SF278 (Rev. 03/2000) 5 C.F.R Part 2634

U.S. Office of Government Ethics

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209-0001

Date of Appointment, Candidacy, Election	Reporting Status Calendar Year		Termination Date (If Appli- cable) (Month, Day, Year)	Fee for Late Filing
or Nomination (Month, Day, Year)	(Check Incumbent Covered by Report	New Entrant, Nominee, Termina	ation cable) (Month, Day, Tear)	Any individual who is required to
4/20/09 (8154/22/09)	appropriate boxes)	or Candidate Filer		file this report and does so more than
11000	Last Name	First Name and Middle Initial		30 days after the date the report is required to be filed, or, if an extension
Reporting Individual's Name			/	is granted, more than 30 days after the
	Sandalow	David		last day of the filing extension period
	Title of Position	Department or Agency (If Applicable)	shall be subject to a \$200 fee.
Position for Which Filing	Assistant Secretary for Policy & International Affairs	Department of Energy		Reporting Periods
	Address (Number, Street, City, State, and ZIP Code)	Telephone ?	No. (Include Area Code)	Incumbents: The reporting period is
Location of Present Office (or forwarding address)	1000 Independence Avenue, SW Washington, DC 20	0585 202-586-	5000	the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also
ion(s) Held with the Federal	Title of Position(s) and Date(s) Held			include the filing year up to the date
2rnment During the Preceding 12 Months (If Not Same as Above)	None			you file. Part II of Schedule D is not applicable.
	1			Termination Filers: The reporting
Presidential Nominees Subject to	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified I	Diversified Trust?	period begins at the end of the period
Senate Confirmation	Senate Energy & Natural Resources Committee	Yes	X _{No}	covered by your previous filing and ends at the date of termination. Part II
Certification	Signature of Reporting Individual	Date (Man)	h, Day, Year)	of Schedule D is not applicable.
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.	i il avu		21/09	Nominees, New Entrants and Candidates for President and Vice President:
The second secon	Signature of Other Reviewer	Date (Man)	h, Day, Year)	Schedule A—The reporting period for income (BLOCK C) is the preceding
Other Review (If desired by agency)	SEWall	4/.	22/09	calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	al Date (Monte	h, Dav, Year	Schedule B-Not applicable.
On the basis of information contained in this report, I conclude that the filer is ampliance with applicable laws and lations (subject to any comments in the box below).	Susan of Beaul	Date (Mbnt)	22/09 h. Day Year)	Schedule C, Part I (Liabilities)— The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
Office of Government Ethics Use Only	Melot A Curi	h 41	23/09	Schedule C. Part II (Agreements or Arrangements) Show any agreements
Comments of Reviewing Officials (If additional addition	itional space is required, use the reverse side of this sheet)			or arrangements as of the date of filing.
	(Check box if)	filing extension granted & indicate numi	ber of days)	ning.
				Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.
				Agency Use Only
1		(Charleton Various and	7 - 4	OGE Use Only
		(Check box if comments are contin	mea on the reverse stae)	APR 2 2 2009

5 C.F.R Part 2634 U.S. Office of Government Ethics 3-18 Reporting Individual's Name	-09	_	_											Musima	_	_							_			_	_	_	_	_	Page Number	
Sandalow, David												S	CI	Æ	DI	UL	E	A													2	
Assets and Income					epo	t clo	se c	of rioc			-05						er er							C f		hat			n \$2	01)"	is checked, no	
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 come during the reporting period, together as such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse). None	None (or less than \$1,001)	\$1.001 - \$15.000	\$15,001 - \$50,000	\$50,001 - \$100,000			\$500,001 - \$1,000,000	Over \$1,000.000 *	\$1,000,001 - \$ 5,000,000	\$5,000,601 - \$25,000,000	* \$25,000,001 - \$50,000,000	Over \$56,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalites		Capital Gains	None (or Jess than \$201)	\$201-51,000	\$1,001 - \$2,500	\$2,501 -\$5,000	_	\$15,001 - \$50,000		\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Dav. Yr.) Only if Honoraria
Examples Central Airlines Common			X	<u>×</u>	X		3					_	X.			_x		x	=			.x. ×	×	x							Law Partnership Jacobs: \$130,000	
3 Boenning & Scattergood (cash accounts) 4 Time Warner TWX			X	X												×		X			X		X									
5 Temple-Inland		Х														X					Х											

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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3-15-09 U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 3 Sandalow, David (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted Investment Fund \$25,000,001::\$50,000,000 Mo., Day. Income \$5,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000 \$1,000,001 - \$5,000,000 (Specify Yr.) \$500,001 - \$1,060,000 S100,000, S1,000,000 Type & \$250,001 - \$500,000 \$100,001 - \$250,000 Rent and Royalties \$50,001 - \$100,000 Over \$1,000,000 * \$50,001 - \$100,000 Only if Actual Over \$50,000,000 \$15,001 - \$50,000 Over \$1,000,000* Excepted Trust Qualified Trust Amount) Honoraria \$5,001 - \$15,000 \$2,501 - \$5,000 \$1,001 - \$2,500 Capital Gains 5261-51,000 Dividends None 1 Procter & Gamble PG 2 Vanguard 500 Index Fund Investor Shares (VFINX) 3 Vanguard Target Retirement 2020 X Fund (VTWNX) 4 Vanguard Long-Term Investment Grade Fund (VWESX) 5 Vanguard Windsor II Fund Investor Shares (VWNFX) 6 Vanguard Extended Market Index Fund Х X Investor Shares (VEXMX) 7 Vanguard Prime Money Market Fund X (VMMXX) Left Blank Intentionally 9 Brookings Institution, Washington, DC Salary \$154,000 This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

SF278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government Ethics 3-15-09 Reporting Individual's Name Page Number SCHEDULE A continued 4 Sandalow, David (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted Investment Fund (Mo., Day, \$25,000,001 - \$50,000,000 Income 55,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000. (Specify Yr.J S1,000,001 -- S5,000,000 SS00.001 - \$1.000,000 Type & \$100,001 - \$250,000 \$250,001 - \$500,000 Rent and Royalties S50,001 - S100,000 Over \$1,000.000 * Only if \$15,001 - \$50,000 Actual Over \$50,000,000 None (or less than 550,001 - \$100,000 Over \$1,000,000* \$1,001 - \$15,000 Excepted Trust Qualified frust Over \$5,000,000 \$1,001 - \$2,500 \$2,501 - \$5,000 Honoraria 55,001 - \$15,000 Amount) Capital Gains \$201 - \$1,000 Dividends None 1 | Garten Rothkopf * consulting fees International Advisory Firm \$65,000 Washington, DC 2 Good Energies* consulting fees Investment Firm \$239,666.67 Zug, Switzerland 3 Public Insight* consulting fees Financial Consulting Firm* \$500 New York, NY 4 William J. Clinton Foundation* consulting fees New York, NY \$67,500 5 UBS AG* 1/17/2008 honoraria \$7,500 6 Alliance Bernstein* 2/21/2008 honoraria New York, NY \$3,000 7 Strategy XXI Group Limited* 3/4/2008 honoraria Public Relations \$2,000 New York, NY 8 Washington Speakers Bureau*. honoraria 4/1/2008 Speech at conference organized by \$6,000 Brown Advisory Financial Mgmt 9 Goldman Sachs* 5/5/2008 honoraria

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children mark the other higher categories of value, as appropriate.

\$5,000

New York, NY

SF278 (Rev.	03/2000)
5 C.F.R Part	2634

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	orting Individual's Name ndalow, David									SC			JLE only				ed		· ·								P	age Number 5	
	Assets and Income	T		,		ation at clo	ose o	of						Inc		: typ										\$20)1)" is	s checked, no	
L	BLOCK A		-		rep		CK B				,		-				_				_	OCK				_			
	None	None (or less than \$1,(03)		\$15,001 - \$50,000	\$50,001 - \$100,000 \$100 001 - \$250 000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000.600 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	Over \$50,000,000	Excepted investment Fund	Excepted Trust	Dividends	Rent and Royaliles	Interest o	None (or less than \$201)	SZBI-SI-OHD	\$1,001 - \$2,500	\$7,501 - \$5,000		N15,001 - \$50,080	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over S1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1	Deloitte Services LP* New York, NY																											honoraria \$2,000	5/19/2008
2	Smith Richardson Foundation* Westport, CT													T			Ī											honoraria \$750	7/23/2008
3	CSIS* Washington, DC																											honoraria \$500	10/23/2008
4	Rice University* Houston, TX																											honoraria \$15,000	10/27/2008
5	Left Blank Intentionally																T												
6	TIAA CREF (Retirement) CREF Growth	-	х									×					T	1											
7	CREF Global Equities		Х									×						×										111	
8	TIAA Real Estate Securities		X									Х						X											
9	CREF Bond Market		Х									×						×											

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Reportin	g Individual	's Name
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Sariuai	ow, David	
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	Asse	ts and I
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Reporting Individual's Name Sandalow, David									SCI			JLI				ed	1										Page Number 6	
Assets and Income BLOCK A				at repo	tion clos rting	e of		ts							typery is					Cf		nat it			\$20	01)"	is checked, no	
None	None (or less than \$1,001)	\$1,001 - \$15,000 \$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,061 - \$1,000,000	Over \$1,000.000 *	\$5.000.001 - \$25.000.000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Kent and Royaltes	Gains	None (or less than \$201)		\$1,001 - \$2,500	\$2,501 - \$5,000	(Am 080'055 - 100'515	\$50,001 - \$100,000	\$106,081 - \$1,000,000	Over \$1,000,000*.	\$1,046,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
UBS-RMA tax-free fund (money market fund)											х					Х												
2 Fonthelm International, LLC* Washington, DC							ľ																				spouse consulting fees	
Global Works Fund* Washington, DC																Ī											spouse consulting fees	
Principal Financial Group (401k) Russell LifePoints Equity Growth Strategy E (RELEX)		,									v					v												
5 Sandalow-Hammonds, LLC Washington, DC	×															Î										,	consulting service*	•
6 *clients of Sandalow-Hammonds, LLC consulting services reflected on Schedule A																												
**LLC entity for deposit of speaking and consulting services reflected on Schedule A																											175.54	
В							-																					
9																												

		SCHEDULE	3										Page 1	Vumbe		7		
Part I: Transactions			California	-	occurred to		None			Ī				-		-	***************************************	
eport any purchase, sale, or exchang		report a transaction involving property used solely as your		ansacti	7.77		Γ			Ar	mount	of Tran	saction	(x)				
r dependent children during the repo roperty, stocks, bonds, commodity fi courities when the amount of the trat 1,000. Include transactions that resu	utures, and other nsaction exceeded	personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase	ype (x	Exchange	Date (Mo., Day, Yr.)	\$1,001 -	\$15,001-	. 100.1	- 100,001	50,001 -	\$500,001 -	000,000*	- 100,000	55,000,001 -	\$25,000,001 -	Over \$50,000,000	Certificate of
		ation of Assets		Sale	Ä		£ 20	\$35		\$25	\$25	\$1.	9 12	\$3.	\$5.	\$25	\$50,0	8 :
Example: Central Airlines Common			X			2/1/99	-		X	-	-	-	-		-	-		-
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5		AND AND THE PERSON OF THE PERS	+				-		-	-		-	-	-	-	-	-	-
0 , 1.		at of the filer's spouse or dependent children. If the underlying	sset is	either	held													
y the filer or jointly held by the filer Part II: Gifts, Reimbur		dent children, use the other higher categories of value, as appro ravel Expenses	oriate.	_	-						_	_	_	-				_
da and the same and	rsements, and I ent children, report the uch as tangible items, to from one source totaling nents received from one it is helpful to indicate al under 5 U.S.C. § 41 ifts and reimbursements.	Travel Expenses source, a brief descrip- ansportation, lodging, more than \$260; and e source totaling more a basis for receipt, such l or other statutory s, include travel itinerary,	the Urecei	ved for the second of the seco	nt of s res	nment; giverelatives; relatives; relatives; relatives; relatives. Alcohological dence. Alcohological dence. Alcohological dence.	eccive ionshi so, for	p to y	your s	pouse r prov of agg	or de ided regati	epend as per ng gif	lent cl sonal fts to	hosp deterr	itally itality nine t struct	at he		1
Part II: Gifts, Reimbur for you, your spouse and depende ion, and the value of: (1) gifts (su ood, or entertainment) received fi 2) travel-related cash reimbursen han \$260. For conflicts analysis, s personal friend, agency approve hority, etc. For travel-related g es, and the nature of expenses in Source (Name and	rsements, and I ent children, report the uch as tangible items, to from one source totaling nents received from one it is helpful to indicate al under 5 U.S.C. § 41 ifts and reimbursement provided. Exclude any d Address)	ravel Expenses source, a brief descrip- ansportation, lodging, more than \$260; and source totaling more a basis for receipt, such l or other statutory s, include travel itinerary, thing given to you by	the Urecei inde the d total for o	ived f pende lonor' value ther e	ent of s resi e from exclu	relatives;	eceive ionshi so, for ce, ex	p to y	your s	pouse r prov of agg	or de ided regati	epend as per ng gif	lent cl sonal fts to	hosp deterr	itally itality nine t struct	at he ions	Value	
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Part II: Gifts, Reimbur For you, your spouse and depende ion, and the value of: (1) gifts (su ood, or entertainment) received fi 2) travel-related cash reimbursen han \$260. For conflicts analysis, se personal friend, agency approve hority, etc. For travel-related ges, and the nature of expenses Source (Name and Examples: Nat'l Assn. of Rock Colle	rsements, and I ent children, report the uch as tangible items, to from one source totaling nents received from one it is helpful to indicate all under 5 U.S.C. § 41 difts and reimbursement provided. Exclude any d Address) ectors, NY, NY	ravel Expenses source, a brief descrip- ansportation, lodging, more than \$260; and e source totaling more a basis for receipt, such l or other statutory s, include travel itinerary, thing given to you by Airline ticket, hotel room & meals incident to national conference 6/1	the Urecei inde the d total for o	ived f pende lonor' value ther e	ent of s resi e from exclu	relatives;	eceive ionshi so, for ce, ex	p to y	your s	pouse r prov of augu	or de ided regati	epend as per ng gif	lent cl sonal fts to	hosp deterr	itally itality nine t struct	at he ions	\$500	

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	rGovernment Ethics	3-15-09			-			-				-	Page N	lumber			_
Sandalow	, David		SCHEDUI	LE C											8 -		
Part I:	Liabilities				9						12		_				
	oilities over \$10,000 owed to		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and		No	one x	Г			Categ	ory of A	mount	or Val	ue (x)	-		
or depende	ent children. Check the high reporting period. Exclude	est amount owed	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Incurred	Interest Rate	Term is applicable	- 1007	\$15,001 -	3,001 -	50,000	\$250,001 -	- 100'00	er 000.000*	- 100,000	\$5,000,001 -	\$25,000,001-	Over \$50,000,000
	Creditors (Name a	ATTENDED TO A STATE OF THE PARTY OF THE PART	Type of Liability				20 20	55	\$50	\$71	\$25	\$ 55	8 2	55.	\$5	\$25	98
examples:	First District Bank, Washing		Mortgage on rental property, Delaware	1991	8%	25 yrs.			- ×-								
1	John Jones, 123 J St., Washi	ington, DC	Promissory note	1999	10%	on deman	nd .	-	-	-	X	-		-	-	-	\vdash
1'				1													
2															-102		
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5	-																
			iler's spouse or dependent children. If the liability is that of the	filer or a jo	oint liabili	ty of the fi	ler	_				_	_	_		1	_
PERSONAL PROPERTY.	pouse or dependent children								_		_		_			_	
Part I	I: Agreements or	Arrangements															
employee	our agreements or arrange benefit plan (e.g. 401k, by a former employer (in	deferred compensation	i; (2) continuation			(4) future or any of t							ling the	he rep	orting	5	
		Status and Terms of an	by Agreement or Arrangement							Partie	c		TOHE		_	T p	ate
xample:	Pursuant to partnership ag	reement, will receive lump :	sum payment of capital account & partnership share			D	oe Jones	& Smi	th, Hon	-						_	/85
1 401k			loyee or employer make any further contributions				Vorld W							_	-	8/2	2001
							Vashing		The second liverage and the second							0/6	0000
	ed Contribution Plan inve		h employer to which neither employee nor employer emination			122	rooking Vashing			ì						9/2	2003
3																	
4			Anna Anna Anna Anna Anna Anna Anna Anna								_			-			
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Prior Editions Cannot Be Used.

5 C.F.R Part 2634	
II & Office of Government	Ethice

Reporting Individual's	Name				Page Number	
Sandalow, David		1	SCHEDULE D		9	
Report any position compensated or not	Positions include but are	.S. Government le reporting period, whether e not limited to those of an officer, epresentative, employee, or	non-profit organization or education	partnership, or other business enterprise or any nal institution. Exclude positions with religious and those solely of an honorary nature.	, None	
	Organization	(Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Y
P-amples: Nat'l Assi	n. of Rock Collectors, NY, N s & Smith, Hometown, State	Y	Non-profit education Law firm	President Partner	6/92 7/85	Present
	titution, Washington, DC		Non-profit Organization	Senior Fellow	9/2003	present
2 Good Energies	s, Inc., Zug, Switzerland		Investment Firm	Consultant	1/2008	present
3 William J. Clin	ton Foundation, New Y	ork, NY	Non-profit Organization	Chairman, Working Group Climate Change	6/2005	10/2008
4 Garten Rothko	opf, Washington, DC		Advisory Firm	Consultant	1/2008	11/2008
5 First Voice Int	ernational, Rockville, M	5	Non-profit Organization (uncompensated)	Director	5/2007	present
6 Sandalow-Har Public Insight,	nmonds, LLC, Washing New York, New York	ton, DC	LLC Financial Consulting Firm	Member Consultant	1/2006 2/2008	present 2/2008
Report sources of mousiness affiliation	ore than \$5,000 compens for services provided direct	s Of \$5,000 Paid by One So ation received by you or your city by you during any one year of of clients and customers of any	corporation, firm, partnership, or of organization when you directly prov	her business enterprise, or any other non-profit vided the services generating a fee or payment report the U.S. Government as a source.	Do not complifyou are an Termination I Vice Presider or Presidentia None	Incumbent, filer, or ntial
	lame and Address)			Brief Description of Duties		
1 Brookings Inst McGraw-Hill/M	s & Smith, Hometown, State liversity (client of Doc Jones litution, Washington, DO AcCormick & Williams, N s, Inc., Zug, Switzerland	& Smith), Moneytown, State New York, NY	Legal services Legal services in connection with unit Policy Analysis Book Advance (2007) Consulting (client of Sandalow-F			
3 William J. Clin	ton Foundation, New Y	ork, NY	Consulting (client of Sandalow-F	dammonds)		
4 Garten Rothko	opf, Washington, DC		Consulting (client of Sandalow-F	lammonds)		
Rice Universit	Associates, Dallas, TX a y, Houston, TX	and Washington, DC	Consulting (client of Sandalow-Honoraria (client of Sandalow-H	ammonds)		
6 UBS AG Washington S	peakers Bureau, Wash	ington DC	Honoraria (client of Sandalow-Happersia for conference organi	ammonds) zed by Brown Fin. Advisory Mangt (client o	of Condolous Hom	monds)