Form Designed in Microsoft Excel 2000

Supersedes Prior Editions, Which Cannot Be Used.

Fam Approved: OMB No. 3209-0001

## Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

NSN 7540-01-070-3444	Form Designed in Microsoft Excel 2000	278-112	
JAN	(Check box if comments are continued on the reverse side)	(Check bar)	
Agency Use Only			
the current calendar year up to the			
Schedule DThe reporting period is	È	(Check box if filing extension granted & Indicate number of days	
films.		Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)	Comments of Reviewing Officials (If ada
Arrangements) Show any agreements or	60-41-1	- Mahl & Cressell	Use Only
within 31 days of the date of time.	Date (Month Day Year)	Signatur	III LIE DOX DEION).
calendar year and the current calendar year up to any date you choose that is	11	James Meson	regulations (subject to any comments
Schedule C. Part I (Liabilities)— The reporting period is the preceding	1-12-09 8		On the basis of information contained in this report, I conclude that the filer is
Schedule B—Not applicable.	Date (Month, Day, Year)	Signature of Designated Agency-Ethics Official/Reviewing Official	Agency Ethics Official's Opinion
within 31 days of the date of filing.			(If desired by agency)
year up to the date of filing. Value assets as of any date you choose that is			Other Review
calendar year and the current calendar	Date (Month, Day, Year)	Signatife of Other Reviewer	
Schedule A The reporting period for		5	to the best of my knowledge.
Candidates for President and Vice President:	Ci / who	N	made on this form and all attached
tominees, New Entrants and	Date (Monin, 1903), rear)	Signature of Reporting Individual	Cerdification
of Schedule D is not applicable.			
at the date of termination. Part II			Senate Confirmation
period begins at the end of the period	Do Yan Intend to Create a Qualified Diversified Trust?	Name of Congressional Committee Considering Nomination   Do You Intend to	The state of the s
ermination Filers: The reporting			12 Midding (11 that centre as created)
applicable.	प्रक		Government During the Preceding
include the filing year up to the date	ຸ່ມແ	Title of Position(s) and Dute(s) Held	Position(s) Held with the Federal
Part il of Schedule C and Part I of Schedule D where you must also	512-232-9000 Pa	2315 Red River St., Austin, TX 78712	(or forwarding address)
the preceding calendar year except	SCIENTE VICTORY	Address (Number, Street, City, State, and ZIP Code)	
Reporting Periods		Deputy Secretary of State U.S. Department of State	Position for Which Filing
	caple)		
last day of the filing extension period		Steinberg James B.	Reporting Individual's Name
is granted, more than 30 days after the		Last Name Pirst Name and Middle Initia	
30 days after the date the report is	T A	appropriate boxes) X or Candidate	
Any individual who is required to	Termination cause ) (monus, Lay, sear )	Covered by Report New Entrard, Nominee,	Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)
Section Fara Siling	Termination Date (If Appli-		U.S. Office of Government Ethics
			-

SF278 (Rev. 03/2000) 5 C.F.R Part 2634 U.S. Office of Government E

James B. Steinberg Reporting Individual's Name For yourself, also report the source and actual amount of carned income exceeding \$200 (other than from the U.S. Government). For your spouse, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 For you, your spouse, and dependent children, U.S. Office of Government Ethics income of more than \$1,000 (except report the actual acount of any honoraria over \$200 of in income during the reporting period, together mark the other higher categories of value, as appropriate. your spouse). report the source but not the amount of carned with such income. 4 ω N Examples Doe Jones & Smith, Hometown, State 0 O 1 THIS PAGE IS BLANK This category applies only if the asset/income is solely that of the filer's spouse or dependent children. None Assets and Income Kempstone Equity Fund
IRA: Heartland 500 Index Fund BLOCK A None (or less than \$1,001) \$1,001 - \$15,000 \$15,001-\$50,000 Valuation of Assets \$50,001 - \$100,000 !× reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 BED BED, 12 - 1 (B), 0072 Over \$1,000.000 \* \$1,000,001 - \$3,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000 SCHEDULE Excepted loverment Kund If the asset/income is either that of Excepted Trust Qualitied Trust Incomes type and amount. If "None (or less than \$201)" is checked no other entry is needed in Block C for that item. Dividends Rent and Royalties D Interest Capital Gains None (or less than \$201) \$281 - \$1.00d \$1,001 - \$2,500 the \$2,501 - \$5,000 filer or jointly held by the filer with the spouse or dependent children, \$5,001 - \$15,000 BLOCK C Ашопп \$15,001 - \$50,000 \$50,001 - \$100,000 \$180,001-\$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Law Partner Other Income (Specify Type & Actual Amount) crahip Incomo \$130,000 Date (Mo., Day, Yr.) Only if Honoraria

SF278 (Rev. 03/2000)
5 C.F.R Part 2634
11 S. Office of Governmen

U.S. Office of Government Ethics Reporting Individual's Name James B. Steinberg This category applies only if the assevincome is solely that of the filer's spouse or dependent children. mark the other higher categories of value, as appropriate. Fidelity Magellan FMAGX Artio International Equity Fund Janus Twenty
JAVLX Dodge & Cox International Stock DODFX Fidelity Municipal Money Market FTEXX Oakmark Equity & Income OAKBX Permanent Portfolio PRPFX Fidelity Spartan 500 Index Investor FSMKX Fidelity Balanced FBALX None Assets and Income BLOCK A Name (crisis (den \$1700)) \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets \$50,001 - \$100,000 reporting period \$100,001 (\$250,000 at close of BLOCK B \$250,001 - \$500,000 \$\$00,001 - \$1.000.000 Over \$1,000,000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,002 - \$50,000,000 Over \$50,000,000 (Use only if needed) I scepies Investment I and Excepted Trust If the asset/income is either that of the Qualified Trust Income: type and amount. If "None (or less than \$201)" is checked no other entry is needed in Block C for that item. Dividends Rent and Royalties Interest Capital Gales None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 × × × × \$2,501 \$5,000 filer or jointly held by the filer with the spouse or dependent childr \$5,001 - \$15,000 BLOCK C amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) N Mo., Dave Yr.) Only if Honoraria

SF278 (Rev. 03/2000) 5 C.F.R. Part 2634

U.S. Office of Government Ethic Reporting Individual's Name James B. Steinberg \* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. mark the other higher categories of value, as appropriate. Royce Low-Priced Stock Svc PYLPX Fidelity Spartan Extended Mkt Index FSEMX Vanguard Emerging Mkts Stock Idx VEIEX Royce Value Plus Svc RYVPX T. Rowe Price Capital Appreciation PRWCX Vanguard Wellesley Income VWINX Value Line Emerging Opportunities VLEOX Vanguard 500 Index VFINX TIAA-Traditional Annuity Assets and Income **BLOCK A** None (or leavilism \$1,001) \$1,001 - \$15,000 × \$15,001 - \$50,000 Valuation of Assets at close of \$50,001 - \$100,000 × × reporting period \$160,001 - \$250,000 \$250,001 - \$500,000 6500,001 - S1,800,000 Over \$1,000,000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 Use only if needed Excepted Investment I and Excepted Trust If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children Qualities Frest Income: type and amount. If "None for less than \$2011" is checked no other entry is needed in Block C for that item. Dividends Rent and Revalue Interest Capital Galler None (or less than \$201) × \$201-\$1,000 Ø. \$1,001 - \$2,500 × × 92.50 (2515) \$5,001 - \$15,000 BLOCK C × Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) W Date (Mo., Day. Yr.) Only if Honoraria

SF278 (Rev. 03/2000)

5 C.F.R Part 2634
U.S. Office of Government Ethics
Reporting Individual's Name James B. Steinberg 1 TIAA-CREF Global Equities \* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. mark the other higher categories of value, as appropriate. 4 TIAA-CREF Stock TIAA-CREF International Equity Index TIAA-CREF Equity Index TIAA-CREF International Equity New York's 529 College Savings TIAA-CREF Growth New York's 529 College Savings DC College 529 State Street Equity 500 Index Program - Moderate Growth Portfolio Program - Aggressive Growth Portfolio Assets and Income BLOCK A None (or less than \$1,001) \$1,001 - \$15,000 × × \$15,001 - \$50,000 Valuation of Assets at close of \$50,001 - \$100,000 × reporting period \$100,001...\$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000,000 \*. \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,0<del>0</del>0,001 - \$90,000,000 Over \$50,000,000 (Use only if needed) naceptal to estimate famé Excepted Trust If the asset/income is either Qualitied Tres Income: type and amount. If None (or less than \$201)" is checked no other entry is needed in Block C for that item, Dividends Real and Royalina Interest (000) (000) None (or less than \$201). × × × × \$201 - \$1,000 that of the filer or jointly held by the filer with the spouse or dependent childr \$1,001 - \$2,500 × \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C Amount \$15,001...\$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) 4 Date
Mo., Dav. Only if Honoraria

Reporting Individual's Name Jarmes B. Steinberg						II SE	EDULE A contu	ally if	con	SCHEDULE A continued (Use only if needed)			Non	G L		\$201)
Assets and Income.		<	2	Valuation of Assets at close of	ssets			0 =	Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	type i	oded in	Block	Cfor	that its		n than
BLOCK A			Repor	reporting period	. 8				,	0			BLOC	OCKC	1	
									7					Amount	Cornell Control	- ALE
				<b>N</b>	200						201)					
	Nove (1-100 1142) \$1,001 - \$15,006	\$15001 - \$100,000 \$50,001 - \$100,000	jjuopidesekersoj	\$250,001 - \$500,000 \$400,001 - \$1,000,60 Over \$1,000,000 *	S1.800.001 - 525,000	5 : 500 (00) 5 0 00 Over \$50 000 600	ingenin Exami	Excepted Trest Qualified Trust	Dividends Very an intoxulter	Interest Control of the land	None (or less than \$2 \$301 - \$1,000	\$1,001 - \$2,500 \$2,501 - \$5,600	\$5,061 - \$15,000 \$15,061 - \$25,000	\$50,001 - \$100,000		00,000 1 2 60,000 Over \$1,000,000*
None None None	×		014		with the second					*	*	1345			52 1 V 1 4 2 2	
2 Bank of America Savings, CD, Checking										×					237 63	211440
3 Savings Bank Life Insurance Company of Massachusetts	×						7.4			* 1			100121			409944
Residential Rental Washington, DC												Maria de			100	is page significa
5 Fidelity Cash Reserves Fund						6,50							A 104	(HH-K-S-H)		recorder 18
The University of Texas at Austin Austin, Texas and The LBJ Foundation						G-5-11(6)									1338	
~2						i tita									10 X 10 X 10 X	
- 00	2108										e tiple		Fa No. 18		63.4	
9	A THE												o-certain e			20170310212

Reporting Individual's Name	SCHE	SCHEDULE A continued (Use only if needed)	rays number
Assets and Income	Valuation of Assets at close of	Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.	\$201)" is checked, no
BLOCK A	reporting period	\$LOGK C	
DESCENT OF		Type	
	G G	101)	Other Date Income (Mo., Day)
	000	estoren ust ust yaltas (haja \$2 00 000 000 000 000 000 000 00	\$8,00E) ,000
	15,081   556 50,001   510 100,661   5 250,001   5 500,905   5 ever \$1,000	rented in accepted Typestrick State Control of the	1,800,601 Over \$5,000
None			salary & bonus
The University of Texas at Austin Austin, TX			\$325,000.00
Public Affairs Consulting			\$70,000.00
3 Center for A New American Security Washington, DC			Honorarium 9/7/08 \$7,500.00
Washington Quarterly Washington, DC	(U)		Article payment 9/1/08 \$500,00
World Presidents' Organization     Los Angeles (WPOLA)     Los Angeles CA			
s Nikkei Shimbun Tokyo, Japan			
New York, NY			Article payment 1/1/08 \$600.00
American Behavioral Scientist     Newbury Park, CA	3 9 9		7
Southern Methodist University Dallas, TX			.\$1,500.00

SF278 (Rev. 03/2000) 5 C.F.R Part 2634

U.S. Office of Government Ethics Reporting Individual's Name mark the other higher categ ω James B. Steinberg 00 This category applies only if the asset/income is solely that of the filer's spouse or dependent children. (payment and potential royalties)
Yomiuri Shimbun Smith Richardson Foundation Confederation of Indian Industries
New Delhi, India Washington Quarterly Westport, CT Asahi Shimbun Washington, DC Center for A New American Secuity, DC Aspen Institute India "Difficult Transitions" Brookings Pub. New Delhi, India Tokyo, Japan Tokyo, Japan None Assets and Income BLOCK A None order than \$1,000 \$1,001 - \$15,000 E 011 \$15,001 - \$50,000 NO Valuation of Assets \$50,001 - \$100,000 reporting period \$100.001 - \$250.000 at close of BLOCK B \$250,001 - \$500,000 RE E-Y \$\$90,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 7 \$5,000,001 - \$25,000,000 SE SCHEDULE A continued AIN \$25,0<del>0</del>0,001 - \$98,000,000 Over \$50,000,000 Use only if needed Excepted Investment Fund Excepted Trust F If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent childr Qualitied Trans Income: type and amount. If "None (or less than \$201)" is checked no other entry is needed in Block C for that item. Dividends Rent and Royaltin Type Interest Calpital Calm None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 52,501 - \$5,000 \$5,001 - \$15,000 BLOCK C Amount \$15,001..\$50,000 \$50,001 - \$100,000 \$100,001+\$1,000,000 Over \$1,000,000\* \$1,000,001-35,000,000 Over \$5,000,000 Page Number \$25,000.00 Article payment \$250.00 Honorarium \$250.00 Honorarium \$5,000.00 paid in 08 Honorarium \$1,000.00 paid in 08 #10,000.00 Honorarium \$10,000.00 Other Income (Specify Type & Actual Amount) Date Mo., Day, Yr.) Only if Honoraria 12/20/07 6/13/08 5/28/08 2/19/07 6/4/08 5/1/08

SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics
Reporting Individual's Name \* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. mark the other higher categ
Prior Editions Cannot be Used. James B. Steinberg Ø, 2 (Spouse)
The World Bank
Washington, DC (Spouse)
The University of Texas at Austin (Spouse)
Houston State Community College Fire Protection Research Foundation (Spouse) Quincy, MA Houston, Texas Austin, TX Assets and Income BLOCK A Name (or less than \$1,001) \$1,001 - \$15,000 \$15,001 - \$50,000 Valuation of Assets at close of \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) Excepted Investment Fund **Excepted Trust** If the assevincome is either that of the filer or jointly held by the filer with the spouse or dependent childr Qualified Trust Incomé: type and amount. If "None (or less than \$201)" is checked no other entry is needed in Block C for that item. Dividends Rent and Revalues Type Interest Capital Gales None (or less than \$201) \$201 - \$1,000 \$1,001 - \$2,500 \$0.50**1**0.\$5000 \$5,001 - \$15,000. BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000.001 × \$5.000.000 Over \$5,000,000 Page Number Honorarium \$300.00 #1,000.00 Consulting Other Income (Specify Type & Actual Amount) Salary  $^{\circ}$ Mo.. Dav. Only if Honoraria 11/18/08 12/08

SF 278 (Rev. 03/2000) 5 C.F.R Part 2634

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real Reporting Individual's Name property, stocks, bonds, commodity futures, and other Part I: Transactions James B. Steinberg U.S. Office of Government Ethics authority, etc. For travel-related gifts and reimbursements, include travel itinerary, as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory Part II: Gifts, Reimbursements, and Travel Expenses by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate. ω N dates and the nature of expenses provided cn 4 This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held Example: Central Airlines Common Examples: Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA Source (Name and Address) Exclude anything given to you by Identification of Assets report a transaction involving property used solely as your personal residence, or a transaction solely between you. your spouse, or dependent child. Check the "Certificate of certificate of divestiture from OGE. divestiture" block to indicate sales made pursuant to a Airline ticket, hotel room & meals incident to national conference 6(15/99 (personal activity unrelated to dury)
Leather briefcase (personal friend) SCHEDULE the U.S. Government: given to your agency in connection with official travel: received from relatives: received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instruction for other exclusions. Purchase Transaction Brief Description Type (x) Sale Exchange Date (Mo., Day, Yr.) 2/1/99 None \$1,001 -\$15,000 \$15,001 -\$50,000 \$50,001 -\$100,000 \$100,001 \$250,000 Amount of Transaction (x) \$250,001 -\$500,000 \$500,001 -\$1,000,000 Page Number Over \$1,000,000 See instructions \$1,000,001 -\$5,000,000 \$5,000,001 -\$25,000,000 9 None \$25,000,001 \$50,000,000 Over \$500 Value \$50,000,000 Certificate of divestiture

SF 278 (Rev. 01/2000) S C.F.R Part 2634

U.S. Office of Government Ethics  Denocation Individual's Name					Page Number
James B. Steinberg	SCHEDULE C	C		And the second s	10
Part I: Liabilities					
0,000 owed to any one creditor at	personal residence unless it is rented out; loans secured		None	Category of An	Category of Amount or Value (x)
any finne during the reporting period by You, Your spouse, or dependent children. Check the highest amount owed lial during the reporting period. Exclude a mortgage on Your Se	inbilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	Date Inte	Interest Term if Rate appli- cable	0,001 - 5,000 - 5,001 - 0,000 - 0,001 - 00,000 - 00,001 - 50,000 - 50,000 - 00,001 -	000,000° 000,001 - 000,000 000,001 - 5,000,000 5,000,001 - 0,000,000
Creditors (Name and Address)	Type of Liability	-		\$10 \$11 \$15 \$5 \$1 \$1 \$2 \$2 \$5	\$1, 81, \$5, \$5, \$2, \$2,
		1991 8	8% 25 yrs.	×	
Examples:   Final Machington DC   Pro	Promissory note	-	-	×	
nal City Bank	Mortgage on rental property, Washington, DC	2005 5.8	5.80% 30 yrs.	×	
2					
ω					
4					
\$					
This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.	spouse or dependent children. If the liability is that of the fi				
Part II: Agreements or Arrangements Report your agreements or arrangements for continuing participation in an employee benefit plan (e.g. 40/lk, deferred compensation; (2)) continuation employee benefit plan (e.g. 40/lk, deferred compensation; (2)) beaves	ries, as appropriate.	iler or a joint i	inbility of the fi	4	
	ries, as appropriate. icipation in an continuation (s): (3) leaves	iter or a joint! of absence; s of negotiatio	ability of the fi	iler or a joint liability of the filer  of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits  None	egarding the reporting
Comma and I came or any Debt	ries, as appropriate.  icipation in an continuation (s); (3) leaves	iter or a joint l	ability of the fi	er  amployment. See instructions rese arrangements or benefits  Parties	
Example: Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share	uk the other higher categories, as appropriate.  rrangements  ris for: continuing participation in an  erred compensation; (2) continuation  fing severance payments); (3) leaves  fing severance payments); (3) leaves  Status and Terms of any Agreement or Capital account & partnership stere  tent, will receive lump sum payment of capital account & partnership stere	iler or a joint i	ability of the fi	filer  employment. See instructions r f these arrangements or benefits  Parties  Parties  Doe Jones & Smith, Hometown, State	egarding the reporting  None Date
Example: Pursuant to partnership agreement, will receive lump sun payment of capital account & partnership share calculated on service performed through I/00.  1 I will take an unpaid leave of absence effective upon confirmation. Pursuant to the University of Texas at Austin policy.	ries, as appropriate.  icipation in an  continuation (s); (3) leaves  recment or Arrangement  ayment of capital account & partnership share  immation. Pursuant to the University of Texas at Austir  interiors. I will maintain my interest in the following of the	iler or a joint i	ability of the fi	filer  e employment. See instructions rethese arrangements or benefits  Parties  Doe Jones & Smith, Hometown, State  University of Texas at Austin Austin, Texas	
Example: Pussant to partnership sgreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.  1 I will take an unpaid leave of absence effective upon confirmation. Pursuant to the University of Texas at Austin policy.  1 I can apply for extensions of the unpaid leave in one year intervals. I will maintain my interest in the following defined.  2 contribution plans (UT Saver TSA 403(b) Fidelity Balanced, UT Saver DCP 457(b) Fidelity Balanced; Optional retirement and Antha TAAA-CREE) heither I nor the University will make further contributions until I return.	rics, as appropriate.  icipation in an continuation (s); (3) leaves  coment or Arrangement  syment of capital account & partnership share  irrnation. Pursuant to the University of Texas at Austin intervals. I will maintain my interest in the following de d. UT Saver DCP 457(b) Fidelity Balanced: Optional n will make further contributions until i return.	iler or a joint i	ability of the fi	filer  cemployment. See instructions rethese arrangements or benefits  Parties  Doe Jones & Smith, Hometown, State  University of Texas at Austin  Austin, Texas	
Example: Pussant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.  1 I will take an unpaid leave of absence effective upon confirmation. Pursuant to the University of Texas at Austin policy. 1 I can apply for extensions of the unpaid leave in one year intervals. I will maintain my interest in the following defined contribution plans (UT Saver TSA 403(b) Fidelity Balanced, UT Saver DCP 457(b) Fidelity Balanced; Optional retirement program 403(b) TIAA-CREF), neither I nor the University will make further contributions until I return.  The University of Texas at Austin and the LBJ Foundation have agreed to pay a performance bonus in a lump sum, which will be paid directly to me by the LBJ Foundation before I assume the duties of the position of Deputy Secretary	icipation in an continuation (s); (3) leaves (s); (4) leaves (s); (5) leaves (s); (6) leaves (s); (7) leaves (s); (8) leaves (s); (9) leaves (s); (1) leaves (	of absence; a of negotiation policy, leftined retirement	ability of the fi	filer  eemployment. See instructions regarding to these arrangements or benefits  None  Parties:  Doe Jones & Smith, Homelown, State  University of Texas at Austin Austin, Texas  University of Texas at Austin, Austin Texas  LBJ Foundation	
	icipation in an continuation (s); (3) leaves  continuation (s); (4) leaves  continuation (s); (4) leaves  continuation (s); (4) leaves  continuation (s); (5) leaves  continuation (s); (6) leaves  continuation (s); (6	of absence; a of negotiation policy, leftined retirement sum, which wi	nd (4) future and for any of t	rmployment. See instructions resc arrangements or benefits Parties Par	
	ricipation in an Icontinuation (s); (3) leaves sement or Ariangement syment of capital account & partnership share syment of capital account & partnership share intervals. I will maintain my interest in the following ded, UT Saver DCP 457(b) Fidelity Balanced; Optional null make further contributions until I return. I have agreed to pay a performance bonus in a lump sume the duties of the position of Deputy Secretary	iter or a joint li of absence; a of negotiatio gfined retirement sum, which wi	ability of the fi	Imployment. See instructions rese arrangements or benefits  Parties  Parties  Parties  Parties  Parties  Austin  Iteratiy of Texas at Austin, Austin, Texas  J Foundation	

SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
IIS Office of Government Ethics

U.S.	U.S. Office of Government Ethics					
Rep	Reporting Individual's Name James B. Steinberg		SCHEDULE D		Page Number	
Pa Reg din	Part I: Positions Held Outside U.S. Government Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or	U.S. Government able reporting period, whether are not limited to those of an officer, representative, employee, or	consultant of any corporation, firm, partnership, or other business enterpris non-profit organization or educational institution. Exclude nositions with r social, fraternal, or political entities and those solely of an honorary nature.	ship, or other business enterprise or any mriton Exclude nositions with relipious se solely of an honorary nature.	None	
T	Organizatio	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Ex	Examples: Nat'l Assn. of Rock Collectors, NY, NY	NY NY	Non-profit education	It	7/85	Present
t	Doe Jones & Smith, Hometown, Str		Law firm	TET	1100	100
-	The University of Texas at Austin Austin TX		Educational Institution	Dean	1/06	Present
N	Pacific Council on International Policy	icy	Non-profit Organization	Member, Board of Directors	1/01	Present
ω	The Glover Park Group Washington, DC		Consulting firm	Senior Adviser	11/05	8/08
4						
CI						
ø						
BER P	Part II: Compensation In Excess Of \$5,000 Paid by One Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any	Part II: Compensation In Excess Of \$5,000 Paid by One Source Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any of the reporting period.	urce corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5;000. You need not report the U.S. Government as a source.	iness enterprise, or any other non-profit to services generating a fee or payment the U.S. Government as a source.	Do not complete this partifyou are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidat	Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential Candidate None
T	Source (Name and Address)			Brief Description of Duties		
Ex	Examples: Doe Jones & Smith, Hometown, State Metro University College of Doe Jones & Smith), Moneytown, State	ate	Legal services in connection with university construction	COUNTING OF THE SE SECTION OF THE SE	-	
	The University of Texas at Austin Austin TX		Dean			
2			Consultant			
T	-		Doctor			
ω	Washington, DC	Ţ	book author			
4	Confederation of Indian Industries		Speeches			
Π	New Delhi, India					
(J)	Nikkei Shimbun		Speeches			
Ø.			Speeches			
3	Prior Editions Cannot Be Used.					
11.	of Editions Calling De Oscu.					

SF 278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Reporting Individual's Name U.S. Office of Government Ethics director, trustee, general partner, proprietor, representative, employee, or Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer. Part I: Positions Held Outside U.S. Government James B. Steinberg the reporting period. This includes the names of clients and customers of any business affiliation for services provided directly by you during any one year of Report sources of more than \$5,000 compensation received by you or your Part II: Compensation in Excess Of \$5,000 Paid by One Source ω N Examples: Doe Jones & Smith, Hometown, State Examples: CT 4 ω Ф O 4 N Taipei Economic and Cultural Representative Office (TECRO) Yomiuri Shimbun Washington, DC Tokyo, Japan Source (Name and Address)

Doe Jones & Smith, Hometown, State
Metro University (client of Doe Jones & Smith), Moneyrown, State Organization (Name and Address) canada on success on common as non-profit organization or educational institution Exclude positions with religious social, fraternal, or political entities and those solely of an honorary nature. corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source. consultant of any corporation, firm, partnership, or other business enterprise or any Speeches Speeches Legal services in connection with university construction Non-profit education

Law firm SCHEDULE D Type of Organization **Brief Description of Duties** Partner President Position Held Page Number From (Mo., Yr.) 7/85 or Presidential Candidate Vice Presidential if you are an incumbent, Termination Filer, or Do not complete this part None 12 To (Mo., Yr.) Present 1/00