

U.S. Office of Government Ethics

# Executive Branch Personnel PUBLIC FINANCIAL DISCLOSURE REPORT

Date of Appointment, Candidacy, Election or Nomination (Month/Day/Year)		Reporting Status <input type="checkbox"/> Incumbent <input type="checkbox"/> New Entrant, Nominee or Candidate		Calendar Year Covered by Report		Termination Date (Month/Day/Year)	
03/1/09				X			
Reporting Individual's Name		Last Name		First Name and Middle Initial		Termination Date (Month/Day/Year)	
Cohen		Cohen		David S.			
Position for Which Filing		Title of Position		Department or Agency (If Applicable)		Termination Date (Month/Day/Year)	
Assistant Secretary (Terrorist Financing)		Assistant Secretary (Terrorist Financing)		Treasury			
Location of Present Office (or forwarding address)		Address (Number, Street, City, State, and ZIP Code)		Telephone No. (Include Area Code)		Termination Date (Month/Day/Year)	
1500 Pennsylvania Ave, NW, Washington, DC 20220				202 622-5163			
Station(s) Held with the Federal Government During the Preceding 12 Months (If Not Same as Above)		Title of Position(s) and Date(s) Held		None			
Presidential Nominee Subject to Senate Confirmation		Name of Congressional Committee Considering Nomination		Do You Intend to Create a Qualified Diversified Trust?		Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
Senate Committee on Banking, Housing and Urban Affairs							
Certification		Signature of Reporting Individual		Date (Month/Day/Year)		3/17/2009	
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge.							
Other Review (If desired by agency)		Signature of Other Reviewer		Date (Month/Day/Year)		3/17/09	
Agency Ethics Official's Opinion		Signature of Designated Agency Ethics Official/Reviewing Official		Date (Month/Day/Year)		3/17/09	
On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments in the box below).		Signature		Date (Month/Day/Year)		3/17/09	
Office of Government Ethics Use Only		Signature		Date (Month/Day/Year)		3/17/09	
Comments of Reviewing Official (If additional space is required, use the reverse side of this sheet)							
(Check box if filing extension granted & indicate number of days _____)							
Supersedes Prior Editions, Which Cannot Be Used.							

(Check box if comments are continued on the reverse side) ☐

MAR 17 2009

## Assets and Income

## Valuation of Assets

**Income:** type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.

## BLOCK A

For you, your spouse and dependent children, report each asset held for investment or the production of income which had a fair market value exceeding \$1,000 at the close of the reporting period, or which generated more than \$200 income during the reporting period, together with such income.

For you (if also report the source and actual amount of earned income exceeding \$200 (other than from the U.S. Government). For your spouse (if also report the source and actual amount of earned income of more than \$1,000 (except report the actual amount of any honoraria over \$200 of your spouse).

☐ None

Central Airlines Common

**Doe Jones & Smith, Hometown, State**  
**Kempstone Equity Fund**

IRA Heartland 500 Index Fund

天

Inc.

天

Menol Corp.

on Inc.

es River Laboratories, Inc.

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used



U.S. Office of Government Ethics  
Reporting Individual's Name

David S. Cohen

**SCHEDULE A continued**  
(Use only if needed)

Page Number

3

Assets and Income		Valuation of Assets at close of reporting period BLOCK B												Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item. BLOCK C															
BLOCK A														BLOCK C															
														Type		Amount										Other income (Specify Type & Actual Amount)		Date (Mo, Day, Yr) Only if Honorary	
None <input type="checkbox"/>		None (or less than \$1,001)												Dividends		None (or less than \$201)													
		\$1,001 - \$15,000												Rent and Royalties		\$201 - \$1,000													
		\$15,001 - \$50,000												Interest		\$1,001 - \$2,500													
1		\$50,001 - \$100,000												Capital Gains		\$2,501 - \$5,000													
2		\$100,001 - \$250,000												None (or less than \$201)		\$5,001 - \$15,000													
3		\$250,001 - \$500,000												\$201 - \$1,000		\$15,001 - \$50,000													
4		\$500,001 - \$1,000,000												\$1,001 - \$2,500		\$50,001 - \$100,000													
5		Over \$1,000,000 *												\$2,501 - \$5,000		\$100,001 - \$1,000,000													
6		\$1,000,001 - \$5,000,000												\$5,001 - \$15,000		Over \$1,000,000 *													
7		\$5,000,001 - \$25,000,000												\$15,001 - \$50,000		\$1,000,001 - \$5,000,000													
8		\$25,000,001 - \$50,000,000												\$50,001 - \$100,000		Over \$5,000,000													
9		Over \$50,000,000												\$100,001 - \$1,000,000															
		Excepted Investment Fund																											
		Excepted Trust																											
		Qualified Trust																											
														Dividends															
														Rent and Royalties															
														Interest															
														Capital Gains															
														None (or less than \$201)															
														\$201 - \$1,000															
														\$1,001 - \$2,500															
														\$2,501 - \$5,000															
														\$5,001 - \$15,000															
														\$15,001 - \$50,000															
														\$50,001 - \$100,000															
														\$100,001 - \$1,000,000															
														Over \$1,000,000 *															
														\$1,000,001 - \$5,000,000															
														Over \$5,000,000															

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.

**SCHEDULE A continued**  
(Use only if needed)

4

[illegible]

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**Prior Editions Cannot be Used.**



DAVID J. COHEN

(Use only if needed)

5

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Reporting Individual's Name

David S. Cohen

**SCHEDULE A continued**  
(Use only if needed)

Page Number

6

Assets and Income		Valuation of Assets at close of reporting period											Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.											Other Income (Specify Type & Amount)		Date (Mo./Day/Yr.) Only if Honoraria							
BLOCK A		BLOCK B											BLOCK C																				
													Type	Amount																			
None <input type="checkbox"/>		None (or less than \$1,001)												None (or less than \$201)																			
		\$1,001 - \$15,000												\$201 - \$1,000																			
		\$15,001 - \$50,000												\$1,001 - \$2,500																			
		\$50,001 - \$100,000												\$2,501 - \$5,000																			
		\$100,001 - \$250,000												\$5,001 - \$15,000																			
		\$250,001 - \$500,000												\$15,001 - \$50,000																			
		\$500,001 - \$1,000,000												\$50,001 - \$100,000																			
		Over \$1,000,000*												\$100,001 - \$1,000,000																			
		\$1,000,001 - \$5,000,000												Over \$1,000,000*																			
		\$5,000,001 - \$25,000,000												\$1,000,001 - \$5,000,000																			
		\$25,000,001 - \$50,000,000												Over \$5,000,000																			
		Over \$50,000,000																															
		Excepted Investment Fund																															
		Excepted Trust																															
		Qualified Trust																															
		Dividends																															
		Rent and Royalties																															
		Interest																															
		Capital Gains																															
		None (or less than \$201)																															
		\$201 - \$1,000																															
		\$1,001 - \$2,500																															
		\$2,501 - \$5,000																															
		\$5,001 - \$15,000																															
		\$15,001 - \$50,000																															
		\$50,001 - \$100,000																															
		\$100,001 - \$1,000,000																															
		Over \$1,000,000*																															
		\$1,000,001 - \$5,000,000																															
		Over \$5,000,000																															
1	Visa Inc.		X																														
2	SunTrust Savings																																
3	SunTrust Money Market Fund																																
4	SunTrust Money Market Fund																																
5	SunTrust Money Market Fund																																
6	HSBC Savings (Filer)																																
7	HSBC Savings (Joint)																																
8	Citi Private Bank Checking																																
9	Promissory Note in Insight Ventures Associates III, LLC (See attached page for description)																																
10	SunTrust Savings (Dependent Child)																																

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.



**SCHEDULE A continued**

(Use only if needed)

Page Number

2

[illegible]

### Prior Editions Cannot be Used

David S. Cohen

# **SCHEDULE A continued** (Use only if needed)

Page Number

8A

## **Assets and Income**

BLOCK A

## **Valuation of Assets** at close of reporting period

BLOCK B

Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.

BLOCK C

	Valuation of Assets at close of reporting period										Income: type and amount. If "None (or less than \$201)" is checked, no other entry is needed in Block C for that item.		Other Income (Specify Type & Amount)	Date (Mo., Day, Yr.) Only if Honorary			
	BLOCK B										Type	Amount					
None <input type="checkbox"/>	None (or less than \$1,001)																
	\$1,001 - \$15,000																
	\$15,001 - \$50,000																
	\$50,001 - \$100,000																
	\$100,001 - \$250,000																
	\$250,001 - \$500,000																
	\$500,001 - \$1,000,000																
	Over \$1,000,000 *																
	\$1,000,001 - \$5,000,000																
	\$5,000,001 - \$25,000,000																
	\$25,000,001 - \$50,000,000																
	Over \$50,000,000																
	Excepted Investment Fund																
	Excepted Trust																
	Qualified Trust																
	Dividends																
	Rent and Royalties																
	Interest																
	Capital Gains																
	None (or less than \$201)																
	\$201 - \$1,000																
	\$1,001 - \$2,500																
	\$2,501 - \$5,000																
	\$5,001 - \$15,000																
	\$15,001 - \$50,000																
	\$50,001 - \$100,000																
	\$100,001 - \$1,000,000																
	Over \$1,000,000*																
	\$1,000,001 - \$5,000,000																
	Over \$5,000,000																
1	State of Israel Bonds																
2																	
3																	
4																	
5																	
6																	
7																	
8																	
9																	

\* This category applies only if the asset/income is solely that of the filer's spouse or dependent children. If the asset/income is either that of the filer or jointly held by the filer with the spouse or dependent children, mark the other higher categories of value, as appropriate.

Prior Editions Cannot be Used.



Reporting Individual's Name  
David S. Cohen

# SCHEDULE C

Page Number  
8

## Part I: Liabilities

Report liabilities over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse or dependent children. Check the highest amount owed during the reporting period. Exclude a mortgage on your

personal residence unless it is rented out, loans secured by automobiles, household furniture or appliances, and liabilities owed to certain relatives listed in instructions. See instructions for revolving charge accounts.

None ☐

Category of Amount of Value (X)

	Creditor (Name and Address)	Type of Liability	Date Incurred	Interest Rate	Term if applicable	Category of Amount of Value (X)										
						\$10,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
1	Citibank, NA, 1101 Penn. Ave. NW, Washington, DC 20004	Loan	3/2008	Prime -1%	5 yrs			X								
2																
3																
4																
5																

\* This category applies only if the liability is solely that of the filer's spouse or dependent children. If the liability is that of the filer or a joint liability of the filer with the spouse or dependent children, mark the other higher categories, as appropriate.

## Part II: Agreements or Arrangements

Report your agreements or arrangements for continuing participation in an employee benefit plan (e.g., 401k, deferred compensation), (2) continuation payment by a former employer (including severance payments), (3) leaves

of absence, and (4) future employment. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None ☐

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 1/00.	Doe Jones & Smith, Hometown, State	7/83
1	Pursuant to the WilmerHale partnership agreement, I will receive a lump sum payment for the remainder of my partnership distribution for 2008 on or about April 10, 2009. (See attached page for more information.)	Wilmer Cutler Pickering Hale and Dorr, LLP	5/04
2	Pursuant to the WilmerHale partnership agreement, I will receive a lump sum payment for the remainder of my partnership distribution for 2009 on or about April 10, 2009 (See attached page for more information.)	Wilmer Cutler Pickering Hale and Dorr, LLP	5/04
3	Pursuant to the partnership agreement, after I leave the firm, I intend to continue to participate in the firm's 401(k) plan, although neither I nor the firm will make any further contributions to the 401(k) plan.	Wilmer Cutler Pickering Hale and Dorr, LLP	10/01
4	Within 90 days of my resignation from the partnership, I will divest my interest in the WilmerHale defined benefit plan. I will then roll the assets into an IRA.	Wilmer Cutler Pickering Hale and Dorr, LLP	1/05
5	Pursuant to the WilmerHale partnership agreement, I will be repaid my paid-in firm capital in a lump sum on April 10, 2009.	Wilmer Cutler Pickering Hale and Dorr, LLP	5/04
6			

Reporting Individual's Name

David S. Cohen

# **SCHEDULE D**

Page Number 9

## **Part I: Positions Held Outside U.S. Government**

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

Examples	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
Doc Jones & Smith, Hometown, State	Doc Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1	Wilmer Cutler Pickering Hale and Dorr, LLP, 1875 Pennsylvania Ave., NW, Washington, DC 20006	Law Firm	Partner	1/2004	2/2009
2					
3					
4					
5					
6					

## **Part II: Compensation In Excess Of \$5,000 Paid by One Source**

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate  
None ☐

Examples	Source (Name and Address)	Brief Description of Duties
Doc Jones & Smith, Hometown, State	Doc Jones & Smith, Hometown, State	Legal services
Metro University, Client of Doc Jones & Smith, Moneytown, State	Metro University, Client of Doc Jones & Smith, Moneytown, State	Legal services in connection with university construction
1	Salt Lake Tribune Publishing Company, LLC 610 East South Temple, Salt Lake City, UT 84102	Legal services
2	Hartford Financial Services Group Inc. Hartford Plaza, HO-1-01, Hartford, CT 06115	Legal services
3	Certain confidential clients are not reported	
4	PricewaterhouseCoopers LLP 300 Madison Avenue, Suite 21120, NY NY 10017	Legal services
5	EastBanc, Inc. 3307 M Street, N.W., Washington, DC 20007	Legal services
6	British Petroleum PLC 1 Finsbury Circus, London EC2M 7BA, United Kingdom	Legal services



David S. Cohen

# SCHEDULE D

10

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

1	Organization (Name and Address)	Type of Organization	Position Held	From (Mo, Yr)	To (Mo, Yr)
Examples:	Naft Assn of Rock Collectors, NY, NY	Non-profit education	President	6/92	Present
	Doe Jones & Smith, Hometown, State	Law firm	Partner	7/85	1/00
1					
2					
3					
4					
5					
6					

## Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent, Termination Filer, or Vice Presidential or Presidential Candidate  
None ☐

Source (Name and Address)	Type of Organization	Brief Description of Duties
Examples:	Doe Jones & Smith, Hometown, State	Legal services
	Metro University, Client of Doe Jones & Smith, Moneytown, State	Legal services in connection with university construction
1	J.P. Morgan Chase & Co. 270 Park Avenue, New York, NY 10017	Legal services
2		
3	Goldman Sachs & Co. One New York Plaza, 37th Floor, New York, NY 10004	Legal services
4	Financial Services Authority 25 The North Colonnade, Canary Wharf, London E14 5HS	Legal services
5	Kohlberg Kravis Roberts and Co. 9 West 57 Street, Suite 4200, New York, NY 10019	Legal services
6		

Reporting Individual's Name

David S. Cohen

# SCHEDULE D

Page Number

11

## Part I: Positions Held Outside U.S. Government

Report any positions held during the applicable reporting period, whether compensated or not. Positions include but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or

consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Exclude positions with religious, social, fraternal, or political entities and those solely of an honorary nature.

None ☐

Examples	Organization (Name and Address)	Type of Organization	Position Held	From (Mo., Yr.)	To (Mo., Yr.)
1	Natl Assn. of Rock Collectors, NY, NY Doe Jones & Smith, Hometown, State	Non-profit education Law firm	President Partner	6/92 7/85	Present 1/00
2					
3					
4					
5					
6					

## Part II: Compensation In Excess Of \$5,000 Paid by One Source

Report sources of more than \$5,000 compensation received by you or your business affiliation for services provided directly by you during any one year of the reporting period. This includes the names of clients and customers of any

corporation, firm, partnership, or other business enterprise, or any other non-profit organization when you directly provided the services generating a fee or payment of more than \$5,000. You need not report the U.S. Government as a source.

Do not complete this part if you are an incumbent, Termination Filer, or Vice/Presidential or Presidential Candidate  
None ☐

Examples	Source (Name and Address)	Brief Description of Duties
1	Doel Jones & Smith, Hometown, State Metro University, Client of Doe Jones & Smith, Hometown, State	Legal services Legal services in connection with university construction
2	Citigroup Global Markets Inc. 77 Water Street, New York, NY 10048	Legal services
3	Washington Mutual Bank, FA 1301 Second Avenue, Seattle, WA 98101	Legal services
4	Special Committee of the Board of Directors of Sunrise Senior Living, Inc. c/o William D. Little, Quam-Nichols Company, 234 E. Marquette Road, Chicago, IL 60637	Legal services
5	Transamerica Life Insurance Company 4333 Edgewood Road NE, Cedar Rapids, IA 52499	Legal services
6	Verizon Wireless 160 Washington Valley Road, Bedminster, NJ 07921	Legal services



Attachment to SF 278 for David S. Cohen

**Description of Promissory Note in Insight Ventures III, L.L.C. (Schedule A, Continuation 4, Line 8 (pg. 6)):**

My wife owns a one-third interest in a \$2.885 million promissory note in Insight Venture Associates III, L.L.C. ("Insight"). The Note accrues interest at a rate of 8% per year and does not become due in full until Insight dissolves or otherwise terminates. My wife is not a member of the Insight L.L.C., does not hold any equity in Insight, and does not hold any interest in any of the investments that Insight may make.

**Description of WilmerHale 2008 partnership distribution (Sched. A, Cont. 3, Line 1 (pg. 5), and Sched. C, Part II, Line 1 (pg. 8)):**

Pursuant to the WilmerHale partnership agreement, the residual profits distribution for 2008 will be paid on or about April 10, 2009. This payment represents the final installment of my partnership distribution for the 2008 calendar year, and will be paid in April 2009 after the firm has closed its books on 2008. I anticipate receiving approximately \$203,000.

**Description of WilmerHale 2009 partnership distribution (Sched. A, Cont. 5, Line 1 (pg. 8), and Sched. C, Part II, Line 2 (pg. 8)):**

Pursuant to the WilmerHale partnership agreement, on or about April 10, 2009, I will receive the remainder of my *pro rata* share of my 2009 partnership distribution for the days that I was a partner in 2009. I anticipate receiving approximately \$71,000. This payment will have been reduced by an amount withheld by the firm to pay taxes that the firm will be required to pay on my *pro rata* share of the firm's income to U.S. and foreign tax authorities. The amount withheld will be an estimate of future tax liabilities. Once those tax liabilities are actually determined, the firm will refund to me any amount it withheld in excess of what was required, or I will pay to the firm any amount in addition to the amount withheld that it is required to pay taxes on my *pro rata* share of firm income. Because foreign tax authorities take several years to resolve tax returns, the final reconciliation likely will not occur until 2012.