

Tax Form Mailing Schedule



U-VEST
FINANCIAL

Tax Form	Mailing Dates (Week of)	What's Reported
Form 1099-R	January 19	Distributions from an IRA or qualified retirement plan (e.g. individual 401(k), profit sharing, and money purchase), or IRA recharacterizations.
Form 1099-Q	January 19	Distributions from a Coverdell (ESA) or 529 plan
Consolidated 1099 Tax Statement	January 19 & 26 <i>for accounts with the simplest tax information and not subject to income reclassification.</i>	All reportable income and transactions for the year. Depending on the client's account activity, the 1099 may include: Form 1099-B, Form 1099-DIV, Form 1099-INT, Form 1099-MISC, and Form 1099-OID.
	February 2, 9, 16 & 23 <i>for accounts holding more complex securities, for which issuers provided final tax information after January 26.</i>	
	March 1, 8, & 15	
Preliminary 1099 Consolidated Tax Statement	February 16	An advanced, one-time draft copy of 1099-Consolidated tax forms. Accounts that won't have a final 1099 Consolidated Tax Statement available to them on or before the February mailing date will receive this preliminary tax form statement. Includes tax information that investment companies did not furnish to LPL, the broker of record, in time for the anticipated February mailing deadline, including: <ul style="list-style-type: none">- Accounts holding securities that may be subject to income reclassification- Accounts holding securities that provide mortgage-backed income reporting information (AMBIR)
Form 5498	February 23 & May 24	Contributions (including rollover contributions to an IRA)