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FAQs about Small-Bore Connectors and Tubing Misconnections

AAMI, ISO, and an international, joint working group are making advances toward eliminating the potential for tubing misconnections and increasing patient safety—the focus of a small-bore connector initiative. The following frequently asked questions (FAQs), compiled by a team of subject matter experts, are intended to be used as a tool for understanding all aspects of the initiative. For more AAMI resources on small-bore connectors, check out our Hot Topics page at aami.org/hottopics/connectors/index.html.

1. What is the issue with tubing misconnections in healthcare?
A typical patient could be connected, via tubes or catheters, to several delivery systems to receive medication, nutrients, and fluids. Tubing misconnections—also called Luer misconnections, small-bore misconnections, or wrong route errors—refer to what happens when a tube from the medical device for one delivery system is connected to a system that serves a completely different function—for example, a feeding administration set being connected to a tracheostomy tube. Such errors have resulted in patient injury and deaths, and they are widely recognized as underreported. Misconnections are attributed to the universal design of Luer connectors, which are one of the most commonly used types of small-bore connectors in healthcare. The connectors are parts used to connect the tubing of one medical device to another. However, the simple design and ease of use of the Luer connector allows the tube of the device for one delivery system to be connected to an unrelated system that has a different intended use.

2. What is a small-bore connector?
A small-bore connector is a connector with an inner diameter of less than 8.5 mm that is used to link or join medical devices, components, and accessories for the purpose of delivering fluids or gases. A Luer connector is a classic type of a small-bore connector used commonly in the healthcare setting.

3. Why do we need design standards for small-bore connectors?
Adoption of international standards for small-bore connectors will ensure compatibility and consistency while reducing the likelihood of misconnections. New connectors will provide:
• Greater ability for different manufacturers’ devices to integrate, while making it difficult, if not impossible, for unrelated
delivery systems to be connected
• Standardized connections across the healthcare settings
• Less likelihood of therapy interruption due to connector incompatibility or unavailability

4. What are the risks associated with the use of small-bore connectors in healthcare?
Unfortunately, the simple and universal design of the Luer connector allows for connection between unrelated delivery systems—that have different intended uses (e.g., vascular, enteral, respiratory, epidural, and intrathecal). As a result, care providers can inadvertently connect wrong systems together, causing fluids (e.g., medications, enteral feedings) or gases (e.g., oxygen) to be delivered through the wrong route. The consequences can be fatal to patients.

5. What are some examples of tubing misconnections?
Liquid formula or medication intended for delivery into the stomach via a feeding tube or nasogastric (NG) tube is connected to an intravenous (IV) line, delivering the formula or medication into the bloodstream. A noninvasive blood pressure inflation tube is connected to an IV line, delivering air under pressure into the bloodstream and causing an air embolism. IV fluids are connected to the inflation cuff on a breathing tube (tracheostomy or endotracheal tube) and deliver a large volume of fluid to a fixed volume device designed to be filled with air (the cuff), resulting in airway obstruction. A tube feeding is connected to a peritoneal dialysis catheter, delivering formula that had been intended for the stomach to the abdominal (peritoneal) cavity.

6. What has been done to help reduce the risk of tubing misconnections?
Alerts and guidance documents have been issued by numerous governmental, accreditation, professional, manufacturing, and healthcare organizations. They include the following:
• Sentinel Event Alert, Issue 36: Tubing misconnections—a persistent and potentially deadly occurrence from The Joint Commission (TJC)
• Case studies, a safety calendar, videos, webinars, and other resources from the U.S. Food and Drug Administration (FDA)
• Clinical recommendations from the American Society for Parenteral and Enteral Nutrition (ASPEN)
• A letter to surveyors about the need to review hospitals’ prevention policies from the Centers for Medicare & Medicaid Services (CMS)

Other organizations have published educational material and practice standards describing methods to reduce tubing misconnections. Healthcare providers have implemented educational programs and protocols, such as tracing all lines back to their origin prior to reconnecting devices, or positioning catheters and tubes that have different purposes on different sides of the patient’s body. Some manufacturers use color coding with their connectors. Others have developed proprietary alternative connectors and product designs that are incompatible with Luer connectors for IV delivery systems, which are frequently involved in misconnections.

However, these efforts have not eliminated the problem. A design change and correlating standards would make misconnections between unrelated delivery systems (e.g., vascular, enteral, respiratory, epidural, and intrathecal), medical devices, and accessories highly unlikely.

7. What changes are coming?
To reduce the frequency of tubing misconnection hazards, an international group of clinicians, manufacturers, and regulators, such as the FDA, is collaborating with the International Organization of
Standardization (ISO) and the Association for the Advancement of Medical Instrumentation (AAMI) to develop ISO 80369 standards. The first of these standards, 80369-1, was published in January 2011, and it provides general requirements for connectors for liquids and gases in healthcare applications. It also establishes a framework for testing connectors to ensure non-interconnectability of unrelated delivery systems (e.g., vascular and enteral). International standards for non–Luer-compatible delivery system–specific connections are under development. New connectors are expected to reach the market as early as Q4 2014.

Each additional standard in the series will focus on connectors for a specific clinical application and will be released as it is completed, beginning in 2014. These standards include connectors for breathing systems and driving gases, enteral, limb cuff inflation, neuraxial, and intravascular-hypodermic applications. There will be a phase-in period for product development and implementation guided by the FDA and existing state legislation. California, for example, has been active on this front. (Refer to question 14 for additional information on CA law.)

8. What products will be impacted by the new standards? There will be new connector designs for the following device types:
   - Respiratory systems and driving gases
   - Limb cuff inflation applications
   - Enteral feedings
   - Neuraxial applications
   - When the standards are approved, the existing Luer connector will be maintained only for the intravascular and hypodermic applications. All other delivery systems with small-bore connectors will change to ensure incompatibility with the intravascular Luer connector or each of the other new connectors.

9. What are the implications of these new standards? Unique international standard designs for each high-risk device delivery system will promote better patient safety and help ensure that connectors for unrelated delivery systems are incompatible. Without an international industry design standard for connectors, manufacturers would have to attempt to test against all proprietary designs for different applications to ensure that their connector is incompatible with any other connector on the market. Healthcare organizations would have to purchase multiple systems across the continuum of care—without a standardized mechanism for testing and evaluation to prevent misconnections between them. Such a scenario could create confusion and put patients at higher risk.

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10. What product changes should be anticipated? Manufacturers of breathing systems and driving gases, enteral, limb cuff inflation, and neuraxial devices will need to redesign their products to accept the new connector standards as they are approved. Manufacturers are prepared to launch new connectors with minimal disruption to supply and clinical practice. You should anticipate a phased approach to the launch of new connectors, starting with enteral devices in 2014. Manufacturers are expected to synchronize the introduction of new standard connectors with a transition plan to assist customers’ conversion from existing products in the market.

11. How are the new connector designs being tested to prove that they are safer than connectors used today? The goal is to develop unique connectors for medical devices for each delivery system so that their inherent design makes it virtually impossible to connect unrelated systems. The new tubing connector designs are based on human factors engineering and computer-aided design (CAD) analysis to reduce the likelihood of misconnections. The proposed unique designs for the connectors go through a rigorous process to ensure they connect only...
to the proper mating connector. Proposed designs are validated through hands-on usability testing to confirm that they meet their intended purpose of preventing the connection of a medical device from one delivery system to a device of another delivery system. Analysis of design drawings and physical force fit testing are used to verify that connectors that are supposed to connect do so securely, while connectors that should not join are physically prevented from doing so.

12. What is the timeline for the changes?
There will be a transition period marked by stages. That approach is under development and will be communicated by manufacturers with advanced warning so that all parties can prepare for the changes in the market. The changes for the new standard connectors will roll out by delivery system. Manufacturers will incorporate the new connectors into their existing offerings where applicable. By working closely with their suppliers, healthcare facilities or providers should be able to convert on a timeline that best suits their needs and that of their patients.

13. What can I do to help my healthcare organization prepare?
Healthcare providers are strongly advised to take the following steps as new connectors approach the market:

**Aware**
- Generate awareness of impending changes across the organizations to all impacted clinicians, administrators, supply chain, health technology management and other support staff.
- Identify a leader within the organization to stay informed as plans progress and communicate updates to others in the organization early and often.

**Prepare**
- Form teams to assess existing systems, processes, and protocols that may need to change, focusing on areas of highest risk that have the most immediate need to convert to the new connectors.
- Work with supplier representatives and adopt their product-specific transition plan.
- Train clinicians and materials/inventory management staff for impending changes.

**Adopt**
- Introduce new connectors into work stream to reduce tubing misconnections and improve patient safety.
- Remind the organization of the long-term benefits vs. the short-term hassles of transitioning to new connectors.

**Measure**
- Quantify the organization’s ability to adopt changes.
- Leverage metrics and formal feedback loops to identify ways to improve the organization’s ability to transition for the next phase of connector introductions.

As new connectors become accepted as standards, more detailed information regarding introduction, transition plans, and timing will be provided.

14. Are these standards mandatory for manufacturers and healthcare organizations?
As of August 2013, there were no federal mandates for manufacturers or healthcare organizations. Effective Jan. 11, 2016, a California law (HB 1867) will prohibit general acute care, acute psychiatric, and special hospitals from using an epidural, intravenous or enteral feeding connector that fits into a connection port other than the type for which it was intended. It is expected that all medical device manufacturers/suppliers will comply with the new California law. In doing so, they will develop modified products that incorporate the new connectors and phase out products with old connectors. The changes and requirements will need to be communicated to clinicians who use the products and to those responsible for product conversions in the organizations.

Also, the FDA issued a letter to manufacturers, healthcare professionals, and hospital purchasing departments in July 2010 that outlines what each group can do to reduce
the risks of tubing misconnections. The agency noted they are participating in the development of the ISO standards that will help prevent these misconnections through use of function design and usability testing. The FDA stated it is considering recognizing the ISO standards, and if it does, it will provide guidance to manufacturers regarding the timeline for devices on the market to come into compliance, as well as explain the effect of the standards on new devices.

15. What areas in a healthcare organization might be impacted by the changes and when?
Personnel at healthcare facilities that supply products in any capacity and those who administer or deliver care will be impacted by the connector changes. Personnel responsible for product supply including purchasing/procurement and materials management as well as pharmacists should be brought in early to understand all the implications and actions necessary to prepare for the small-bore connector introduction. Physicians, nurses, nurse practitioners, infusion therapists, and dietitians, among other clinicians, should be informed and trained well in advance of any introductions to avoid any interruption in therapy. Additional functions to consider include healthcare technology management, patient safety and quality assurance personnel.

16. What kind of financial impact will the new standards have on healthcare organizations?
Depending on the therapeutic group, adopting these new connectors may increase costs to produce the same products. Pricing is at the sole discretion of device manufacturers. Talk to your suppliers to better understand the financial impact, if any, to your organization.

17. Should healthcare facilities or providers change even if they have not had an incident with tubing misconnections?
Many organizations believe they have never had a tubing misconception, but that may not be the case. Many tubing misconceptions are discovered before there is harm—these are generally not reported. All organizations are one human error away from a harmful tubing misconception. All should be concerned about making the care environment safer for patients and clinicians by providing devices that are designed using the principles of human factors engineering.

18. Will guidance be provided to healthcare organizations to help them understand the implications of the new standards?
A collaborative approach to transition the market from the current connectors to the new versions is under development and will be communicated through suppliers with advanced warning to prepare for the changes. Information and details about the new design standards and their publication dates, timeline for connector transitions, and educational materials will be available at www.StayConnected2014.org. This website will be the primary source for the latest information on connector changes for each therapeutic group. For details on specific suppliers and their product introductions, please visit their respective websites.

19. What are suppliers doing to make sure connections are possible between old and new connectors from different vendors?
To avoid confusion and reinforce adoption of a common enteral connection, a global industry group representing manufacturers, suppliers, and distributors of enteral nutrition devices has agreed to coordinate a synchronized introduction of the new ISO connectors, which will include:
• Guiding healthcare providers through a careful transition plan
• Developing and executing a coordinated joint communications plan
• Identifying each unique connector with a common name to be used by all suppliers of devices for each respective delivery system
An introduction plan is under development for each delivery system. The goal in this transition is to ensure that there is no interruption in therapy for the impacted devices and to allow suppliers and providers to work through their existing inventory.
Several options are under consideration to aid the delivery of fluids using new connector systems with current/legacy connectors during the transition period. For plans on particular products, contact your suppliers.

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20. What should be done with existing inventory when implementing a transition to devices with new connectors?
Providers should make sure all personnel within their healthcare organization's supply chain are fully aware and prepare for impending changes. Extra effort should be made to effectively manage inventory levels of impacted products with new connectors on the horizon. Manufacturers and other suppliers are aware of the inventory management challenges this transition will cause, and introduction plans are under development for each delivery system. The goal in this transition is to ensure that there is no interruption in therapy for the impacted devices and to allow suppliers and providers to work through their existing inventory. Several options are under consideration to aid the delivery of fluids using new connector systems with current/legacy connectors during the transition period. For plans on particular products, contact the supplier. These transitions will be planned carefully and extra care will be necessary to avoid unintended consequences, such as hampering the ability to deliver care.

21. Will there be a universal color code system with each device type assigned a unique color?
Color coding is not included in the 80369 standards. The standards will only address connector shape and size. These newly developed engineering controls (forcing functions) make it highly unlikely to bring two unintended connectors together, a development that seems more secure as opposed to relying on memorization of a specific color scheme.

22. How will the transition from products with old connectors to new connectors be handled?
An introduction plan is under development by industry for each delivery system. The goal in this transition is to ensure that there is no interruption in therapy for the impacted devices and to allow suppliers and providers to work through their existing inventory. Several options are under consideration to

23. What’s the most compelling point for healthcare providers to remember today?
While manufacturers are doing everything possible to bring these upcoming changes to the attention of healthcare delivery organizations and plan for an orderly transition in the supply chain, the industry cannot manage the process for healthcare providers. The changes are being brought to the attention of healthcare delivery organizations now to give them adequate time to prepare. They need to put together a multidisciplinary plan to minimize the impact and reduce the risk of unintended consequences.

Compiled by the Global Enteral Device Supplier Association (GEDSA).