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**RESEARCH ARTICLE** **COVID-19**

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# Strong Social Distancing Measures In The United States Reduced The COVID-19 Growth Rate

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## ABSTRACT

State and local governments imposed social distancing measures in March and April of 2020 to contain the spread

of novel coronavirus disease 2019 (COVID-19). These included large event bans, school closures, closures of entertainment venues, gyms, bars, and restaurant dining areas, and shelter-in-place orders (SIPOs). We evaluated the impact of these measures on the growth rate of confirmed COVID-19 cases across US counties between March 1, 2020 and April 27, 2020. An event-study design allowed each policy's impact on COVID-19 case growth to evolve over time. Adoption of government-imposed social distancing measures reduced the daily growth rate by 5.4 percentage points after 1–5 days, 6.8 after 6–10 days, 8.2 after 11–15 days, and 9.1 after 16–20 days. Holding the amount of voluntary social distancing constant, these results imply 10 times greater spread by April 27 without SIPOs (10 million cases) and more than 35 times greater spread without any of the four measures (35 million). Our paper illustrates the potential danger of exponential spread in the absence of interventions, providing relevant information to strategies for restarting economic activity. *[Editor's Note: This Fast Track Ahead Of Print article is the accepted version of the peer-reviewed manuscript. The final edited version will appear in an upcoming issue of Health Affairs.]*

## TOPICS

COVID-19 | PANDEMICS | CORONAVIRUS | DISEASES | CHILDREN'S HEALTH | ACCESS TO CARE | HOSPITAL CLOSURES

A critical question during the COVID-19 pandemic is the effectiveness of the social distancing policies adopted by US states and localities in bending the curve. Although these policies take a variety of forms—such as imposing shelter-in-place orders (SIPOs); restricting dine-in at restaurants; closing other non-essential business such as bars, entertainment venues, and gyms; banning large social gatherings; and closing public schools—their effectiveness

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Supplemental Materials

## Article Metrics



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depends critically on the cooperation of the public. For example, although California's first-in-the-nation SIPO carries threats of fines and incarceration, its effectiveness fundamentally relies on social pressure.<sup>1</sup> Compliance with social distancing orders appears to be related to local income, partisanship, and political beliefs in the US; and compliance with self-quarantines is related to potential losses in income in Israel.<sup>2-4</sup>

Some epidemiological models forecast the eventual number of COVID-19 cases and fatalities based on untested assumptions about the impact of social distancing policies in contemporary society. The widely cited Imperial College model assumes contact outside the home, school or workplace declines by 75 percent, school contact rates are unchanged, workplace contact rates fall by 25 percent, and household contact rates rise by 25 percent.<sup>5</sup> Another study assumes social distancing measures will reduce the average contact rate by 38 percent, based on evidence from the 1918 influenza pandemic.<sup>6</sup>

In the US the literature on models of social distancing during the COVID-19 pandemic is evolving rapidly, and at the time of our writing, we were aware of several working papers that examined the consequences of social distancing policies. Recent work found significant effects of stronger measures (like SIPOs) on movement using difference-in-differences methods and state-level data from Google.<sup>7</sup> Similar findings have been obtained in a study with SafeGraph mobility data,<sup>8</sup> although a different study using PlacerIQ and SafeGraph data found strong measures were not important.<sup>9</sup> Another paper used synthetic control methods to show that California's SIPO significantly reduced COVID-19 cases.<sup>1</sup> A study of SIPOs across the U.S. also found a reduction in cases, as well as higher rates of staying home full-time.<sup>10</sup> Other authors used interrupted time-series methods and found that early statewide social distancing measures were associated

with decreases in states' COVID-19 growth rates, but later SIPOs did not lead to further reductions.<sup>11</sup>

At issue is not whether isolation works to limit the spread of disease, but rather whether the particular government restrictions designed to encourage social distancing in the US reduced spread relative to simply providing information and recommendations. Individuals may voluntarily engage in avoidance behavior, such as hand washing or wearing masks, once they fully perceive the risks of contagion.<sup>12,13</sup> Critics of more stringent government measures highlight Sweden's less intrusive response to COVID-19, although Sweden's strategy is increasingly questioned.<sup>14</sup> Rigorous empirical research is needed to determine the impacts of the various aspects of state and local governments' responses in the U.S.

Our work—which leveraged both state and county policy variation and used a flexible event-study method that allowed for effects to vary across measures and over time—estimated the impacts of four types of social distancing measures on confirmed COVID-19 case growth rates through April 27, 2020. The reduced-form approach captures any potential pathways driven by these mandates, including complementary avoidance behaviors that the public may engage in if these orders provide an informational shock in addition to increasing social distancing.

## STUDY DATA AND METHODS

### STUDY DATA

The unit of observation was daily US county/county equivalents. Although there are 3,142 counties in the US, official COVID-19 records report New York City as a whole rather than dividing it into five counties, reducing this number to 3,138. Our dataset tracked counties over 58 days from March 1, 2020 to April 27, 2020, leading to a sample size of

182,004. We chose March 1 as the start date because no new cases were reported in the entire U.S. on most days in January and February. The April 27 end date was chosen to coincide with the first removal of one of four types of restrictions we analyzed (the re-opening of restaurants and other entertainment facilities in Georgia).<sup>15</sup> Each county observation was weighted by population using 2018 estimates from the United States Department of Agriculture's Economic Research Service.<sup>16</sup>

## **OUTCOME OF INTEREST**

We examined the daily growth rate in confirmed COVID-19 cases at the county level, which originated from the 2019 Novel Coronavirus COVID-19 Data Repository provided by the Johns Hopkins Center for Systems Science and Engineering. This repository collected data on COVID-19 cases worldwide from a range of sources including government and independent health institutions.<sup>17</sup>

The daily exponential growth rate was calculated as the natural log of cumulative daily COVID-19 cases minus the log of cumulative daily COVID-19 cases on the prior day. We chose this functional form because epidemiological models predict exponential growth in the absence of intervention. Percent growth in cases is identical to percent growth in cases per capita since reported county populations did not vary during the sample period. The growth rate was multiplied by 100 and can be read as percentage point changes. In computing the growth rate, we followed a recent COVID-19 study and added one to the case counts to avoid dropping counties that started with zero cases.<sup>18</sup>

## **COVARIATES**

The data on the timing of state and local government social distancing interventions was gathered from a host of sources and made available by Johns Hopkins University.<sup>19</sup>

Part A of the online appendix explains a few corrections we made to the dates and provides a list of state- and county-level policies used in the analysis.<sup>20</sup>

We focused on four government-imposed interventions: SIPOs, public school closures, bans on large social gatherings, and closures of entertainment-related businesses. For large gatherings, we used the date of the first prohibition that was at least as restrictive as 500 people. Most of the bans were much more restrictive: 95 percent of the time (in our population-weighted sample) the prohibition extended to 50 people. For entertainment-related businesses, we used the date of the first closure of either restaurant dining areas (including bars) or gyms/entertainment centers. 96 percent of the time, if one such prohibition was in place, the other was in place as well.

We included control variables related to the availability of COVID-19 tests. The same Data Repository that provides cases also includes daily counts of positive, negative, and pending tests in each state on each day, which we added together.<sup>17</sup> To mirror our measure of cases, we converted this testing variable to the exponential daily growth rate of cumulative tests performed. Since COVID-19 test results are generally not available immediately, we also included the one-day lag of this growth rate. Further lags (out to 10 days) were considered but always statistically insignificant, so we did not include them. Most states did not report any pending tests, meaning that they did not officially record tests until the results were obtained. This likely explains the lack of a longer lag between testing growth and case growth.

## **METHODS**

We estimated the relationship between social distancing policies and the exponential growth rate of confirmed COVID-19 cases using an event-study regression with multiple treatments. Statistical analysis was conducted using Stata

MP (version 15). This approach is akin to difference-in-differences but more flexible, as it interacts the treatment variables with multiple indicators of time since implementation, thereby tracing out the evolution of the treatment effects over time.<sup>21</sup>

For each of the four policies, we include seven variables: whether it was implemented 1–5, 6–10, 11–15, 16–20, or more than 20 days ago; and whether it will be implemented 5–9 or 10 or more days later. Implementation on the current day through four days from now was, therefore, the reference group. If a county never adopted the policy, each of these variables was set to 0 throughout the sample period.

An event study model is particularly useful to study the impact of social distancing policies on COVID-19 cases for two reasons. First, after accounting for the incubation period and time between onset of first symptoms and positive test result, such policies likely only affect official cases after a considerable lag.<sup>22</sup> Additionally, the inclusion of variables reflecting future implementation allows for an analysis of pretreatment trends. Since it is not plausible for policies that have not yet been implemented to causally affect current cases, finding such associations could suggest misspecification. For instance, one might expect counties with rapidly growing case counts to be the most likely to enact these measures, leading to a reverse-causal relationship between current cases and future policies that would be detected by our model.

Each policy was implemented at least 10 days after the start of the sample period and at least 20 days prior to the end. Therefore, each policy contributes to the identifying variation for all coefficients except those for more than 20 days ago and 10 or more days from now. Since the estimated policy effects at those two “catch-all” time periods could partially reflect compositional changes, they should therefore be

interpreted with more caution than the estimates for the other time intervals.

In addition to the testing controls discussed above, the model also included fixed effects for geography and time. County fixed effects accounted for the likelihood that, even aside from differences in policies, case growth rates may have varied due to a number of county characteristics. These characteristics include population density and residents' education, political orientation, and age.<sup>3,4</sup> Fixed effects for each day in each of the nine U.S. Census Divisions (522 fixed effects in total) allowed for flexible underlying trends in growth rates that could vary in different parts of the country, helping to account for the staggered nature of the outbreak across locations.<sup>23</sup> We report 95% confidence intervals, with standard errors robust to heteroskedasticity and clustered by state, the level of most of the policy variation. Part B of the appendix provides the formal notation for the event-study model.<sup>20</sup>

## LIMITATIONS

There are several limitations to our analysis. Official COVID-19 case counts are known to understate the true prevalence of the disease, as they do not include asymptomatic carriers, those who are not ill enough to seek medical care, and those who are unable to obtain a test due to supply constraints.<sup>1</sup> Nonetheless, confirmed case counts are crucial to the Trump administration's "Opening Up America Again" plan, which proposes either a "downward trajectory of documented cases within a 14-day period" or "downward trajectory of positive tests as a percent of total tests within a 14-day period (flat or increasing volume of tests)" as criteria to loosening social distancing measures.<sup>24</sup> Moreover, to the extent that testing shortages led to only the sickest individuals receiving them, official case counts can loosely be interpreted as the prevalence of moderate-to-severe

illnesses, a relevant metric for policy purposes.

A related caveat is that, ideally, we would like to be able to control more precisely for access to testing. Available data only allowed us to control for number of tests performed at the state, rather than county, level. However, most of our policy variation is at the state level, so state-level testing should go a long way towards alleviating bias. Additionally, number of tests performed is not an ideal measure of the ease of obtaining a test because it also reflects the level of illness in the community.

Also, we might ideally want to estimate a richer econometric model. It would be interesting to trace out the timing of impacts more exactly and study the policies' interactions with each other or county characteristics. Future work should also examine the impacts of other social distancing policies such as closing public parks and beaches, the requirement to wear masks in public, restrictions on visitors in nursing homes, state announcements of first cases or fatalities, and federal government actions such as prohibiting international travel.<sup>9</sup> However, it is difficult to include numerous correlated treatment variables without reducing precision to the point where statistical inference is uninformative.

Finally, as is typical of observational data analyses, we cannot rule out all possible threats to causal inference. Numerous possible confounders could vary across time and space, including the other policies mentioned above, informal encouragement by government officials to wear masks or improve hygiene, changing business practices, and social norms regarding distancing. That said, including Census-Division-by-day and county fixed effects in our model and examining pretreatment trends helped us to push in the direction of causality.

## STUDY RESULTS

## DESCRIPTIVE INFORMATION

Confirmed COVID-19 cases grew rapidly during the sample period, from just 30 on March 1 to 978,047 on April 27. Part C of the appendix shows the number of counties with any COVID-19 cases on each day.<sup>20</sup> On March 1, the vast majority of counties had zero cases, and across all days, 49 percent of unweighted county-by-day observations were zero. However, counties with zero cases tended to have low populations, so our population weights limited the influence of these counties on the results.

Supplemental exhibit 1 illustrates the reach of social distancing policies on the US population over time.<sup>20</sup> The SIPO was generally the last policy to be implemented, and adoption was uniformly lower than the other policies. On March 1, no jurisdiction had implemented all four measures. By March 22, nearly 25 percent of the US population was covered by all the measures, growing to approximately 65 percent by March 29 and 95 percent by April 7, when the last SIPO took effect.

## IMPACT OF SOCIAL DISTANCING POLICIES

Supplemental exhibit 2 illustrates the coefficients (and confidence intervals) for SIPOs and bans on large gatherings derived from the event-study model.<sup>20</sup> Relative to the reference category of 0–4 days before implementation, SIPOs lead to statistically significant ( $p < 0.01$ ) reductions in the COVID-19 case growth rate of 3.0 percentage points after 6–10 days, 4.5 after 11–15 days, 5.9 after 16–20 days, and 8.6 from day 21 onward. Because the model held constant the other types of policies, these estimates should be interpreted as the additional effect of SIPOs *beyond* shutting down schools, large gatherings, and entertainment-related businesses. This additional effect may come from either the requirement/strong advisement to shelter-in-place aside

from “essential” activities or the accompanying closure of any “non-essential” businesses that remained open. We did not observe any statistically significant “placebo” effects of SPOs in the periods prior to implementation, giving credence to a causal interpretation of our main results. If anything, the pre-trend appears to point upward, which would make our estimates in the post-treatment period conservative.

We found no evidence that bans on large social gatherings influenced the growth rate. The point estimates for banning gatherings were statistically insignificant ( $p > 0.56$  in all cases). However, the 95% confidence intervals included reductions of up to 3–6 percentage points, so the lack of evidence of an effect should not be misinterpreted as clear evidence of no effect. Also, the lack of a statistically significant reduction in the post-treatment period could potentially be due to an upward (though not statistically significant) pre-treatment trend. However, results from the aforementioned event study with separate variables for each day showed that the pre-trend disappeared four days prior to implementation.

Supplemental exhibit 3 shows estimates for the restaurant-and-entertainment-related businesses and school closings.<sup>20</sup> Closing restaurant dining rooms/bars and/or entertainment centers/gyms led to statistically significant reductions in the growth rate of COVID-19 cases in all time periods after implementation ( $p < 0.05$ ). The estimated effect was 4.4 percentage points after 1–5 days, 4.7 after 6–10 days, 6.1 after 11–15 days, 5.6 after 16–20 days, and 5.2 after 21 or more. Prior to implementation, policies related to businesses showed no effect on the growth rate, again passing the “placebo” test.

In contrast, we found no evidence that school closures influenced the growth rate. The point estimates were never close to statistically significant ( $p > 0.37$  in all cases), but the

95% confidence intervals meant that we could not rule out reductions of up to 4–5 percentage points.

Adding the coefficient estimates for each policy gives the combined effect of implementing all four social distancing policies. In days 1–5 after implementation, the bundle of restrictions reduced the growth rate of COVID-19 cases by 5.4 percentage points. In days 6–10 after implementation, the growth rate fell by 6.8 percentage points. This reduction grew to 6.8 percentage points after 6–10 days, 8.2 percentage points after 11–15, 9.1 after 16–20, and 12.0 after 21 or more. As discussed previously, the estimate for 21+ days should be viewed with caution, as it did not utilize the same geographic balance of treatments as the estimates for the other time intervals. A conservative interpretation of these results would therefore be that the impact reached 9.1 percentage points after 16–20 days and appeared to remain at least as high after that.

## **ROBUSTNESS CHECKS**

Part D of the appendix presents and discusses results from a number of robustness checks designed to address possible concerns with our model.<sup>20</sup> These checks begin with regressions with just one variable per policy to help rule out the null results for gathering bans and school closures being due to multicollinearity (appendix exhibit 4).<sup>20</sup> We then evaluated robustness to using different functional forms for the testing controls or omitting them (appendix exhibit 5).<sup>20</sup> Next, appendix exhibit 6 varied the sample start date and the approach used to dealing with counties with no cases.<sup>20</sup> Appendix exhibit 7 shows results from dropping the uniquely affected states of NY, WA, and CA.<sup>20</sup> Appendix exhibit 8 displays results from a more fine-grained event-study model with separate variables for each day from 10 days before treatment to 20 days after.<sup>20</sup> Finally, appendix exhibit 9 presents results from other checks related to data and

measurement issues as well as controlling for county-specific pre-treatment trends.<sup>20</sup> The general pattern of results was robust to these different specifications.

## COUNTERFACTUAL SIMULATIONS

Supplemental exhibit 4<sup>20</sup> uses the results from the baseline model to compare the observed growth rate of COVID-19 cases to two counterfactuals: 1) none of the four social distancing measures ever being imposed and 2) no SIPO ever being imposed. The process for creating these counterfactuals is described in the appendix.<sup>20</sup> The mean exponential growth rate without any interventions was 16.2 percent over the full time period. The observed and both counterfactual growth rates peaked on March 19, 2020 at 26–28 percent but started to diverge afterward, eight days after the earliest restriction. Without any social distancing policies, the model predicts the case growth rate would have stayed similarly high for another week before gradually falling to 14 percent by April 27, 2020. Without SIPOs—but keeping the other restrictions—the growth rate would have fallen to 11 percent. The actual growth rate, which reflects all implemented distancing policies including SIPOs, fell to 3 percent by that date.

Supplemental exhibit 5 compares the reported number of COVID-19 cases over time to the number of cases predicted by our event-study regression under these same two counterfactual scenarios.<sup>20</sup> Part E of the appendix describes the technical details of these simulations along with the required assumptions.<sup>20</sup> The graph uses the natural logarithm of nationwide cases (or predicted cases) for the y-axis scale, but with corresponding numbers labeled on the y-axis instead of logs.

In all three scenarios, cases increased roughly linearly on the log scale, as expected under exponential growth, until the last week of March—approximately two weeks after the first

restrictions and one week after the first SIPO. The actual curve then began to flatten substantially, eventually leading to 978,047 cases by April 27. In contrast, the two counterfactual curves only flattened slightly. By the end of the sample period, the model predicts that cases would have been 10 times higher without SIPOs (10,224,598) and 35 times higher (35,257,098) without any social distancing restrictions. Interestingly, the closures of restaurants/entertainment facilities accounted a larger share of the reduction in cases than SIPOs, despite SIPOs having larger coefficient estimates. This is because restaurant/entertainment facilities were implemented earlier and in more places than SIPOs.

## DISCUSSION

Nuance is required when interpreting the results in supplemental exhibit 5.<sup>20</sup> We view the simulation as providing an illustration of the power of exponential growth and the effectiveness of social distancing restrictions at “flattening the curve,” even when their impacts are not immediately visible. As late as April 6, nearly a month after the earliest interventions, the number of cases would still have been under 1,000,000 even without any restrictions—just 2.4 times the actual number of cases. The explosion in cases without social distancing measures happens later, and by time it is happening, the lagged effects of these measures mean it is too late to stop it.

At the same time, we urge caution about taking the specific numbers of cases averted too literally. Simulations that use estimated parameters to predict outside the range of observed policy variation are inherently subject to a high level of uncertainty that is difficult to quantify. Moreover, had policymakers not taken action and COVID-19 had continued to spread throughout April in the manner depicted by our simulations, voluntary social distancing by individuals and

businesses would have likely increased as panic over the rising death toll and hospital overcrowding across the country mounted. In technical terms, the Census-Division-by-day fixed effects would have evolved differently than what we observed. This would have likely offset at least some of the additional predicted cases—though, because of the lag to impact, it is unclear how much of this offsetting could have occurred before the end of our sample period.

Relatedly, testing shortages would likely have prevented official case counts from reaching the numbers presented in our simulations. However, this is largely a semantic distinction, as these infections would still be severe enough to warrant testing in the absence of a shortage. If anything, not being confirmed as a COVID-19 case could lead to inadequate treatment.

As striking as our counterfactual estimates are, they still are not worst-case scenarios because they account for at least some voluntary social distancing. Even without any government restrictions, supplemental exhibit 4<sup>20</sup> illustrated a 14.3 percentage point drop from the peak growth rate to the end of the sample period. The most plausible explanation is responses of individuals and businesses to information about the severity of the pandemic and federal guidelines.

While our results suggest both SIPO and non-SIPO measures can be effective at averting COVID-19 cases, the lack of evidence of effects of school closures or bans on large social gatherings is noteworthy. We cannot rule out the possibility that these null results are due to statistical imprecision, but it is also possible that both policies may displace social interaction rather than reducing it. For example, school closures may have led families to continue social interactions outside of the school setting, such as at day care centers or parks. Google mobility data through April 5, 2020 show increases of 10 percent or more in visits to

parks in 28 states.<sup>25</sup> A new study finds that schools are only slightly more dangerous than parks and playgrounds for COVID-19 transmission, supporting this explanation.<sup>26</sup> Alternatively, school closures primarily affect children and the vast majority of children experience mild symptoms and therefore may not be included in confirmed cases.<sup>27</sup> While asymptomatic children can pass the virus to adults who become more severely ill, our results imply that the extent to which this led to confirmed cases did not change when schools were closed.

Similarly, official group events may have simply been replaced by informal gatherings. Alternatively, official prohibitions may have been largely redundant since the largest events (such as college and professional sports) were already being cancelled due to CDC guidance or other information.

Also note that school closures and large event bans occurred prior to the implementation of SIPOs, meaning substitute types of social gatherings were still allowed. Our results, therefore, should not be interpreted as a forecast about what would happen if schools were reopened or certain large gatherings were allowed while other aspects of SIPOs remained in place.

## CONCLUSION

We estimated the separate and combined impact of four widely adopted social distancing policies. Both SIPOs and closures of restaurants/bars/entertainment-related businesses substantially slowed the spread of COVID-19. We did not find evidence that bans on large events and closures of public schools also did, though the confidence intervals cannot rule out moderately sized effects. Interestingly, two recent papers on the effect of social distancing restrictions on mobility found the same pattern as we did in terms of

which restrictions mattered and which ones did not, suggesting that null effects of gathering bans and school closures on case growth are at least plausible.<sup>7,8</sup>

Our contribution was to provide credible empirical evidence on whether US social distancing measures worked as intended in flattening the curve. Estimating other important benefits and costs from social distancing, including the total lives saved and economic harm, was beyond the scope of our study. Other work has attempted to estimate job losses, simulate effects on the overall economy and economic growth, or estimate distributional consequences from current and past pandemics.<sup>1,6,28–31</sup>

Nonetheless, we provide important information about benefits of social distancing for policymakers to consider as they decide on strategies for restarting economic activity. For instance, our results argue against returning to partial measures such as school closures and restrictions on large gatherings, while removing the restrictions that prevent the redirection of social activity to other settings. At issue moving forward is whether cases averted simply turn into cases delayed, and a premature return to light measures would make this more likely. At the same time, our results are not informative about the effectiveness of intermediate measures, such as lifting a SIPO but requiring masks in public or opening restaurants at reduced capacity. Further research is needed as gradual, untested steps toward reopening are taken across the country.

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# NOTES

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