stat. 464, Pub.L. 97-375, Title II, § 215(2), (4), Dec. 21, 1982, 96 Stat. 1826, 1827.)

Historical Note

References in Text. Section 6618 of this title, referred to in subsec. (a)(2), was repealed by Pub.L. 97-35, Title II, § 215(1), Dec. 21, 1982, 96 Stat. 1826 and its provisions are now covered by section 6615 of this title.

Codification. Subsec. (b), which established the Intergovernmental Science, Engineering, and Technology Advisory Panel, was omitted because such Panel was abolished and its functions transferred to the President by Reorg. Plan No. 1 of 1977, § 5A, 42 F.R. 56101, 91 Stat. 1634, set out in the Appendix

to Title 5, effective on or before Apr. 1, 1978, at such time as specified by the President.

1982 Amendment. Subsec. (a)(11). Pub. L. 97-375 inserted "and the Congress" following "President" and substituted "section 6615" for "section 6618".

Transfer of Functions. All functions vested in the Office of Science and Technology Policy and the Director thereof pursuant to subsec. (a)(2) of this section and sections 6615 and 6618 of this title were transferred to the Director of the National Science Foundation.

OFFICE OF SCIENCE AND TECHNOLOGY POLICY, ASSOCIATE
DIRECTORS OF THE

Executive Office of the President

AUTHORITY: 42 U.S.C. 6612
P.L. 94-282, Sec. 203, May 11, 1976

METHOD: Nominated to the Senate

ASSOCIATE
DIRECTORS: Not more than four

TERM: Pleasure of the President

SALARY: Level III (5 U.S.C. 5314)

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
05. Memorandum	To: Boyden Gray From: Karl A Erb Re: Personal Data Statement Questionnaire [personal information redacted] (2 pp.)	1/21/92	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

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Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

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THE WHITE HOUSE
WASHINGTON

FACSIMILE TRANSMITTAL SHEET

TO KARL ERB

FAX NUMBER 202-395-3719

FROM Francine Burns

TELEPHONE NUMBER 202-456-2632

NUMBER OF PAGES (excluding Transmittal Sheet) 1

COMMENTS Attached, as promised, is page 2 of the Supplement
to Stanford Form 86. If you would send four copies, each with
original signature, I would appreciate. Thank you.

THE WHITE HOUSE

WASHINGTON

February 26, 1992

MEMORANDUM FOR ROBERT T. SWANSON

FROM: FRANCINE M. BURNS *frb*

Attached for your information are part of the financial files for the following. Please add to the relevant file:

Karl Erb - OSTP

Christian Holmes - EPA

Gregori Lebedev - Inspector General of Defense

Pamela Talkin - NLRB

Carl Vogt - NTSB

Lewis Crampton - Chemical Safety & Hazard Investigation Board

William Mottel - Chemical Safety & Hazard Investigation Board

Robert Taylor - Chemical Safety & Hazard Investigation Board

Jack Witz - Chemical Safety & Hazard Investigation Board

Thank you.

THE PRESIDENT HAS SET
2-14-92

THE WHITE HOUSE
WASHINGTON

February 13, 1992 02 FEB 13 P4:22

MEMORANDUM FOR THE PRESIDENT

FROM: CONSTANCE HORNER 
SUBJECT: Personnel Selection

Secretary Skinner and I recommend you approve for possible nomination to the Senate the following individual:

KARL A. ERB, of Virginia, to be Associate Director, Office of Science and Technology Policy, vice William Dale Phillips. (Full-time PAS position serving at the Pleasure of the President).

Upon your approval, an FBI full field and a legislative clearance will be initiated. An announcement of intention to nominate will be made as soon as all necessary clearances have been obtained. Nomination to the Senate will be forwarded immediately following the announcement.

APPROVE:  _____ DISAPPROVE: _____

THE WHITE HOUSE
WASHINGTON

February 5, 1992

MEMORANDUM FOR MICHELLE VAN CLEAVE
DESIGNATED AGENCY ETHICS OFFICIAL
OFFICE OF SCIENCE & TECHNOLOGY POLICY

FROM: ROBERT T. SWANSON *RS*
ASSISTANT COUNSEL TO THE PRESIDENT

SUBJECT: Attached SF-278 From Karl Erb

I am transmitting to you for review a copy of the SF-278 provided to this office by Karl Erb for a position at your agency. We are in the process of reviewing his financial disclosure forms, and I wanted to give you the opportunity to begin your own review so that we can work together during the clearance process.

As you know, the nomination process is a sensitive one, and as a general matter, the White House requests that agencies keep all information confidential, including the identify of the candidates for particular positions, unless and until the White House makes an official announcement.

Please feel free to call me if you have any questions. I can be reached at 456-2312.

Attachment

cc: Office of Government Ethics

THE WHITE HOUSE
WASHINGTON

January 28, 1992

→ Sharon
please distribute
or file as
appropriate

MEMORANDUM FOR ROBERT T. SWANSON

FROM: FRANCINE M. BURNS *FMB*

Attached for your information is part of the financial file for the following. Please add to the relevant file:

Karl Erb - OSTP

Thank you.

THE WHITE HOUSE

WASHINGTON

January 27, 1992

MEMORANDUM FOR KATJA BULLOCK
JANE DANNENHAUER
FRANCINE BURNS

FROM: COURTNEY ZWART 

SUBJECT: Change in Candidate's Vice

Please adjust your records to reflect the following change:

KARL ALBERT ERB, in clearance to be an Associate
Director of the Office of Science and Technology Policy
should vice William Dale Phillips, instead of Eugene
Wong.

THE WHITE HOUSE
WASHINGTON

January 21, 1992

MEMORANDUM FOR ROBERT T. SWANSON

FROM: FRANCINE M. BURNS *FMB*

Attached for your review are the financial files for the following:

John Daffron - State Justice Institute
✓ Karl Erb - Office of Science and Technology Policy

Thank you.

cc: Sharon Mitchell

THE WHITE HOUSE

WASHINGTON

January 12, 1992

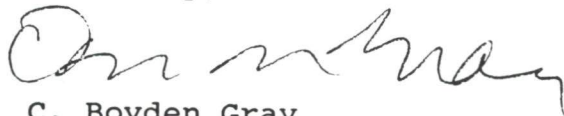
Dear Mr. Erb:

With respect to your prospective appointment to be Associate Director of the Office of Science and Technology Policy, it will be necessary for you to complete the enclosed forms at your earliest convenience. Regarding the Personal Data Statement, that form can be answered in memorandum form on a separate sheet of paper with each answer corresponding to the number of the question. When the forms have been completed, please return one copy with original signature of each to:

Francine M. Burns
Executive Assistant to the Counsel
Second Floor, West Wing
The White House
Washington, D.C. 20500

If you have any questions regarding these forms, please contact my office at 202/456-2632.

Sincerely,



C. Boyden Gray
Counsel to the President

Dr. Karl A. Erb
4115 33rd Road North
Arlington, Virginia 22207

Withdrawal/Redaction Sheet

(George Bush Library)

Document No. and Type	Subject/Title of Document	Date	Restriction	Class.
07. Form	Questionnaire for Sensitive Position [personal information redacted] (9 pp.)	12/20/91	(b)(6)	

Collection:

Record Group: Bush Presidential Records

Office: Counsels Office, White House

Series: Appointment Files

Subseries:

WHORM Cat.:

File Location: Associate Director Karl Albert Erb Office of Science and Technology Policy

Date Closed:	1/4/2010	OA/ID Number:	20121-031
FOIA/SYS Case #:	2005-0336-F	Appeal Case #:	
Re-review Case #:		Appeal Disposition:	
P-2/P-5 Review Case #:		Disposition Date:	
AR Case #:		MR Case #:	
AR Disposition:		MR Disposition:	
AR Disposition Date:		MR Disposition Date:	

RESTRICTION CODES

Presidential Records Act - [44 U.S.C. 2204(a)]

Freedom of Information Act - [5 U.S.C. 552(b)]

P-1 National Security Classified Information [(a)(1) of the PRA]
P-2 Relating to the appointment to Federal office [(a)(2) of the PRA]
P-3 Release would violate a Federal statute [(a)(3) of the PRA]
P-4 Release would disclose trade secrets or confidential commercial or financial information [(a)(4) of the PRA]
P-5 Release would disclose confidential advice between the President and his advisors, or between such advisors [(a)(5) of the PRA]
P-6 Release would constitute a clearly unwarranted invasion of personal privacy [(a)(6) of the PRA]

C. Closed in accordance with restrictions contained in donor's deed of gift.

PRM. Removed as a personal record misfile.

(b)(1) National security classified information [(b)(1) of the FOIA]
(b)(2) Release would disclose internal personnel rules and practices of an agency [(b)(2) of the FOIA]
(b)(3) Release would violate a Federal statute [(b)(3) of the FOIA]
(b)(4) Release would disclose trade secrets or confidential or financial information [(b)(4) of the FOIA]
(b)(6) Release would constitute a clearly unwarranted invasion of personal privacy [(b)(6) of the FOIA]
(b)(7) Release would disclose information compiled for law enforcement purposes [(b)(7) of the FOIA]
(b)(8) Release would disclose information concerning the regulation of financial institutions [(b)(8) of the FOIA]
(b)(9) Release would disclose geological or geophysical information