Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Form Approved: OMB No. 3209-0001

NSN 7540-01-070-8444	Form Designed in Microsoft Excel 2000	1
MAR oge and 2009	(Check box if comments are continued on the reverse side)	
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Schedule-C. Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.	is required, use the reverse side of this s	Offic
Schiedlife C. Parist E. (Liabillies)— The reporting period is the preceding calendar year and the current estendar war up to any date you choose that is willin 31 days of the date of filing.	Signature Comments With applicable laws and object to any comments W. Stornature Storna	Agency trutted of the sage of
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is granted, more trian 30 days are; me last day of the filing extension period shall be subject to a \$200 fee.	Sherburne B. Department or Agency (If Applicable)	Reporting
The fills report and does so more than 30 days after the date the report is required to be filed, or, if an extension	v. Election Reporting Status Calengar Yelf Covered by Report. (Check appropring Appropring Courses) (Check appropring Status Injectimbent Covered by Report. Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered by Report. X of Candidate (Check appropring Status Injectimbent Covered By Report. X of Candidate (Check appropring Status In	Date of Appoi or Normination
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Supersedes Prior Editions, Which Cannot Be Used.

Form Designed in Microsoft Excel 2000

SF278 (Rev. 03/2000) S C.F.R Part 2634 U.S. Office of Government

Sherburne B. Abbott Reporting Individual's Name U.S. Office of Government Ethics For you, your spouse, and dependent children, For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse report the source but not the amount of earned value exceeding \$1,000 at the close of the report-ing period, or which generated more than \$200 with such income. in income during the reporting period, together production of income which had a fair market report each asset held for investment or the mcome of more than \$1,000 (except report the N your spouse Ch 4 ω Examples orual acount of any honorana over \$200 of BJBIX Fidelity Municipal Money Market This category applies only if the asset/income is solely that of the filer's spouse or dependent children. Artio International Equity Fund Fidelity Magellan Dodge & Cox International Stock Fidelity Spartan 500 Index Investor DODEX FTEXX Janus Twenty FSMKX **FMAGX** None Assets and Income Central Airlines Common
Doe Tones & Smith, Hometown, State Kempstone Equity Fund BLOCK A None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000 × Valuation of Assets \$50,001 - \$100,000 × reporting period \$100,001 - \$250,000 at close of BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 * \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 PROPERTY. \$25,000,001 - \$50,000,000 Over \$50,000,000 SCHEDULE Excepted Investment Fund × × If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, **Excepted Trust** Qualified Trust other entry is needed in Block C for that item. Income: type and amount. If "None (or less than \$201)" is checked, no Dividends Lype Rent and Royalties A Interest Capital Gains None (or less than \$201) × \$201 - \$1,000 \$1,001 - \$2,500 × × \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCKC × × Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000* \$1,000,001 - \$5,000,000 /4py 45 Over \$5,000,000 Page Number Income (Specify Type & Actual Other of 11 Date (Mo., Day, Yr.) Honoraria Only if

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mark the other higher categories of value, as appropriate

SF278 (Rev. 03/2000) 5 C.F.R Part 2634

U.S. Office of Government Ethics Reporting Individual's Name Sherburne B. Abbott 6 Royce Low-Priced Stock Svc mark the other higher categories of value, as appropriate Fidelity Spartan Extended Mkt Index Permanent Portfolio Royce Value Plus Svc T. Rowe Price Capital Appreciation Oakmark Equity &Income Value Line Emerging Opportunities **FSEMX** Fidelity Balanced PRPFX This category applies only if the asset/income is solely that of the filer's spouse or dependent children. PRWCX RYVPX RYLPX TIAA- Traditional Annuity VLEOX Assets and Income BLOCK A JASP! None (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000 4846 × Valuation of Assets at close of \$50,001 - \$100,000 × × × × reporting period \$100,001 - \$250,000 BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 * \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed) Excepted Investment Fund × × ж Excepted Trust If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer or jointly held by the filer with the spouse or dependent children as the filer or jointly held by the filer with the spouse or dependent children as the filer or jointly held by the filer with the spouse or dependent children as the filer or jointly held by the filer with the spouse or dependent children as the filer or jointly held by the filer with the spouse or dependent children as the filer or jointly held by the filer or jointly held by the filer with the spouse or dependent children as the filer or jointly held by Qualified Frust Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. Dividends Type Rent and Royalties Interest Capital Gains None (or less than \$201) × × × \$201 - \$1,000 × \$1,001 - \$2,500 × × \$2,501 - \$5,000 × \$5,001 - \$15,000 BLOCK C × Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) 3 of 11 Date (Mo. Day, Yr.) Only if Honoraria

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VFINX TIAA-CREF Stock

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5 C.F.R. Part 2634 SF278 (Rev. 03/2000)

U.S. Office of Government Ethics Reporting Individual's Name Sherburne B. Abbott mark the other higher categories of value, as appropriate. Vanguard Wellesley Income Vanguard 500 Index Vanguard Emerging Mkts Stock Idx VEIEX This category applies only if the asset/income is solely that of the filer's spouse or dependent children. TIAA-CREF Global Equities TIAA-CREF Growth TIAA-CREF International Equity Index TIAA-CREF International Equity Assets and Income BLOCK A None (or less than \$1,001) \$1,001 - \$15,000 × \$15,001 - \$50,000 × 74 * Valuation of Assets at close of \$50,001 - \$100,000 × × × reporting period J 0448. \$100,001 - \$250,000 HOGING. \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 * \$1,000,001 + \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 (Use only if needed) Over \$50,000,000 Excepted Investment Fund × Excepted Trust If the assevincome is either that of the filer or jointly held by the filer with the spouse or dependent children assevincome is either that of the filer or jointly held by the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse or dependent children as the filer with the spouse of the spouse of the filer with the spouse of the spouse Onalified Trust Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. Dividends Rent and Royalties Interest Capital Gains None (or less than \$201) × × × × \$201 - \$1,000 × \$1,001 - \$2,500 × × × \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 Jagisti. ,Fieldig \$50,001 - \$100,000 Militor. \$100,001 - \$1,000,000 Over \$1,000,000* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) 4 of 11 Date (Mo. Day Yr.) Only if Honoraria

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5 C.F.R Part 2634
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Reporting Individual's Name Sherburne B. Abbott mark the other higher categories of value, as appropriate New York's 529 College Savings ING Savings New York's 529 College Savings Bank of America DC College 529 State Street Equity Program - Moderate Growth Portfolio Residential Rental Program - Aggressive Growth Portfolio TIAA-CREF Equity Index This category applies only if the asset/income is solely that of the filer's spouse or dependent children. Company of Massachusetts Savings Bank Life Insurance Savings, CD, Checking 500 Index Fidelity Cash Reserves Washington, DC (whole life) Assets and Income BLOCK A , ,splije Mage None (or less than \$1,001) \$1,001 - \$15,000 × × × × \$15,001 - \$50,000 ø Valuation of Assets at close of \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 * \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 (Use only if needed) Over \$50,000,000 Excepted Investment Fund ж. **Excepted Trust** If the assevincome is either that of the filer or jointly held by the filer with the spouse or dependent children, Qualified Trust Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. Dividends Rent and Royalties Interest × × × Capital Gains None (or less than \$201) × × × × (Astropy , philipping \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C Amount **%15,001 - \$50,000** 400 \$50,001 - \$100,000 \$100,000 - \$1,000,000 Over \$1,000,000* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) 5 of 11 Date (Mo., Day, Yr.) Only if Honoraria

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Reporting Individual's

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Assets and Income Assets and Income at close of reporting period arrows		Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C	
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1 The University of Texas at Austin Austin,TX		\$190,000.00	0
2 Houston State Community College Houston, TX		Honorarium \$300	n 10/29/08
3 Fire Protection Research Foundation Quincy, MA		Honorarium \$1000	n 11/18/08
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U.S. Office of Government Ethics
Reporting Individual's Name Sherburne B. Abbott nark the other higher categ The University of Texas at Austin Washington, DC (spouse)
Center for A New American Security World Presidents' Organization Washington Quarterly Washington, DC The Glover Park Group Austin, TX New York, NY Nikkei Shimbun Washington, DC Public Affairs Consulting This category applies only if the asset/income is solely that of the filer's spouse or dependent children (spouse)
Southern Methodist University
Dallas, TX Newbury Park, CA American Behavioral Scientist Newsweek, Inc. Los Angeles (WPOLA) Tokyo, Japan Los Angeles, CA (spouse) (spouse) spouse) spouse) (spouse) Assets and Income BLOCK A 湯 湯 が さ None for less than \$1,091) \$1,001 - \$15,000 . \$15,001 - \$50,000 Valuation of Assets at close of \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 aligia. Over \$1,000.000 * \$1,000,004 - \$ 5,000,00H \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed) Excepted Investment Fund Excepted Trust If the asset/income Ounlified Frust other entry is needed in Block C for that item. Income: type and amount. If "None (or less than \$201)" is checked, no Dividends Rent and Royalties Interest is either that of the filer or jointly held by the filer with the spouse or dependent childre Capital Gains None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - 85,000 \$5,001 - \$15,000 BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 AHEBS. Over \$1,000,000* withing, \$1,000,001 ± \$5,000,000 Over \$5,000,000 Page Number Salary and bonus Article payment Consulting fees Article payment \$600.00 Article payment Honorarium \$7,500.00 Honorarium \$10,000.00 Honorarium \$1,500.00 Honorarium \$1,500.00 Other Income (Specify Type & Actual Amount) \$500.00 \$500.00 7 of 11 Date (Mo., Day, Yr.) Only if Honoraria 9/7/08 9/1/08 5/1/08 6/2/08 1/17/08 1/1/08 1/31/08

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SF278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Governme Reporting Individual's Sher

Sherburne B. Abbott				
Assets and Income	Valuation of Assets at close of reporting period	Income: type and amount. If "None (or less other entry is needed in Block C for that item	If "None (or less than \$201)" is checked, no ck C for that item.	
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1 Asahi Shimbun Tokyo, Japan			\$10,000.00	6/13/08
(spouse) 2 Aspen Institute India New Delhi, India			Honorarium \$1,000.00 paid in 08	12/20/07
(spouse) 3 Confederation of Indian Industries New Delhi, India			Honorarium \$5,000.00 paid in 08	12/19/07
(spouse) 4 Smith Richardson Foundation Westport, CT			Honorarium \$250.00	n 5/28/08
(spouse)  5 "Difficult Transitions" Brookings Pub. Center for A New American Secuity, DC	VA LUE NOT RE ATILY AS CEPTAIN AS	F	825,000.00	ot The
(payment and potential royalties) (spouse)  Formuri Shimbun  Tokvo, Japan			Honorarium \$10,000.00	n 6/4/08
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(spouse) 8 University of Texas at Austin			Bonus	
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5 C.F.R Part 2634

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other Part I: Transactions Sherburne B. Abbott (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5.U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinetary. ω Reporting Individual's Name J.S. Office of Government Ethics For you, your spouse and dependent children, report the source, a brief descrip-tion, and the value of (1) gifts (such as tangible items; transportation, logging, Part II: Clifts, Reimbursements, and I ravel by penses by the filer or jointly held by the filer with the spouse or dependent children, use the other S 4 N dates, and the nature of expenses provided food, or entertainment) received from one source totaling more than \$260; and ω N mh This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held l,000. Include transactions that resulted in a loss. Do not Example: Central Airlines Common Examples: Nat'l Asm. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA Exclude anything given to you by Identification of Assets certificate of divestiture from OGE report a transaction involving property used solely as your personal residence, or a transaction solely between you your spouse, or dependent child. Check the "Cartificate of divestiture" block to indicate sales made pursuant to a Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity intrelated to duty). Leather brietcase (personal friend) higher categories of value, as appropriate SCHEDULE B the U.S. Government; given to your agency in connection with official travel, received from relatives; received by your spouse or dependent child totally independent of their relationship to you, or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions. Purchase Brief Description Iransaction ype (x) Sale Exchange Date (Mo., Day, Yr.) 2/1/99 \$1,001 -None \$15,000 \$15,001 -\$50,000 \$50,001 -\$100,000 \$100,001 -\$250,000 Amount of Transaction (x) \$250,001 \$500,000 \$500,001 -\$1,000,000 Page Number Over 4 \$1,000,000 \$1,000,001 -\$5,000,000 \$5,000,001 \$25,000,000 \$25,000,001 \$50,000,000 Over \$300 Value \$50,000,000 Certificate of divestiture

Reporting Individual's Name U.S. Office of Government Ethics during the reporting period. Exclude a mortgage on your any time during the reporting period by you, your spouse or dependent children. Check the highest amount owed Report liabilities over \$10,000 owed to any one creditor at Part I: Liabilities Sherburne B. Abbott Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation, (2) continuation. * This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate. ω N Examples: payment by a former employer (including severance payments); (3) leaves Part II: Agreements or Arrangements O රා 4 ω N 1 | I will take an unpaid leave of absence effective upon confirmation. Pursuant to the University of Texas at Austin policy, 0 Example: no further contributions by myself or the university while on leave Optional retirement program 403(b) TIAA-CREF (defined contribution plan) Dayton, OH National City Bank UT Saver TSA 403(b) Fidelity Balanced (defined contribution plan) UT Saver DCP-457(b) Fidelity Balanced (defined contribution plan) no further contributions by myself or the university while on leave no further contributions by myself or the university while on leave can apply for extensions of the unpaid leave in one year intervals First District Bank, Washington, DC John Jones, 123 J.St., Washington, DC Pursuantio partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00: Creditors (Name and Address) Status and Terms of any Agreement or Arrangement Mortgage on rental property. Delaware personal residence unless it is rented out; loans secured by automobiles; household furniture or appliances; and Mortgage on rental property, Washington DC See instructions for revolving charge accounts. liabilities owed to certain relatives listed in instructions. SCHEDULE C of negotiations for any of these arrangements or benefits of absence; and (4) future employment. See instructions regarding the reporting Incurred 2005 Date 1991 5.80% Interest Rate None on demand 30 yrs 25 yrs Term if Doe Jones & Smith, Hometown, State Austin, Texas University of Texas at Austin \$10,001 -\$15,000 \$15,001 \$50,000 er Hebrir \$50,001 \$100,000 \$100,001 Category of Amount or Value (x) Parties \$250,000 \$250,001 × \$500,000 \$500,001 \$1,000,000 None Page Number Over \$1,000,000 \$1,000,001 \$5,000,000 10 of 11

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U.S. Office of Government Ethics Reporting Individual's Name Sherburne B. Abbott or por Page SCHEDULE ·" ari HAN . Harry ^{to}lande 446 Page Number 11 of 11

## Part I: Positions Held Outside U.S. Government

consultant of any corporation, firm, partnership, or other business enterprise or any

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			Heldref Publications, Environment magazine Washington, DC	The World Bank Washington, DC	f Texas at Austin	State	Organization (Name and Address.)	Report any positions held-during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer. in director, trustee, general partner, proprietor, representative, employee, or so
			Magazine publisner	International Bank	Educational illantique	Non-pront equication  Law firm	Type of Organization	m, partno tional ins ies and th
			Environment	Consultantian Edifor	and Practice of Sustainability	Partner Center for Science	Position Held	erprise or a with religionature.
			12/01	10/01	5/07	1/06	Fioth (Mo., 11)	None
				Drasant	11/07	Present	Present 11)	

## Part II: Compensation In Excess Of \$5,000 Paid by One Source

the reporting period. This includes the names of clients and customers of any Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of

Examples Doe Jones & Smith Hometown, State

[Examples Metro University (client of Doe Jones & Smith), Moneytown, State

Director, Center for Science and Practice of Sustainability

Legal services

Legal services in connection with university construction

Brief Description of Duties

Source (Name and Address)

The University of Texas at Austin

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

if you are an Incumbent, or Presidential Candidate Vice Presidential Domoircomplete this part Termination Filer, or None

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Austin, TX