# TABLE OF CONTENTS

## CHAPTER 1: INSTALLING ZERTO VIRTUAL REPLICATION ........................................ 4
- Zerto Virtual Replication Architecture ................................................................. 4
- Requirements - Zerto Virtual Replication in Amazon Web Services (AWS) Environments ................................................................. 5
- Considerations - AWS with Zerto Virtual Replication ........................................... 5
- Database Requirements in AWS Environments ...................................................... 5
- Firewall Considerations in AWS Environments .................................................... 6
- Installing Zerto Virtual Replication in AWS Environments ................................... 7
  - Performing an Express Installation ...................................................................... 7
  - Performing a Custom Installation ...................................................................... 8
- Installing Zerto Virtual Replication Cmdlets ....................................................... 12

## CHAPTER 2: ACCESSING THE ZERTO USER INTERFACE ..................................... 13
- Adding a Security Certificate for the Zerto User Interface ...................................... 13

## CHAPTER 3: INITIAL CONFIGURATION ......................................................... 15
- Registering the Zerto Virtual Replication License .................................................. 15
- Pairing an AWS Site ............................................................................................... 15

## CHAPTER 4: UNINSTALLING ZERTO VIRTUAL REPLICATION .......................... 17
- Uninstalling Zerto Virtual Replication .................................................................... 17

## CHAPTER 5: UPGRADING ZERTO VIRTUAL REPLICATION ............................. 18
- Guidelines to Upgrading Zerto Virtual Replication .............................................. 18
  - Before Upgrading Zerto Virtual Replication ..................................................... 19
  - Upgrading the Current Installation .................................................................... 19
  - Upgrading Environments Using Zerto Cloud Manager .................................... 19
- Upgrading Multiple Sites Running Different Versions ......................................... 20
- Upgrading To More Than One Version Higher ..................................................... 21
- Upgrading Zerto Virtual Replication PowerShell Cmdlets .................................. 23
- Upgrading Zerto Cloud Manager ......................................................................... 24
- Upgrading Zerto Cloud Connectors ..................................................................... 24
Zerto Virtual Replication provides a business continuity (BC) and disaster recovery (DR) solution in a virtual environment, enabling the replication of mission-critical applications and data as quickly as possible, with minimal data loss. When devising a recovery plan, these two objectives, minimum time to recover and maximum data to recover, are assigned target values: the recovery time objective (RTO) and the recovery point objective (RPO). Zerto Virtual Replication enables a virtual-aware recovery with low values for both the RTO and RPO. In addition, Zerto Virtual Replication enables protecting virtual machines for extended, longer term recovery from an offsite backup.

You install a Zerto Cloud Appliance (ZCA) in the AWS site that is to be used for recovery. The Zerto Cloud Appliance is comprised of the following: **Zerto Virtual Manager (ZVM):** A Windows service that manages everything required for the replication between the protected site and AWS, except for the actual replication of data. Each Zerto Virtual Manager can manage up to 5000 virtual machines, either being protected or recovered to that site.

**Virtual Replication Appliance (VRA):** A Windows service that manages the replication of data from protected virtual machines to AWS. A VRA can manage a maximum of 500 volumes.

**Virtual Backup Appliance (VBA):** A Windows service that manages back-ups within Zerto Virtual Replication and is responsible for the repositories where offsite backups are stored. These repositories can be local or on a shared network.

**Zerto User Interface:** Recovery using Zerto Virtual Replication is managed by the Zerto User Interface in a web browser.

The following topics are described in this chapter:

- “Zerto Virtual Replication Architecture”, on page 4
- “Requirements - Zerto Virtual Replication in Amazon Web Services (AWS) Environments”, on page 5
- “Considerations - AWS with Zerto Virtual Replication”, on page 5
- “Database Requirements in AWS Environments”, on page 5
- “Firewall Considerations in AWS Environments”, on page 6
- “Installing Zerto Virtual Replication in AWS Environments”, on page 7
- “Installing Zerto Virtual Replication Cmdlets”, on page 12

**Zerto Virtual Replication Architecture**

The following diagram shows how the main components of Zerto Virtual Replication are deployed across protected sites and AWS to provide disaster recovery.

**Note:** For cloud-based architecture diagrams for cloud service providers, see Zerto Cloud Manager Installation Guide.
Zerto Virtual Replication can be installed at multiple sites, all of which can be paired to AWS. For information about the ports used by Zerto Virtual Replication, see “Firewall Considerations in AWS Environments”, on page 6.

Requirements - Zerto Virtual Replication in Amazon Web Services (AWS) Environments

For complete and detailed requirements, see Enterprise Guidelines for Amazon Web Services.

Considerations - AWS with Zerto Virtual Replication

Review the following considerations:

- The following restriction applies to recovering individual files and folders, and not to recovering the whole virtual machine:
  - The operating system of the machine on which the recovery site Zerto Virtual Manager is installed determines the types of file systems from which individual files and folders can be recovered.
  - When the Zerto Cloud Appliance virtual machine operating system supports a file system, files and folders can be recovered from this file system in virtual machines that this Zerto Cloud Appliance will manage the recovery of. For example, if a protected virtual machine running Windows 2012 has files using the ReFS file system and requires one or more of these files to be recovered and the Zerto Cloud Appliance is on a machine with Windows 2008, which does not support ReFS, the protected virtual machine files and folders cannot be recovered, but the whole virtual machine can be recovered.

Database Requirements in AWS Environments

During the Zerto Virtual Manager installation, the user is able to select whether to install and use an embedded SQL Server (localdb) as the database.

Alternatively, and also during the installation, the user is able to choose whether to instead select and use an external SQL Server instance. To use an externally managed database, during the installation select the Custom Installation option.

The larger the environment protected by Zerto Virtual Manager, the larger the database size required to support it.

Supported Microsoft SQL Server versions: 2008, and higher.

Before installing Zerto Virtual Manager, click to thoroughly review the following guides:

- Migrating the Zerto Virtual Replication Database to Microsoft SQL Server.
- Sizing Considerations for Zerto Virtual Replication.

You must have the following permissions set:

- Public and dbcreator server roles.
- Permission to connect to the database engine.
- Login enabled.
- In User Mapping choose the master database under which to create the Zerto Virtual Replication database and set both db_owner and public for database role membership.
Firewall Considerations in AWS Environments

The following diagram shows Zerto Virtual Replication components deployed on one site and the ports and communication protocols used between the components.

Zerto Cloud Appliance requires the following **ports** to be open in the **AWS site firewall**, set in the **Amazon security group**:

<table>
<thead>
<tr>
<th>PORT</th>
<th>DESCRIPTION</th>
</tr>
</thead>
<tbody>
<tr>
<td>443</td>
<td>Required between the ZVM and the AWS Cloud environment.</td>
</tr>
<tr>
<td>443</td>
<td>Required between the AWS REST Service and the ZVM during installation of a VRA.</td>
</tr>
<tr>
<td>4005</td>
<td>Log collection between the ZVM and site VRAs.</td>
</tr>
<tr>
<td>4006</td>
<td>Communication between the ZVM and local site VRAs and the site VBA.</td>
</tr>
<tr>
<td>4007</td>
<td>Control communication between protecting and peer VRAs.</td>
</tr>
<tr>
<td>4008</td>
<td>Communication between VRAs to pass data from protected virtual machines to a VRA on a recovery site.</td>
</tr>
<tr>
<td>4009</td>
<td>Communication between the ZVM and local site VRAs to handle checkpoints.</td>
</tr>
<tr>
<td>9779</td>
<td>Communication between ZVM and ZSSP (Zerto Self Service Portal).</td>
</tr>
<tr>
<td>9989</td>
<td>Communication between ZCM, and ZCM GUI and ZCM REST APIs.</td>
</tr>
<tr>
<td>9080*</td>
<td>Communication between the ZVM, Zerto Powershell Cmdlets, and Zerto Diagnostic tool.</td>
</tr>
<tr>
<td>9081*</td>
<td>Communication between paired ZVMs**</td>
</tr>
<tr>
<td>9180*</td>
<td>Communication between the ZVM and the VBA.</td>
</tr>
<tr>
<td>9669*</td>
<td>Communication between ZVM and ZVM GUI and ZVM REST APIs, and the ZCM.</td>
</tr>
</tbody>
</table>

*The default port provided during the ZVR installation which can be changed during the installation. **When the same vCenter Server is used for both the protected and recovery sites, ZVR is installed on one site only and this port can be ignored.
Installing Zerto Virtual Replication in AWS Environments

The process of installing the Zerto Virtual Manager on AWS also installs the Virtual Replication Appliance and the Zerto Backup Appliance. The installation process also creates a bucket with a unique name on S3.

You can install Zerto Virtual Replication using the defaults provided by Zerto or perform a custom install, in which you determine the ports that will be used by Zerto Virtual Replication.

- “Performing an Express Installation”, below
- “Performing a Custom Installation”, on page 8

Performing an Express Installation

You can install Zerto Virtual Replication using the defaults provided by Zerto. Site and connectivity information can be updated in the Zerto User Interface after installation, if required.

Before you Begin:
- Make sure you reviewed “Database Requirements in AWS Environments” on page 5.

To perform an express install of Zerto Virtual Replication:

1. Run the Zerto Virtual Replication Installer for Amazon Web Services (AWS).
   
   **Note:** If the required version of Microsoft .NET Framework is not installed, you are prompted to install the required version of .NET Framework, which is included as part of the Zerto Virtual Replication installation package. After .NET is installed the machine automatically restarts and the Zerto Virtual Replication installation begins.

2. Follow the wizard through the installation until the dialog for the Installation Type and select the **Express Installation** option.

3. Click NEXT.

4. Specify the following:
   - **IP Address:** The IP address or host name of the machine on which you are installing the Zerto Cloud Appliance. The protected site accesses the recovery site using this IP.
   - **Site Name:** A name to identify the site.
   - **Access Key ID:** An alphanumeric text string that uniquely identifies the AWS account owner.
   - **Secret Access Key:** A password.
     The Secret Access Key with the Access Key ID forms a secure information set that confirms the user’s identity.

5. Click NEXT.
Installing Zerto Virtual Replication in AWS Environments

The installation performs checks to make sure that the installation can proceed successfully.

6. After the checks complete successfully, click **RUN** and continue to the end of the installation.

   If you intend managing your disaster recovery from this machine, you can select to open the Zerto Virtual Manager (ZVM) Interface at the end of the installation, logging in with the user name and password for the AWS instance on which you installed the Zerto Virtual Manager. In this user interface you set up Zerto Virtual Replication, as described in “Initial Configuration”, on page 15.

7. It is required to exclude the Zerto Virtual Replication folder from antivirus scanning. Failure to do so may lead to the ZVR folder being incorrectly identified as a threat and in some circumstances corrupt the ZVR folder.

Performing a Custom Installation

You can install Zerto Virtual Replication providing specific details including the ports that will be used by Zerto Virtual Replication and full contact details.

Before you Begin:

- Make sure you reviewed “Database Requirements in AWS Environments” on page 5.

To perform a custom install of Zerto Virtual Replication:

1. Run the Zerto installation executable for Amazon Web.

   **Note:** If the required version of Microsoft .NET Framework is not installed, you are prompted to install the required version of .NET Framework, which is included as part of the Zerto Virtual Replication installation package. After .NET is installed the machine automatically restarts and the Zerto Virtual Replication installation begins.

2. Follow the wizard through the installation until the dialog for the Installation Type and select the **Custom Installation** option.

3. Click **NEXT**.

   The Windows Service User dialog is displayed.

4. Select either **Local System account** or **This account**:
   - **Local System account:** Use the Local System account to run the Zerto Virtual Manager service, which is installed as part of Zerto Virtual Replication. The Local System account has unrestricted access to local resources.
   - **This account:** Use a specific account as the user account to run the Zerto Virtual Manager service, which is installed as part of Zerto Virtual Replication. The account must have unrestricted access to local resources.

   - **Password:** The password to use to run the service under the specified account.
   - **Confirm Password:** Confirmation of the password.

5. Click **NEXT**.
The Database Type dialog is displayed.

Information required by Zerto Virtual Replication is stored in a database embedded in the Zerto Virtual Manager. This information includes details of the site where the Zerto Virtual Manager is installed, details of the Virtual Replication Appliance and the volumes it uses, and points-in-time recorded for recovery purposes. By default an embedded SQL-based database is used, but you can use an externally managed database, either Microsoft SQL Server or SQL Server Express.

Note: Protection and recovery can only be performed when the database is running. Therefore, if you use an external database and it is down for any reason, protection and the possibility of recovery ceases.

6. To use the embedded database, leave the default, or select the option to connect to an external Microsoft SQL Server database.

Zerto recommends using SQL Server when a site has more than 40 hosts that have virtual machines that need protecting, and the site has more than 400 virtual machines that need protecting.

If you select the external database option, the SQL Server Authentication section is enabled.

a) Enter the following details to enable access to the SQL Server database:
   - **Server Name**: The domain name and server instance to connect to, with the format `<server_name>\<instance_name>` or `<Server_IP>\<instance_name>`

b) Specify one of the following authentication options:
   - **Windows Authentication**: Use Windows authentication. This option is only enabled if a specific service user account was specified in the previous Windows Service User dialog, in which case the service account name and password are used.
   - **SQL Server Authentication**: Use SQL Server authentication.
     - **Username**: The user name for the SQL Server database.
     - **Password**: A valid password for the given user name.

c) When you select SQL Server authentication and enter a user name and password, click TEST AUTHENTICATION, which is displayed.
   The installer checks whether it can connect to the specified database with the specified username and password. You can only continue when the authentication is successful.

7. Click **NEXT**.
8. Specify the following:
   - **IP Address**: The IP address or host name of the machine on which you are installing the Zerto Cloud Appliance. The protected site accesses the recovery site using this IP.
   - **Access Key ID**: A unique identifier that is associated with a secret access key.
   - **Secret Access Key**: A key that is used with the access key ID.

   AWS uses the access key ID and secret access key to identify the sender, ensure that the sender is authorized to make the request, and to prevent the request from being altered.

9. Click **NEXT**.

   The Zerto Virtual Manager Site Details dialog is displayed.

10. Enter the site details:
    - **Site Name**: A name to identify the site. This name is displayed in the Zerto User Interface. This field is mandatory.
    - **Location**: Information such as the address or name of the site to identify it. Optional.
    - **Contact Information**: The name of the person to contact if a need arises. Optional.
    - **Contact Email**: The email address to contact if a need arises. Optional.
    - **Contact Phone**: The phone number to contact if a need arises. Optional.

11. Click **NEXT**.
Installing Zerto Virtual Replication in AWS Environments

The Online Services and Zerto Mobile Application dialog is displayed.

12. Click **NEXT**.

The Zerto Virtual Manager Communication dialog is displayed.

- **HTTP Port (ZVM):** The port used for inbound communication between the Zerto Virtual Manager and Zerto internal APIs, and Cmdlets.
- **HTTPS Port (clients <-> ZVM):** The port used for inbound communication between the Zerto User Interface and the Zerto Virtual Manager.
- **TCP Port (ZVM <-> ZVMs):** The port used for communication between Zerto Virtual Managers. If you change the value, when pairing sites, use the TCP port value you specify here. Pairing the sites is described in “Pairing an AWS Site”, on page 15.
- **TCP Port (ZVM -> VBA):** The port used for communication between the Zerto Virtual Manager and the Virtual Backup Appliance.

13. Click **NEXT**.

The Validation dialog is displayed. The installation checks that the installation can proceed successfully.
14. After you see that Zerto Virtual Replication can be installed successfully, click **RUN** and continue to the end of the installation.
   
   If you intend managing your disaster recovery from this machine, you can select to open the Zerto Virtual Manager (ZVM) Interface at the end of the installation, logging in with the user name and password for the AWS instance on which you installed the Zerto Virtual Manager. In this user interface you set up Zerto Virtual Replication, as described in “Initial Configuration”, on page 15.

15. It is required to exclude the Zerto Virtual Replication folder from antivirus scanning. Failure to do so may lead to the ZVR folder being incorrectly identified as a threat and in some circumstances corrupt the ZVR folder.
   
   The installation creates a bucket on S3 with a name like “zerto-<GUID>”.

**Installing Zerto Virtual Replication Cmdlets**

Windows PowerShell is a command-line shell running under Windows for system administrators. The Windows PowerShell includes both an interactive command line prompt and a scripting environment. Each can be used independently or they can be used together.

Windows PowerShell is built on top of the .NET Framework common language runtime (CLR), enabling it to accept and return .NET Framework objects.

To run the Zerto Virtual Replication cmdlets you must first run the installation package supplied by Zerto.

**Note:** You must have both Microsoft .NET Framework 4 and Windows PowerShell installed.

**To install the Zerto Virtual Replication cmdlets:**

1. Make sure that Windows PowerShell is closed.
2. Run the installation file.

After installing the Zerto Virtual Replication cmdlets, either add the cmdlets each time you open the Windows PowerShell or create a Windows PowerShell profile.

The following procedure describes how to add the Zerto Virtual Replication cmdlets to every Windows PowerShell session.

**To add the Zerto Virtual Replication cmdlets to the current session:**

1. Open Windows PowerShell with the following arguments:

   ```bash
   -NoExit -Command Add-PSSnapIn Zerto.ps.Commands
   ```

   The Add-PSSnapin cmdlet adds registered Windows PowerShell snap-ins to the current session.

2. To add the Zerto Virtual Replication cmdlets to every session, in the **Properties** dialog for a PowerShell shortcut specify a Target value similar to the following:

   ```plaintext
   C:\Windows\SysWOW64\WindowsPowerShell\v1.0\powershell.exe -NoExit -Command Add-PSSnapIn Zerto.ps.Commands
   ```

   **Note:** You can create a Windows PowerShell profile, as described in the Windows PowerShell Help, to add the snap-in to all future Windows PowerShell sessions.

   For more details, see **Zerto Virtual Replication PowerShell Cmdlets Guide**.
You manage the protection and replication of virtual machines between the protected and recovery sites using the Zerto User Interface. On first access to the user interface, you might have to add a security certificate to set up secure communication, as described in "Adding a Security Certificate for the Zerto User Interface", below. Zerto also provides a set of RESTful APIs and PowerShell cmdlets to enable incorporating some of the disaster recovery functionality within scripts or programs.

Note: Microsoft Windows Explorer 9 is not supported and version 10 does not work well with the user interface. Zerto recommends using Chrome, Firefox, or later versions of Internet Explorer.

Note: It is required to exclude the Zerto Virtual Replication folder from antivirus scanning. Failure to do so may lead to the ZVR folder being incorrectly identified as a threat and in some circumstances corrupt the ZVR folder.

To use the Zerto Virtual Manager Web Client:
1. In a browser, enter the following URL:
   ```
   https://zvm_IP:9669
   ```
   where zvm_IP is the IP address of the Zerto Virtual Manager for the AWS site. Ensure that port 9669 is open and set as an inbound rule in the security group of the instance where Zerto Virtual Replication is installed.
2. Log in using the user name and password of the instance on AWS on which you installed the Zerto Cloud Appliance.

Adding a Security Certificate for the Zerto User Interface

Communication between the Zerto Virtual Manager and the user interface uses HTTPS. On the first login to the Zerto User Interface, you must install a security certificate in order to be able to continue working without each login requiring acceptance of the security.

To install a security certificate for the Zerto User Interface:
On first access to the Zerto User Interface, if you haven’t installed the security certificate, a security alert is issued.

Note the following:
- To run this procedure run Microsoft Internet Explorer as administrator. The procedure is similar for Google Chrome and for Mozilla Firefox.
- Access the Zerto User Interface using the IP and not the name of the machine where Zerto Virtual Replication is installed.
1. Click **View Certificate**.
   The Certificate dialog is displayed.
2. Click **Install Certificate**.
   The Certificate Import wizard dialog is displayed.
3. Follow the wizard: Place all the certificates in the **Trusted Root Certification Authorities store**: Select the **Place all certificates in the following store** option and browse to select the **Trusted Root Certification Authorities store**.
4. Continue to the end of the wizard. Click **Yes** when the Security Warning is displayed.

5. Click **OK** that the installation was successful.

6. Click **OK** when prompted and then **Yes** in the **Security Alert** dialog to continue.
After installing Zerto Virtual Replication, you configure the site. Zerto Virtual Replication is configured and managed from within the Zerto User Interface. This section describes the initial configuration required after installing Zerto Virtual Replication.

The following topics are described in this section:

- “Registering the Zerto Virtual Replication License”, below
- “Pairing an AWS Site”, on page 15

### Registering the Zerto Virtual Replication License

When you first access the Zerto User Interface, you must register your use of Zerto Virtual Replication by entering the ZCA license supplied by Zerto.

**Note:** The license is different from the license you use for your protected site.

After entering a valid license, the DASHBOARD tab is displayed with a summary of the site.

In order to protect virtual machines to AWS, you must first pair the protected site containing the virtual machines that you want to protect with the AWS site on which you installed the Zerto Cloud Appliance. This is described in “Pairing an AWS Site”, below.

### Pairing an AWS Site

Zerto Virtual Replication is installed on both the protected and AWS sites and these two sites are paired to enable disaster recovery across the sites.

**To pair sites:**

1. In the Zerto User Interface, in the SITES tab click PAIR.
   
   The Add Site dialog is displayed.
You cannot pair to another AWS site nor to an Azure site.

2. Specify the following:
   - **Remote Site ZVM IP Address**: IP address or fully qualified DNS host name of the remote site Zerto Virtual Manager to pair to.
   - **Port**: The TCP port communication between the sites. Enter the port that was specified during installation. The default port during the installation is 9081.

3. Click **PAIR**.

   The sites are paired, meaning that the Zerto Virtual Manager on the protected site is connected to - paired with - the Zerto Virtual Manager on the AWS site.

   After the pairing completes the content of the **SITES** tab changes to include summary information about the paired site.
This section describes how to uninstall Zerto Virtual Replication.

**Uninstalling Zerto Virtual Replication**

You uninstall Zerto Virtual Replication via the *Uninstall a program* in the Windows Control Panel.

When you uninstall Zerto Virtual Replication the following are also removed:

- The Zerto Cloud Appliance.
- All the virtual protection groups defined to protect virtual machines, including all the target disks managed by the Zerto Cloud Appliance for the virtual machines that were being protected.

The uninstall process asks whether you want to save the S3 bucket that was created by this installation.

If, for any reason, a Zerto Cloud Appliance cannot be removed, contact Zerto support.
Zerto Virtual Replication releases regular updates. VMware and Microsoft also release new versions of their products which can impact Zerto Virtual Replication. This document describes different options for different upgrade scenarios.

The following topics are described in this section:

- “Guidelines to Upgrading Zerto Virtual Replication”, on page 18
- “Upgrading Multiple Sites Running Different Versions”, on page 20
- “Upgrading To More Than One Version Higher”, on page 21
- “Upgrading Zerto Cloud Manager”, on page 24
- “Upgrading Zerto Cloud Connectors”, on page 24

Guidelines to Upgrading Zerto Virtual Replication

Before upgrading, review the following documents:

- Product Version Lifecycle Matrix for Zerto Virtual Replication
- Sizing Considerations for Zerto Virtual Replication

Then, review the following considerations:

- Zerto recommends upgrading to the latest version of Zerto Virtual Replication that supports the environment you are using. See the Zerto Virtual Replication Interoperability Matrix for the list of environments supported by this version of Zerto Virtual Replication.
- The order you upgrade the sites, protected or recovery, is not relevant as long as paired sites remain only one version apart, that is, only one version higher or lower.
- Note: Upgrade releases are considered to be upgrades of the same version. Releases 5.5, 5.5U1, etc., are the same version.
- The following table shows what version you can upgrade to, based on the current version running at the site.

<table>
<thead>
<tr>
<th>CURRENT VERSION:</th>
<th>CAN UPGRADE TO:</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.5, 4.5Ux</td>
<td>5.0Ux</td>
</tr>
<tr>
<td>5.0, 5.0Ux</td>
<td>5.5Ux</td>
</tr>
<tr>
<td>5.5, 5.5Ux</td>
<td>6.0Ux</td>
</tr>
</tbody>
</table>

- You do not need to move workloads during an upgrade.
- When upgrading a protected vSphere or Hyper-V environment, after the upgrade, a bitmap sync is performed for VPGs on the protected VRA.
- In a Hyper-V environment, SCVMM 2016 is supported on ZVR clean installations only.
- Zerto Cloud Appliance is supported for Azure and AWS (ZCA) on:
  - Windows 2016
  - Windows 2012R2
- A Zerto Virtual Manager can be used with a different version on another site, as long as the other version is only one version higher or lower.
- You can upgrade from version N to the next version (N+1) of Zerto Virtual Replication including to any update within the current version. You cannot do an N+2 upgrade directly.

The following table shows what versions can be used on a peer site, based on the version on the current site.

<table>
<thead>
<tr>
<th>VERSION (N-1)</th>
<th>CURRENT VERSION (N)</th>
<th>VERSION (N+1)</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.0, 4.0Ux</td>
<td>4.5, 4.5Ux</td>
<td>5.0, 5.0Ux</td>
</tr>
<tr>
<td>4.5, 4.5Ux</td>
<td>5.0, 5.0Ux</td>
<td>5.5, 5.5Ux</td>
</tr>
<tr>
<td>5.0, 5.0Ux</td>
<td>5.5, 5.5Ux</td>
<td>6.0</td>
</tr>
</tbody>
</table>
Before Upgrading Zerto Virtual Replication

Before upgrading to a new version, either by installing the new version over the existing version or by uninstalled the existing version and then installing the new version, Zerto recommends doing the following:

■ Clear the Microsoft Internet Explorer cache of temporary Internet files. Not clearing the cache of temporary files can result in problems when accessing the Zerto Virtual Manager.
■ Make sure that all VPGs are in the state Protecting, and not in a sync state, such as Delta Sync, or in an error state, such as Needs Configuration.
■ Complete any recovery operation before starting the upgrade.
■ Stop the Zerto Virtual Manager service.
■ Create a backup of the machine where the Zerto Virtual Manager runs, which you will use if the upgrade fails. Zerto recommends taking a snapshot of the machine after stopping the Zerto Virtual Manager service.

Note: The snapshot should only be used to rollback to the pre-upgrade state immediately after the upgrade has completed. The snapshot should not be used after the protection of virtual machines has restarted.

The installation procedure checks for an existing installation that is either one version lower than the new version or is the same version. If an installation is found you can upgrade the installation.

Upgrading the Current Installation

The existing Virtual Replication Appliances and protected virtual machines, together with all other information, such as checkpoints, journals, sites, and pairing details, are retained and are available in the upgraded installation.

The upgrade is performed without disrupting the protection, but no new checkpoints are written to the journal during the actual upgrade.

This may temporarily cause alerts to be issued, even if only a single site was affected, stating that the journal history and RPO do not meet their specified target settings.

Note:
■ VRAs from the existing installation are not automatically upgraded when upgrading Zerto Virtual Replication.
■ Zerto recommends that you always upgrade the VRAs on your site to the latest version.
■ If a newer version of the installed VRAs exists, you can continue to use the current VRAs with the new version of Zerto Virtual Replication, or upgrade these VRAs from within the Zerto User Interface.

To upgrade the version:
1. Run the Zerto Virtual Replication installation executable for your environment.
   The Zerto Replication Installation Wizard is displayed.
2. Select Upgrade and click Next.
   The upgrade proceeds automatically.
3. Proceed to completion.

Upgrading Environments Using Zerto Cloud Manager

For environments using the Zerto Cloud Manager:
Upgrading the Zerto Cloud Manager **before** upgrading the **Zerto Virtual Managers**.

- Zerto Cloud Manager (ZCM) supports Zerto Virtual Manager (ZVM) of N and N-1 versions.  
  *For Example:* ZCM of version 6.0 supports ZVM of versions 6.0, 5.5 and their updates.
- Upgrade the Zerto Cloud Manager to be **consistent** with the **latest version** of Zerto Virtual Replication run by the **CSP**.
- Upgrade the version of Zerto Virtual Replication run by the CSP after the Zerto Cloud Manager, so that they are **never** more than one version separated from each other.

For details about upgrading Zerto Cloud Manager, see **Zerto Cloud Manager Installation Guide**.

**Note:** Zerto no longer supports vCenter Server vApps. Any VPG protecting a vAPP should be recreated using the virtual machines in the vApp.

---

**Upgrading Multiple Sites Running Different Versions**

A Zerto Virtual Manager can be installed on a site running a different version, as long as each version is **only one version higher or lower** than the other.

When you have **multiple sites**, make sure that the version of Zerto Virtual Manager is never more than one version higher or lower than any of the versions running on the **paired sites**.

**To upgrade Zerto Virtual Replication installed on multiple sites:**

1. Upgrade a site whose version is lower than the required version. Start the upgrades with the site whose version is **lowest**.  
   Make sure, at all times, that **no site is more or less than one version** higher or lower than any of the **paired sites**.
2. If the VRAs on the site need upgrading, upgrade these VRAs to ensure that they are no less than one version higher or lower than any of the VRAs on any of the paired sites.
3. Repeat the above step for **all sites**.

*For Example:*

- You have sites running versions 4.0U3, which are paired to a site running 4.5U4.
- You are planning to upgrade to 5.0U2.
- Upgrade first the 4.0U3 site to a 4.5U4 version, and then both of the sites to 5.0U2.
Upgrading To More Than One Version Higher

Before upgrading to a new version, make sure that all VPGs are in Protecting state and not in a sync state, such as Delta Sync, or an error state, such as Needs Configuration.

If you need to upgrade more than one version higher, do one of the following:

1. Upgrade versions stepwise, one version at a time, as described above in Upgrading Multiple Sites Running Different Versions, until you reach the required version.
   - or -

2. Use the Zerto Diagnostics utility’s export option to export the existing VPG definitions, then uninstall the old version of Zerto Virtual Replication. Install the new version, then use the Zerto Diagnostics utility’s import option to re-create the VPGs. Use the following procedure.

Upgrading Zerto Virtual Replication Using the Zerto Diagnostics Utility

To upgrade Zerto Virtual Replication using the Zerto Diagnostics utility:

1. Click Start > Programs > Zerto Virtual Replication > Zerto Diagnostics.
   The Zerto Virtual Replication Diagnostics menu dialog is displayed.

2. Select the Export Virtual Protection Group (VPG) settings option and click Next.
   Note: Zerto Virtual Replication regularly exports settings to the folder <Zerto_Installation_Folder>\Zerto Virtual Replication\ExportedSettings. You can use the last exported file. The default location of Zerto_Installation_Folder is C:\Program Files\Zerto.
3. Select the destination for the file that will contain the exported settings and enter the Zerto Virtual Manager IP address and port for the protected site.

4. Click **Next**.
   
   The list of exported VPGs is displayed.

5. Click **Done**.

6. In the Zerto User Interface delete the VPGs, and keep their target disks.
   
   **Note:** If you did not export the settings, Zerto Virtual Replication regularly exports settings to the folder `<Zerto_Installation_Folder>\Zerto Virtual Replication\ExportedSettings`. You can use the last exported file as input to recreate the VPGs to this point in time. The default location of Zerto_Installation_Folder is `C:\Program Files\Zerto`.

7. Uninstall the existing Zerto Virtual Replication version.

8. Install the new Zerto Virtual Replication version, as described in the *Zerto Virtual Replication Installation Guide*.

9. Install the VRAs on the hosts in the site and pair the sites, as described in *Zerto Virtual Replication Installation Guide*.
   
   **Note:** If the protected site and recovery site are the same for any of the VPGs that were exported, set **Enable replication** to **Self** in the **Advanced Settings** dialog, as described in *Zerto Virtual Manager Administration Guide for the VMware vSphere Environment*.

10. Click **Start > Programs > Zerto Virtual Replication > Zerto Diagnostics**.
    
    The Zerto Virtual Replication Diagnostics menu dialog is displayed.

11. Select **Import Virtual Protection Group (VPG) settings**.

12. Click **Next**.
13. Select the file previously exported and enter the Zerto Virtual Manager IP address and port for the protected site.
14. Click Next.
   The list of exported VPGs is displayed.

15. Select the VPGs to import. You cannot import VPGs that have the same name as a VPG that is already defined in current installation. If a VPG in the import file has the same name as an existing VPG, it is disabled and is grayed-out.
16. Click Next.
   The list of imported VPGs is displayed. If the VPG cannot not be imported, the reason is specified.
17. Click Done.

**Upgrading Zerto Virtual Replication PowerShell Cmdlets**

When upgrading Zerto Virtual Replication PowerShell cmdlets, make sure that Windows PowerShell is closed before installing the new version.
Upgrading Zerto Cloud Manager

The Zerto Cloud Manager version must be the same as the Zerto Virtual Manager version.

An upgrade of the Zerto Cloud Manager moves all configuration definitions from the old version to the new version.

The installation checks for an existing installation. If an existing installation is identified, that is one version lower than the new version, you can upgrade or uninstall the existing version.

IMPORTANT!

You must upgrade Zerto Virtual Replication and Zerto Cloud Manager in parallel, making sure that you upgrade the version of Zerto Cloud Manager before you upgrade the version of Zerto Virtual Replication which is run by the CSP.

This is done so that they are never more than one version apart.

To upgrade the version:

1. Run Zerto Cloud Manager Installer.exe.
   The Zerto Cloud Manager Installation Wizard is displayed.
2. Select Upgrade and click Next.
   The upgrade proceeds automatically.

Upgrading Zerto Cloud Connectors

Zerto Cloud Connectors do not require upgrading when a new Zerto Virtual Replication version is released.

Upgrading Zerto Cloud Manager