

(Check box if filing extension granted & indicate number of days \_\_\_\_\_) ☐

(Check box if comments are continued on the reverse side) ☐

**Schedule D**—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

**SECURITY INFORMATION**

**OFFICIAL USE ONLY**

MAR 16 2009

Assets and Income		Valuation of Assets at close of reporting period										Income: Type and amount. If "None (or less than \$200)" is checked, no other entry is needed in Block C for that item												
BLOCK A		BLOCK B										BLOCK C												
For you, your spouse, and dependent children report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, for which you generated more than \$200 of income during the reporting period, together with such income.		None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000,000*										Type Dividends Rent and Royalties Interest Capital Gains None (or less than \$200) \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000* \$1,000,001 - \$5,000,000 Over \$5,000,000												
Examples: Central Airlines; Gannett; Doe Jones & Smith, Hometown, State; Kempstone Equity Fund; IRA; Iceland 500 Index Fund												Other Income (Specify Type & Amount) Date (MM/DD/YY) Only if Honoraria												
1	City of Seattle																					\$216,515.00		
F	Chief of Police																					Salary		
2	Seattle University																					\$3,700.00		
F	Adjunct Professor																					Salary		
3	Rosenkrantz Foundation																					\$1,000.00		Oct. 28, 2008
F	Guest Debater																					Honorarium		
4	Maryland Police Chiefs' Association																					\$1,500.00		Sept. 10, 2008
F	Guest Speaker																					Honorarium		
5	Washington State Dept. of Retirement Systems: LEOFF Plan 2 Defined Benefit Plan, value not readily ascertainable																					\$2,500.00		
F	City of St. Petersburg (FL)																					per month upon retirement		
6	Defined Benefit Plan																					\$10,095.00		
F	Value not ascertainable																					Pension Income		

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.



Page Number

**Income type and amount.** If "None (or less than \$200)" is checked, no other entry is needed in Block C for that item.

**Prior Editions Cannot be Used**

## (Use only if needed)

[illegible]



Reporting Individual's Name  
Richard G. Kerlikowski

# SCHEDULE A continued

(Use only if needed)

Page Number

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## Assets and Income

BLOCK A

Valuation of Assets  
at close of  
reporting period  
BLOCK B

Income, type and amount. If "None (or less than \$201)" is checked, no  
other entry is needed in Block C for that item.

BLOCK C

Type

Amount

Other  
Income  
(Specify  
Type &  
Amount)  
Date  
(Mo., Day,  
Year)  
Only in  
Horizontal

None (or less than \$1,001)  
\$1,001 - \$15,000  
\$15,001 - \$50,000  
\$50,001 - \$100,000  
\$100,001 - \$250,000  
\$250,001 - \$500,000  
\$500,001 - \$1,000,000  
Over \$1,000,000\*  
\$1,000,001 - \$5,000,000  
\$5,000,001 - \$25,000,000  
\$25,000,001 - \$50,000,000  
Over \$50,000,000  
Excepted Investment Fund  
Excepted Trust  
Qualified Trust  
Dividends  
Rent and Royalties  
Interest  
Capital Gains  
None (or less than \$201)  
\$201 - \$1,000  
\$1,001 - \$2,500  
\$2,501 - \$5,000  
\$5,001 - \$15,000  
\$15,001 - \$50,000  
\$50,001 - \$100,000  
\$100,001 - \$1,000,000  
Over \$1,000,000\*  
\$1,000,001 - \$5,000,000  
Over \$5,000,000

None ☐

1 Texas Instruments Corp

J 3M Company  
Weyerhaeuser

2 GMAC Bank of New York: Certificates of  
Deposit

3 Hebrew University of Jerusalem

4

5

6

7

8

9

\$300.00  
Consulting Fee Jan. 1, 2008

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

**SCHEDULE A continued**

(Use only if needed)

Assets and Income	Valuation of Assets disclose of reporting period												Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for this item.												Other income (Specify type & amount)	Date (Mo./Day/Yr.) Only if Honoraria
	BLOCK B												BLOCK C													
	Type												Amount													
None <input type="checkbox"/>	None (or less than \$1,001)																									
	\$1,001 - \$15,000																									
	\$15,001 - \$50,000																									
	\$50,001 - \$100,000																									
	\$100,001 - \$250,000																									
	\$250,001 - \$500,000																									
	\$500,001 - \$1,000,000																									
	Over \$1,000,000*																									
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	\$5,000,001 - \$25,000,000																									
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	Over \$50,000,000																									
	Excepted Investment Fund																									
Excepted Trust																										
Qualified Trust																										
	Dividends																									
	Rent and Royalties																									
	Interest																									
	Capital Gains																									
	None (or less than \$201)																									
	\$201 - \$1,000																									
	\$1,001 - \$2,500																									
	\$2,501 - \$5,000																									
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	\$15,001 - \$50,000																									
	\$50,001 - \$100,000																									
	\$100,001 - \$1,000,000																									
	Over \$1,000,000*																									
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\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.



Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

SCHEDULE B

Part I: Transactions

Report any purchase, sale or exchange by you, your spouse, or dependent children during the reporting period of any real or personal residence, or a transaction solely between you or your spouse or dependent child. Check the Certificate of Divestiture block to indicate sales made pursuant to a securities divestiture program resulting in a loss. Do not include transactions that resulted in a loss. Do not include transactions that resulted in a loss.

Identify the transaction by date, location, and description.

Example: General Advice Common																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																														
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\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260, and (2) travel-related reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 41101, or other station authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government, given to your agency in connection with official travel received from relatives received by your spouse or dependent child totally independent of their relationship to you, or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None

	Source (Name and Address)	Brief Description	Value
1	Examples: Nat'l Ass'n of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room, & meals incident to national conference 6/15/99 (personal activity unrelated to duty)	\$500
2			
3			
4			
5			

U.S. Office of Government Ethics  
Reporting Individual's Name

Richard G. Kerlikowski

Do not Complete Schedule B if you are a new entrant, nominee, or Vice Presidential or Presidential Candidate

# SCHEDULE B continued (Use only if needed)

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## Part I: Transactions

		Transaction Type (X)			Date (Mo., Day, Yr.)	Amount of Transaction (X)												Certificate of divestiture
	Example: Central Airlines Common Stock	Purchase	Sale	Exchange		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		
1		X			2/1/99			X										
2																		
3																		
4																		
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6																		
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8																		
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10																		
11																		
12																		
13																		
14																		
15																		
16																		

\* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Prior Editions Cannot Be Used.



Reporting Individual's Name  
Richard G. Kerlikowske

SCHEDULE C

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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the interest amount owed during the reporting period. Exclude amortized on your personal residence unless it is rented out, loans secured by automobiles, household furniture or appliances, and liabilities owed to certain relatives. See instructions for revolving charge accounts.

None ☒ X

Category of Amount or Value (X)

Creditor (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (X)									
					\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	Over \$25,000,000
Example: First District Bank, Washington, DC John Jones, 12345 Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs			X							

\* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for continuing participation in an employee benefit plan (e.g., 401k, deferred compensation, (2) continuation payment by a former employer (including severance payments), (3) leaves

of absence, and (4) future employment. See instructions regarding the reporting of one or more of these arrangements or benefits.

None ☐

Example:	Agreement or Arrangement	Date
Example: Partnership agreement will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00	Partnership agreement	1/85
1 City of Seattle Deferred Compensation. I will continue to participate in my deferred compensation plan.	Filer and City of Seattle	3/07
2 Neither I nor the City will continue to contribute to the plan.	Filer and State of Washington	9/00
3 Washington State Dept. of Retirement Systems-LEOFF Plan 2. This is a defined benefit. Plan. I and the City of Seattle contribute to the plan. I cannot choose the investment options. Upon retirement I will receive a monthly benefit of \$ 2,500.	Filer and City of St. Petersburg Feb. 1972	
4 City of St. Petersburg (FL) Defined Benefit Plan. I receive \$841.25 monthly beginning at age 55.		
5		
6		

Reporting Individual's Name  
 Richard G. Kerlikowske

**SCHEDULED**

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**Part I: Positions Held Outside U.S. Government**

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

Note ☐

Examples	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Doel Jones & Smith, Portland, Ore.	Doel Jones & Smith, Portland, Ore.	Law firm	Partner	6/92	Present
1	Northwest HIDTA, Seattle, WA	Public Agency	Board Member	Jan. 2006	present
2	Seattle Police Department, Seattle, WA	Public Agency	Chief of Police	Aug. 2000	present
3	Fight Crime, Invest in Kids, Washington DC	Private Non-Profit Advocacy	Chairman, National Board	Feb. 98	present
4	Major Cities' Chiefs Association, Washington DC	Private Non-Profit, Prof. Association	President	April 08	present
5	Salvation Army, Seattle, WA	Non-Profit Charitable	Advisory Board Member	Jan. 02	present
6	United Way of King County, Seattle, WA	Non-Profit Charitable	Seattle Advisory Board Member	March 03	12/2008
7	Seattle University, Seattle, WA	Institution of Higher Education	Adjunct Professor	Jan. 02	present
8	Hebrew University of Jerusalem, Jerusalem, Israel	Institution of Higher Education	Consultant Reviewer of Academic Report	Jan. 2008	1/2008
9	CSR, Inc, Arlington, VA	DOJ Contractor	Consultant Reviewer	1983	present

**Part II: Compensation In Excess Of \$5,000 Paid by One Source**

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent. Termination Filer, or Vice Presidential or Presidential Candidate. Note ☐

Examples	Source (Name and Address)	Legal Services	Brief Description of Duties
Doel Jones & Smith, Portland, Ore.	Doel Jones & Smith, Portland, Ore.	Legal services in connection with attorney's construction	
1	City of Seattle	Salary as Chief of Police for the City of Seattle	
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3			
4			
5			
6			