



FOREIGN NATIONAL CASES: Guide to Application Paperwork

To be used in conjunction with the Foreign National Case Submission Guide (Order code: LIF-FN-DBR001)

Disclaimer: This tool is not meant to be comprehensive. Additional information may be requested on a case-by-case basis. Please double-check to see that forms and signatures have been completed thoroughly. When in doubt, have the client review, fill out and sign the form.

Most common forms needed for a foreign national case. Paperwork may vary by state and product.					
Forms	Part of "Required Forms"?	Comments	Insured Signature	Owner signature	Agent signature
Basic application for product and state	Yes		✓	✓	✓
Agent's report	Yes				✓
ABR disclosure	Yes	There are 2. Complete the one that includes critical illness.		✓	✓
Illustration Compliance Certification Form	Yes	Needed if you don't submit a signed illustration. Please remember, IUL illustrations have 2 signature pages		✓	✓
Out-of-State Verification Form	Yes	Almost never needed for a foreign national case	✓	✓	✓
Consent for HIV testing	Yes		✓		
Proposed Insured B	Yes	Use only if this is a survivorship policy – or term rider (US citizens and green card holders only)	✓	✓	✓
Certification of Trustee Powers	Yes	Use only if trust is owner. Also submit trust document		Trustee(s)	
Appropriateness Verification	Yes	Use only if this is a replacement	✓		✓
Important notice: Replacement	Yes	Use only if this is a replacement	✓	✓	✓
Foreign Travel and Residence Supplement	No, pull separately	Use for all Foreign National case if allowed by state	✓		Witness
Foreign National Pre-submission Worksheet	No, pull separately	Use for all Foreign National cases where client is non-citizen, non-green card holder			

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Additional forms, depending on the case:

Forms	Part of "Required Forms"?	Comments	Insured Signature	Owner signature	Agent signature
VUL Allocation Form	Yes	Use only for a VUL case		✓	✓
Customer Identity Verification	Yes	Use only for a VUL case			✓
Broker/Dealer paperwork	No, obtain from your broker-dealer	Use only for a VUL case			
Lincoln's suggested Power of Attorney wording	Reach out to wholesaler or consultant	Use if the client may be out of the country when the policy will be delivered.			Notarized by notary public
Absolute Assignment Form (1035 paperwork)	No, pull separately	Use only if the policy is an external 1035 exchange		✓	
EFT Form	No, pull separately	Use only if paying by automatic bank draft (US bank only)		Accountholder	
Premium Deposit Fund (PDF)	No, pull separately	Use only if paying via PDF		✓	
Financial Supplement for Personal coverage	No, pull separately	Probably a good idea for any large case	✓		CPA/attorney
Medical Supplement (Part II)	No, pull separately	Use if the exam was done by another carrier, if the Lincoln exam is more than 90 days old, or at producer discretion.	✓		Witness (examiner)
Senior Supplement	No, pull separately	For use when client is 70 or older	✓		
Any other supplements that may be appropriate	Available in Forms Tool	Depending on Supplement			

For foreign national business cases, also submit the following, and contact your agency or Lincoln wholesaler to determine if other paperwork is needed:

Forms	Part of "Required Forms"?	Comments	Insured Signature	Owner signature	Agent signature
Employer Owned Life Insurance Consent and Notice	No, pull separately	Use if business is owning the policy	Employee		
Financial Supplement for Business coverage	No, pull separately	Probably a good idea for any large case	✓		CPA/CFO/attorney

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