5 C.F.R art 2634.

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

OMB No. 3209-0001

U.S. Office of Government Ethics		•	
Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)	Reporting Status Calendar Year (Check Incumbent Covered by Report	New Entrant, Nominee. Termination Termination Date (If Appli	Fee for Late Filing Any individual who is required to
		or Candidate Filer	file this report and does so more than
	Last Name	First Name and Middle Initial	30 days after the date the report is required to be filed, or, if an extension
Reporting Individual's Name			is granted, more than 30 days after the
	CARTER	Ashton B.	last day of the filing extension period
	Title of Position	Department or Agency (If Applicable)	shall be subject to a \$200 fee.
Position for Which Filing	Under Secretary of Defense for Acquisition, Technology &	Department of Defense	
	Logistics		Reporting Periods
Location of Present Office	Address (Number, Street, City, State, and ZIP Code)	Telephone No. (Include Area Code)	Incumbents: The reporting period is the preceding calendar year except
(or forwarding address)	Harvard University, Cambridge MA	88 617-495-1000	Part II of Schedule C and Part I of
		00 01/-4-3-1000	Schedule D where you must also
Position(s) Held with the Federal	Title of Position(s) and Date(s) Held		include the filing year up to the date
Government During the Preceding 12 Months (If Not Same as Above)	None .		you file. Part II of Schedule D is not applicable.
12 Mondis (II Not Sallie as Above)	ivolic		appricaole.
			Termination Filers: The reporting
Presidential Nominees Subject to	Name of Congressional Committee Considering Nomination	Do You Intend to Create a Qualified Diversified Trust?	period begins at the end of the period
Senate Confirmation	Senate Armed Services Committee	Yes X No	covered by your previous filing and ends
		Yes ^ No	at the date of termination. Part II
Certification	Signature of Reporting Individual	Date (Month, Day, Year)	of Schedule D is not applicable.
CERTIFY that the statements I have	Signature of Reporting Individual		Nominees, New Entrants and
made on this form and all attached	()	MAD a p. Good	Candidates for President and Vice
schedules are true, complete and correct to the best of my knowledge.	lot the last	MAR 1 8 2009	President:
to the best of my knowledge,	and of conse	→	Schedule A. The reporting period for
	Signature of Other Reviewer	Date (Month. Dav. Year)	Schedule A. The reporting period for income (BLOCK C) is the preceding
Other Review		3/19/09	calendar year and the current calendar year up to the date of filing. Value
(If desired by	2677	3/17/07	assets as of any date you choose that is
agency)	b ()		within 31 days of the date of filing.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	al Date (Month, Day, Year)	Schedule B-Not applicable
Agency Ethics Official's Opinion On the basis of information contained			The state of the s
in this report, I conclude that the filer is			Schedule C. Part I (Liabilities)
in compliance with applicable laws and regulations (subject to any comments			The reporting period is the preceding calendar year and the current calendar
in the box below).			year up to any date you choose that is
0.00	Signature /	Date (Month Day Year)	within 31 days of the date of filing.
Office of Government Ethics Use Only		2/27/0	at the areas
Ose Only	Wall of their	3/23/07	Arrangements)—Show any agreements
Comments of Reviewing Officials (If addit	tional space is required, use the reverse side of this sheet)		or arrangements as of the date of
	What howis	Climan and a second of the sec	filing.
	(Check box if)	filing extension granted & indicate number of days)	Schedule D-The reporting period is
			the preceding two calendar years and
			the current calendar year up to the
		*	date of filing. Agency Use Only
			- Sour Cor Only
			OGE Use Only
		(Check box if comments are continued on the reverse side)	

U.S. Office of Government Ethics		
Reporting Individual's Name		Page Number
CARTER, Ashton B.	SCHEDULE A	1
Assets and Income	Valuation of Assets at close of Income: type and amount. If "None (or less that other entry is needed in Block C for that item.	in \$201)" is checked, no
DV C CTC :	reporting period	
BLOCK A	BLOCK B BLOCK C	
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other	1 (51,000) 100 100 100 100 100 100 100 100 100	Other Date (Mo., Day, Yr.) Type & Actual Only if Honoraria
than from the U.S. Government). For your spouse report the source but not the amount of earned income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of your spouse). None	\$1,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$10,000 \$15,001 - \$100,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$250,001 - \$250,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000 - \$15,000 \$25,001 - \$15,000 \$25,001 - \$15,000 \$25,001 - \$15,000 \$25,001 - \$15,000 \$25,001 - \$15,000 \$25,001 - \$15,000	(Specify Type & Actual Amount) Only if Honoraria
Examples Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA: Heartland 500 Index Fund		Law Partnership Income \$130,000
1		
2		
3		
4		
5 Bank of America Checking Account	x x x x	
6		
* This category applies only if the asset/income i	is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the fi	iler with the spouse or dependent children,

Prior Editions Cannot be Used,

5 C.F.R Part 2634 U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 2 CARTER, Ashton B. (Use only if needed) Assets and Income Valuation of Assets Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted Investment fund \$25,000,001 - \$50,000,000 Income (Mo., Day, 55,000,001 - \$25,000,000 \$1,000,001 - \$ 5,000,000 None (or less than \$201) (Specify Yr.) \$500,000 - \$1,000,000 \$100,001 - \$1,000,000 Type & \$100,001 - \$250,000 \$250,001 - \$500,000 \$50,001 - \$100,000 Rent and Royatties \$1,000,001 - \$5,000, Over \$1,000.000 * Actual Only if Over \$50,000,000 \$50,001 - \$100,000 Over \$1,000,000* \$1,001 - \$15,000 Over \$5,000,000 Amount) Qualified Trust Honoraria **Excepted Trust** 55,001 - \$15,000 \$2,501 - \$5,000 \$1,001 - \$2,500 (applied of the \$201 - \$1,000 Interest None Harvard University Salary Cambridge, MA 02138 \$238,235 2 Global Technology Partners, LLC Distribution Boston, MA 02110 \$54,797 80 (consulting firm) \$47,000 09 The MITRE Corporation Trustee & 7515 Colshire Drive Consulting fees McLean, VA 22102-7508 \$65,500 4 Goldman Sachs Consulting fee One New York Plaza, 40th floor New York, NY 10004 \$20,000 5 Massachusetts Institute of Technology Advisory Board Lincoln Laboratory - 244 Wood St. Jun-11-08 fee Lexington, MA 02420 \$1,000 7 Brookings Institution Washington, DC 20036-2103 X Managing Nuclear Operations

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Brookings Institution
 Washington, DC 20036-2103
 Ballistic Missile Defense
 (values of books in items 7 and 8 are not readily ascertainable)

	Office of Government Ethics orting Individual's Name					***************************************				~	~~	A. A		~~~	-	-	-	, .		7		-	-			-	- Control of the last			T	Page Number	
CA	RTER, Ashton B.	>								S	CE			ILI					lue	d						1					3	
				P1444-484-484								(U	se	only	if	nee	ede	d)			-	-			,							
_	Assets and Income				repo	t clo	se o	of erio																			less tem.		\$20)1)" i	is checked, no	
	BLOCK A	288333	1988	881	300000	BLO	CK B	3	8888		200000	4	5556651	188			-		_	-				BI	OCK				-			· ·
															₩.	- 10	Ty	pe		_		-	***		Am	oun	t		XXXX		-	-
	None	None (or less than \$1.001)	\$1,001 - \$15,000	SS0,001 - \$100,000	\$106,001 - \$250,000	\$250,001 - \$500,000	\$509,001 - \$1,000,000	Over \$1,000.000 *	\$1,000,001 - \$ 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains	None (or less than \$201)	\$201-\$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001-\$50,000	\$50,001 - \$100,000	\$100,401 - \$1,600,000	Over \$1,000,000*	\$1,000,001 - \$5,000,009	Over \$5,080,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day Yr.) Only if Honoraria
1																																
2	Center for a New American Security, Inc. 1301 Pennsylvania Ave. NW, suite 403 Washington, DC 20004																														Essay fee \$10,000	Jun-30-0
3						-																										
4																															N. C.	
5	Aspen Strategy Group (Aspen Institute) Washington, DC first installment on paper for Aug-08 mtg																														Essay fee \$500	Aug-08-0

7 Center for Strategic & Budgetary Assess. Jui-18-08 Honorarium Washington, DC
SecDef fellows Jul-09-08 presentation

The Analysis Group - 300 North \$600 Speaking Fee Jul-21-08 Washington St., suite 101 - Falls Church VA 22046 Jan 31-08 USAF CP conf. \$2,000

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

6

porting Individual's Name		SCHEDULE	A continued	Page Number 4
ARTER, Ashton B.		(Use only		
Assets and Income	Valuation of Assets at close of reporting period BLOCK B		Income: type and amount. If "None (or less than \$201) other entry is needed in Block C for that item. BLOCK C	" is checked, no
None		SS,000,001 - S25,000,000 S25,000,001 - S50,000,000 Cver S50,000,000 Excepted Investment Fund Excepted Trust	Type Amount ###91919 ### (1025 ##	Other Date (Mo., D (Specify Yr.) Type & Actual Amount) Honora
Raytheon Dallas, TX Oct-20-08 Service: Critical Analysis				\$10,000 Meeting Fee
				Memoranda

U.S. Office of Government Ethics		
Reporting Individual's Name	SCHEDULE A continued	Page Number
CARTER, Ashton B.	(Use only if needed)	5
	(OSO OHI) II HOODOU	
Assets and Income	Valuation of Assets at close of Income: type and amount. If "None (or less to other entry is needed in Block C for that item."	han \$201)" is checked, no
BLOCK A	reporting period BLOCK B BLOCK C	
	Type Amount	
None	# \$1,000) 00 000 000,000 000,000 000,000 000,000 000,000 000,000 000,000 000,000 000,000 000,000 000,000 000,000 000,000	Other Income (Mo., Dav., Yr.) Type & Actual Amount) Only if Honoraria
1 The Boeing Company (50 shares, owned by child 1)		
2 The Boeing Company (50 shares, owned by child 2)	x x x	
3		
4		
5		
6		
7		
8		
9		
* This category applies only if the asset/income mark the other higher categories of value, as app	is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by tropriate.	the filer with the spouse or dependent children

Prior Editions Cannot be Used.

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U.S. Office of Government Ethics Reporting Individual's Name

Reporting Didividuals Name											S	CE	ED	UI	Æ	A			300 M									Page Number	6	•
Assets and I				V		tion at clo	se o		s												ock C		that			1 \$20	01)"	is checked, n	0	and the second s
For you, your spouse, and dereport each asset held for inverse the special production of income which value exceeding \$1,000 at the ing period, or which generate in income during the reportion with such income. For yourself, also report the amount of earned income exthan from the U.S. Government the source but not the income of more than \$1,000 actual acount of any honoraryour spouse).	ependent children, vestment or the had a fair market he close of the report- ed more than \$200 hig period, together source and actual ceeding \$200 (other hent). For your spouse, amount of earned (except report the ria over \$200 of	None (or less than \$1,001)	\$1,001 - \$15,000	S15,001 - 350,000	\$300,001 - \$100,000 \$300,001 - \$250,000		1.01KG	Over \$1,000.000 * \$1,000.000 \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted investment fund	Excepted Trust	Dividends	Royalties	Interest	Capital Gains	None (or less than \$201)	\$201-\$1,000	\$1,001 - \$2,500	\$2.50) - \$5.000 66.001 - \$16.000	Ai	nour	90	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date (Mo., Day, Yr.) Only if Honoraria
Examples Doe Jones & Smi Kempstone Equit IRA: Heartland 5	th, Hometown, State			2	3				-					X						x	3				-			Law Pertaership Income S	130,000	40 400mm pg 4040m 00 0000
1 Bank of America Corp			X											х					×											
2 BB&T Corp			x											X					K											
3 Franklin Resources in	nc.		x											x				х												
4 Bank of New York Me	ellon Corp.		X											×				×												
5 Capital One Financia	Согр.		х											×				×												
6 ConocoPhillips	-		X											×				x												
* This category applies onl mark the other higher categ	y if the asset/income is	solely	that	of the	e filer	's spo	use o	r depe	nden	t chi	ldren	. If t	he ass	et/in	com	e is o	ither	that	ofth	ne file	er or j	ointl	y held	by t	the fi	ler w	ith th	ne spouse or dep	ende	nt children,

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U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE A continued 7 CARTER, Ashton B. (Use only if needed) Valuation of Assets **Assets and Income** Income: type and amount. If "None (or less than \$201)" is checked, no at close of other entry is needed in Block C for that item. reporting period BLOCK A BLOCK B BLOCK C Type Amount Other Date Excepted Investment Firm Income (Mo., Day, 000'000'081 - \$50'000'000 \$5,000,001 - \$25,000,000 \$201) \$1,000,001 - \$ 5,000,000 (Specify Yr.) 8500,001 - \$1,000,008 Type & \$250,001 - \$500,000 Rent and Royathies \$50,001 - \$100,000 Over \$1,000.000 * Actual Only if None (or less than \$50,001 - \$100,000 Over \$50,000,000 Over \$1,000,000* \$15,001 - \$50,000 51,001 - \$15,000 Oualified Trast Over \$5,000,000 Honoraria Excepted Trust Amount) 85,001 - \$15,000 \$1,001 - \$2,500 \$2.401 - \$5.00d) Capital Cours JIII 18 1025 Dividends None Cisco Systems, Inc. X X 2 Chevron Corp. X X X 3 E.I. DuPont de Nemours & Co. X X 4 Dell Inc. X X 5 Diageo PLC ADR X X 6 Diamond Offshore Drilling X X 7 Dover Corp. X X 8 Eaton Corp. X X 9 General Electric Co. X X This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children. mark the other higher categ

A.S. Office of Government Ethics Reporting Individual's Name							-	SC	H	וחה	JLE	Δ	cor	tin	har	-		-	Vingania						Page Number	
CARTER, Ashton B.								90			only				ucu									,	8	
					<u> </u>					T		1.														
Assets and Income				at	ion of close	of						otl	com ner e	ntry i	s nee	d an	in Bl	ock	C fo	ne (or tha	or les at iten	n.	ian \$2	01)"	is checked, no	
BLOCK A		1 1	****	E	BLOCK	(B	10000001	188	888		. 1888		780		_				-	CK (
None	None toe 158 than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000 \$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	Over \$1,000,000 *	\$1,000,000 \$ 5,000,000	\$5,000,001 - \$25,000,000	Over \$50,000,000	Excepted insertment bund	Excepted Trust	Dividends	Coynthes	Interest	None (or less than \$201)	\$201 - \$1,600	\$1,001 - \$2,500	\$2,501 - \$5,000		\$15,061 \$50,400	550,001 - \$100,000 m		Over \$1,000,000* \$1,000,001 \$5,000,000	. Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Intel Corp.		×										×			×											
2 Illinois tool Works		x										X			×											
3 Johnson & Johnson		х										×				×										
4 Lincoln National Corp.		×										×			X											
5 3M Company		x									1	×														
8 Molex Inc.		×										×			×											
7 Merck & Co. Inc.		x										×				×										
8 Microsoft Corp.		×										×			×										-	
9 M&T Bank Corp.		х										X			×											

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eporting Individual's Name									·S	CH	ED	U	LE	A	con	tin	ue	d									F	Page Number 9	
CARTER, Ashton B.			MARKET STATE					-	•		(Us	e o	nly i	fne	eede	ed)								,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
Assets and Income			7		ation at cl	ose (of							Inc	com	e: ty	pe :	and a	amo d in	unt. Blo	If"	Non-	e (or that	less	tha:	n \$20)1)" i	s checked, no	
BLOCK A				10		CK I		1									- 1)	BLOC	Address of the last					1	
None	None for less than \$1.00.1)	\$1,001 - \$15,000	XISH01 - 850,000	\$50,001 - \$100,000	\$250,001 - \$500,000	\$500,001 > 31,000,000	Over \$1,000.000 *	\$1,000,001 - \$.5,000,000	55,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Carefully and Smean Filler	Excepted Trust Qualified Trust	Dividends	Rent and Royathes		Capital Guns	None (or less than \$201)	SZH - NI GIU	51,001 - 52,500	85 001 - 815 000	n e	\$50.001 - \$100.000	100	Over \$1,000,000*	\$1,014,001 - \$5,040,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
1 Nokia Corp.		×												X				×										•	
2 Procter & Gamble Co.		x												×				×											
3 Parametric Technology Corp.		x																×											
4 Prudential Financial Inc.		×												x				×											
5 DWS Tax-Exempt Cash Institutional Shares		×														х												•	
6 Staples Inc.		X												×				х											
7 Seagate Technology		х												×				x											
8 Symantec Corp.		Х																х											

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9 Talisman Energy Inc.

	Office of Government Ethics orting Individual's Name									S	CH	TC.	DU	LE	A	col	ntin	ue	i				-						Page Number	
CAI	RTER, Ashton B.				************************									only															10	
	Assets and Income					at c	n of lose ng p	of eriod							In	con	ne: ty	pe a	nd ar	mou in i	int. Bloc	k C	lone for t	hat i	less tem.	than	\$20)1)" i	is checked, no	
																Ty	ре		100000	31	193938	a -	Am	oun	t		10000000			
	None	None (or less than \$1 00f)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$1,00,000	\$250,001 - \$250,000	S500,000 - S1,000,000	Over \$1,000.000 *	\$1,000,001 ~ \$ 5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted insertment fund	Excepted Trust Considered Trust	Dividends	Hent and Repatites	Interest		None (of less than 340.1)	\$1,001 - \$2,500	\$2.501 \$5.000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,0H,0H1 - \$5,000,400	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., D Yr.) Only Honora
1	Unilever NV		×												×			3	<											
2	Verizon Communications Inc.		×												×			7	(
3	Walgreen Co.		x									0.000			×			7	×											
4	Waters Corp.		×									***************************************						3	4											-
5	American Express Co.		×												×		1	3	C C											
6	Apple, Inc.		Y									- S	288		8				7			8					***			

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

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7 Accenture Ltd.

8 Allergan Inc.

9 Ametek Inc.

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9 FMC Technologies Inc.

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	porting Individual's Name ARTER, Ashton B.	SCHEDULE A continued Page Number 12	
		(Use only if needed)	
	Assets and Income	Valuation of Assets at close of reporting period Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	
	BLOCK A	BLOCK B BLOCK C	
		Income (Specify Type & Actual (Company)	Date do., Dav. Yr.) Only if onoraria
	None	\$1,0 \$1,0 \$1,0 \$25,0 \$25,0 \$25,0 \$25,0 \$1,0 \$1,0 \$1,0 \$1,0 \$1,0 \$1,0 \$1,0 \$1	
1	Google Inc.	X X X X X X X X X X X X X X X X X X X	
2	IDEXX Laboratories Inc.	X X X X X X X X X X X X X X X X X X X	
3	Intuitive Surgical Inc.	x x x x x x x x x x x x x x x x x x x	
4	Mastercard Inc.	x x x	
5	MICROS Systems Inc.	X X	
6	Medtronic Inc.	x x x	
7	Millipore Corp.	x x x x x x x x x x x x x x x x x x x	
8	NetApp Inc.	x x	
9	PepsiCo. Inc.	X X X	
	This category applies only if the asset/incom	me is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent	t children,

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U.S. Office of Government Ethics		
Reporting Individual's Name	SCHEDULE A continued	Page Number
CARTER, Ashton B.	(Use only if needed)	
Assets and Income	Valuation of Assets at close of reporting period BLOCK B Income: type and amount. If "None (or less than \$201)" other entry is needed in Block C for that item. BLOCK C	is checked, no
	Str. Str.	Other Income (Mo., Dav. (Specify Yr.) Type & Actual Amount) Only if Honoraria
None		
1 PetSmart Inc.	X X X	
2 Parametric Technology Corp.	X X	
3 Roper Industries Inc.	X X X X X X X X X X X X X X X X X X X	
4 Charles Schwab Corp.	x x x	
5 Schlumberger Ltd.	x x	
6 Stryker Corp.	X X X	
7 Trimble Navigation Ltd.	X X	
8 Ultra Petroleum Corp.	X X	
9 Oppenheimer Developing Mkts Fund, A	X X X X X X X X X X X X X X X X X X X	
* This category applies only if the asset/income mark the other higher categ	s solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with	the spouse or dependent children

5	C.F.	RE	art	2634		

	ice of Government Ethics			-		_		-		-	-	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			-						-				NAME OF TAXABLE PARTY.	-		(understanding to)	-		Constitution of the last	
•	ng Individual's Name ER, Ashton B.									S								tin	ue	d										Page Number	4	
											-	(U	se (only	y if	ne	ede	ed)		-								····				
	Assets and Income			1		at c	lose ing p	of eriod															ock C		that i			\$20	01)"	is checked, no		4
3	None	None for less than \$1.(0)!)	\$1,001 - \$15,000	815,001 \$50,000	\$50,001 - \$100,000	\$100 003 - 100 0550 COM	9		\$1;000:001 \$ 5;000;000	\$5,000,001 - \$25,000,000	525.000.000 - 550.000.000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Onalified Trust	Dividends	Rent and Boyalties		Capital Gains	None (or less than \$201)	\$211 - \$1,000	\$1,001 - \$2,500	\$5,001 - \$15,000	8	\$50,001 - \$100,000	\$100,001 - \$1,600,000	Over \$1,000,000*	\$1,600,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date (Mo., Day, Yr.) Only if Honoraria
1 Be	rkshire Hathaway CL B		х													,				×												
2 S	HARES FTSE/XINHUA CH25		x		,															X												
3 ISI	HARES MSCI EAFE INDEX		X										*							Х										H		· ·
4 IS	HARES S&P LATIN AMER40		х										X							X											1	
5 ISI	HARES MSCI BRAZIL INDX		х										X			x				x												
6 ISI	HARES MSCI MEXICO		×										X							Х												
7 S	HARES MSCI EMERGING			×																X												
8 Fa	irholme Fund			Х												×			X		×											Period name in control of the contro
9 Br	own Adv Maryland Bond Fund (BIAMX)					×							×			×							×									
	s category applies only if the asset/income is he other higher categ	solel	y th	at of	the fi	ler's	spous	e or d	epen	dent	chi	ldre	n. Ii	f the	ass	et/in	com	ne is	eithe	r th	at of	the f	iler o	join	ly he	ld by	the	filer	with	the spouse or de	endo	ent children,

9 Oppenheimer Global Fund Class A

5 C.	J. R. Part 2634 6. Office of Government Ethics																														
Rep	porting Individual's Name										SC	HE	idi	ULI	R. A	10	ont	in	II A	4							- Illinois			Page Number	
CA	ARTER, Ashton B.													only					uvi											15	
																											-				
	Assets and Income			,		-	clos	se of	•	ets																less item		\$20	01)"	is checked, no	
	BLOCK A				re	eport BI		per KB	por														B	LOCK	C					*	
																	Тур	e	T			****			oun	t	-				
	None	None (or less than \$1,000)	\$1,001 - \$15,000	\$15,001 \$50,000	850,001 - 8100,000	\$140,601 \$250,000	\$250,001 - \$500,000	\$540,807 \$1,000,000	Over \$1,000.000 *	STATION OF SAME AND ONE	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted livestment Fund	Excepted Trust	Qualified Irusi		Royalties			None (or less than \$201)	8.201 SLAMU	\$1,001 - 24,000 \$7.501 - 85,000	55,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	51,000 001 - 85,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
	Fundamental Invs Inc-F (American Funds)																			X											
	AMR FD SR1 TX EX FD MD (American Funds)			×	,									•		×					×										
3	Bond Fund of America-A (American Funds)		×													×					,	3									
4	(American Funds)									×			×									×									
5	Anne Arundel 5% 3/1/2017 (refunding bonds)																	×			,	(
6	(water utilities improvement; sewer improvement; refunding notes)			х														×			× .										
	Maryland ST 5.25% 3/1/2018 (public improvement)			×														x													
8	Maryland ST 5.25% 12/15/2014 (transit improvement)		X															X													

X

^{*} This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

U.S. Office of Government Ethics Reporting Individual's Name	P	age Number
CARTER, Ashton B.	SCHEDULE A continued	16
	(Use only if needed)	
Assets and Income	Valuation of Assets at close of reporting period Income: type and amount. If "None (or less than \$201)" is other entry is needed in Block C for that item.	checked, no
BLOCK A	BLOCK B BLOCK C Type Amount	
None	S1,001 - \$15,000	Other Income (Mo., Day, Yr.) Type & Actual Amount) Only if Honoraria
Capital Partners Management Co. Baltimore, MD (spouse)(financial analyst)		salary
T. Rowe Price Growth Stock		
T. Rowe Price Small-Cap Value		
T. Rowe Price Equity Income		
T. Rowe Price Spectrum Income		
Fidelity 403(B), Fidelity Small Cap Independent Fund	X X X	
Fidelity Low Price Stock Fund	x x x	
Fidelity Investment Grade Bond Fund		
Vanguard 403(B), Vanguard Int'l. Growth Fund	X X	

5 C.F.R Part 2634

Reporting Individual's Name								S	CH	ED	UI	E	Ac	on	tin	ue	d										Page Number 17	
CARTER, Ashton B.										(Use							-										11	
Assets and Income				at repo	clos	perio							Inc	omer en	e: ty	pe a	nd a	nou in l	int.	(C)	lone for the	at it	less tem.	than	\$20	01)" i	is checked, no	
None	None (as less than \$1.403)	\$1,001 - \$15,000	\$50,001 - \$100,000	\$100,001 \$250,000	\$250,001 - \$500,000	\$500,001 - 31,000,000 Over \$1,000,000 *	\$1,600,601 \$ 5,000,000	\$5,000,001 - \$25,000,000	525,000,001 - 550,000,000	Over \$50,000,000 Freened Investment Fund	Excepted Trust	Qualified Arust	Dividends	Rentund Resultes		Capital Calus	None (or less than \$201)	\$1.001 - \$2.400	82,501 - \$5,000	\$5,001 - \$15,000	Am 000'055' 100'515	\$50,001 - \$100,000	90	Over \$1,000,000*	81.000,000 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date Mo., Do Yr.) Only i
1																		-										
2 ABS Partners III, LLC: S Cyveillance, Inc.(internet Intel) Familymeds Group, Inc.(on line dru Workscape, Inc.(HR service)																	×										2	
6 4																											*	

s (health, hospital, nursing home improvement)

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categ

eporting Individual's Name						SC	CHI	EDU	JLE	Ac	onti	nu	ed										Page Number 18	3
ARTER, Ashton B.							(1	Use	only i	fnee	eded)									·			
Assets and Income			ation at clo portin BLO	ose of	f iod	S					ome: r entr					ock C		that i			\$2	01)"	is checked, no	
None	None (or less than \$1,001) \$1,001 - \$15,000	\$50,001 - \$100,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000.000 * \$1.600.003 . \$ 5.000.000	\$5,000,001 - \$25,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust Qualified Trust		Rent and Resulties	Gains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$5,001 - \$15,000	0	\$50,001 - \$100,000	\$100,001 \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)	Date (Mo., Da Yr.) Only if Honorar
Maryland ST 0% 7/1/29 (refunding bonds; health, hospital, nursing home improvement)		X									×				×									
DWS Core Plus Income Fund Class S								×					x											- Acceptance
Chevron Corp.												х		×										
																							/	

ARTER, Ashton B.		Use only if needed)	Page Number 19
Assets and Income	Valuation of Assets at close of reporting period	Income: type and amount. If "None (or less than \$201)" in other entry is needed in Block C for that item.	is checked, no
BLOCK A	BLOCK B	BLOCK C	
	\$1,001 - \$15,000 \$15,001 - \$15,000 \$15,001 - \$10,000 \$250,001 - \$100,000 \$250,001 - \$500,000 \$250,001 - \$500,000 \$500 (#1 31,00#,0#0) Over \$1,000,000 * \$1,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000 \$25,000,001 - \$25,000,000	Excepted five-stment Find Excepted Trust Qualified Trust Dividends Rent and Royalties Interest Capital Gains None (or less than \$201) \$211 - \$1,000 \$2,500 - \$15,000 \$2,501 - \$15,000 \$2,501 - \$100,000 \$35,001 - \$100,000 Cover \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000 \$3,000,001 - \$1,000,000	Other Income (Mo., Date (Mo., Dat
None			
Chevron Corp.	*		
ConocoPhillips .			
CVS Caremark			
FMC Technologies			
Intuitive Surgical			
Jacobs Engineering			

Reporting Individual's Name										SC	HF	CD	III	T.	4 0	on	tin	me	h											Page Number		
CARTER, Ashton B.										JC.		Use						ıuc	-												20	
												_	•																			
Assets and Income					at	clo	of A		ts																	less		n \$2	01)"	is checked, r	10	
BLOCK A			Keesees:		E	BLOC	CK B	5000	000	70000													BL	OCK	C		-					
None	None (or less than \$1.001)	\$1,001 - \$15,000	\$15,001 - \$50.000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,0001 - \$1,000,000	Over \$1,000,000 *	\$1.000,000 - \$25.000,000 \$5.000,001 - \$25.000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted investment Fond	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties		Capital Cains	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,561 - \$5,000	\$5,001 - \$15,000	Am 9000955 190515	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 \$5,000,000	Over \$5,000,000	Other Income (Specify Type & Actual Amount)		Date (Mo., Day, Yr.) Only if Honoraria
1 M&T Bank Corp.	*																			٠		••••										
2 Fidelity 457(b) Plan: Fidelity Small Cap Independent Fund		х										×							x													
3																																
4																																
5 Nabors Industries	X																				X											
6 Navteq Corp.	X																				×											
7																																
8																													-			
9																																

CARTER, Ashton B.		SCHEDULE	В										Page	Numbe		21	7	
Part I: Transactions							Non	e										
teport any purchase, sale, or exchan or dependent children during the rep		report a transaction involving property used solely as your personal residence, or a transaction solely between you,	1	ansact						Aı	nount	of Tran	saction	(x)				-
property, stocks, bonds, commodity ecurities when the amount of the tra}1,000. Include transactions that rest	futures, and other ansaction exceeded ulted in a loss. Do not	your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.	Purchase	Sale	Exchange	Date (Mo., Day, Yr.)	\$1,001 -	5,001 -	00,000	50,000	50,001 -	\$1,000,000	Over \$1,000,000*	\$1,000,001 -	\$5,000,0001 -	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of
		cation of Assets	-	Š	田田		\$ 50	12 E		\$ 52	\$5	\$ 52	8 %	\$ 3	\$ 25	\$ 22	8 8	Ö
Example: Central Airlines Commo	ON .		X		-	2/1/99	-	+	X	-		-			-			-
			-															L
2											-							
3																		
4		-				·												
5																		
		t of the filer's spouse or dependent children. If the underlying as		ther he	eld	L	1		-									-
		dent children, use the other higher categories of value, as appropri	iate.		-													
Part II: Gifts, Reimbu			thal	18 (Zorra	mment; giv	uan ta	T/ONE	arana	u in a	nna/	ation v	with o	fficial	l tensus	.1.		
ion, and the value of: (1) gifts (s cod, or entertainment) received 2) travel-related cash reimburse han \$260. For conflicts analysis as personal friend, agency appro	such as tangible items, to from one source totaling ments received from one in it is helpful to indicate	ransportation, lodging, g more than \$260; and e source totaling more a basis for receipt, such	rece inde the (total	pende lonor value	from ent of 's res e from	relatives; if their relations. All one sour sions.	eceive tionsh so, for	ed by ip to v	your s	pouser prov	or dided	epend as per ing gi	ent cl sonal its to	nild to hospi determ	tally tality nine t	at he		
authority, etc. For travel-related lates, and the nature of expenses	gifts and reimbursemen	ts, include travel itinerary,	. TOI C	mici e	CACIU	310113.									ì	Vane		
Source (Name a			Br	ef Des	criptio	on						***************************************					Value	Personal
Examples: Nat'l Assn. of Rock Col Frank Jones, San Franci		Airline ticket, hotel room & meals incident to national conference 6/ Leather briefcase (personal friend)	15/ <u>99</u> (r	ersona	activ	ity unrelated	to duty)		-	Named 41 AV	-					\$300	_
1																		
1							-										40	10000004
2																		
3				***************************************														
				************								etjeringe djergespjeste					Armad Armadon and Armadon	******

C.F.R Part 2634 I.S. Office of Government Ethics teporting Individual's Name												In.				
RTER, Ashton B. SCHEDUI			LE C					Page Number 22								
Part I: Liabilities																
Report liabilities over \$10,000 owed to any		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and	None			Category of Amount or Value (x)										
iny time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed luring the reporting period. Exclude a mortgage on your		liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.		Interest Rate	Term if appli-	. \$10,001 - \$15,000	\$15,000 \$15,001 - \$50,000	2,001 -	20,000 -	20,001 -	\$500,001 -	Over \$1,000,000**	\$1,000,001-	- 100,000	\$25,000,001 -	Over SKN NNN NNN
Creditors (Name and A		Type of Liability .				5 5	\$ 8		\$70	\$2 \$3	\$1	9.7.8	\$ 55	\$5	\$ 52	8
Examples: First District Bank, Washington, John Jones, 123 J St., Washington	DC	Mortgage on rental property, Delaware Promissory note	1991	8%	25 yrs. on deman	d		- <u>x</u>								
President & Fellows of Harvard Co Cambridge, MA		Educational loan for children's college	2006	0	10 yrs.					X						
2																
3	***************************************															T
4																
5										1		-				\vdash
This category applies only if the liability with the spouse or dependent children, ma		er's spouse or dependent children. If the liability is that of the	filer or a jo	oint liability	y of the fi	er		1	1	1		1				
Part II: Agreements or A		regories, as appropriate.								-						
Report your agreements or arrangeme employee benefit plan (e.g. 401k, defeavement by a former employer (include)	nts for: continuing perred compensation:	(2) continuation		ce; and (4 lations for								ding t	he rep	ortin	g	
	Status and Terms of any	Agreement or Arrangement						****	Partie	s					I	ate
Example: Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. Doe Jones & Smith, Hometown, State					***************************************	7	/85									
Upon my appointment, I plan to take a Leave of Absence from my position at Harvard University. The leave of absence term is Gambridge, MA								-	9							
2 Upon my appointment, I will resign my position from Global Technology Partners, and will receive my partnership share upon such resignation. I estimate such share to be less than \$50,000.								(9							
3 Upon my appointment, I will continue to participate in Harvard's 403b and 457 plans and its health insurance plan, but Harvard will no lor Harvard University will no longer contribute to either of those plans.								1/	1/90							
4										· · · · · · · · · · · · · · · · · · ·						-
	sence or not, for their	r children up to a limit of \$298,116, upon my appointme			H	arvard	Unive	rsity							20	006
6 to participate in this program. Upo I will repay the loan in its entirety.	on termination of my	leave of absence, if I do not return to my full time Harva	rd faculty p	osition,												

rior Editions Cannot Be Used.

F 278 (Rev. 93/2000)

SF 278	rkev. 03/2000)
	R Part 2634
U.S. O	ffice of Government Ethics
Repor	ting Individual's Name

Rep	orting Individual's Name				Page Number				
CARTER, Ashton B.		SCHEDULE D		2:	3				
Re	nrt I: Positions Held Outsi port any positions held during the ar inpensated or not. Positions include ector, trustee, general partner, propri	oplicable reporting period, whether but are not limited to those of an officer,		tnership, or other business enterprise or an institution. Exclude positions with religiou i those solely of an honorary nature.					
	Organi	zation (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)			
Examples: Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State			Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00			
1	The MITRE Corporation 7515 Colshire Drive, McLean, V	/A 22102 [& Bedford, MA]	Non-profit in technology (manages 3 FFRDCs)	Trustee	1/06	Present			
	Massachusetts Institute of Tech 244 Wood Street, Lexington, Ma	A 02420-9185	Federally Funded Research & Development Center (FFRDC)	Member of Advisory Board	10/98	Present			
3			Consulting/Advisory Partnership	Senior Partner	1/98	Present			
4	Charles Stark Draper Laborator 555 Technology Square, Camb	ridge, MA 02139-3563	Research & Development Laboratory	Member of Corporation	3/00	Present			
5	National Security Strategy & Po Congressional Commission on		Advisory Group Congressionally mandated	Chair	7/08	Present			
6 Goldman Sachs & Co. One New York Plaza, 40th floor, New York, NY 10004			Global Investment Banking & Securities Firm	Consultant	11/97	Present			
Part II: Compensation In Excess Of \$5,000 Paid by One So Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any			corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. Do not complete this pa if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential or Presidential Candida None						
Source (Name and Address)				Brief Description of Duties					
	Harvard University	n, State e Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction Teaching, Administration & Research as senior faculty member						
2	Cambridge, MA 02138 Global Technology Partners, LL Boston, MA 02110	.c	Consulting and Advising (clients include Textron, Inc., Providence, RI; Constellation Energy & Gas, Baltimore, MD; McKinsey & Co., Washington, DC)						
	The MITRE Corporation 7515 Colshire Drive, McLean, V	oration Advising as trustee ve, McLean, VA 522102-7508							
	9950 Wakeman Drive, Manassas, VA 20110-2702								
5	5 Stanford University Conference fee 3 Encina Hall, Stanford, CA 94305								
6	Charles Stark Draper Laborator 555 Technology Square, Camb		Fee as member of Corporation						
Dei	or Editions Connot Be Head								

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U.S. Office of Government Ethics	. The state of the				
Reporting Individual's Name		SCHEDULE D		Page Number	4
CARTER, Ashton B.		SCHEDULED	24		
Part I: Positions Held Outs	ide U.S. Government				
Report any positions held during the a compensated or not. Positions include director, trustee, general partner, propr	but are not limited to those of an officer,	consultant of any corporation, firm, partne non-profit organization or educational inst social, fraternal, or political entities and th	titution. Exclude positions with religiou	y s. None	
Organ	ization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.
Examples: Nat'l Assn. of Rock Collectors Doe Jones & Smith, Hometow	, NY, NY n, State	Non-profit education Law firm	President Partner	7/85	Present 1/00
Aspen Strategy Group (The As One Dupont Circle, NW - Suite	pen Institute) 700, Washington, DC 20036-1133	Bipartisan membership organization foreign policy	Member	8/97	Present
2 Council on Foreign Relations 58 East 68th Street, New York,		indep. Nonpartisan membership organization, think tank & publisher	Member	11/89	Present
3 American Academy of Arts & S 136 Irving Street, Cambridge, N		honorary society recognizing achievement in arts & sciences	Fellow	4/89	Present
4 American Physical Society One Physics Ellipse, College F	Park, MD 20740-3844	society advancing physics	Member .	5/76	Present
5 International Institute for Strate 13-15 Arundel Street, Temple F	gic Studies(IISS) Place, London, England WC2R 3DX	think tank focused on international security	Member	5/97	Present
 National Committee on U.SCl 71 West 23rd Street, Suite 190 		organization focused on U.SChina relationship	Member	11/98	Present
Report sources of more than \$5,000 cc business affiliation for services provid	Excess Of \$5,000 Paid by One Sompensation received by you or your ed directly by you during any one year of a names of clients and customers of any	corporation, firm, partnership, or other bu organization when you directly provided to of more than \$5,000. You need not report	the services generating a fee or payment	if you are ar Termination Vice Preside	ential tial Candidat
Source (Name and Address)			Brief Description of Duties		
	e Jones & Smith), Moneytown, State	Legal services Legal services in connection with university	construction		
	curity suite 403, Washington, DC 20004	Report preparation			
2					
Massachusetts Institute of Tec 244 Wood Street, Lexington, N		Advising as member of advisory board			
Goldman Sachs & Co. One New York Plaza, 40th floo	r, New York, NY 10004	Advising			
5 Caxton Associates, LLC 500 Park Avenue, 10th floor, N	iew York, NY 10022	Advising			
					-

Service: Critical Analysis on 10/20/08, invoiced on 11/3/08

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6 Raytheon Dallas, TX

5 C.F.R Part 2604 U.S. Office of Government Ethics Reporting Individual's Name Page Number SCHEDULE D 25 CARTER, Ashton B. Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether consultant of any corporation, firm, partnership, or other business enterprise or any compensated or not. Positions include but are not limited to those of an officer. non-profit organization or educational institution. Exclude positions with religious. director, trustee, general partner, proprietor, representative, employee, or social, fraternal, or political entities and those solely of an honorary nature. None Organization (Name and Address) Position Held Type of Organization From (Mo., Yr.) To (Mo., Yr.) Nat'l Assn. of Rock Collectors, NY, NY Non-profit education President 6/92 Present Doe Jones & Smith, Hometown, State Law firm Partner 7/85 1/00 1 American Academy of Diplomacy honorary priv. nonprofit, nonpartisan Member 12/08 Present 1726 M Street, NW Suite 202, Washington, DC corp. of elected American foreign policy leaders 2 Review Panel on Future Directions for DTRA (Defense Threat Review Panel on combatting WMD 7/2008 Co-Chair 8/07 Reduction Agency) Missions and Capabilities To Combat WMD - DC make reccs to DOD and US gov't 3 Center for Strategic & International Studies public policy research institution 2/09 Senior Associate, International 3/03 1800 K Street, NW - suite 400, Washington, DC 20006 Security Program 4 National Missile Defense White Team - Institute for Defense Advisory Group to Missile Defense Member 5/98 Present Analyses, 4850 Mark Center Drive, Alexandria, VA 22311-1882 Agency 5 International Security Advisory Board to the Secretary of State Advisory Board on arms control Member 1/08 9/06 DOS, 2201 C St. NW, ISN/SPO, Room 3209, Washington, DC 20520 matters & international security 6 Mitretek Systems, Inc. (now Noblis) nonprofit science, technology 5/07 Trustee 5/97 3150 Fairview Park Drive South, Falls Church, VA 22042-4519 and strategy organization Part II: Compensation In Excess Of \$5,000 Paid by One Source Do not complete this part Report sources of more than \$5,000 compensation received by you or your if you are an Incumbent. corporation, firm, partnership, or other business enterprise, or any other non-profit business affiliation for services provided directly by you during any one year of Termination Filer, or organization when you directly provided the services generating a fee or payment the reporting period. This includes the names of clients and customers of any of more than \$5,000. You need not report the U.S. Government as a source. Vice Presidential or Presidential Candidate None Source (Name and Address) Brief Description of Duties Doe Jones & Smith, Hometown, State Legal services Examples: Metro University (client of Doe Jones & Smith), Moneytown, State Legal services in connection with university construction 1 Mitretek Systems, Inc. (now Noblis) Advising as trustee 3150 Fairview Park Drive South, Falls Church, VA 22042-4519 2 3 6

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Reporting Individual's Name				Page Number	
CARTER, Ashton B.		SCHEDULE D	SCHEDULE D		
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Organi	zation (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Y
Examples: Nat'l Assn. of Rock Collectors, Doe Jones & Smith, Hometown	NY, NY	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1 Center for a New American Sec		think tank	Board of Advisors	4/07	3/09
2 Stanford University Palo Alto, CA		University	consultant, 1 day	5/07	5/07
3 Aurora Flight Science Corp. Ma	nassas, VA	Space Technology Company	consultant, 1 day	6/07	6/07
Center for a New American Sec	urity, Wash, DC	think tank	consultant, 1 day	5/08	5/08
4 MIT Lincoln Laboratory, Lexingt	on, MA	Fed. Funded Research and Dev. Corp.	Chairman and Member of Advisory Board	5/98	present
5 Caxton Associates, LLC NY, NY		Hedge Fund	speaker	3/07	3/07
6 Raytheon, Dallas, TX		Defense Contractor	one day technical advice	10/08	10/08
Harvard University, Cambridge,	MASS	University	Senior Faculty Member	9/88	present
Report sources of more than \$5,000 cor	d directly by you during any one year of	corporation, firm, partnership, or other	business enterprise, or any other non-prof d the services generating a fee or payment ort the U.S. Government as a source.		Incumbent, Filer, or ential
Source (Name and Address)			Brief Description of Duties	110110	
Doe Jones & Smith, Hometown). State	Legal services			

		None						
	Source (Name and Address)	Brief Description of Duties						
Euconolo	Doe Jones & Smith, Hometown, State	Legal services						
Example	S: Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction						
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