

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (Month, Day, Year)		Reporting Status (Check appropriate boxes)		Calendar Year Covered by Report		New Entrant, Nominee, or Candidate		Termination (If Applicable) (Month, Day, Year)	
January 30, 2009		<input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> New Entrant, Nominee, or Candidate <input type="checkbox"/> Filer		2009					
Reporting Individual's Name		Last Name		First Name and Middle Initial		Termination Date (If Applicable)			
Jones				James L.					
Position for Which Filing		Title of Position		Department or Agency (If Applicable)		Termination Date (If Applicable)			
National Security Advisor				White House					
Location of Present Office (or forwarding address)		Address (Number, Street, City, State, and ZIP Code)		Telephone No. (Include Area Code)		Termination Date (If Applicable)			
1600 Pennsylvania Ave NW Washington DC				452.7491					
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)		Title of Position(s) and Dates Held		Termination Date (If Applicable)		Termination Date (If Applicable)			
None									
Presidential Nominees Subject to Senate Confirmation		Name of Congressional Committee Considering Nomination		Do You Intend to Create a Qualified Diversified Trust?		Yes <input type="checkbox"/> No <input type="checkbox"/>			
Certification		Signature of Reporting Individual		Date (Month, Day, Year)		Termination Date (If Applicable)			
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.		James L. Jones		01/17/09					
Other Review (If desired by agency)		Signature of Other Reviewer		Date (Month, Day, Year)		Termination Date (If Applicable)			
Agency Ethics Official's Opinion		Signature of Designated Agency Ethics Official/Reviewing Official		Date (Month, Day, Year)		Termination Date (If Applicable)			
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		14-1. cut		2/12/09					
Office of Government Ethics Use Only		Signature		Date (Month, Day, Year)		Termination Date (If Applicable)			
Comments of Reviewing Officials (If additional space is required, use the reverse side of this sheet)									
(Check box if filing extension granted & indicate number of days _____) <input type="checkbox"/>									
(Check box if comments are continued on the reverse side) <input type="checkbox"/>									
Fee for Late Filing Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period shall be subject to a \$200 fee.									
Reporting Periods Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Part I of Schedule D where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.									
Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II of Schedule D is not applicable.									
Nominees, New Entrants and Candidates for President and Vice President:									
Schedule A-- The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.									
Schedule B-- Not applicable.									
Schedule C, Part I (Liabilities)-- The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.									
Schedule C, Part II (Arrangements or Arrangements)-- Show any agreements or arrangements as of the date of filing.									
Schedule D-- The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.									
Agency Use Only									
OCGE Use Only									

SCHEDULE A

Page Number

N

Assets and Income		Valuation of Assets at close of reporting period								Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.											
BLOCK A		BLOCK B								BLOCK C											
For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income. For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual account of any honoraria over \$200 of your spouse).										Type	Amount									Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria
None <input type="checkbox"/>																					
										Dividends											
										Rent and Royalties											
										Interest											
										Capital Gains											
										None (or less than \$201)											
										\$201 - \$1,000											
										\$1,001 - \$2,500											
										\$2,501 - \$5,000											
										\$5,001 - \$15,000											
										\$15,001 - \$50,000											
										\$50,001 - \$100,000											
										\$100,001 - \$1,000,000											
										Over \$1,000,000*											
										\$1,000,001 - \$5,000,000											
										Over \$5,000,000											
										Excepted Investment Fund											
										Excepted Trust											
										Qualified Trust											
										Dividends											
										Rent and Royalties											
										Interest											
										Capital Gains											
										None (or less than \$201)											
										\$201 - \$1,000											
										\$1,001 - \$2,500											
										\$2,501 - \$5,000											
										\$5,001 - \$15,000											
										\$15,001 - \$50,000											
										\$50,001 - \$100,000											
										\$100,001 - \$1,000,000											
										Over \$1,000,000*											
										\$1,000,001 - \$5,000,000											
										Over \$5,000,000											
										Other Income (Specify Type & Actual Amount)											
										Date (Mo., Day, Yr.)											
										Only if Honoraria											

(Use only if needed)

Page Number

James L. Jones

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Prior Editions Cannot be Used.

(Use only if needed)

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Assets and Income		Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.													Date (Mo., Day, Yr.) Only if Honorary
BLOCK A		BLOCK B										BLOCK C													
												Type			Amount										
None <input type="checkbox"/>		None (or less than \$1,001)																							
		\$1,001 - \$15,000																							
		\$15,001 - \$50,000																							
		\$50,001 - \$100,000																							
		\$100,001 - \$250,000																							
		\$250,001 - \$500,000																							
		\$500,001 - \$1,000,000																							
		Over \$1,000,000 *																							
		\$1,000,001 - \$5,000,000																							
		\$5,000,001 - \$25,000,000																							
		\$25,000,001 - \$50,000,000																							
		Over \$50,000,000																							
		Excepted Investment Fund																							
		Excepted Trust																							
		Qualified Trust																							
		Dividends										X													
		Rent and Royalties																							
		Interest																							
		Capital Gains																							
		None (or less than \$201)										X													
		\$201 - \$1,000																							
		\$1,001 - \$2,500																							
		\$2,501 - \$5,000																							
		\$5,001 - \$15,000																							
		\$15,001 - \$50,000																							
		\$50,001 - \$100,000																							
		\$100,001 - \$1,000,000																							
		Over \$1,000,000*																							
		\$1,000,001 - \$5,000,000																							
		Over \$5,000,000																							
		Other Income (Specify Type & Actual Amount)																							

SCHEDULE A continued

(Use only if needed)

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Prior Editions Cannot be Used.

SCHEDULE A continued

(Use only if needed)

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(Use only if needed)

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U.S. Office of Government Ethics
Reporting Individual's Name

James L. Jones

Page Number

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SCHEDULE A continued

(Use only if needed)

Assets and Income

BLOCK A

Valuation of Assets at close of reporting period

BLOCK B

Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.

BLOCK C

Type

Amount

Other
Income
(Specify
Type &
Amount)

Date
(Mo., Day,
Yr.)
Only if
Honorary

None ☐

None (or less than \$1,001)
\$1,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$250,000
\$250,001 - \$500,000
\$500,001 - \$1,000,000
Over \$1,000,000 *
\$1,000,001 - \$ 5,000,000
\$5,000,001 - \$25,000,000
\$25,000,001 - \$50,000,000
Over \$50,000,000
Excepted Investment Fund
Excepted Trust
Qualified Trust
Dividends
Rent and Royalties
Interest
Capital Gains
None (or less than \$201)
\$201 - \$1,000
\$1,001 - \$2,500
\$2,501 - \$5,000
\$5,001 - \$15,000
\$15,001 - \$50,000
\$50,001 - \$100,000
\$100,001 - \$1,000,000
Over \$1,000,000*
\$1,000,001 - \$5,000,000
Over \$5,000,000

- 1 Nuveen Prem Income Muni fund, NPI
- 2 US Treasury bond US21No 8%, 11/15/21
- 3 Merrill Lynch Intl equity basket note
- 4 Merrill Lynch EAFE index note
- 5 Merrill Lynch S&P 500 index note
- 6 Boeing deferred comp (tracks stock)
- 7 Chevron deferred comp (tracks stock)
- 8 Cross Match Tech (see Sched C, Part II)
- 9 Invacare stock options (see Schedule C, Part II)
- 10 Bank of America, common, BAC
- 11 Dominion Resources DOM
- 12 Dow Chemical common DOW
- 13 Exxon Mobile, common, XOM
- 14 Freeport gold, common FCX
- 15 Genetech, common DNA
- 16 Host Hotels, common, HST
- 17 Ishares 7-10 Treasuries IEF
- 18 Norfolk Southern common, NSC
- 19 Proshares, short Oil DUG
- 20 Proshares, short S&P SDS
- 21 SAIC Corp common SAI

value not readily ascertainable

value not readily ascertainable

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

SCHEDULE A continued

(Use only if needed)

Page Number

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[illegible]

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

SCHEDULE A continued

(Use only if needed)

Page Number

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* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher category.

Prior Editions Cannot be Used.

Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

Page Number

Reporting Individual's Name

James L. Jones

SCHEDULE B

None ☐

Part I: Transactions

Report any purchase, sale, or exchange by you, your spouse, or dependent children during the reporting period of any real property, stocks, bonds, commodity futures, and other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not

report a transaction involving property used solely as your personal residence, or a transaction solely between you, your spouse, or dependent child. Check the "Certificate of divestiture" block to indicate sales made pursuant to a certificate of divestiture from OGE.

Transaction Type (x)	Date (Mo., Day, Yr.)	Amount of Transaction (x)											
		\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	Certificate of divestiture

Identification of Assets

Example: Central Airlines Common
NOT REQUIRED FOR NOMINEES

1													
2													
3													
4													
5													

* This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate.

Part II: Gifts, Reimbursements, and Travel Expenses

For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and (2) travel-related cash reimbursements received from one source totaling more than \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such as personal friend, agency approval under 5 U.S.C. § 4111 or other statutory authority, etc. For travel-related gifts and reimbursements, include travel itinerary, dates, and the nature of expenses provided. Exclude anything given to you by

the U.S. Government; given to your agency in connection with official travel; received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the total value from one source, exclude items worth \$104 or less. See instructions for other exclusions.

None ☐

Source (Name and Address)	Brief Description	Value
Example: Natl. Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA	Airline ticket, hotel room & meals incident to national conference 6/13/99 (personal activity unrelated to duty) Leather briefcase (personal item)	\$300 \$100
NOT REQUIRED FOR NOMINEES		
1		
2		
3		
4		
5		

James L. Jones

SCHEDULE C

Page Number

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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

Part I: Liabilities																			
Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.																			
Creditors (Name and Address)			Type of Liability		None <input type="checkbox"/>														
					Category of Amount or Value (x)														
			Date Incurred	Interest Rate	Term if applicable	\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000*	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000			
Examples:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	1991	8%	25 yrs. on demand			x											
	John Jones, 123 J St., Washington, DC	Promissory note	1999	10 %					x										
1	Merrill Lynch, New York NY	Margin account LMA	2007	2.6%				x											
2																			
3																			
4																			
5																			

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g., 401k, deferred compensation, (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

Payment by a former employer (including severance payments); (3) leaves		None	<input type="checkbox"/>
Status and Terms of any Agreement or Arrangement		Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	U.S. Chamber of Commerce -- Will continue to participate in 401k Retirement Plan, no further contributions from employer	U.S. Chamber of Commerce	2/07
2	Boeing Corp, deferred compensation plan, in units tracking the Boeing stock. Boeing will distribute my interests in this plan in the immediate future. If Boeing distributes stock, rather than cash, I will divest all stock within 90 days.	Boeing Corp	6/07
3	Chevron Corp, deferred compensation plan, in units tracking the Chevron stock. Chevron will distribute my interests in this plan in the immediate future. If Chevron distributes stock, rather than cash, I will divest all stock within 90 days.	Chevron Corp.	3/08
4	Invacare Corp, stock options per vesting schedule, 8228 shares @ \$18.23, 25% vested; 5650 shares @ \$23.78 25% vested.	Invacare Corp.	5/07
5	7522 shares @ \$18.94 25% vested. I forfeited all vested and unvested options upon resignation.	Cross Match	5/07
6	Cross Match -- Stock options: 25,000 shares @ \$9.00 in this privately held company (value not readily ascertainable). These options were all unvested at the time of my resignation, and I forfeited them upon resignation.		

Reporting Individual's Name

James L. Jones

Page Number

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SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples: Nat'l Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1 US Chamber of Commerce, Washington DC	Business Federation	President, Energy Institute	2/07	1/09
Armed Forces Benefit Association, Alexandria, VA	Non-profit Membership Association	Director	8/99	1/09
2 Boeing Corporation, Chicago, IL	Airplane, Defense, Space Products	Director	6/07	12/08
Invacare Corp, Eluru, OH	Health Care Company	Director	5/07	1/09
3 Cross Match Technologies, Palm Beach Gardens, FL	Biometric ID Systems Company	Director	5/07	12/08
Chevron Corp., San Ramon, CA	Diversified Energy Company	Director	3/08	1/09
4 McAndrews and Forbes (AM General), New York, NY	Vehicle Manufacturing Company	Consultant	11/07	1/09
Thomas Blair, Rockville, MD	Consulting	Consultant	10/07	1/09
5 MIC Industries, Reston, VA	Building Manufacturing Company	Speaker	4/07	1/09
Leading Authorities, Washington, DC	Speakers Bureau	Speaker	2/07	1/09
6 Atlantik-Brucke, Berlin, Germany	International Non-profit Organization	Advisor	4/08	1/09
Bipartisan Policy Center, Washington, DC	Public Policy Research Institution	Project Chair	8/07	1/09

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate
 None ☐

Source (Name and Address)	Brief Description of Duties
Examples: Doe Jones & Smith, Hometown, State Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1 Armed Forces Benefit Assoc, Alexandria, VA	Director
US Chamber of Commerce, Washington, DC	President, Institute for 21st Century Energy
2 Boeing Corp, Chicago, IL	Director
Invacare Corp, Eluru, OH	Director
3 Cross Match, Palm Beach Gardens, FL	Director
Chevron Corp, San Ramon, CA	Advisor
4 McAndrews and Forbes (AM General) New York, NY	Consultant
Thomas Blair, Rockville, MD	Consultant
5 MIC Industries, Reston, VA	Talent Fee (Needham & Company, National Roofing Contractors Association)
Leading Authorities, Washington, DC	Talent Fee (CoBank, The Business Council, Pitney Bowes, and Armor Group)
6 Leading Authorities (cont.), Washington, DC	Project Chair
Bipartisan Policy Center, Washington, DC	

Reporting Individual's Name
James L. Jones

Page Number

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SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Natl. Assn. of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Center for Strategic & International Studies, Washington, DC	Public Policy Research Institution	Director	5/07	1/09
2	East West Institute, New York, NY	Public Policy Research Institution	Advisor	11/07	1/09
3	Harvard University Preventive Defense Project, Cambridge, MA	Policy Research Institution	Advisor	5/07	1/09
4	Marine Corps Heritage Foundation, Dumfries, VA	Private Non-profit Organization	Chairman	5/07	1/09
5	Project on National Security Reform, Washington, DC	Non-profit/Non-partisan Organization	Advisor	1/08	1/09
6	Texas A&M Scowcroft Institute of International Affairs, College St., TX	Policy Research Group	Director	7/07	1/09
7	ThanksUSA, McLean, VA	Non-partisan Charitable Organization	Director	5/07	1/09
8	The Atlantic Council of the United States, Washington, DC	Non-partisan Policy Organization	Chairman	7/08	01/09
9	The Trilateral Commission, Washington, DC	Non-government Policy Organization	Advisor	7/08	1/09
10	The Univ. of Central Florida-Global Perspectives Office, Orlando, FL	Non-profit Policy Group	Advisor	6/07	1/09

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate
None ☐

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University (client of Doe Jones & Smith), Moneytown, State	Legal services in connection with university construction
1		
2		
3		
4		
5		
6		