

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

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| U.S. Office of Government Ethics | | Reporting Status (Check one) <input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate | | Calendar Year Covered by Report <input checked="" type="checkbox"/> X | | Termination Date (If Applicable) (Month, Day, Year) | |
| Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year) | | Last Name Stenberg | | First Name and Middle Initial James B. | | Termination Date (If Applicable) (Month, Day, Year) | |
| Reporting Individual's Name | | Title of Position Deputy Secretary of State | | Department or Agency (If Applicable) U.S. Department of State | | Termination Date (If Applicable) (Month, Day, Year) | |
| Position for Which Filing | | Address (Number, Street City, State, and ZIP Code) 2315 Red River St., Austin, TX 78712 | | Telephone No. (Include Area Code) 512-232-4008 | | Termination Date (If Applicable) (Month, Day, Year) | |
| Location of Present Office (or forwarding address) | | Title of Position(s) and Dates Held | | Termination Date (If Applicable) (Month, Day, Year) | | Termination Date (If Applicable) (Month, Day, Year) | |
| Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) | | Name of Congressional Committee Considering Nomination U.S. Senate Committee on Foreign Relations | | Do You Intend to Create a Qualified Diversified Trust? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | Termination Date (If Applicable) (Month, Day, Year) | |
| Presidential Nominees Subject to Senate Confirmation | | Signature of Reporting Individual | | Date (Month, Day, Year) 01/14/09 | | Termination Date (If Applicable) (Month, Day, Year) | |
| Certification I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge. | | Signature of Other Reviewer | | Date (Month, Day, Year) | | Termination Date (If Applicable) (Month, Day, Year) | |
| Other Review (If desired by agency) | | Signature of Designated Agency Ethics Official/Reviewing Official | | Date (Month, Day, Year) 1-14-09 | | Termination Date (If Applicable) (Month, Day, Year) | |
| Agency Ethics Official's Opinion On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below). | | Signature James B. Stenberg | | Date (Month, Day, Year) 1-14-09 | | Termination Date (If Applicable) (Month, Day, Year) | |
| Office of Government Ethics Use Only | | Signature John F. Carroll | | Date (Month, Day, Year) 1-14-09 | | Termination Date (If Applicable) (Month, Day, Year) | |
| Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet) | | | | | | | |
| (Check box if filing extension granted & indicate number of days) <input type="checkbox"/> | | | | | | | |
| (Check box if comments are continued on the reverse side) <input type="checkbox"/> | | | | | | | |
| <p>Fee for Late Filing</p> <p>Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed on, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.</p> <p>Reporting Periods</p> <p>Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.</p> <p>Nominees, New Entrants and Vice Presidents:</p> <p>Schedule A—The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.</p> <p>Schedule B—Not applicable.</p> <p>Schedule C, Part I (Liabilities)—The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.</p> <p>Schedule C, Part II (Agreements or Arrangements)—Show any agreements or arrangements as of the date of filing.</p> <p>Schedule D—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p> <p>Agency Use Only</p> <p>OGE Use Only</p> <p>JAN 14 2009</p> <p>NSN 7540-01-070-3444</p> | | | | | | | |

SCHEDULE A

Assets and Income

Valuation of Assets at close of reporting period

Income type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.

BLOCK A

BLOCK B

BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse).

None ☐

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| | | For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse). | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | | None <input type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Examples | | Central Airlines Common | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

James B. Steinberg

SCHEDULE A continued

(Use only if needed)

| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | Other Income (Specify Type & Actual Amount) | | Date (Mo., Day, Yr.) |
|-------------------------------|---|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|---|--|----------------------|
| BLOCK A | | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | |
| | | Type | | | | | | | | | | Amount | | | | | | | | | | | | |
| None <input type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | Fidelity Municipal Money Market FTEXX | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | Artio International Equity Fund BJBI | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | Dodge & Cox International Stock DODFX | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | Fidelity Magellan FMAGX | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | Fidelity Spartan 500 Index Investor FSMKX | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | Janus Twenty JAVLX | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | Permanent Portfolio PRPFX | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | Oakmark Equity & Income OAKBX | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | Fidelity Balanced FBALX | | | | | | | | | | | | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot Be Used.

SCHEDULE A continued

(Use only if needed)

Page Number

3

[illegible]

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent child, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

James B. Steinberg

SCHEDULE A continued (Use only if needed)

Page Number

4

| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | Other Income (Specify Type & Amount) | | Date (Mo., Day, Yr.) |
|-------------------------------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--------------------------------------|--|----------------------|
| BLOCK A | | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | |
| | | Type | | | | | | | | | | Amount | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | |
| None <input type="checkbox"/> | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | TIAA-CREF Global Equities | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | TIAA-CREF International Equity | | | | | | | | | | | | | | | | | | | | | | | |
| 3 | TIAA-CREF International Equity Index | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | TIAA-CREF Stock | | | | | | | | | | | | | | | | | | | | | | | |
| 5 | TIAA-CREF Growth | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | TIAA-CREF Equity Index | | | | | | | | | | | | | | | | | | | | | | | |
| 7 | New York's 529 College Savings Program - Moderate Growth Portfolio | | | | | | | | | | | | | | | | | | | | | | | |
| 8 | New York's 529 College Savings Program - Aggressive Growth Portfolio | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | DC College 529 State Street Equity 500 Index | | | | | | | | | | | | | | | | | | | | | | | |

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Prior Editions Cannot be Used.

SCHEDULE A continued

(Use only if needed)

Issue Number

5

[illegible]

| BLOCK A | | BLOCK B | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | |
|-------------------|--|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|--|--------------------|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---|----------------------|-------------------------|-------------------|---------------------------|------------------|--------------------------------------|---------|
| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | |
| | | | | | | | | | | Type | Amount | | | | | | | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | |
| | | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Other Income (Specify Type & Amount) | |
| 1 | The University of Texas at Austin Austin, TX | | | | | | | | | | | | | | | | | | | | | | | | salary & bonus \$325,000.00 | |
| 2 | The Glover Park Group Public Affairs Consulting Washington, DC | | | | | | | | | | | | | | | | | | | | | | | | Consulting fees \$70,000.00 | |
| 3 | Center for A New American Security Washington, DC | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$7,500.00 | 9/7/08 |
| 4 | Washington Quarterly Washington, DC | | | | | | | | | | | | | | | | | | | | | | | | Article payment \$500.00 | 9/7/08 |
| 5 | World President's Organization Los Angeles (WPOLA) Los Angeles, CA | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$1,500.00 | 1/17/08 |
| 6 | Nikkei Shinbun Tokyo, Japan | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$10,000.00 | 6/2/08 |
| 7 | Newsweek, Inc. New York, NY | | | | | | | | | | | | | | | | | | | | | | | | Article payment \$600.00 | 1/7/08 |
| 8 | American Behavioral Scientist Newbury Park, CA | | | | | | | | | | | | | | | | | | | | | | | | Article payment \$500.00 | 5/1/08 |
| 9 | Southern Methodist University Dallas, TX | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$1,500.00 | 1/31/08 |

Prior Editions Cannot be Used

SCHEDULE A continued

(Use only if needed)

| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | Other Income (Specify Type & Amount) | | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | |
|-------------------|---|--|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|--------------------|---------------------------|----------------------------|--|-------------------|--------------------------|----------------|-----------------|-----------|--------------------|----------|---------------|---------------------------|--------------------------------------|-------------------|---|--------------------|---------------------|----------------------|-------------------------|--------------------|---------------------------|--|---------------------------|---------|
| BLOCK A | | BLOCK B | | | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | |
| | | Type | | | | | | | | | | Amount | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | Asahi Shimbun Tokyo, Japan | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 * | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000 * | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Honorarium \$10,000.00 | 6/13/08 |
| 2 | Aspen Institute India New Delhi, India | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$1,000.00 paid in 08 | 12/20/07 | |
| 3 | Confederation of Indian Industries New Delhi, India | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$5,000.00 paid in 08 | 12/19/07 | |
| 4 | Smith Richardson Foundation Westport, CT | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$250.00 | 5/28/08 | |
| 5 | "Difficult Transitions" Brookings Pub. Center for A New American Security, DC (payment and potential royalties) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Book payment \$25,000.00 | | |
| 6 | Yomiuri Shimbun Tokyo, Japan | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$10,000.00 | 6/4/08 | |
| 7 | Washington Quarterly Washington, DC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Article payment \$250.00 | 5/1/08 | |
| 8 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

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Prior Editions Cannot be Used.

SCHEDULE A continued

James B. Steinberg

(Use only if needed)

88

| Assets and Income | | Valuation of Assets at close of reporting period | | | | | | | | Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. | | | | | | | | | | | | | | | | | | | | | | | | |
|-------------------|---|---|-----------------------------|--------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|--|---------------------------|----------------------------|-----------------------------|-------------------|--------------------------|----------------|-----------------|---|---|----------|---------------|---------------------------|-----------------|-------------------|-------------------|--------------------|---------------------|----------------------|-------------------------|-------------------|---------------------------|------------------|--------|--|
| BLOCK A | | BLOCK B | | | | | | | | BLOCK C | | | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | Type | Amount | | | | | | | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria | | | | | | | | | | | | | | | |
| 1 | (Spouse) The University of Texas at Austin Austin, TX | <input type="checkbox"/> None | None (or less than \$1,001) | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 * | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than \$201) | \$201 - \$1,000 | \$1,001 - \$2,500 | \$2,501 - \$5,000 | \$5,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | Over \$5,000,000 | Salary | |
| 2 | (Spouse) The World Bank Washington, DC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Consulting | | |
| 3 | (Spouse) Fire Protection Research Foundation Quincy, MA | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$1,000.00 | 11/18/08 | | |
| 4 | (Spouse) Houston State Community College Houston, Texas | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | Honorarium \$300.00 | 12/08 | | |
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* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent child, mark the other higher categ

Prior Editions Cannot be Used.

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Reporting Individual's Name
James B. Steinberg

SCHEDULE B

Page Number
9

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

None ☐

| | Identification of Asset | Transaction Type (x) | | | Date (Mo., Day, Yr.) | Amount of Transaction (x) | | | | | | | | | | | |
|---|----------------------------------|----------------------|------|----------|----------------------|---------------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|-------------------|---------------------------|----------------------------|-----------------------------|-------------------|----------------------------|
| | | Purchase | Sale | Exchange | | \$1,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000* | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 | Certificate of divestiture |
| 1 | Example: Central Airlines Common | x | | | 2/1/99 | | | x | | | | | | | | | |
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* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None ☐

| | Source (Name and Address) | Brief Description | Value |
|---|--|--|----------------|
| 1 | Examples: Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity, unrelated to duty) Leather briefcase (personal friend) | \$500 \$300 |
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U.S. Office of Government Ethics
Reporting Individual's Name

James B. Steinberg

SCHEDULE C

Page Number

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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

Category of Amount or Value (X)

| | | | | | | | | | | | | | | | | | |
|-----------------------------|--|--|--|--|---------------|----------------------|---------------------|---------------------|----------------------|-----------------------|-----------------------|-------------------------|------------------|---------------------------|----------------------------|-----------------------------|-------------------|
| | | any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your | | by distributions, transfers, or other means. See instructions for revolving charge accounts. | | | | | | | | | | | | | |
| Creditor (Name and Address) | | Type of Liability | | Date Incurred | Interest Rate | Term if applicable | \$10,001 - \$15,000 | \$15,001 - \$50,000 | \$50,001 - \$100,000 | \$100,001 - \$250,000 | \$250,001 - \$500,000 | \$500,001 - \$1,000,000 | Over \$1,000,000 | \$1,000,001 - \$5,000,000 | \$5,000,001 - \$25,000,000 | \$25,000,001 - \$50,000,000 | Over \$50,000,000 |
| Examples: | First District Bank, Washington, DC John Jones, 123 J St., Washington, DC | Mortgage on rental property, Delaware Promissory note | | 1991 1999 | 8% 10% | 25 yrs. on demand | | | X | | X | | | | | | |
| 1 | National City Bank | Mortgage on rental property, Washington, DC | | 2005 | 5.80% | 30 yrs. | | | | | X | | | | | | |
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* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g., 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

| Status and Terms of any Agreement or Arrangement | | Parties | | Date |
|--|--|--|--|-------|
| Examples: | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | | 7/85 |
| 1 | I will take an unpaid leave of absence effective upon confirmation. Pursuant to the University of Texas at Austin policy, I can apply for extensions of the unpaid leave in one year intervals. I will maintain my interest in the following defined contribution plans (UT Saver TSA 403(b) Fidelity Balanced, UT Saver DCP 457(b) Fidelity Balanced; Optional retirement program 403(b) TIAA-CREF), neither I nor the University will make further contributions until I return. | University of Texas at Austin, Austin, Texas | | 01/05 |
| 2 | The University of Texas at Austin and the LBJ Foundation have agreed to pay a performance bonus in a lump sum, which will be paid directly to me by the LBJ Foundation before I assume the duties of the position of Deputy Secretary | University of Texas at Austin, Austin, Texas LBJ Foundation | | 01/09 |
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Reporting Individual's Name
James B. Steinberg

SCHEDULE D

Page Number

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Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|-----------|--|----------------------------------|----------------------------|-----------------|-----------------|
| Examples: | Natl. Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State | Non-profit education Law firm | President Partner | 6/92 7/83 | Present 1/00 |
| 1 | The University of Texas at Austin Austin, TX | Educational Institution | Dean | 1/06 | Present |
| 2 | Pacific Council on International Policy Los Angeles, CA | Non-profit Organization | Member, Board of Directors | 1/01 | Present |
| 3 | The Glover Park Group Washington, DC | Consulting firm | Senior Adviser | 11/05 | 8/08 |
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Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate
None ☐

| | Source (Name and Address) | Brief Description of Duties |
|-----------|--|---|
| Examples: | Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services Legal services in connection with university construction |
| 1 | The University of Texas at Austin Austin, TX | Dean |
| 2 | The Glover Park Group Washington, DC | Consultant |
| 3 | Center for A New American Security Washington, DC | Book author |
| 4 | Confederation of Indian Industries New Delhi, India | Speeches |
| 5 | Nikkei Shimbun Tokyo, Japan | Speeches |
| 6 | Asahi Shimbun Tokyo, Japan | Speeches |

SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (Name and Address) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|-----------|--|----------------------|---------------|-----------------|---------------|
| Examples: | Natl. Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doc Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
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Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate

None ☐

| | Source (Name and Address) | Brief Description of Duties |
|-----------|--|---|
| Examples: | Doc Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doc Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | Yomiuri Shinbun Tokyo, Japan | Speeches |
| 2 | Taipei Economic and Cultural Representative Office (TECRO) Washington, DC | Speeches |
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