

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

|   |  |   |   |   |   |
|---|--|---|---|---|---|
| Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)<br>4/20/09 (875 4/22/09)  | Reporting Status (Check appropriate boxes)<br><input type="checkbox"/> Incumbent<br><input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate   | Calendar Year Covered by Report<br><input type="checkbox"/> | Termination<br><input type="checkbox"/> Filer | Termination Date (If Applicable) (Month, Day, Year)<br><input type="checkbox"/> | <b>Fee for Late Filing</b><br>Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.<br><br><b>Reporting Periods</b><br><b>Incumbents:</b> The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.<br><br><b>Termination Filers:</b> The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.<br><br><b>Nominees, New Entrants and Candidates for President and Vice President:</b><br><b>Schedule A</b> --The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.<br><br><b>Schedule B</b> --Not applicable.<br><br><b>Schedule C, Part I (Liabilities)</b> --The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.<br><br><b>Schedule C, Part II (Agreements or Arrangements)</b> --Show any agreements or arrangements as of the date of filing.<br><br><b>Schedule D</b> --The reporting period is the preceding two calendar years and the current calendar year up to the date of filing. |
| Reporting Individual's Name<br>Last Name: Sandalow<br>First Name and Middle Initial: David  | Position for Which Filing<br>Title of Position: Assistant Secretary for Policy & International Affairs<br>Department or Agency (If Applicable): Department of Energy   |   |   |   |   |
| Location of Present Office (or forwarding address)<br>Address (Number, Street, City, State, and ZIP Code): 1000 Independence Avenue, SW Washington, DC 20585<br>Telephone No. (Include Area Code): 202-586-5000       | Title of Position(s) and Date(s) Held: None<br><br>Presidential Nominees Subject to Senate Confirmation: Name of Congressional Committee Considering Nomination: Senate Energy & Natural Resources Committee<br>Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |   |   |   |   |
| Certification<br>I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.  | Signature of Reporting Individual: [Signature]<br>Date (Month, Day, Year): 4/21/09   |   |   |   |   |
| Other Review (If desired by agency)   | Signature of Other Reviewer: [Signature]<br>Date (Month, Day, Year): 4/22/09   |   |   |   |   |
| Agency Ethics Official's Opinion<br>On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below). | Signature of Designated Agency Ethics Official/Reviewing Official: [Signature]<br>Date (Month, Day, Year): 4/22/09   |   |   |   |   |
| Office of Government Ethics Use Only  | Signature: [Signature]<br>Date (Month, Day, Year): 4/23/09   |   |   |   |   |
| Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)   |  |   |   |   |   |
| (Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>  |  |   |   |   |   |
| (Check box if comments are continued on the reverse side) <input type="checkbox"/>  |  |   |   |   |   |
| Agency Use Only   |  |   |   |   |   |
| OGE Use Only  |  |   |   |   |   |
| APR 22 2009   |  |   |   |   |   |



3-15-09

Reporting Individual's Name

Sandalow, David

## SCHEDULE A continued

(Use only if needed)

Page Number

3

| Assets and Income<br><br>BLOCK A |  | Valuation of Assets<br>at close of<br>reporting period<br>BLOCK B |                    |                     |                      |                       |                       |                         |                    |                           |                            | Income: type and amount. If "None (or less than \$201)" is checked, no<br>other entry is needed in Block C for that item. |                   |                          |                |                 |           |                    |          |               |                           | Date<br>(Mo., Day,<br>Yr.)<br><br>Only if<br>Honoraria |                   |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
|----------------------------------|--|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|--------------------|---------------------------|----------------------------|---|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|--|-------------------|-------------------|--------------------|---------------------|--|-------------------------|-------------------|---------------------------|------------------|--|---------------------|--|--|--|
|                                  |  | BLOCK B   |                    |                     |                      |                       |                       |                         |                    |                           |                            | BLOCK C   |                   |                          |                |                 |           |                    |          |               |                           |  |                   |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| None <input type="checkbox"/>    |  | None (or less than \$1,001)                                       | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 * | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000   | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type      | Amount             |          |               |                           |  |                   |                   |                    |                     | Other<br>Income<br>(Specify<br>Type &<br>Actual<br>Amount) |                         |                   |                           |                  |  |                     |  |  |  |
|                                  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |   |                   |                          |                |                 | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000  | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000                                       | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 |  |                     |  |  |  |
| 1                                | Procter & Gamble<br>PG   |   |                    | X                   |                      |                       |                       |                         |                    |                           |                            |   |                   |                          |                |                 | X         |                    |          |               |                           | X  |                   |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 2                                | Vanguard 500 Index Fund Investor<br>Shares (VFINX)             |   |                    |                     |                      | X                     |                       |                         |                    |                           |                            |   |                   | X                        |                |                 |           |                    |          |               |                           |  |                   | X                 |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 3                                | Vanguard Target Retirement 2020<br>Fund (VTWXX)                |   |                    |                     | X                    |                       |                       |                         |                    |                           |                            |   |                   | X                        |                |                 |           |                    |          |               |                           |  | X                 |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 4                                | Vanguard Long-Term Investment<br>Grade Fund (VWESX)            |   |                    |                     | X                    |                       |                       |                         |                    |                           |                            |   |                   | X                        |                |                 |           |                    |          |               |                           |  |                   | X                 |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 5                                | Vanguard Windsor II Fund Investor<br>Shares (VWNFX)            |   |                    | X                   |                      |                       |                       |                         |                    |                           |                            |   |                   | X                        |                |                 |           |                    |          |               |                           |  | X                 |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 6                                | Vanguard Extended Market Index Fund<br>Investor Shares (VEXMX) |   |                    | X                   |                      |                       |                       |                         |                    |                           |                            |   |                   | X                        |                |                 |           |                    |          |               |                           |  | X                 |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 7                                | Vanguard Prime Money Market Fund<br>(VMMXX)                    |   |                    | X                   |                      |                       |                       |                         |                    |                           |                            |   |                   | X                        |                |                 |           |                    |          |               |                           |  | X                 |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 8                                | Left Blank Intentionally                                       |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |   |                   |                          |                |                 |           |                    |          |               |                           |  |                   |                   |                    |                     |  |                         |                   |                           |                  |  |                     |  |  |  |
| 9                                | Brookings Institution, Washington, DC                          |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |   |                   |                          |                |                 |           |                    |          |               |                           |  |                   |                   |                    |                     |  |                         |                   |                           |                  |  | Salary<br>\$154,000 |  |  |  |

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Prior Editions Cannot be Used.

Reporting Individual's Name

Sandalow, David

**SCHEDULE A continued**

Page Number

4

(Use only if needed)

| Assets and Income<br><br>BLOCK A |  | Valuation of Assets<br>at close of<br>reporting period<br>BLOCK B |  |  |  |  |  |  |  |  |  | Income: type and amount. If "None (or less than \$201)" is checked, no<br>other entry is needed in Block C for that item. |                    |                     |                      |                       |                       |                         |                    |                           |                            | Date<br>(Mo., Day,<br>Yr.)<br><br>Only if<br>Honoraria |                   |                          |                |                 |           |                    |          |               |                                 |                 |                   |
|----------------------------------|--|---|--|--|--|--|--|--|--|--|--|---|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|--------------------|---------------------------|----------------------------|--|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------------|-----------------|-------------------|
|                                  |  |   |  |  |  |  |  |  |  |  |  | None (or less than \$1,001)   | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 * | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000                            | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201)       | \$201 - \$1,000 | \$1,001 - \$2,500 |
| None <input type="checkbox"/>    |  |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               |                                 |                 |                   |
| 1                                | Garten Rothkopf*<br>International Advisory Firm<br>Washington, DC                                  |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | consulting fees<br>\$65,000     |                 |                   |
| 2                                | Good Energies*<br>Investment Firm<br>Zug, Switzerland  |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | consulting fees<br>\$239,666.67 |                 |                   |
| 3                                | Public Insight*<br>Financial Consulting Firm*<br>New York, NY                                      |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | consulting fees<br>\$500        |                 |                   |
| 4                                | William J. Clinton Foundation*<br>New York, NY   |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | consulting fees<br>\$67,500     |                 |                   |
| 5                                | UBS AG*  |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | honoraria<br>\$7,500            | 1/17/2008       |                   |
| 6                                | Alliance Bernstein*<br>New York, NY  |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | honoraria<br>\$3,000            | 2/21/2008       |                   |
| 7                                | Strategy XXI Group Limited*<br>Public Relations<br>New York, NY                                    |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | honoraria<br>\$2,000            | 3/4/2008        |                   |
| 8                                | Washington Speakers Bureau*,<br>Speech at conference organized by<br>Brown Advisory Financial Mgmt |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | honoraria<br>\$6,000            | 4/1/2008        |                   |
| 9                                | Goldman Sachs*<br>New York, NY   |   |  |  |  |  |  |  |  |  |  |   |                    |                     |                      |                       |                       |                         |                    |                           |                            |  |                   |                          |                |                 |           |                    |          |               | honoraria<br>\$5,000            | 5/5/2008        |                   |

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

**SCHEDULE A continued**

(Use only if needed)

Page Number

5

Sandalow, David

[illegible]

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

**Prior Editions Cannot be Used.**

3-14-09

Reporting Individual's Name

**SCHEDULE A continued**

Page Number

6

Sandalow, David

(Use only if needed)

[illegible]

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

|                             |                   |             |
|-----------------------------|-------------------|-------------|
| Reporting Individual's Name | <b>SCHEDULE B</b> | Page Number |
| Sandalow, David             |                   | 7           |

**Part I: Transactions**None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

| Transaction Type (x)             |      | Date (Mo., Day, Yr.) | Amount of Transaction (x) |                     |                      |                       |                       |                         |                   |                           |                            |                             |                            |
|----------------------------------|------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|----------------------------|
|                                  |      |                      | \$1,001 - \$15,000        | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000          |
| Purchase                         | Sale | Exchange             |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             | Certificate of divestiture |
| Example: Central Airlines Common |      |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                            |
| x                                |      |                      |                           |                     | x                    |                       |                       |                         |                   |                           |                            |                             |                            |
| 1                                |      | 2/1/99               |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                            |
| 2                                |      |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                            |
| 3                                |      |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                            |
| 4                                |      |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                            |
| 5                                |      |                      |                           |                     |                      |                       |                       |                         |                   |                           |                            |                             |                            |

\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

**Part II: Gifts, Reimbursements, and Travel Expenses**

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None ☐

| Source (Name and Address)                        |  | Brief Description  | Value |
|--|--|--|-------|
| Examples: Nat'l Assn. of Rock Collectors, NY, NY |  | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) | \$500 |
| Frank Jones, San Francisco, CA                   |  | Leather briefcase (personal friend)  | \$300 |
| 1  |  |  |       |
| 2  |  |  |       |
| 3  |  |  |       |
| 4  |  |  |       |
| 5  |  |  |       |

3-15-09

Reporting Individual's Name

Sandalow, David

## SCHEDULE C

Page Number

8

### Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☒

Category of Amount or Value (x)

|                                       |  |  |               |               |                    |                                 |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |  |
|---------------------------------------|--|--|---------------|---------------|--------------------|---------------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|--|
|                                       |  |  | Date Incurred | Interest Rate | Term if applicable | Category of Amount or Value (x) |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |  |
|                                       |  |  |               |               |                    | \$10,001 - \$15,000             | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |  |
| Examples:                             |  |  | 1991          | 8%            | 25 yrs.            |                                 |                     | x                    |                       |                       |                         |                   |                           |                            |                             |                   |  |
| John Jones, 123 J St., Washington, DC |  |  | 1999          | 10 %          | on demand          |                                 |                     |                      | x                     |                       |                         |                   |                           |                            |                             |                   |  |
| 1                                     |  |  |               |               |                    |                                 |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |  |
| 2                                     |  |  |               |               |                    |                                 |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |  |
| 3                                     |  |  |               |               |                    |                                 |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |  |
| 4                                     |  |  |               |               |                    |                                 |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |  |
| 5                                     |  |  |               |               |                    |                                 |                     |                      |                       |                       |                         |                   |                           |                            |                             |                   |  |

\* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

### Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

|          | Status and Terms of any Agreement or Arrangement  | Parties                                 | Date   |
|----------|---|---|--------|
|          |   |   |        |
| Example: | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.                 | Doe Jones & Smith, Hometown, State      | 7/85   |
| 1        | 401k plan with former employer to which neither employee or employer make any further contributions   | World Wildlife Fund<br>Washington, DC   | 8/2001 |
| 2        | Defined Contribution Plan invested in TIAA-CREF with employer to which neither employee nor employer will make any further contributions after employee's termination | Brookings Institution<br>Washington, DC | 9/2003 |
| 3        |   |   |        |
| 4        |   |   |        |
| 5        |   |   |        |
| 6        |   |   |        |

|  |                   |                      |
|--|-------------------|----------------------|
| Reporting Individual's Name<br><br>Sandalow, David | <b>SCHEDULE D</b> | Page Number<br><br>9 |
|--|-------------------|----------------------|

**Part I: Positions Held Outside U.S. Government**

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

|           | Organization (Name and Address)             | Type of Organization                       | Position Held                             | From (Mo., Yr.) | To (Mo., Yr.) |
|-----------|---|--|---|-----------------|---------------|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY      | Non-profit education                       | President                                 | 6/92            | Present       |
|           | Doe Jones & Smith, Hometown, State          | Law firm                                   | Partner                                   | 7/85            | 1/00          |
|           | Brookings Institution, Washington, DC       | Non-profit Organization                    | Senior Fellow                             | 9/2003          | present       |
| 2         | Good Energies, Inc., Zug, Switzerland       | Investment Firm                            | Consultant                                | 1/2008          | present       |
| 3         | William J. Clinton Foundation, New York, NY | Non-profit Organization                    | Chairman, Working Group<br>Climate Change | 6/2005          | 10/2008       |
| 4         | Garten Rothkopf, Washington, DC             | Advisory Firm                              | Consultant                                | 1/2008          | 11/2008       |
| 5         | First Voice International, Rockville, MD    | Non-profit Organization<br>(uncompensated) | Director                                  | 5/2007          | present       |
| 6         | Sandalow-Hammonds, LLC, Washington, DC      | LLC  | Member                                    | 1/2006          | present       |
|           | Public Insight, New York, New York          | Financial Consulting Firm                  | Consultant                                | 2/2008          | 2/2008        |

**Part II: Compensation In Excess Of \$5,000 Paid by One Source**

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

|           | Source (Name and Address)  | Brief Description of Duties   |
|-----------|--|---|
| Examples: | Doe Jones & Smith, Hometown, State                               | Legal services  |
|           | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction                                     |
| 1         | Brookings Institution, Washington, DC                            | Policy Analysis   |
|           | McGraw-Hill/McCormick & Williams, New York, NY                   | Book Advance (2007)   |
| 2         | Good Energies, Inc., Zug, Switzerland                            | Consulting (client of Sandalow-Hammonds)  |
| 3         | William J. Clinton Foundation, New York, NY                      | Consulting (client of Sandalow-Hammonds)  |
| 4         | Garten Rothkopf, Washington, DC                                  | Consulting (client of Sandalow-Hammonds)  |
| 5         | TXU/McLarty Associates, Dallas, TX and Washington, DC            | Consulting (client of Sandalow-Hammonds)  |
|           | Rice University, Houston, TX                                     | Honoraria (client of Sandalow-Hammonds)   |
| 6         | UBS AG   | Honoraria (client of Sandalow-Hammonds)   |
|           | Washington Speakers Bureau, Washington, DC                       | Honoraria for conference organized by Brown Fin. Advisory Mangt (client of Sandalow-Hammonds) |