

Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy Election or Nomination (Month, Day, Year)	Reporting Status (if None)	<input type="checkbox"/> Incumbent	Calendar Year Covered by Report	<input checked="" type="checkbox"/> New Entrant, Nominee or Candidate	Termination Date (if Applicable) (Month, Day, Year)	Any individual who is required to file this report and does so more than 30 days after the date the report is required to be filed, or, if an extension is granted, more than 30 days after the last day of the filing extension period, shall be subject to a \$200 fee.
Reporting Individual's Name	Last Name	First Name and Middle Initial	Termination	Termination	Termination	Fee for Late Filing
Position for Which Filing	Donovan	Shaun L. S.	<input type="checkbox"/> Filer	<input type="checkbox"/> Filer	<input type="checkbox"/> Filer	
Location of Present Office (or forwarding address)	Secretary	Department or Agency (if Applicable)	Housing and Urban Development	Housing and Urban Development	Housing and Urban Development	Reporting Periods
Position(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)	Address (Number, Street, City, State, and ZIP Code)	Telephone No. (Include Area Code)	100 Gold Street, New York, New York, 10038	(212) 863-6100	(212) 863-6100	Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C, and Part I of Schedule D, where you must also include the filing year up to the date you file. Part II of Schedule D is not applicable.
Termination Date (if Applicable)	Title of Position(s) and Date(s) Held	None	None	None	None	Termination Filing: The reporting period begins at the end of the period covered by your previous filing and ends in the date of termination. Part II of Schedule D is not applicable.
Presidential Nominees Subject to Senate Confirmation	Name of Congressional Committee/Committee Nomination	Do You Intend to Create a Qualified Divested Trust?	Banking, Housing and Urban Affairs	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	Nominees, New Entrants and Candidates for President and Vice President:
Certification	Signature of Reporting Individual	Date (Month, Day, Year)	12/8/08	12/8/08	12/8/08	Schedule A--The reporting period for income (BLOCK C) is the preceding calendar year and the current calendar year up to the date of filing. Value assets as of any date you choose that is within 31 days of the date of filing.
Other Reviewer (If desired by agency)	Signature of Other Reviewer	Date (Month, Day, Year)	12/31/08	12/31/08	12/31/08	Schedule B--Not applicable.
Agency Ethics Official's Opinion	Signature of Designated Agency Ethics Official/Reviewing Official	Date (Month, Day, Year)	12/31/08	12/31/08	12/31/08	Schedule C, Part I (Qualities)--The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date of filing.
Office of Government Ethics Use Only	Signature	Date (Month, Day, Year)	11/9/09	11/9/09	11/9/09	Schedule C, Part II (Arrangements or Arrangements)--Show any arrangements or arrangements as of the date of filing.
Comments of Reviewing Official(s) (If additional space is required, use the reverse side of this sheet)						Agency Use Only
(Check box if filing extension granted & indicate number of days)						ONE Use Only

U.S. Office of Government Ethics
Reporting Individual's Name

Shaun L. S. Donovan

SCHEDULE A

Page Number

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Assets and Income

Valuation of Assets at close of reporting period

Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.

BLOCK A

BLOCK B

BLOCK C

For you, your spouse, and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 in income during the reporting period, together with such income.

For yourself, also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse, report the source but not the amount of earned income of more than \$1,000 (except report the actual account of any honoraria over \$200 of your spouse).

None ☐

Examples	Central Airlines Common Doe Jones & Smith, Hometown, State Kempstone Equity Fund IRA; Heartland 500 Index Fund	Valuation of Assets at close of reporting period										Type	Amount										Other Income (Specify Type & Actual Amount)	Date (Mo., Day, Yr.) Only if Honoraria											
		None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000 *	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000		\$25,000,001 - \$50,000,000	Over \$50,000,000	Excepted Investment Fund	Excepted Trust	Qualified Trust	Dividends	Rent and Royalties	Interest	Capital Gains														
1	The Yackman Fund Mutual Fund	X												X									X												
2	First Eagle Overseas Fund Mutual Fund		X											X										X											
3	Vanguard Growth Equity Fund Rollover IRA		X											X											X										
4	Prudential Employee Savings Plan Fixed Rate Fund		X														X						X												
5	Oppenheimer Quest Balanced Fund SEP IRA		X																				X												
6																																			

* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Reporting Individual's Name

Shaun L. S. Donovan

SCHEDULE A continued

(Use only if needed)

Page Number

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Assets and Income

Valuation of Assets at close of reporting period

Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.

BLOCK A

BLOCK B

BLOCK C

None <input type="checkbox"/>		None (or less than \$1,001)										\$1,001 - \$15,000										\$15,001 - \$50,000										\$50,001 - \$100,000										\$100,001 - \$250,000										\$250,001 - \$500,000										\$500,001 - \$1,000,000										Over \$1,000,000 *										\$1,000,001 - \$5,000,000										\$5,000,001 - \$25,000,000										\$25,000,001 - \$50,000,000										Over \$50,000,000										Excepted Investment Fund										Excepted Trust										Qualified Trust										Dividends										Rent and Royalties										Interest										Capital Gains										None (or less than \$201)										\$201 - \$1,000										\$1,001 - \$2,500										\$2,501 - \$5,000										\$5,001 - \$15,000										\$15,001 - \$50,000										\$50,001 - \$100,000										\$100,001 - \$1,000,000										Over \$1,000,000*										\$1,000,001 - \$5,000,000										Over \$5,000,000										Other Income (Specify Type & Actual Amount)										Date (Mo., Day, Yr.) Only if Honoraria																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																										
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* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

Reporting Individual's Name
Shaun L. S. Donovan

SCHEDULE C

Page Number

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Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out; loans secured by automobiles, household furniture or appliances; and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

Category of Amount or Value (X)

	Creditors (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount or Value (X)										
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
Examples:	First District Bank, Washington, DC John Jones, 123 J St., Washington, DC	Mortgage on rental property, Delaware Promissory note	1991 1999	8% 10%	25 yrs. on demand		X			X						
1	MasterCard Credit Card	Credit Card balance owed	2008	19.98%	Revolving											
2																
3																
4																
5																

* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

Part II: Agreements or Arrangements

Report your agreements or arrangements for: (1) continuing participation in an employee benefit plan (e.g., 401k, deferred compensation); (2) continuation payment by a former employer (including severance payments); (3) leaves

of absence; and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/85
1	I have retained my defined contribution plan from Prudential (Prudential Employee Savings Plan) No further contributions have been or will be made by Prudential since I left employment there.	Prudential Financial, Milville, NJ	7/02
2			
3			
4			
5			
6			

Reporting Individual's Name

Shaun L. S. Donovan

Page Number

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SCHEDULE D

Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Examples:	Natl. Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
1	National Housing Conference	National Housing Advocacy	Trustee	Approx 6/02	Present
2	National Housing Trust	National Housing Policy, Lending and Advocacy	Director	Approx 6/02	Present
3	The Campaign for Affordable Housing	National Housing Advocacy	Director	Approx 1/06	Approx 12/06
4	The Dalton School	Independent K-12 School	Trustee	6/08	Present
5	New York City Dept. of Housing Preservation and Development	Government Agency	Commissioner	3/04	Present
6	The Shaun Donovan 2006 Trust	Grantor Trust	Trustee	2/06	Present

Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an Incumbent, Termination Filer, or Vice Presidential or Presidential Candidate
 None ☐

	Source (Name and Address)	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State Machio University (client of Doe Jones & Smith), Moneytown, State	Legal services Legal services in connection with university construction
1	New York City Dept. of Housing Preservation and Development	Commissioner of the Department
2		
3		
4		
5		
6		