Form Approv

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Supersedes Prior Editions, Which Cannot Be Used.	a JATOT		Office of Government Ethics Use Only Comments of Reviewing Officials (If additional space is required.	On the bass of information contained in this report, I conclude that the filer is ompliance with applicable laws and palations (subject to any comments in the box below).	Other Review (If desired by agency)	nade on this form and all attached schedules are true, complete and correct to the best of my knowledge.	Lentification	Presidential Nominees Subject to Senate Confirmation	erument During the Frederal erument During the Fredering 12 Months (If Not Same as Above)	Location of Present Office (or forwarding address)	Position for Which Filing	Reporting Individual's Name	Date of Appointment, Candidacy, Election or Namination (Month, Day, Year)	U.S. Office of Government Ethics
Used.		(Check box if)	Signature  Miles Land Color of this sheet)  nail space is required, use the reverse side of this sheet)	Jame Chen	Simply of Tesionial Asses, This Officially signific Official	SIGNATURE REVIEWEL	Signature of Reporting Individual	Name of Congressional Committee Cansidering Nomination Senate Foreign Relations Committee	Title of Position(s) and Date(s) Held  N/A	Address (Number, Street, City, State, and ZIP Code) Carnegie Endowment for International Peace, 1779 Massachusetts Ave., NW, Washington, DC 20036	Title of Position Assistant Secretary of State for Verification and	Last Name Gottempeller	Status Calendar Year Incumbent Covered by Report	
278-112	(Check box if comments are	(Check box if filing extension granted & indicat						Do You intend to Create a Out		-H	Department or Agency (If Appl Department of State	Rose E.	New Entrant, Nominee, X or Candidate	CIMUNICUE
Form Designed in Microsoft Excel 2000	s are continued on the reverse side)	dicale number of days	3/18/09	3/10/09		211/09 Date (Mainth, Day, Fear)	Date (Month, Day, Tear)	Onalified Diversified Trust?  X No		Telephone No. <i>(Include Area Code</i> ) 202-839-2282	Applicable)		Termination Date (II Appli- Termination Cooke ) ( Novin, Lugy, Year)	DISCEOSONE NEI ONI
NSN 7540-01-070-844-	MAR OCE JUNOUS	Schedule D.—The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.  Agency Use Only	within 3] days of the date of filing. Schedule C., Part II (Agreements or Arrangements)—Show any agreements on arrangements as of the date of	Schedule C. Part I (Liabilities)— The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is	calender year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.	Candidates for President and Vice Candidates for President and Vice President:  Schoolule A—The reporting period for income (BLOCK C) is the preceding	of Schedule D is not applicable.	Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination. Part II	include the filing year up to the date you file. Part II of Schedule D is not applicable.	Incumbents: The reporting period is the precoding calendar year except Pent II of Schedule C and Part I of Schedule D where you must also	shall be subject to a \$200 fee.	required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period	Ree for Late Filling Any individual who is required to file this report and does so more than 30 days after the date the report is	OMB No. J209-U

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Renorting Individual's Name

For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 come during the reporting period, together Reporting Individual's Name report each asset held for investment or the For you, your spouse, and dependent children, Rose Eilene Gottemoeller your spouse). actual acount of any honoraria over \$200 of income of more than \$1,000 (except report the report the source but not the amount of earned mark the other higher categories of value, as appropriate Examples .... such income. This category applies only if the asset/income is solely that Southwest Airlines Bank of America Co Vanguard Brokerage Account: Vanguard Windsor Fund Investment-Grade Bond Fund Vanguard Prime Money Market Fund Corning Inc. Wal-Mart Stores Inc. Alcoa Inc. AT&T Inc. Federal Signal Corp Vanguard Short-Term None Central Airlines Common

Doe Jones & Smith, Hometown, Kempstone Equity Fund IRA: Heartland 500 Index Fund Assets and Income BLOCK A State None (or less than \$1,001) \$1,001 - \$15,000 × \$15,001 - \$50,000 01 Valuation of Assets the filer's spouse or dependent children. \$50,001 - \$100,000 reporting period \$100,001 - \$250,000 at close of BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000 SCHEDULE Excepted Investment Fund If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, **Excepted Trust** Qualified Trust **Income:** type and amount. If "None (or less than \$201)" is checked. no other entry is needed in Block C for that item. Dividends Rent and Royalties Interest Capital Gains None (or less than \$201) × ×× × × × 5201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 × BLOCK C Amount \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number (Specify Type & Amount) Income Actual Other N Date (Mo., Day, Yr.) Honoraria Only if

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U.S. Office of Government Ethics Reporting Individual's Name Rose Eilene Gottemoeller This category applies only if the asset/income is solely that of the filer's spouse or dependent children mark the other higher categories of value, as appropriate. Vanguard European Stock Index Vanguard Life Strategy Growth Fund Vanguard Asset Allocation Fund CREF Growth CREF Stock TIAA Traditional Annuity **CREF Money Market CREF Social Choice** TIAA Real Estate None **Assets and Income** BLOCK A Ethics None (or less than \$1,001) \$1,001 - \$15,000 × × × \$15,001 + \$50,000 Valuation of Assets \$50,001 - \$100,000 × × reporting period \$100,001 - \$250,000 at close of \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$ 5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) Excepted Investment Fund 8 **Excepted Trust** If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, Qualified Trust Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. Dividends Rent and Royalder Type Interest X Capital Gains None (or less than \$201) × × × × × × \$201 - \$1.000 \$1,001 - \$2,500 × \$2,501 - \$5,000 14 \$5,001 - \$15,000 BLOCK C Amount \$15,001 - 550,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000' \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Other Income (Specify Type & Actual Amount) ω Date (Mo., Dav. Yr.) Only if Honoraria

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Reporting Individual's Name Rose Eilene Gottemoeller mark the other higher categories of value, as appropriate. Unitised with Profit
Carnegle Endowment Savings account in the State Dept. Federal Credit Union This category applies only if the asset/income is solely that of the filer's spouse or dependent children. Scottish Widows Personal Pension: Pioneer Equity Income Fund Dry Creek CA Elementary School Bond Rowan University for International Peace International Fund None Assets and Income **BLOCK A** None (or less than \$1,000) \$1,001 - \$15,000 ×× × \$15,001 - \$50,000 Valuation of Assets at close of \$50,001 - \$100,000 × reporting period \$100,001 - \$250,000 BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000.000 \* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 SCHEDULE A continued \$25,000,001 - \$50,000,000 Over \$50,000,000 (Use only if needed) Excepted Investment Fund **Excepted Trust** II the Qualified Trust asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, **Income:** type and amount. If "None (or less than \$201)" is checked. no other entry is needed in Block C for that item. Dividends Rent and Royalties × Interest Capital Gains None (or less than \$201) × × ×× \$201 - \$1.0<del>0</del>0 \$1,001 - \$2,500 \$2,501 - \$5,000 \$5,001 - \$15,000 BLOCK C \$15,001 - \$50,000 Amount \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number salary \$254,872.72 honorarium \$2000.00 Other Income (Specify Type & Actual Amount) Date (Mo., Dav. Yr.) Only if Honoraria 4/3/08

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## Do not Complete Schedule B if you are a new entrant, nominee, Vice Presidential or Presidential Candidate

securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not Part I: Transactions Reporting Individual's Name U.S. Office of Government (2) travel-related cash reimbursements received from one source totaling more \$260. For conflicts analysis, it is helpful to indicate a basis for receipt, such sersonal friend, agency approval under 5 U.S.C. § 4111 or other statutory For you, your spouse and dependent children, report the source, a brief description, and the value of: (1) gifts (such as tangible items, transportation, lodging, food, or entertainment) received from one source totaling more than \$260; and property, stocks, bonds, commodity futures, and other or dependent children during the reporting period of any real Report any purchase, sale, or exchange by you, your spouse, Rose Eilene Gottemoeller Part II: Gifts, Reimbursements, and Travel Expenses by the filer or jointly held by the filer with the spouse or dependent children, use the other higher categories of value, as appropriate. cn ω N ω N dates and the nature of expenses provided authority, etc. For travel-related gifts and reimbursements, include travel itinerary, Ó This category applies only if the underlying asset is solely that of the filer's spouse or dependent children. If the underlying asset is either held Examples: Example: |Central Airlines Common Nat'l Assn. of Rock Collectors, NY, NY Frank Jones, San Francisco, CA t Ethics Source (Name and Address) Exclude anything given to you by Identification of Assets Airline ticket, hotel room & meals incident to national conference 6/15/99 (personal activity unrelated to duty).

Leather briefcase (personal friend) divestiture" block to indicate sales made pursuant to a your spouse, or dependent child. Check the "Certificate of personal residence, or a transaction solely between you, report a transaction involving property used solely as your certificate of divestiture from OGE. SCHEDULE B received from relatives; received by your spouse or dependent child totally independent of their relationship to you; or provided as personal hospitality at the donor's residence. Also, for purposes of aggregating gifts to determine the for other exclusions. total value from one source, exclude items worth \$114 or less. the U.S. Government: given to your agency in connection with official travel: Purchase Transaction Brief Description Type (x) Sale Exchange Date (Mo. 2/1/99 \$1,001 -None \$15,000 \$15,001 -\$50,000 \$50,001 -\$100,000 \$100,001 -\$250,000 Amount of Transaction \$250,001 -\$500,000 \$500,001 -\$1,000,000 Page Number Over \$1,000,000 See instructions X \$1,000,001 \$5,000,000 \$5,000,001 \$25,000,000 Çī \$25,000,001 \$50,000,000 Over \$300 \$50,000,000 Certificate of divestiture

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Reporting Individual's Name

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10/00	Carnegle Endowment, Washington, DC		has been rothe plan.	I will continue to participate in the 403(b) plan from my former employer, the Carnegie Endowment. This plan has been rolled into my TIAA-CREF account and neither I nor the Carnegie Endowment will make any further contributions to the plan.	1 1	
7/85				um payment of capital account & partnership share	Example: Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	to
Date	Parties			Status and Terms of any Agreement or Arrangement	Status and Terms of ar	
	of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits  None	nce; and (4) future tiations for any of	of absen	participation in an r; (2) continuation ments); (3) leaves	rart II: Agreements or Arrangements Report your agreements or arrangements for: continuing participation in an employee benefit plan (e.g. 401k, deferred compensation; (2) continuation payment by a former employer (including severance payments); (3) leaves	oay
	e filer	ont liability of the filer	the filer or a	This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint with the spouse or dependent children, mark the other higher categories, as appropriate.	This category applies only if the liability is solely that of the filer's spouse or dependen with the spouse or dependent children, mark the other higher categories, as appropriate.	
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	nd X	10 % on demand	1999	Mortgage on rental property, Delaware Promissory note	First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	1
\$5 Ov	\$16 \$15 \$15 \$50 \$50 \$11 \$21 \$21 \$50 \$11 Ov \$1, \$55 \$11 \$50 \$11 \$11 \$50 \$11 \$11 \$11 \$11 \$11 \$11 \$11 \$11 \$11 \$1			Type of Liability	Creditors (Name and Address)	- [
0,000,000	0,001 - 5,000 5,001 - 0,000 0,001 - 00,000 00,001 - 000,000 000,001 - 000,000 er 000,000 - 000,001 - 000,000 000,001 - 000,000 5,000,001 - 5,000,000	Interest Term if Rate applicable	Date Incurred	liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.	r dependent children. Check the highest amount owed uring the reporting period. Exclude a mortgage on your	mi de
	Category of Amount or Value (x)	None x		personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and	eport liabilities over \$10,000 owed to any one creditor at	ep
					Part I: Liabilities	
	6		EC	SCHEDULE C	eporting Individual's Name OSE Eilene Gottemoeller	OS epo
	Page Number					ľ

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U.S. Office of Government Ethics Reporting Individual's Name		SCHEDITLE D		Page Number 7	
Rose Eilene Gottemoeller		SCHEDULED	· ·		
Part I: Positions Held Outside U.S. Government	e U.S. Government				The second secon
Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or	cable reporting period, whether are not limited to those of an officer, or representative, employee, or	consultant of any corporation, firm, partnership, or other business enterprise or any non-nrofit organization or educational inetitution. Exclude nositions with relicious social, fraternal, or political entities and those solely of an honorary nature.	ship, or other business enterprise or any union Exclude notitions with relicious se solely of an honorary nature.	None	
Organizat	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Nat'l Assn. of Rock Collectors, NY		Non-profit education	President	6/92	Present
Examples: Doe Jones & Smith, Hometown, State	tate			7/85	1/00
Civilian Research and Development Foundation	nt Foundation	Non-profit foundation	Vice Chair of Board	1/08	Present
Science and Security Board, Bulletin of the Atomic Scientists	tin of the Atomic Scientists	Non-profit journal	Vice Chair	6/08	Present
3 Carnegie Endowment for International Peace	onal Peace	Non-profit think tank	Senior Associate	10/00	Present
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Part II: Compensation In Excess Of \$5,000 Paid by One Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any	Part II: Compensation In Excess Of \$5,000 Paid by One Source Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any of n				
Source (Name and Address)		1rce corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.	ness enterprise, or any other non-profit e services generating a fee or payment the U.S. Government as a source.	Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential Candidate None	plete this particular incumben in Filer, or ential that Candid
amples:	State	17'Ce  corporation, firm, partnership, or other bus organization when you directly provided the of more than \$5,000. You need not report	iness enterprise, or any other non-profit e services generating a fee or payment the U.S. Government as a source.  Brief Description of Duties	Do not com if you are an Termination Vice Presiden or Presiden	plete this pa Incumben Filer, or ential tial Candida
Carnegie Endowment for International Peace		corporation, firm, partnership, or other business enterp organization when you directly provided the services g of more than \$5,000. You need not report the U.S. Go  Legal services	iness enterprise, or any other non-profit e services generating a fee or payment the U.S. Government as a source.  Brief Description of Duties	Do not com if you are al Termination Vice Preside or Presiden None	plete this para lincumben in Filer, or ential tial Candid
2	, Moneytown, State	corporation, firm, partnership, or other bus organization when you directly provided it of more than \$5,000. You need not report Legal services in connection with university Salary		Do not com if you are a Termination Vice Presiden or Presiden None	olete this particular incumben in Filer, or ential that Candidatial Candidatian Candidatia
	, Moneytown, State	corporation, firm, partnership, or other bus organization when you directly provided it of more than \$5,000. You need not report Legal services in connection with university Salary		Do not com if you are a Termination Vice Presiden or Presiden	plete this particular incumben in Filer, or ential that Candid
	, Moneytown, State	corporation, firm, partnership, or other bus organization when you directly provided it of more than \$5,000. You need not report Legal services		Do not com if you are a Termination Vice Presiden or Presiden None	plete this particular particular candid
<b>ω</b>	, Moneytown, State	corporation, firm, partnership, or other bus organization when you directly provided it of more than \$5,000. You need not report Legal services		Do not com if you are a Termination Vice Presiden or Presiden None	Incumben properties or ential tial Candid
υ * ω	, Moneytown, State	corporation, firm, partnership, or other bus organization when you directly provided it of more than \$5,000. You need not report Legal services		Do not com if you are a Termination Vice Presiden or Presiden None	Incumben Filer, or ential tial Candid

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3. Office of Government Ethics					
Reporting Individual's Name				Page Number	
Rose Eilene Gottemoeller		SCHEDULE D		7a of	· ·
Part I: Positions Held Outside U.S. Governmen Report any positions held during the applicable reporting period, whether compensations are provided in the property of the provided in the prov	Outside U.S. Government pplicable reporting period, whether compen-	-	organization or educational institution. Exclude positions with religious	with religious,	,
sated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of the comparation firm partnership or other husbness enfermise or any non-profit	not limited to those of an officer, d presentative, employee, or consultant other husbass enterprise or any no		entities and those solely of an l	nonorary	None
Organization (Name and Address)	and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Nat'l Assn. of Rock Collectors, NY, NY		Non-profit education	President	6/92	Present
Examples Doe Jones & Smith, Hometown, State		Law firm	Partner	7/85	1/00
Stockholm International Peace Research Institute, Solna, Sweden	institute, Solna, Sweden	Non-profit organization	Member of the Board	06/2004	Present
Monterey Institute for Int'l Studies, Nonproliferation Project, Monterey, CA		Educational	Member of the Strategy Group	07/1999	Present
Arms Control Association, Washington DC		Non-profit organization	Member of the Board of Directors	01/2003	03/09
6					
Part II: Compensation in Excess of \$5,000 Pa Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or any other	n in Excess of \$5,00 ompensation received by you or you directly by you during any one e names of clients and customers of er business enterprise, or any other	rid	by One Source non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.	part if you ion Filer, or iential Canc You	u are an or Vice ididate.
Source (Name and Address)	nd Address)	Bric	Brief Description of Duties	-	-
Examples Doe Jones & Smith, Hometown, State   Merro University (client of Doe Jones & Smith), Moneytown, State	Smith), Moneytown, State	Legal services in connection with university construction	action		
<u> 1-2</u>					
2					
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