SF278 (Rev. 03/2000)
5 C.F.R Part 2634
U.S. Office of Government Ethics

Form Approved:
OMB No. 3209-0001

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

| | | | |
|---|---|---|---|
| Date of Appointment, Candidacy, Election or Nomination (*Month, Day, Year*) | Reporting Status (*Check appropriate boxes*) ☐ Incumbent; Calendar Year Covered by Report: | ☒ New Entrant, Nominee, or Candidate | ☐ Termination Filer; Termination Date (*If Applicable*) (*Month, Day, Year*) |
| Reporting Individual's Name | Last Name: Miller | First Name and Middle Initial: James N. | |
| Position for Which Filing | Title of Position: Deputy Under Secretary of Defense (Policy) | Department or Agency (*If Applicable*): Department of Defense | |
| Location of Present Office (or forwarding address) | Address (*Number, Street, City, State, and ZIP Code*): 1301 Pa. Avenue, NW; Suite 403; Washington, DC 20004 | Telephone No. (*Include Area Code*): 202-457-9400 | |
| Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above) | Title of Position(s) and Date(s) Held: None. | | |
| **Presidential Nominees Subject to Senate Confirmation** | Name of Congressional Committee Considering Nomination: Senate Armed Services Committee | Do You Intend to Create a Qualified Diversified Trust? ☐ Yes ☒ No | |
| **Certification** I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge. | Signature of Reporting Individual: Jim Miller | Date (*Month, Day, Year*): 3-10-09 | |
| **Other Review** (If desired by agency) | Signature of Other Reviewer | Date (*Month, Day, Year*) | |
| **Agency Ethics Official's Opinion** On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below). | Signature of Designated Agency Ethics Official/Reviewing Official | Date (*Month, Day, Year*): 3/12/09 | |
| Office of Government Ethics Use Only | Signature | Date (*Month, Day, Year*): 3/17/09 | |

Comments of Reviewing Officials (*If additional space is required, use the reverse side of this sheet*)

(*Check box if filing extension granted & indicate number of days* ________) ☐

(*Check box if comments are continued on the reverse side*) ☐

**Fee for Late Filing**

Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a $200 fee.

**Reporting Periods**

**Incumbents:** The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.

**Termination Filers:** The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.

**Nominees, New Entrants and Candidates for President and Vice President:**

**Schedule A**--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.

**Schedule B**--Not applicable.

**Schedule C, Part I** (Liabilities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.

**Schedule C, Part II** (Agreements or Arrangements)-- Show any agreements or arrangements as of the date of filing.

**Schedule D**--The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.

Agency Use Only

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Reporting Individual's Name: James N. Miller, Jr.

# SCHEDULE A



## Assets and Income — BLOCK A

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding $1,000 at the close of the reporting period, or which generated more than $200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding $200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than $1,000 (except report the actual acount of any honoraria over $200 of your spouse).

None ☐

## Valuation of Assets at close of reporting period — BLOCK B

## Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples | Central Airlines Common | | | | x | | | | | | | | | | | | x | | | | | | x | | | | | | | | | | |
| Examples | Doe Jones & Smith, Hometown, State | | | x | | | | | | | | | | | | | | | | | | | | | | | | | | | | Law Partnership Income $130,000 | |
| Examples | Kempstone Equity Fund | | | | | x | | | | | | | | x | | | | | | | | | | x | | | | | | | | | |
| Examples | IRA: Heartland 500 Index Fund | | | | | | | x | | | | | | x | | | | | | | | | | | x | | | | | | | | |
| 1 | Wachovia Bank Checking Account | | | X | | | | | | | | | | | | | | | X | | | X | | | | | | | | | | | |
| | Wachovia Bank Money Market Account | | | X | | | | | | | | | | | | | | | X | | | X | | | | | | | | | | | |
| | Fidelity Municipal Money Market Fund | | | | X | | | | | | | | | X | | | | | | | | | | X | | | | | | | | | |
| 2 | Hartford 403(b) Mid-Cap Value Fund | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Hartford 403(b) Small-Cap Value Fund | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Hartford 403(b) Large-Cap Value Fund | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 3 | Hartford 403(b) Janus Int'l Growth | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Hartford 403(b) Pioneer Emerging Mkts | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | TIAA-CREF 403(b) CREF Stock | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 4 | TIAA-CREF 403(b) CREF Global Equities | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Vanguard 401(k) 500 Index Fund | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Vanguard 401(k) Developed Markets | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 5 | Vanguard 401(k) International Growth | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Vanguard 401(k) MidCap Index | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Vanguard 401(k) Small Cap Index | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 6 | Vanguard 401(k) 2025 Retirement Fund | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Vanguard 401(k) Total Bond Fund | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Valic 403(b) Money Market Acct | | X | | | | | | | | | | | | | | | | | | X | | | | | | | | | | | | |

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

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Reporting Individual's Name: James N. Miller, Jr.

# SCHEDULE A continued
(Use only if needed)

Page Number 2

| # | Assets and Income (BLOCK A) | Valuation of Assets at close of reporting period (BLOCK B): None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Income Type (BLOCK C): Dividends | Rent and Royalties | Interest | Capital Gains | Income Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | None ☐ | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 1 | Center for a New American Security<br>1301 Pennsylvania Ave, NW #403<br>Washington, DC | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | salary<br>$208,333 | |
| 2 | SAIC<br>10010 Campus Point Drive<br>San Diego CA 92121 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | salary<br>$4,060 | |
| 3 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 4 | Adaptive Strategies, LLC<br>(consulting); Arlington, VA | | X | | | | | | | | | | | | | | | | | | | | | | | | | | | | | $30,248 | |
| 5 | (sole proprietorship) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

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Reporting Individual's Name

James N. Miller, Jr.

# SCHEDULE A continued

(Use only if needed)

Page Number 3

Income: type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item.

| | Assets and Income BLOCK A | Valuation of Assets at close of reporting period BLOCK B | | | | | | | | | | | | | | | BLOCK C Type | | | | BLOCK C Amount | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | None ☐ | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000.000 * | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Dividends | Rent and Royalties | Interest | Capital Gains | None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
| 1 | SAIC Stock (SAI) sale | X | | | | | | | | | | | | | | | | | | X | | | | X | | | | | | | | | |
| | Fidelity Real Estate Invest (FRESX) | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Powershare Golden Dragon ETF (PGJ) | X | | | | | | | | | | | | X | | | X | | | | X | | | | | | | | | | | | |
| 2 | Vanguard Large Cap Fund (VV) | X | | | | | | | | | | | | X | | | | | | | | X | | | | | | | | | | | |
| | Ishares FTSE Xingha (FXI) | X | | | | | | | | | | | | X | | | | | | | | | | X | | | | | | | | | |
| | Ishares MSCI Emerging (EEM) | X | | | | | | | | | | | | X | | | | | | | | | | | | X | | | | | | | |
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Reporting Individual's Name: James N. Miller, Jr.

# SCHEDULE A continued

(Use only if needed)

Page Number 4

**Assets and Income** — BLOCK A

**Valuation of Assets** at close of reporting period — BLOCK B

**Income:** type and amount. If "None (or less than $201)" is checked, no other entry is needed in Block C for that item. — BLOCK C

None ☐

| # | Assets and Income (BLOCK A) | None (or less than $1,001) | $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000.000 * | $1,000,001 - $ 5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Excepted Investment Fund | Excepted Trust | Qualified Trust | Type: Dividends | Type: Rent and Royalties | Type: Interest | Type: Capital Gains | Amount: None (or less than $201) | $201 - $1,000 | $1,001 - $2,500 | $2,501 - $5,000 | $5,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | Over $5,000,000 | Other Income (Specify Type & Actual Amount) | Date (Mo., Day, Yr.) Only if Honoraria |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1 | ALL BELOW SHARE OF ASSETS IN MARITAL TRUST FBO DORIS MILLER | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2 | First American Prime Obligation Fund CL Y (FAIXX) | X | | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 3 | First American Tax Free Oblig. Fund CL Y (FFCXX) | | X | | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 4 | Muni Bond Rockford MI Public 4% 5/01/20 | | | X | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| 5 | Muni Bond Cook County, IL 4% 12/01/09 | | | X | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| 6 | Muni Bond FLA State Municipal Power 0.84% 10/01/21 | | | X | | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| 7 | Muni Bond Van Dyke MI Public Schools 4% 5/01/18 | | | | X | | | | | | | | | | | | | | X | | X | | | | | | | | | | | | |
| | Vanguard Emerging Mkts ETF (VWO) | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 8 | Vanguard Europe/Asia ETF (VEA) | | | | X | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | Vanguard REIT ETF (VNQ) | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | iShares Russell 2000 ETF (IWM) | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| 9 | iShares Mid Cap Index ETF (IWR) | | | X | | | | | | | | | | X | | | | | | | X | | | | | | | | | | | | |
| | iShares S&P 500 ETF (IVV) | | | | | | X | | | | | | | X | | | | | | | X | | | | | | | | | | | | |

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**Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate**

| Reporting Individual's Name | | Page Number |
|---|---|---|
| James N. Miller, Jr. | SCHEDULE B | 5 |

## Part I: Transactions

None ☐

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

| | Identification of Assets | Transaction Type (x): Purchase | Sale | Exchange | Date (Mo., Day, Yr.) | Amount of Transaction (x): $1,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 | Certificate of divestiture |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Example: | Central Airlines Common | x | | | 2/1/99 | | | x | | | | | | | | | |
| 1 | | | | | | | | | | | | | | | | | |
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| 5 | | | | | | | | | | | | | | | | | |

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

## Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than $260; and (2) travel-related cash reimbursements received from one source totaling more than $260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. **Exclude** anything given to you by the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth $104 or less. See instructions for other exclusions.

None ☐

| | Source (Name and Address) | Brief Description | Value |
|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty) | $500 |
| | Frank Jones, San Francisco, CA | Leather briefcase (personal friend) | $300 |
| 1 | | | |
| 2 | | | |
| 3 | | | |
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| 5 | | | |

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| Reporting Individual's Name | | Page Number |
|---|---|---|
| James N. Miller, Jr. | **SCHEDULE C** | 6 |

## Part I: Liabilities

Report liabilities over $10,000 owed to any one creditor at **any time** during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. **Exclude** a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None [x]

| | Creditors (Name and Address) | Type of Liability | Date Incurred | Interest Rate | Term if applicable | $10,001 - $15,000 | $15,001 - $50,000 | $50,001 - $100,000 | $100,001 - $250,000 | $250,001 - $500,000 | $500,001 - $1,000,000 | Over $1,000,000* | $1,000,001 - $5,000,000 | $5,000,001 - $25,000,000 | $25,000,001 - $50,000,000 | Over $50,000,000 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Examples: | First District Bank, Washington, DC | Mortgage on rental property, Delaware | 1991 | 8% | 25 yrs. | | | x | | | | | | | | |
| | John Jones, 123 J St., Washington, DC | Promissory note | 1999 | 10 % | on demand | | | | | x | | | | | | |
| 1 | | | | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | | |

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

## Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None [ ]

| | Status and Terms of any Agreement or Arrangement | Parties | Date |
|---|---|---|---|
| Example: | Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00. | Doe Jones & Smith, Hometown, State | 7/85 |
| 1 | Upon my appointment, the Center for a New American Security will no longer contribute to my 403b plan with Hartford. | Center for a New American Security | 2/07 |
| 2 | I continue to participate in my 401K plan with Vanguard (started at SAIC). SAIC does not contribute to this plan. | SAIC | 10/2000 |
| 3 | I continue to participate in my 403B Cref and VALIC plans (started at Duke). Duke University does not contribute to either | Duke University | 9/92 |
| 4 | of those plans. | | |
| 5 | | | |
| 6 | | | |

| Reporting Individual's Name | | Page Number |
|---|---|---|
| James N. Miller, Jr. | **SCHEDULE D** | 7 |

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. **Exclude** positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

| | Organization (*Name and Address*) | Type of Organization | Position Held | From (Mo., Yr.) | To (Mo., Yr.) |
|---|---|---|---|---|---|
| Examples: | Nat'l Assn. of Rock Collectors, NY, NY | Non-profit education | President | 6/92 | Present |
| | Doe Jones & Smith, Hometown, State | Law firm | Partner | 7/85 | 1/00 |
| 1 | Center for a New American Security<br>1301 Pennsylvania Ave, NW #403, Washington DC 20004 | Non-profit think tank | Senior Vice President and Director of Studies | 2/07 | present |
| 2 | SAIC, 1710 SAIC Drive, McLean, VA 22102 | Corporation | Consulting Employee | 2/07 | 12/08 |
| 3 | Hicks & Associates, Inc, 1710 SAIC Drive, McLean VA 20004<br>(wholly-owned subsidiary of SAIC) | Corporation | Senior Vice President | 9/00 | 2/07 |
| 4 | The Miller Agency, Inc.<br>2615 W. 4th St, Waterloo, Iowa 50701 | Corporation | President<br>(uncompensated) | 7/06 | present |
| 5 | Adaptive Strategies, LLC<br>Arlington VA 22207 | Limited liability company | President | 8/06 | present |
| 6 | | | | | |

## Part II: Compensation In Excess Of $5,000 Paid by One Source

Report sources of more than $5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

**Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate**

None ☐

| | Source (*Name and Address*) | Brief Description of Duties |
|---|---|---|
| Examples: | Doe Jones & Smith, Hometown, State | Legal services |
| | Metro University (client of Doe Jones & Smith), Moneytown, State | Legal services in connection with university construction |
| 1 | Los Alamos<br>Los Alamos, NM | consulting services |
| 2 | Adaptive Strategies, LLC<br>Arlington, VA 22207 | consulting services |
| 3 | CSIS<br>1800 K Street, NW, Wash, DC | consulting services |
| 4 | | |
| 5 | Center for a New American Security<br>1301 Pa. Aven, NW , WASH, DC | provided service as Senior Vice President and Director of Studies |
| 6 | | |

James N. Miller

Attachment to SF 278

The following clients of Adaptive Strategies, LLC, do not meet the reporting threshold for the SF 278, but are being provided:

Systems Planning & Analysis, Inc.
CACI Technologies, Inc.
Northrop Grumman Corp.
National Institute for Public Policy
Booz Allen Hamilton