INVITATION FOR BID
CITY OF NEW BEDFORD
NEW BEDFORD PUBLIC SCHOOLS

ELIZABETH CARTER BROOKS SCHOOL
WINDOW, DOOR & BOILER REPLACEMENT
New Bedford, Massachusetts

#18300505

November 7, 2018

Jonathan F. Mitchell
Mayor

New Bedford Public Schools
455 County Street
New Bedford, MA 02740
City of New Bedford  
New Bedford Public Schools  
Elizabeth Carter Brooks School  
Window, Door and Boiler Replacement  
IFB # 18300505

Procurement Calendar

Bids Available:  
Wednesday, November 7, 2018

Pre-Bid Conference:  
Tuesday, November 13, 2018 at 3:30 pm  
212 Nemasket Street  
New Bedford, MA. 02740

Questions Due:  
Wednesday, November 21, 2018 by 5:00 pm  
Wednesday, December 5, 2018 by 5:00 pm

Bids Due:  
Filed Sub-Bidders General Contractor  
Tuesday, November 27, 2018 at 12:00 pm  
Tuesday, December 11, 2018 at 12:00 pm
INVITATION FOR BIDS
ADVERTISEMENT
BID NUMBER 18300505

The City of New Bedford, Massachusetts, Public Schools, in conjunction with the Purchasing Department (Awarding Authority) invites sealed bids from Contractors for the Elizabeth Carter Brooks School Window, Door & Boiler Replacement Project. As the work of this contract is not predominate to one trade, a DCAM Certified General Building Construction Contractor is to be this project’s General Contractor. The estimated cost of the work is $3,000,000.

Contract Documents, including Drawings, Bidding Requirements, General Conditions, Specifications, and Addenda will be made available electronically and may be obtained after 9:00 AM Wednesday, November 7, 2018 by request for file transfer. Requests shall be accepted by emailing purchasing@newbedford-ma.gov. Documents will not be mailed. Paper copies of the documents may be seen or examined at the following place during normal business hours, after issuance of the documents: City of New Bedford, Purchasing Department, 133 William Street, Rm. 208, New Bedford, MA 02740, Monday through Friday – 8:30 AM - 4:00 PM.

Sealed Bids for the General Contract will be received by the New Bedford Purchasing Department, 133 William Street, New Bedford, Massachusetts, 02740 until 12:00 PM time, on Tuesday, December 11, 2018 at which time all bids will be publicly opened and read aloud. Included with General Bid shall be an Update Statement, DCAMM Certification and 5% bid deposit.

Sealed Bids for the following Filed Sub-trades: Masonry; Waterproofing; Roofing; Windows; Acoustical Tile; Tile; Paint; Plumbing; HVAC; and Electrical will be received by the New Bedford Purchasing Department, 133 William Street, Room 208, New Bedford, Massachusetts, 02740 until 12:00 PM time, on Tuesday, November 27, 2018 at which time all bids will be publicly opened and read aloud. Included with Sub-bids shall be an Update Statement, DCAMM Certification and 5% bid deposit.

A pre-bid conference will be conducted for the benefit of all potential bidders on Tuesday, November 13, 2018 at 3:30 PM. Meet at the Elizabeth Carter Brooks Elementary School, 212 Nemasket Street, New Bedford, MA, outside of the front entrance.

All questions and requests for interpretation must be submitted in writing to the Owner’s Project Manager (OPM) via email at Jennifer.Carlson@nv5.com. All questions regarding filed sub-bid work shall be submitted no later than 5:00 PM on Wednesday, November 21, 2018. All questions regarding general bidders’ work shall be submitted no later than 5:00PM on Wednesday, December 5, 2018.

Bids will be received by the City of New Bedford, Purchasing Department as noted above, at which time and place they will be publicly opened and read aloud. All bids shall be accompanied by a bid deposit that is five percent (5%) of the bid amount. Bid deposits may be in the form of Certified Check, Certificate of Deposit, or Bid Bond made payable to the City of New Bedford, Massachusetts.

The attention of Bidders is called to Massachusetts General Laws Chapter 149 sections 44A through 44H inclusive, qualification will be by the Division of Capital Asset Management (DCAMM). Furthermore, all bidders must submit a Certificate of Eligibility and update statement along with their bid. Any bid
submitted without the appropriate certificate shall be invalid. Procedures respecting bids and the selection of contractors shall be in conformance with the provisions of M.G.L. Chapter 149, Sections 44A-44H inclusive.

Attention is directed to the minimum wage rates to be paid on the work as determined by the Commissioner of Labor and Industries under the provisions of M.G.L. Chapter 149, Sections 26 and 27D inclusive. The successful general contractor and all sub-bidders will be required to submit a certified payroll and a statement of compliance on a weekly basis for review by the party designated by the Awarding Authority.

The Work of this Project shall require Minority/Women Owned Business participation pursuant to Chapter 193 of the Acts of 2004, and MGL Chapter 23A, Section 44 and MGL Chapter 7, Section 40N, as amended, and established as a policy by the City of New Bedford.

The Awarding Authority reserves the right to waive any and/or all informalities in the bidding. The Awarding Authority also reserves the right to reject any or all bids, or to accept any other than the lowest bidder should it be deemed to be in the best interest of the City of New Bedford, Massachusetts, to do so.

Awarding Authority
City of New Bedford
Susan Bruce, Director of Purchasing
FORM FOR SUB-BID

FROM:

TO: City of New Bedford
Purchasing Department
133 William Street, Room 208
New Bedford, MA 02740
and
ALL GENERAL BIDDERS EXCEPT THOSE EXCLUDED:

A. The undersigned proposes to furnish all labor and materials required for completing, in accordance with the hereinafter described Contract Documents, including Plans, Specifications and Addenda, all the Work specified in

Section No. _______ SUB-TRADE _______ of the Specifications and in any Plans specified in such Section, prepared by JOHNSON ROBERTS ASSOCIATES INC., for the ELIZABETH CARTER BROOKS SCHOOL WINDOW, DOOR & BOILER REPLACEMENT PROJECT, for the Contract Sum of:

$____________________

And to perform the related sub-trade work as necessary to complete the work noted in Section 012300 ALTERNATES, 1.02 ALTERNATE NO.1--INSULATED METAL PANEL SYSTEM, for the Contract Sum of:

$____________________

B. This sub-bid includes Addenda numbered ____________________________

C. This sub-bid

______ May be used by all General Bidders except: ____________________________

______ May be used only by the following General Bidders: ____________________________

(To exclude General Bidders, insert "X" on one line only and fill in the blank following that line. Do not answer "C" if no General Bidders are excluded.)

D. The undersigned agrees that, if he is selected as a Sub-bidder, he will, within five (5) days, Saturdays, Sundays and legal holidays excluded, after presentation of a subcontract by the General Bidder selected as the General Contractor, execute with such General Bidder a subcontract in accordance with the terms of this sub-bid, and contingent upon the execution of the General Contract, and, if requested so to do in the general bid by such General Bidder, who shall pay the premiums therefore, or if prequalification is required pursuant to Section 44D3/4, furnish a performance and payment bond of a surety company qualified to do business under the laws of the Commonwealth and satisfactory to the Awarding Authority, in the full sum of the subcontract price.
ELIZABETH CARTER BROOKS SCHOOL
WINDOW, DOOR & BOILER REPLACEMENT
New Bedford, Massachusetts

If prequalification is required pursuant to Section 44D3/4 of MGL Chapter 149, the undersigned sub-bidder further agrees to pay the premiums for the performance and payment bonds furnished by sub-bidders as required therein and that all the cost of all such premiums is included in the amount set forth in Item A of this sub-bid.

E. The names of all persons, firms, and corporations furnishing to the undersigned labor or labor and materials for the class or classes or part thereof of Work for which the provisions of the Section of the Specifications for this sub-trade require a listing in this paragraph, including the undersigned if customarily furnished by persons on his own payroll and in the absence of a contrary provision in the specifications) the name of each such class of Work or part thereof and the bid price for each such class of Work or part thereof are:

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<tr>
<th>Name</th>
<th>Class of Work</th>
<th>Bid Price</th>
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(Do not give bid price for any class or part thereof furnished by the undersigned.)

F. The undersigned agrees that the above list of bids to the undersigned represents bona fide bids based on the Drawings, Plans, Specifications and Addenda and that, if the undersigned is awarded the Contract, they will be used for the Work indicated at the amounts stated, if satisfactory to the Awarding Authority.

G. The undersigned further agrees to be bound to the General Contractor by the terms of the herein before described Plans, Specifications (including all General Conditions stated therein) and Addenda, and to assume toward the General Contractor by all the obligations and responsibilities that the General Contractor, by those documents, assumes toward the Owner.

H. The undersigned offers the following information as evidence of his qualifications to perform the Work as bid upon according to all the requirements of the Plans and Specifications:

1. Have been in business under present business name ______ years.

2. Ever failed to complete any Work awarded? __________ (if yes, briefly explain)

3. List one or more recent buildings with name of General Contractor and Architect on which you served as Sub-contractor for Work of similar character as required for this project.

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<thead>
<tr>
<th>BUILDING TYPE</th>
<th>AMOUNT</th>
<th>ARCHITECT</th>
<th>GENERAL CONTRACTOR</th>
<th>CONTRACT</th>
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<td>c.</td>
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4. Bank Reference: __________________________

FORM FOR SUB-BID
004000 - 2
I. The undersigned hereby certifies that he is able to furnish labor that can work in harmony with all other elements of labor employed or to be employed on the Work; that all employees to be employed at the worksite will have successfully completed a course in construction safety and health approved by the United States Occupational Safety and Health Administration that is at least 10 hours in duration at the time the employee begins work and who shall furnish documentation of successful completion of said course with the first certified payroll report for each employee; and that he will comply fully with all laws and regulations applicable to awards made subject to section 44F.

The undersigned further certifies under penalties of perjury that this sub-bid is in all respects bona fide, fair, and made without collusion or fraud with any other person. As used in this subsection the word "person" shall mean any natural person, joint venture, partnership, corporation or other business or legal entity. The undersigned further certifies under penalty of perjury that the said undersigned is not presently debarred from doing public construction Work in the Commonwealth under the provisions of sections twenty-nine F. of chapter twenty-nine, or any other applicable debarment provisions of any other chapter of the General Laws or any rule or regulation promulgated thereunder.

A bid deposit, in the amount of 5% of the proposed Contract Price, conditioned upon the faithful performance by the bidder of the agreements contained in this bid, shall be attached to this proposal. The undersigned agrees that, if he or she is designated as the successful bidder, but fails to execute a contract in accordance with the agreements contained in this bid, this bid security shall become the property of the Awarding Authority as liquidated damages.

J. TAXES: As required by MGL Chapter 62c, Section 49A, the undersigned certifies that he or she has complied with all laws of the Commonwealth relating to taxes, reporting of employees and contractors, and withholding and remitting child support.

The undersigned hereby agrees that this bid shall be valid for a period of 30 days, Saturdays, Sundays, and legal holidays excluded, after the date designated for opening of the General Bids.

The undersigned agrees to furnish the following information prior to the time established for execution of the Contract.

1. Certificate of Corporate Vote, or names of Partners in a Partnership.
2. Massachusetts Foreign Corporation Certificate, if applicable.

DATE________

________________________________________
(Name of Sub-Bidder)

________________________________________
By

________________________________________
(Title and Name of Person Signing)

________________________________________
(Business Address)

________________________________________
(Business Telephone No.) (City and State)

Note: If Bidder is a corporation, indicate State of Incorporation under signature; if a partnership, give full names of all partners.
The following information is furnished under the penalties of perjury:

If a corporation:

Incorporated in what State

President

Treasurer

Secretary

If you are a foreign (out of state) corporation, are you registered with the Secretary of the Commonwealth in accordance with the provisions of Chapter 156d Section 15.01 of the General Laws,

Sections 3 and 5

If you are selected by the General Contractor and awarded the sub-contract for this Work, you are required under Massachusetts General Laws Chapter 30, Section 39L to obtain from the Secretary of State, Foreign Corporation Section, Room 136 State House, a certificate stating that your corporation is registered, and furnish said certificate to this Awarding Authority.

If a Partnership: (Name all partners.)

Business Address

Name of Partner

Residence

Name of Partner

Residence

END OF FORM FOR SUB-BID
FORM FOR GENERAL BID

FROM:

__________________________________________

__________________________________________

TO: City of New Bedford
    Purchasing Department
    133 William Street, Room 206
    New Bedford, MA 02740-5194

A. The undersigned proposes to furnish all labor and materials required for implementation of the ELIZABETH CARTER BROOKS SCHOOL WINDOW, DOOR & BOILER REPLACEMENT PROJECT,

in accordance with the accompanying Plans and Specifications prepared by JOHNSON ROBERTS ASSOCIATES, INC. for the Contract Price specified below, subject to additions and deductions in accordance with terms of the Specifications,

B. This bid includes addenda numbered ____________________________

C. The Proposed Contract Price is ____________________________________________

__________________________________________________________________________ DOLLARS ($__________________________)

D. The subdivision of the proposed Contract Price is as follows:

   Item 1: The work of the General Contractor, being all work other than that covered by Item 2,

   ____________________________________________________________________

   Dollars ($__________________________)

   Item 2: Filed Sub-Bids as follows:

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<tr>
<th>SUB-TRADE</th>
<th>NAME OF SUB-BIDDER</th>
<th>AMOUNT</th>
<th>BOND REQUIRED</th>
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<td>MASONRY</td>
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TOTAL OF ITEM 2 $__________________________

FORM FOR GENERAL BID

004050 - 1
ALTERNATE NO.1:

Further, the undersigned proposes to furnish all labor and materials required for implementation of the work noted in Section 012300 ALTERNATES, 1.02 ALTERNATE NO.1–INSULATED METAL PANEL SYSTEM

The Proposed Alternate Price is ___________________________________________ DOLLARS ($ ____________________________ )

D. The subdivision of the proposed Alternate Price is as follows:

   Item 1: The work of the General Contractor, being all work other than that covered by Item 2, $ ____________________________

   Item 2: Filed Sub-Bids as follows:

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   TOTAL OF ITEM 2 $ ____________________________

FORM FOR GENERAL BID
004050 - 2
E. The undersigned agrees that each of the above-named sub-bidders will be used for the Work indicated at the amount stated, unless a substitution is made.

The undersigned agrees that if he is selected as General Contractor, he will promptly confer with the Awarding Authority on the question of Sub-bidders and that the Awarding Authority may substitute for any sub-bid listed above a sub-bid duly filed with the Awarding Authority by another sub-bidder for the sub-trade, against whose standing and ability the undersigned makes no objection, and that the undersigned will use all such finally selected sub-bidders at the amount named in their respective sub-bids and be in every way responsible for them and their Work as if they had been originally named in this General Bid the total Contract Price being adjusted to conform thereto.

F. The undersigned further certifies under the penalties of perjury that this bid is in all respects bona fide, fair and made without collusion or fraud with any other person. As used in this subsection the word "person" shall mean any natural person, joint venture, partnership, corporation or other business or legal entity. The undersigned further certifies under penalty of perjury that the said undersigned is not presently debarred from doing public construction work in the commonwealth under the provisions of section twenty-nine F of chapter twenty-nine, or any other applicable debarment provisions of any other chapter of the General Laws or any rule or regulation promulgated thereunder.

Date:________________________________________

Name of General Bidder:________________________________________________________

By __________________________________________
(Name of Person Signing Bid and Title)

________________________________________
(Business Address)

________________________________________
(City and State)
STATEMENT OF BIDDER'S QUALIFICATIONS
This Statement shall be completed and a copy attached to the Form For General Bid. All questions must be answered. Additional sheets required for answers shall be included with Statement.

1. Name of Company_____________________________________________________
   
   Address______________________________________________________________
   
   Tel. No._________________ Fax No._________________ Email________________

2. Type Company:    Partnership     Corporation     Other_____________ Date Formed:________________

3. List the like projects your company has performed, giving the information indicated below:

   Name/Address of Owner     Scope of Work     Contract Amount     Completion Date
   
   ___ _______________ _______________ _______________
   
   ___ _______________ _______________ _______________

4. Identify the subcontractors for this proposed project:

   Name/Address of Subcontractor     Scope of Work
   
   ___ _______________ _______________
   
   ___ _______________ _______________
   
   ___ _______________ _______________

5. Has your present company ever failed to complete any work awarded to it and if so state where, when and why:

   ___ _______________ _______________
   
   ___ _______________ _______________
   
   ___ _______________ _______________

1. Address of bank which has information that would enable them with your approval to advise regarding the financial stability of your company:

   ___ _______________ _______________

Signed_________________________________________ Print Name:__________________________
Officer_________________________________________ Date:______________________________

BID CERTIFICATIONS & SUBMISSIONS
004100 - 1
CITY OF NEW BEDFORD
MASSACHUSETTS

VOTE OF CORPORATION AUTHORIZING
EXECUTION OF CORPORATE AGREEMENTS

At a meeting of the Board of Directors of _______________ duly called and held on ________________, 20____ at which a quorum was present and acting throughout, the following vote was duly adopted.

VOTED: That ________________, the _______________ of the corporation, be and hereby is authorized to affix the Corporate Seal, sign and deliver in the name and behalf of the corporation contract documents with the City of New Bedford, the above mentioned documents to include but not be limited to Bids, Proposals, Deeds, Purchase and Sales Agreements, Agreements, Contracts, Leases, Licenses, Releases and Indemnifications; and also to seal and execute, as above, surety company bonds to secure bids and proposals and the performance of said contract and payment for labor and materials, all in such form and on such terms and conditions as he/she, by the execution thereof, shall deem proper. A true copy

ATTEST:

____________________________________
Name (printed)

____________________________________ (Affix Corporate Seal)
Signature

____________________________________
Title Date

BID CERTIFICATIONS & SUBMISSIONS
004100 - 2
INSTRUCTIONS TO BIDDERS

A. FOREWORD: The attention of all bidders is called to all applicable provisions of Massachusetts General Laws, Chapter 149 – Sections 44A to 44J, inclusive, Section 26 to 29 inclusive, and Chapter 30, Section 39F to 39M inclusive, and 39R of the General Laws of the Commonwealth of Massachusetts, as amended to date.

B. GENERAL: The Awarding Authority invites proposals for the Work described in the Contract Documents attached hereto. Before submitting his/her proposal each bidder shall visit the site, examine its conditions, thoroughly acquaint himself/herself with its obstacles and advantages for performing the Work, and compare the Contract Documents with the conditions found. All proposals submitted shall be subject to all applicable provisions of law, including, without limiting the generality of the foregoing, Chapter 30, Section 39F to 39M inclusive, and 39R, and Chapter 193 of the Acts of 2004, as amended to date.

C. QUESTIONS: All questions as to the interpretation of the Contract Documents shall be submitted in writing to the Owner's Project Manager (OPM) via email at Jennifer.Carlson@nv5.com, and answers to such questions will be sent by the Awarding Authority only in the form of an Addendum, to every individual or firm on record as having taken a set of Contract Documents. No questions will be answered unless received by the dates indicated in the Invitation to Bid.

D. BID FORMS: The Awarding Authority will furnish to every person applying therefore a Form for General Bid and a Form for Sub-Bid.

E. CONTRACT DOCUMENTS: See the instructions indicated in the Invitation to Bid.

F. REJECTION OF CERTAIN GENERAL BIDS REQUIRED BY LAW: The law requires that every general bid, and every sub-bid, which is not accompanied by the prescribed bid deposit or which is not on a form furnished by the Architect or Awarding Authority or otherwise does not conform with Chapter 30, Section 39F to 39M inclusive, and 39R, and Chapter 193 of the Acts of 2004, as amended to date, or which is on a form not completely filled in or which is incomplete, conditional, or obscure, or which contains any addition not called for, shall be rejected by the Awarding Authority.

G. FURTHER RIGHT TO REJECT GENERAL BIDS: The Awarding Authority further reserves the right to reject any or all general bids if it be in the public interest so to do and to reject any sub-bid on any sub-trade if it determines that such sub-bid does not represent the sub-bid of a person competent to perform the Work as specified or that less than three such sub-bids were received and that the prices are not reasonable for acceptance without further competition.

H. GENERAL BIDS: General Bids must be submitted on the FORM FOR GENERAL BID, a sample of which is bound into the Contract Documents as Section 00300 and may be removed and used for additional copies. The General Bid shall be completely filled in, signed, enclosed in an envelope, sealed and plainly marked with the Project Name. The bid accompanied by a bid deposit in the amount of five percent (5%) of the bid price shall be filed with the Awarding Authority at the place designated in the Invitation to Bid. The bid shall be filed before the time designated in the Invitation to Bid for the opening of General Bids.

1. General Bids shall be for the complete Work as specified, with no Work to be performed by sub-bidders; and the General Contractor shall be selected on the basis of such General Bids.

2. If the bid is mailed, the General Bidders shall enclose their sealed bid in an outer envelope and address as follows:
   FROM: General Bidder's Name and Business Address
   TO: City of New Bedford
        Purchasing Department
        133 William Street
        New Bedford, MA  02740

INSTRUCTION TO BIDDERS
002113 - 1
3. No telegraphic or facsimile transmission of bid or telegraphic or facsimile transmission modification of a bid will be considered. No bids received after the time fixed for receiving them will be considered. Late bids will be returned to the bidder unopened.

I. REQUIREMENTS FOR FOREIGN CORPORATIONS: The attention of all bidders is called to the provisions of General Laws Chapter 30, Section 39L, which provides that the Awarding Authority may not enter into a contract for construction Work and may not approve as a sub-contractor furnishing labor and materials for a part of any such Work a foreign corporation which has not complied with the requirements of of Chapter 156d. Section 151 of the General Laws. The term "foreign corporation" means a corporation not incorporated under the laws of the Commonwealth of Massachusetts.

J. SALES TAX: Purchases of building materials and supplies to be used on this project are entitled to exemption from the Sales and Use Tax if the conditions imposed by Paragraph 6 (f) of Section 1 of Chapter 14 of the Acts of 1966 are otherwise satisfied. Bidders are instructed to submit proposals on the basis that no Massachusetts Sales and Use Tax will be imposed on purchases of building materials and supplies used in connection with this Project.

K. CONSTRUCTION TIME: The Agreement will include a stipulation that any non-window Work be substantially completed no later than August 16, 2019. Any Window work must be substantially completed 2 months after said windows have shipped from the manufacturer. Any work not completed after August 16, 2019 must be performed on Second Shift, after 3PM. If the Contractor fails to meet the construction deadline, the Contractor is responsible for all Owner and Architect costs associated with the deadline not being met. The Architect is to perform two on-site punch lists each for non-window scope and window scope. If additional punch lists are needed, the Contractor shall be responsible for all Owner and Architect costs associated with the additional punch list visits.

L. WITHDRAWAL OF BIDS: A bidder may withdraw his bid, either personally or by written request, at any time prior to the scheduled time for opening bids. No bidder may withdraw his bid for a period of thirty calendar days after the date set for the opening thereof, and bids shall be subject to acceptance by the Owner during this period. Failure to submit a completed copy of the required Statement of Bidder Qualifications shall be cause for rejection of a General Bid by the Owner.

M. EXECUTION OF AGREEMENT:
The form of Agreement which the successful bidder will be required to execute is included in the Project Manual.
The bidder to whom the Contract is awarded shall, within fifteen calendar days after notice of award and receipt of Agreement forms from the Owner, sign and deliver required copies to the Owner.
At or prior to delivery of the signed Agreement, the bidder to whom the Contract is awarded shall deliver to the Owner those Certificates of Insurance required by the Contract Documents and such Labor and Materials Payment Bonds and Performance Bond as are required by the Owner.
Bonds and Certificates of Insurance shall be approved by the Owner before the successful bidder may proceed with the Work. Failure or refusal to provide Bonds or Certificates of Insurance in a form satisfactory to the Owner shall subject the successful bidder to loss of time from the allowable construction period equal to the time of delay in furnishing the required material.

N. METHOD OF AWARD: The contract will be awarded to the lowest responsible and eligible general bidder on the basis of the proposed contract price if such exists, and if the Awarding Authority, in its sole discretion, decides to award on the basis of such alternate. Special attention is called to the provisions of the General Laws, Chapter 149, Sections 44A to 44H defining the term "lowest responsible and eligible bidder" and giving the Awarding Authority the right to require essential information in regard to qualifications.
O. TAX CERTIFICATION: The successful Bidder will be required to submit a tax certificate as required by chapter 62C, Section 49A of the Massachusetts General Laws, as follows:

CERTIFICATE UNDER M.G.L. c.62C, S49A

I certify under the pains and penalties of perjury that ______________________________________
has/have complied with all laws of the Commonwealth of Massachusetts relating to taxes.

_______________________________
Employer Identification Number

_______________________________
Date

_______________________________
Name

_______________________________
Title of Business Officer (if Applicable)

END OF INSTRUCTIONS TO BIDDERS
CITY OF NEW BEDFORD
STANDARD VERTICAL CONSTRUCTION CONTRACT
For Projects Over $100,000 Subject to M.G.L. c149, §44A -F

OWNER - CONTRACTOR AGREEMENT

This agreement ("Contract") is made as of the ______ day of __________________________, 2018, by and between the City of New Bedford acting by and through its Purchasing Department with a principal place of business at 133 William Street, New Bedford, MA 02740 and ________________ a ________________, with a principal place of business at ___________________________, hereinafter called the "Contractor."

Terms used in this Owner - Contractor Agreement which are defined in the General Conditions of the Contract shall have the meanings designated therein.

The Awarding Authority and the Contractor agree as follows:

Article 1. Scope of Work. The Work under this Contract is defined as all work required by the Contract Documents for the construction of ____________________________, City of New Bedford Contract No. ________________, in accordance with and as described in the Plans and Specifications dated February 14, 2018, prepared by Johnson Roberts Associates Inc. ("Designer"), as modified by Addenda Nos. _______________ dated _______________, 2018.

Article 2. Time for Completion. The Contractor shall commence the Work under this Contract on the date specified in the written "Notice to Proceed." The Contractor shall bring the Work to Substantial Completion as follows: any non-window Work be substantially completed no later than August 15, 2018, and window work must be substantially completed 2 months after windows have shipped from the manufacturer. The Contractor shall bring the Work to Final Acceptance within 45 days after the date specified for Substantial Completion.

Article 3. Contract Price. The Awarding Authority shall pay the Contractor, in current funds, for the performance of the Work, subject to additions and deductions by Approved Change Order(s), the Contract Price of ____________________________ Dollars ($__________________________). The Unit Prices, if any, approved by the Awarding Authority are those included in the Contractor’s General Bid. The following Alternates have been accepted and their costs are included in the Contract Price:

Article 4. Approved Subcontractors. The filed Subcontractors listed in the Contractor’s General Bid submitted by the Contractor have been approved for the performance of the specified portions of the Work. No other filed Subcontractors and no non-filed Subcontractors shall be used for these or any other portions of the Work without the prior written approval of the Awarding Authority.
Article 5. Certifications. Pursuant to M.G.L. c. 62(c), s.49 (a), the individual signing this Contract on behalf of the Contractor hereby certifies, under the penalties of perjury, that to the best of his or her knowledge and belief the Contractor has complied with any and all applicable state and federal tax laws. The individual signing this Contract on behalf of the Contractor further certifies under penalties of perjury that the Contractor is not presently debarred from doing public construction work in the Commonwealth under the provisions of M.G.L. c. 29, s. 29F, or any other applicable debarment provisions of any other chapter of the General Laws or any rule or regulation promulgated thereunder and is not presently debarred from doing public construction work by any agency of the United States.

Article 6. The Contract Documents: The following documents form the Contract, are incorporated by reference herein, and are referred to as the “Contract Documents:”
- The Instructions to Bidders
- The General Bid submitted by the Contractor
- This Owner — Contractor Agreement
- The General Conditions of the Contract
- The Supplementary General Conditions
- The Plans and Specifications, including Addenda identified in Article 1 above
- All Approved Change Orders issued after execution of this Owner — Contractor Agreement

Article 7. Minority Business Enterprise and Women Business Enterprise Participation Goals and Minority/Women Workforce Utilization Percentages: The applicable goals, if any, for minority business enterprise and woman business enterprise participation established for this Contract are as follows:


The applicable minority workforce utilization percentage, if any, is ____________.

The applicable women workforce utilization percentage, if any, is ____________.

Article 8. Liquidated Damages. For the purposes of Article VI of the General Conditions of the Contract, liquidated damages for delay shall be as follows:

$_________________________________________________ Per day
In witness whereof, the parties hereto have caused this instrument to be executed under seal as of the date of ________________ 20__

Contractor: ____________________________
By: _________________________________
Title: ________________________________

City Of New Bedford,
By: _________________________________
Title: ________________________________

CERTIFIED that funds are available
By: _________________________________
Title: ________________________________

Dept.

By: Jonathan F. Mitchell
Title: Mayor

APPROVED as to Form and Legality
By: _________________________________
Title: ________________________________

Chief Financial Office

By: _________________________________
Title: ________________________________

By: Robert Ekstrom
Title: City Auditor

By: Ari Sky
Title: Chief Financial Officer

By: Shannon Shreve
Title: Counsel II

Purchasing Department

By: Susan Bruce, Director of Purchasing
CITY OF NEW BEDFORD

GENERAL CONDITIONS
STANDARD VERTICAL CONSTRUCTION CONTRACT
For Projects over $100,000 Subject to M.G.L. c. 149, s. 44A-F

GENERAL CONDITIONS OF THE CONTRACT

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**ARTICLE I: DEFINITION OF TERMS**

The following words shall have the following meanings as used in this Contract:

**Advertisement:** The Advertisement or Invitation for Bids or Proposals for the Work identified in Article 6 of the Owner - Contractor Agreement.
Approval: (or Approved): An approval in writing signed by the authorized signatory of the Awarding Authority.
Architect: The architect identified as the Designer in Article 1 of the Owner - Contractor Agreement.

As directed (As permitted, as required, as determined or words of like effect): The direction, permission, requirement or determination of the Designer or the Awarding Authority. Similarly, approved, acceptable, satisfactory or words of like import shall mean approved by or acceptable or satisfactory to the Designer, except as may be otherwise determined by the Awarding Authority.

Awarding Authority: The public agency awarding and administering this Contract identified as the Awarding Authority in the Owner - Contractor Agreement.

Building Code: All applicable rules and regulations to which the Awarding Authority is subject and which are contained or referenced in the code authorized by M.G.L. c. 143, s. 93 et seq., including all amendments thereto.

Certificate of Occupancy: A certificate signed by the Designer and the Awarding Authority pursuant to the requirements of Article VI of the General Conditions of the Contract, indicating that the Awarding Authority has determined that (1) the Work has been completed in accordance with the Contract Documents, except for Punch List items, (2) certificates of inspection, testing and approval (including a certificate of occupancy under the Building Code), operating permits for any mechanical apparatus which may be required to permit full use and occupancy of the Work by its intended users (which in a Subcontractor's case may include the Contractor) have been delivered to the Awarding Authority, (3) any applicable written warranties, operating instructions and related materials have been delivered to the Awarding Authority, and (4) the Work may be used for its intended purpose without substantial inconvenience or interference.

Change Order: (1) A written order not requiring the consent of the Contractor, signed by the Owner's Project Manager and designated as a Change Order, directing the Contractor to make changes in the Work within the general scope of the Contract, or (2) any written or oral order from the Project Manager that causes any change in the Work, provided that the Contractor has given the Awarding Authority written notice stating the date, circumstances, and source of the order and that the Contractor regards the order as a Change Order.

Contract: The Contract formed by the Contract Documents as defined in Article 6 of the Owner - Contractor Agreement.

Contract Documents: The documents listed in Article 6 of the Owner - Contractor Agreement.

Contract Modification: Any alteration of the Contract Documents accomplished by a written agreement properly executed by the parties to this Contract.

Contract Price: The Contract Price stated in Article 3 of the Owner - Contractor Agreement which is the total sum owed to the Contractor for all of the Work.

Designer: The architect or engineer identified as the Designer in Article 1 of the Owner - Contractor Agreement, subject to the provisions of Article III, Section 1 of these General Conditions of the Contract.

Engineer: The Designer.

Drawings: The Drawings are the graphic and pictorial portions of the Contract Documents, wherever located and whenever issued, showing the design, location and dimensions of the Work, generally including Plans, elevations, sections, details, schedules, and diagrams.

Final Acceptance: The written determination by the Designer and by the Awarding Authority that the Work has been 100% completed, except for the Contractor's indemnification obligations, warranty obligations, obligations to continue to maintain insurance coverage for the time periods provided in the Contract Documents, and any other obligations which are intended to survive Final Acceptance and/or the termination of the Contract.

General Bid: The completed bid form submitted by the Contractor in accordance with the requirements of M.G.L. c. 149.

Laws: All applicable statutes, regulations, ordinances, codes, laws, orders, decrees, approvals, certificates and requirements of governmental and quasi-governmental authorities.

Neutral: An impartial third party not having an interest in the Owner, the Designer, the Contractor or the Project.

Notice to Proceed: The written notice provided by the Awarding Authority to the Contractor which authorizes the Contractor to commence the Work as of a date specified therein, from
which date the time of completion specified in Article 2 of the Owner - Contractor Agreement is measured.

**Or equal (or words of like import):** Equal in the opinion of the Awarding Authority determined pursuant to the provisions of M.G.L. c.30, s. 39M and the provisions of these General Conditions of the Contract.

**Owner:** The city of New Bedford, Massachusetts.

**Plan(s):** Drawing(s).

**Product Data:** Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams and other information furnished by the Contractor or its Subcontractors and suppliers to illustrate materials or equipment for some portion of the Work. Product data also include any such information or instructions produced by the manufacturer or distributor of such materials or equipment and made readily available by said manufacturer or distributor.

**Progress Schedule:** The progress schedule Approved by the Designer and the Awarding Authority in accordance with Article VI of these General Conditions of the Contract.

**Project:** The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner or by separate contractors.

**Owner's Project Manager (OPM):** The Awarding Authority's representative assigned to the Project.

**Punch List:** A list of items determined by the Awarding Authority to be minor incomplete or unsatisfactory work items that do not materially impair the usefulness of the Work for its intended purpose.

**Samples:** Samples are physical examples that illustrate materials, equipment, or workmanship and establish standards by which the Work will be judged.

**Schedule of Values:** The schedule Approved by the Awarding Authority pursuant to Article VIII of these General Conditions of the Contract which allocates the Contract Price to the various portions of the Work and is used as a basis for payments to the Contractor.

**Shop Drawings:** Drawings, diagrams, details, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate a portion of the Work.

**Site:** The land and, if any, building(s) or space within any such building(s) on which or in which the Contractor is to perform the Work.

**Specifications:** The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, construction systems, standards, and workmanship for the Work and performance of related services.

**Subcontractor:** Person or entity with whom the Contractor contracts in order to perform the Work, except as otherwise specifically provided or required herein or by Law.

**Substantial Completion:** For work subject to M.G.L. c. 30 s. 39K, "substantial completion" shall occur when (1) the Contractor fully completes the Work or substantially completes the Work so that the value of the Work remaining to be done is, in the estimate of the Awarding Authority, less than one percent of the original contract price, or (2) the Contractor substantially completes the work and the Awarding Authority takes possession for occupancy, whichever occurs first. For work subject to M.G.L. c. 30 s. 39G "substantial completion" shall mean either that the work required by the Contract has been fully completed, completed except for work having a Contract Price of less than one percent of the then adjusted total Contract Price, or substantially all of the Work has been completed and opened to public use except for minor incomplete or unsatisfactory work items that do not materially impair the usefulness of the Work.

**Superintendent:** The licensed construction supervisor who is an employee of the Contractor designated to be in full-time attendance at the Site throughout the prosecution and progress of the Work and who shall have complete authority to act for the Contractor.

**Work:** The Work defined in Article 1 of the Owner - Contractor Agreement, Article II, Section 2 of these General Conditions of the Contract and otherwise in the Contract Documents.

**Working Hours:** 7:00 a.m. to 5:00 p.m., but not more than eight hours per day, Monday through Friday, unless otherwise specified by applicable Laws.

All terms that this Contract defines may be used with or without initial capital letters. Other terms, abbreviations and references are defined as they appear herein. Words and abbreviations
that are not defined in the Contract Documents but which have recognized technical or trade meanings are used in accordance with those meanings. For additional definitions of terms, abbreviations and references refer to the Supplementary General Conditions, or Specifications.

ARTICLE II: EXECUTION OF THE CONTRACT, SCOPE OF WORK, INTERPRETATION OF CONTRACT DOCUMENTS

1. Execution.
The execution of the Owner – Contractor Agreement by the Contractor is a representation that the Contractor has visited the Site, has become familiar with local conditions under which the Work is to be performed and has correlated personal observations with requirements of the Contract Documents.

2. Scope of Work.
The Work consists of the Work identified in the Contract Documents. The Work comprises the completed construction required by the Contract Documents and includes all labor, tools, materials, supplies, equipment, permits, approvals, paperwork, calculations, submittals, and certificates necessary to develop, construct and complete the Work in accordance with all Laws, and all construction and other services required to be supervised, overseen, performed or furnished by Contractor or that the Contract Documents require the Contractor to cause to be supervised, overseen, performed or furnished. The Contractor shall provide and perform for the Contract Price all of the duties and obligations set forth in the Contract Documents.

3. Interpretation.
   A. The Plans and Specifications and other Contract Documents are to be considered together and are intended to be mutually complementary, so that any work shown on the Plans though not specified in the Specifications, and any work specified in the Specifications though not shown on the Plans, is to be executed by the Contractor as a part of this Contract.
   B. All things that in the opinion of the Designer may be reasonably inferred from the Plans, Specifications and other Contract Documents are to be executed by the Contractor. The Designer shall determine whether the detail Plans conform to the general Plans and Contract Documents, except as may be otherwise determined by the Awarding Authority.
   C. The tables of contents, titles, headings and marginal notes or sub-scripts contained herein are solely to facilitate references, are not intended to be construed as provisions of the Contract, and in no way affect the interpretation of the provisions to which they refer.
   D. Where reference is made in the Contract Documents to publications, standards, or codes issued by associations or societies, such reference shall be interpreted to mean the current edition of such publications, standards, or codes, including revisions in effect on the date of the Advertisement, notwithstanding any reference to a particular date. The foregoing sentence shall not apply to the dates, if any, specified with respect to insurance policy endorsement forms.
   E. In case of any conflict among the Contract Documents, unless the context clearly otherwise requires, the Contract Documents shall be construed according to the following priorities:

   First Priority: Contract Modifications
   Second Priority: Owner - Contractor Agreement
   Third Priority: General Conditions of the Contract
   Fourth Priority: Drawings -- Schedules take precedence over enlarged detail Drawings, and enlarged Detail Drawings take precedence over reduced scale Drawings; figured dimensions shall prevail over scale.
   Fifth Priority: Specifications

4. Distribution of Work.
The distribution of the Work is intended to be described under the appropriate trades and, except for filed sub-bid work, may be redistributed, except as directed herein, provided that such
redistribution shall cause no controversy among the trades and no delay in the progress of the Work.

5. **Contract Price.**
The Contract Price constitutes full compensation to the Contractor for everything to be performed and furnished in connection with the Work and for all damages arising out of the performance of the Work and/or the action of the elements, and constitutes the maximum compensation regardless of any difficulty incurred by the Contractor in connection with the Work or in consequence of any suspension or discontinuance of the Work.

**ARTICLE III: CONTROL OF WORK / ADMINISTRATION OF THE CONTRACT**

1. **Designer.**
Notwithstanding anything to the contrary expressed or implied in this Contract, any of the powers, rights, and duties of the Designer may be exercised by the Awarding Authority, provided that the Awarding Authority shall be under no obligation to do so. The Awarding Authority may rely on the Designer for the performance and exercise of its rights and obligations hereunder and shall be presumed to so rely on the Designer in the absence of an explicit written assumption by the Awarding Authority of any such rights and obligations, except that any Approval required to be obtained from the Awarding Authority hereunder shall not be valid without the signature of the Awarding Authority. The Awarding Authority may explicitly overrule in writing any action, determination or decision of the Designer should the Awarding Authority choose to do so, except to the extent that the same would violate applicable law.
Subject to the foregoing, the Designer shall be responsible for the general administration of the Contract and shall perform the duties and exercise the rights herein conferred on the Designer. Except as otherwise specifically provided herein, the Designer shall decide all questions which may arise as to the conduct, quantity, quality, equality, acceptability, fitness, and rate of progress of the several kinds of work and materials to be performed and furnished under this Contract, and shall decide all questions which may arise as to the interpretation of the Plans and Specifications and as to the fulfillment of this Contract on the part of the Contractor. In the case of the death, resignation, inability or refusal of the Designer to act, or the termination of his or her or its employment, the Awarding Authority may appoint another person to act as Designer for the purposes of this Contract. The Awarding Authority shall give written notice to the Contractor of any such appointment.

2. **Right of Access to Work.**
The Awarding Authority and the Designer (and persons designated by them) may for any purpose enter upon the Work, the Site, and premises used by the Contractor, and the Contractor shall provide safe facilities therefore. Other contractors of the Awarding Authority may also enter upon the same for the purposes which may be required by their contracts or work. Any differences or conflicts which may arise between the Contractor and other contractors of the Awarding Authority with respect to their work shall be initially resolved by the Designer.

3. **Inspection No Waiver.**
No inspection by the Awarding Authority or the Designer or employees or agents of either of them, and no order, measurement, certificate, approval, payment order, payment, acceptance or any other action or inaction of any of them, shall operate as a waiver by the Awarding Authority of any provision of this Contract.

**ARTICLE IV: GENERAL PERFORMANCE OBLIGATIONS OF THE CONTRACTOR**
The Contractor shall complete for the Contract Price all of the Work in a proper, thorough, and workmanlike manner in accordance with the Contract Documents. Without limiting the foregoing and without limiting the Contractor's obligations under any other provision of the Contract Documents, the Contractor shall for the Contract Price perform the following general obligations:
   A. Before commencing the Work, the Contractor shall carefully study the Contract Documents and carefully compare all Specifications, Plans, Drawings, figures, dimensions, lines, marks, scales, directions of the Designer, and any other information provided by the Awarding Authority and shall at once report to the Designer any questions, errors, inconsistencies, or omissions.
   B. Before commencing the Work, the Contractor shall take field measurements and verify field conditions and shall carefully compare such field measurements and conditions and other information known to the Contractor with the Contract Documents and shall at once report to the Designer any questions, errors, inconsistencies, or omissions.

2. Supervision and Construction Procedures; Coordination; Cutting, and Patching.
   A. The Contractor shall supervise and direct the Work, using the Contractor’s best skill and attention. The Contractor shall be solely responsible for, and shall have control over, construction means, methods, techniques, sequences and procedures, and shall be responsible for coordinating all portions of the Work under the Contract.
   B. The Contractor shall be responsible for the proper fitting of all Work and the coordination of the operations of all trades, Subcontractors, and materialmen engaged upon the Work. The Contractor shall guarantee to each of its Subcontractors all dimensions which they may require for the fitting of their work to all surrounding work.
   C. All necessary cutting, coring, drilling, grouting, and patching required to fit together the several parts of the Work shall be done by the Contractor, except as may be specifically noted otherwise under any particular filed sub-bid section of the Specifications.
   D. The Contractor shall be responsible to the Awarding Authority for the acts and omissions of the Contractor’s employees, agents and Subcontractors, and their agents and respective contractors’ employees, and other persons performing portions of the Work or supplying materials therefore.
   E. The Contractor shall be responsible for the inspection of portions of the Work already performed under this Contract to determine that such portions are in proper condition to receive subsequent Work.
   F. The Contractor shall employ a registered land surveyor to perform any engineering required for establishing grades, lines, levels, dimensions, layouts, and reference points for the trades. The Contractor shall be responsible for maintaining benchmarks and other survey marks and shall replace any benchmarks or survey marks that may have become disturbed or destroyed. The Contractor shall verify the materials shown on the Drawings before laying out the Work and shall be responsible for any error resulting from its failure to exercise this precaution.
   G. Unless otherwise required by the Supplementary General Conditions or the Plans and Specifications, or directed in writing by the Awarding Authority, Work shall be performed during regular Working Hours. However, if the Contractor desires to carry on the Work outside of regular Working Hours or on Saturdays, Sundays, or Massachuetts or federal holidays then the Contractor shall allow ample time to allow satisfactory arrangements to be made for inspecting Work in progress and shall bear the costs of such inspection. The Awarding Authority shall bill the Contractor directly for such costs.
   H. Work performed outside of regular Working Hours without the consent or knowledge of the Awarding Authority shall be subject to additional inspection and testing as directed by the Awarding Authority. The cost of this inspection and testing shall be borne by the Contractor whether the Work is found to be acceptable or not. The Awarding Authority at its election shall be entitled either to issue a credit Change Order to cover such cost or to withhold such cost from any further payments due the Contractor and/or to receive a payment from the Contractor of the amount of such cost.

3. Superintendent.
   A. The Contractor shall employ a Superintendent whose appointment shall be subject to the Approval of the Awarding Authority. The Superintendent shall be in attendance at the Site full-time during the performance of the Work. The Superintendent shall represent the Contractor. Communications given to and from the Superintendent shall be deemed given to and from the Contractor. Important communications shall be confirmed in writing. Other communications shall
be similarly confirmed upon written request in each case. The Superintendent shall attend each job meeting. The Superintendent shall be responsible for coordinating all of the Work of the Contractor and the Subcontractors.

B. The Superintendent shall be a competent employee regularly employed by the Contractor. The Superintendent shall be licensed in accordance with the Building Code and shall have satisfactorily performed similar duties on previous construction projects similar in type, complexity and scale to the Project. The Superintendent's resume shall be submitted to the Awarding Authority prior to commencement of construction together with such other information as the Awarding Authority may reasonably require in order to determine whether or not to approve of his or her appointment. Any change in the Superintendent shall require the prior consent of the Awarding Authority. The Contractor shall establish an emergency telephone line by which the Awarding Authority, the Designer, or their respective agents may contact the Superintendent during non-working hours.

4. Labor.
A. The Contractor shall employ only competent workers. The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Work. The Contractor shall certify and insure that all employees to be employed at the worksite will have successfully completed a course in construction safety and health approved by the United States Occupational Safety and Health Administration that is at least 10 hours in duration at the time the employee begins work and the Contractor and each of its subcontractors and others working on the Project shall furnish documentation of successful completion of said course by employees working with the first certified payroll report for each employee. The Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them. Whenever the Awarding Authority shall notify the Contractor in writing that any worker is, in the Designer's opinion, incompetent, unfaithful, disorderly, or otherwise unsatisfactory, such employee shall be discharged from the Work and shall not again be employed on the Project except with the consent of the Awarding Authority.

B. The Contractor shall employ a sufficient number of workers to carry on the Work with all proper speed in accordance with Laws, the requirements of the Contract Documents, and the Progress Schedule.

C. The Contractor shall procure materials from such sources and shall manage its own forces and the forces of its Subcontractors and any sub-subcontractors in such a manner as will result in harmonious labor relations on the Project Site. The Contractor shall cause persons to be employed in the Work who will work in harmony with others so employed. Should the Work be stopped or materially delayed in the Awarding Authority's reasonable judgment due to a labor dispute, the Awarding Authority shall have the right to require the Contractor to employ substitutes acceptable to the Awarding Authority.

A. The Contractor at its sole cost shall take out and pay for all approvals, permits, certificates and licenses required by Laws, pay all charges and fees, and pay for (or cause the appropriate Subcontractor to pay for) all utilities required for the proper execution of the Work.

B. The Contractor shall comply with all Laws and shall give all notices required thereby.

C. Except as otherwise specified in this Contract, it is not the Contractor's responsibility to ascertain that the Contract Documents are in accordance with applicable Laws. However, if the Contractor observes that portions of the Contract Documents are at variance with the requirements of Laws, the Contractor shall promptly notify the Designer and Awarding Authority in writing, and necessary changes shall be accomplished by an appropriate Contract Modification.

D. If the Contractor performs Work knowing it to be contrary to Laws without giving such notice to the Designer and Awarding Authority, the Contractor shall bear full responsibility for such Work and all costs attributable thereto, including, without limitation, corrections to the Work.

6. Lines, Marks etc.
The Contractor shall furnish batter boards and stakes and shall cause to be placed and maintained thereon so as to be easily read, such lines, marks and directions relating to the Work as the Designer shall from time to time direct. The Designer shall establish base lines and benchmarks
on the Drawings for the locations of the Work but all other lines and grades shall be determined by the Contractor.

7. Excavation.
The Contractor shall prevent by sheeting and shoring or bracing, if necessary, any caving or bulging of the sides of any excavation made by the Contractor, leaving sheeting and shoring in place, or if any is removed, filling solid the spaces left thereby.

The Contractor shall provide pumping, drainage, and disposal of all water and other flows so that no puddle, nuisance, or damage will be caused by water or flooding. The Contractor shall provide all hoisting equipment and machinery required for the proper execution of the Work. The Contractor shall provide all exterior and interior staging required to be over eight feet in height, except as may be otherwise provided in the Contract Documents.

9. Corrections to the Work; Inspection No Bar to Subsequent Corrections.
The Designer's inspection of the Work shall not relieve the Contractor of its responsibilities to fulfill the Contract obligations. Defective work may be rejected by the Designer or Awarding Authority whether or not such work and/or materials have been previously overlooked or misjudged by the Designer and accepted for payment. If the Work or any part thereof shall be found defective at any time before the Final Acceptance of the whole Work, the Contractor shall forthwith cease the performance of any defective work in progress and, whether or not such work is still in progress, shall forthwith correct such defect in a manner satisfactory to the Designer and Awarding Authority. If any material brought upon the Site for use in the Work, or selected for the same, shall be rejected by the Designer as unsuitable or not in conformity with the Contract Documents, or as damaged by casualty or deteriorated due to improper storage at the Site or to any other factor, the Contractor shall forthwith remove such materials from the Site. The Contractor shall pay for the cost of making good all work or property of other contractors or of the Awarding Authority destroyed or damaged by such removal or replacement; repair any injury, defect, omission or mistake in the Work as soon as it is discovered; finish and immediately make good any defect, omission or mistake in the Work; and complete and leave the Work in perfect condition.

10. Sanitary Facilities.
The Contractor shall provide and maintain sanitary facilities for all persons employed on the Work, beginning with the first worker at the Site. Said facilities shall meet the following requirements unless otherwise specified in the Supplementary General Conditions or Specifications.
   A. There shall be no fewer facilities than the number required by applicable Laws;
   B. Facilities shall be kept in a clean sanitary condition at all times and shall be adequately screened to be inaccessible to flies.
(Note: If existing sanitary facilities at the Site are to be used by the Contractor, this requirement will be modified accordingly in the Supplementary General Conditions or Specifications.)

11. Temporary Offices.
   A. Except as otherwise specified in the Supplementary General Conditions or Specifications, the Contractor shall erect the following temporary offices near the Site as directed by the Awarding Authority and adequately furnish and maintain them in a clean, orderly condition:
      (1) A Contractor's field office at which Contractor's authorized representative shall be present at all times while work is in progress. Instructions, notices, and other communications delivered there by the Designer or the Awarding Authority shall be deemed delivered to the Contractor. The Contractor shall provide a separate conference room space with a conference table and chairs sufficient to accommodate 12 persons at one time.
      (2) Office for the OPM, either a separate building or trailer. Such office shall be in close proximity to the Contractor's field office, shall be at least 450 square feet in area, and shall be equipped with partitions to separate it from public access, electric lights, heat, air conditioning, window screens, secure locking devices, and a toilet room with a working
chemical toilet. Such office shall be equipped with the following furniture and equipment in good condition: 2 lockable steel desks, word processor, 2 swivel chairs, two stools, 2 metal plan racks, plan table at least 32 by 84 inches, 2 metal filing cabinets with locks, 12 feet of 10 inch deep shelving, one accurate Fahrenheit thermometer, one electric water cooler with disposable cups and water supply service, one hard hat for each project representative and 6 visitor hard hats, one dry plain paper copy machine with a legal and standard paper tray, and one calculator with paper print out, all of which shall become the property of the Contractor at the conclusion of the Work. (Note: If office space can be assigned in existing buildings at the Project Site, this requirement will be modified accordingly in the Supplementary General Conditions or Specifications.)

B. The Contractor shall relocate the OPM’s trailer at no additional cost to the Owner if the need for relocation arises as determined by the Awarding Authority.

12. Contract Documents and Samples at the Site.
A reasonable number of sets of Contract Documents will be furnished to the Contractor by the Awarding Authority immediately after signing of the Contract, one of which shall be maintained at the Site for reference by authorized representatives of the Awarding Authority. The Contractor shall maintain at the Site for the use and information of the Awarding Authority one record copy of the Drawings, Specifications, Addenda, Change Orders, Approved Shop Drawings, Product Data, Samples, updated Progress Schedule, and all other submittals, all in good order and marked currently to record changes and selections made during construction. These shall be available to the Designer and the Awarding Authority and shall be delivered to the Designer for submittal to the Awarding Authority upon completion of the Work.

13. Telephones.
The Contractor shall provide and maintain separate individual telephone service and pay for all calls relating to the Work. Service and equipment shall meet the requirements, if any, of the Supplementary General Conditions and Specifications and shall include provisions for incoming and outgoing calls: (1) in the Contractor’s field office for the use of its authorized agents and (2) in the OPM’s office for the use of the Designer and authorized agents of the Owner.

14. Health, Safety, and Accident Prevention
A. In performing the Work, the Contractor shall:
(1) Ensure that no laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his/her health and/or safety as determined under construction safety and health standards promulgated by the U.S. Secretary of Labor by regulation;
(2) Protect the lives, health, and safety of other persons; and
(3) Prevent damage to property, materials, supplies, and equipment.
B. For these purposes, the Contractor shall:
(1) Comply with 84 Stat. 1590, the "Occupational Safety and Health Act of 1970" (OSHA) and with regulations and standards issued by the U.S. Secretary of Labor at 29 CFR Part 1926; and
(2) Include the terms of this Section 14 in every subcontract so that such terms will be binding on each subcontractor.
(3) Designate by notice to the Awarding Authority a responsible member of its organization at the Site whose duties shall include ensuring safety, implementation of Contractor’s Safety Plan referenced below and preventing accidents.
C. The Contractor shall maintain an accurate record of exposure data on all accidents incident to the Work resulting in death, traumatic injury, occupational disease, or damage to property, materials, supplies, or equipment, and shall report this data in the manner prescribed by 29 CFR Part 1904. Without limiting the foregoing, the Contractor shall submit to the Awarding Authority without delay verbal and written reports of all accidents involving bodily injury or property damage arising in connection with the Work.
D. In any emergency affecting the safety of persons or property the Contractor shall immediately act in the exercise of reasonable judgment to prevent threatened damage, injury, or loss. The Contractor shall immediately notify the Awarding Authority of such emergency.
E. The Contractor shall be responsible for its Subcontractors’ compliance with the provisions of this Section 14.
F. Before commencing any portion of the Work the Contractor shall submit a written Project-specific plan for implementing this Section 14. The plan shall include an analysis of the significant hazards to life, limb and property inherent in the performance of the Work and a plan for controlling these hazards.
G. Without limiting the foregoing provisions of this Section 14, the Contractor shall comply with all health and safety Laws applicable to the Work. Without limitation, (1) If the Contractor uses, stores or encounters toxic or hazardous substances it shall comply with M.G.L. c. 111F, s. 2, the "Right to Know" law and regulations promulgated by the Department of Public Health, 105 CMR 670, the Department of Environmental Protection, 310 CMR 33, and the Department of Labor and Workforce Development, 441 CMR 21; and shall post a Workplace Notice obtainable from the Department of Labor and Workforce Development.
(2) The Contractor shall comply with the Federal Resource Conservation and Recovery Act, the Federal Comprehensive Environmental Response, Compensation and Liability Act, M.G.L. c. 21C, M.G.L. c. 21E, and any other Laws affecting toxic or hazardous materials, solid, special or hazardous waste (collectively "Hazardous Materials Laws"). Should the Contractor discover unforeseen materials subject to Hazardous Materials Laws at the Site, the Contractor shall immediately comply with any and all requirements for dealing with such materials and notify all required governmental authorities and the Awarding Authority of such discovery.
(3) The Contractor shall be responsible for the location of all utilities in connection with the Work. Without limiting the foregoing, the Contractor shall comply with Dig-Safe Laws. Dig-Safe is the Utility Underground Plant Damage Prevention System, 331 Montvale Road, Woburn, MA, 01801, 1-888-344-7233. The Contractor shall notify Dig-Safe of contemplated excavation, demolition, or explosive work in public or private ways, and in any utility company right of way or easement, by certified mail, with a copy to Department of Environmental Protection (DEP). This notice shall be given at least 72 hours prior to the work, but not more than sixty days before the work is to be done. Such notice shall state the name of the street or the route number of the way and shall include an accurate description of the location and nature of the proposed work. Dig-Safe is required to respond to the notice within 72 hours of receipt by designating the location of pipes, mains, wires or conduits at the Site. The Contractor shall not commence work until Dig-Safe has responded. The work shall be performed in such manner and with reasonable precautions taken to avoid damage to utilities under the surface at the work location. The Contractor shall provide the Superintendent with current Dig-Safe regulations, and a copy of M.G.L. c. 82, s. 40. Any costs related to the services performed by Dig-Safe shall be borne by the Contractor.
(4) The Contractor shall comply with M.G.L. c. 149, s. 129A, relative to shoring and bracing of trenches.
H. Without limiting the Contractor’s responsibilities described above, the Contractor shall take all reasonable precautions for the safety of, and the prevention of injury or damage to (1) all agents and employees and contractors on the Work and all other persons who may be affected thereby including the general public, (2) all the Work and all materials and equipment to be incorporated therein, whether in storage on or off the Site, under the care custody or control of the Contractor or any of its Subcontractors or any contractors directly or indirectly contracting through any of them, and (3) other property at the Site or adjacent thereto, including but not limited to trees, shrubs, lawns, walks, pavements, roadways, structures and utilities not designated for removal, relocation or replacement in the course of the Work. The Contractor shall promptly remedy all damage or loss to any such property caused in whole or in part by the Contractor, any Subcontractor, or anyone directly or indirectly contracted or employed by any of them or by anyone for whose acts any of them may be liable. Without limiting the foregoing, the Contractor shall:
(1) post and maintain adequate danger signs and other warnings against hazards;
(2) promulgate safety regulations and give appropriate notices to the Awarding Authority and users of adjacent utilities and property;
(3) insure the adequate strength and safety of all scaffolding, staging and hoisting equipment, temporary shoring, bracing and tying;
(4) protect adjoining private or public property;
(5) provide barricades, temporary fences, and covered walkways required by prudent construction practices, Laws and/or the Contract Documents;
(6) furnish approved hard hats and other personal protective equipment, furnish approved first aid supplies, furnish the name of the first aid attendant, and maintain a posted list of emergency facilities;
(7) provide proper means of access to property where the existing access is cut off by the Contractor;
(8) maintain from the beginning of any darkness or twilight through the whole of every night sufficient lights on or near any obstruction so as to guard and protect travelers from injury from such obstruction;
(9) maintain adequate security at the Site so as not to expose the Work and surrounding property to vandalism or malicious mischief;
(10) provide adequate fire protection procedures during the use of cutting torches, welding equipment, plumbers’ torches and other flame and spark producing apparatus;
(11) take prompt action to correct any dangerous or hazardous conditions.
I. The Contractor shall not use or store explosives in the performance of the Work unless the Contractor first obtains the Awarding Authority’s prior written specific Approval. If the Awarding Authority approves the use or storage of explosives during the performance of the Work, the Contractor shall first comply with all Laws and obtain all permits, approvals, and certificates required in connection with the same and shall exercise best efforts, including but not limited to the employment and supervision of properly qualified personnel, to prevent damage, injuries, and accidents involving said explosives.
J. The Contractor shall not permit cutting or welding in or immediately adjacent to existing property of the Owner, Awarding Authority or of anyone else without the Awarding Authority’s prior Approval in each instance.

15. Debris and Chemical Waste.
   A. The Contractor shall not permit the accumulation of interior or exterior debris. The Contractor shall keep the Work area clean at all times. Without limitation, garbage shall be removed daily.
   B. The Contractor shall properly classify and remove debris and waste from the Site and transport and dispose of it, all in accordance with Laws, employing a qualified and properly licensed transporter, at any landfill, disposal or recycling facility licensed under applicable Laws, including without limitation, hazardous materials laws. The Contractor shall make all arrangements and give and obtain all notices, communications, documentation, permits, certificates, and approvals necessary for said disposal from the owner or officials in charge of such landfills, disposal or recycling facilities. The Contractor shall bear all fees and costs in connection with such classification, removal, transportation, disposal and storage. The Contractor shall not permit any storage of debris or waste except in accordance with Laws.
   C. The Contractor shall not permit any open fire on the Site.
   D. Chemical Waste: Chemical waste shall be stored in corrosion resistant containers, removed from the Site, and disposed of not less frequently than monthly unless more frequently required by Laws, including without limitation hazardous materials laws, or by the Supplementary General Conditions or Specifications. Disposal of chemical waste shall be performed in accordance with requirements of the U.S. Environmental Protection Agency (EPA) and the Massachusetts Department of Environmental Protection (DEP). Fueling and lubricating of vehicles and equipment shall be conducted in a manner that affords the maximum protection against spills and evaporation. Lubricants shall be disposed of in accordance with procedures meeting all applicable Laws. The Contractor shall immediately notify the Designer of any hazardous materials release large enough to require reporting under applicable Laws. The Contractor shall be responsible for immediately cleaning up in accordance with Laws any oil or hazardous materials releases resulting from its operations. Any costs incurred in cleaning up any such releases shall be borne by the Contractor.
16. Weather Protection (M.G.L. c. 149, s. 44G and 44F (1)).

The Contractor shall furnish and install "weather protection," which means temporary protection of that Work adversely affected by moisture, wind and cold. Weather protection shall be achieved by covering, enclosing and/or heating working areas such that a minimum temperature of 40 degrees Fahrenheit is maintained at the working surface during the months of November through March in order to permit construction to be carried on during such period in accordance with the Progress Schedule. After the building or portion thereof is completely enclosed by either permanent construction or substantial temporary materials having a resistance comparable to the specified permanent construction, the Contractor shall provide heat therein of not less than 55 degrees F. nor more than 75 degrees F. The foregoing provisions do not supersede any specific requirements for methods of construction, curing of materials and the like. Such weather protection shall be consistent with the Progress Schedule, shall permit the continuous progress of the Work necessary to maintain an orderly and efficient sequence of construction operations, shall include one thermometer for every 2,000 square feet of floor space or fraction thereof, shall be subject to the Approval of the Awarding Authority, and shall meet such additional requirements as may be specified by the Supplementary General Conditions or the Specifications.

17. Furnishings and Equipment.

When, in the opinion of the Designer, any portion of the Work is in a reasonable condition to receive fittings, furniture, or other property of the Owner not covered by this Contract, the Contractor shall allow the Awarding Authority to bring such fittings, furniture, and/or other property into such portions of the Work and shall provide all reasonable facilities and protection thereof. No such occupancy shall be construed as interfering with the provisions relating to time of completion, or as constituting an acceptance of the whole or any part of the Work. Any furniture or fittings so installed shall be placed in the Work at the risk of the Awarding Authority except that the Contractor shall be liable for damages or losses to such furniture or fittings to the extent such damages or losses arise in whole or in part from the negligence or intentional misconduct of Contractor, Subcontractors, their agents and/or employees, or anyone for whose acts Contractor is responsible.

18. Form for Sub-contract.

The Contractor when subcontracting with sub-bidders filed pursuant to M.G.L. c. 149, s.44F shall use the form for sub-Contract in M.G.L. c. 149, s. 44F(4) (c). The Contractor shall not interpret paragraph 3 of the statutory form of Subcontract to require such sub-bidders to provide insurance with limits higher than the limits that are required by Article XIV of these General Conditions of the Contract assuming that the term “Contractor” refers to the sub-bidder and that the term “Contract Price” refers to the sub-bidder’s price stated in paragraph 1 of the statutory form of Subcontract.

19. Sales Tax Exemption and Other Taxes.

All building materials and supplies as well as the rental charges for construction vehicles, equipment and machinery rented exclusively for use on the Site, or while being used exclusively for the transportation of materials for the Work are entitled to an exemption from sales taxes under M.G.L. c. 64H, s. 6(f). The Contractor shall take all action required to obtain the benefit of such sales tax exemption. The Contractor shall bear the cost of any sales taxes that Contractor incurs in connection with the Work and the Awarding Authority shall not reimburse the Contractor for any such taxes. The exemption number assigned to the Contractor as an exempt purchaser shall be provided to the Contractor by the Awarding Authority upon the written request of the Contractor.

20. Final Cleaning.

At the completion of the Work, the Contractor shall remove all waste materials, rubbish, tools, equipment, machinery and surplus materials, and professionally clean all sight-exposed surfaces so that the Work is clean and ready for occupancy. Subsequent to installation of furniture, telephones, and equipment, the Contractor shall provide such additional cleaning as may be necessary to remove any soil resulting from installation of such furniture, telephones and equipment.
Subject to such additional requirements as may be provided in the Supplementary General Conditions or Specifications, the Contractor shall compile 3 complete and identical binders of operating and maintenance data for the entire Work. The Contractor shall submit record maintenance data to the Designer for approval, shall submit approved maintenance data to the Awarding Authority, and shall instruct and train the Awarding Authority's personnel in proper inspection and maintenance procedures.

22. Closeout Procedures.
The Contractor shall take all actions and submit all items required for the issuance of the Certificate of Use and Occupancy and Final Acceptance as specified in the Contract Documents.

23. Risk of Loss.
The Contractor shall bear all risk of loss to the Work during the term of the Contract except for any portion of the Work as to which the Certificate of Occupancy has been issued pursuant to Article VI of these General Conditions of the Contract. Nothing herein shall limit the Contractor's responsibilities under Article IX or XV of these General Conditions of the Contract.

ARTICLE V: MATERIALS AND EQUIPMENT

1. Materials Generally.
   A. Unless otherwise specifically provided in the Contract Documents, the Contractor shall provide and pay for materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.
   B. Materials and equipment to be installed as part of the Work (both or either of which are hereinafter referred to as "materials") shall be new, unused, of recent manufacture, assembled, and used in accordance with the best construction practices. The Contractor shall give a preference in the purchase of supplies and materials, other considerations being equal, in favor, first, of supplies and materials manufactured and sold within the Commonwealth, and, second, of supplies and materials manufactured and sold elsewhere within the United States.

2. Shop Drawings, Product Data, and Samples.
   A. The Contractor shall furnish to the Designer all samples of the materials to be used in the execution of the Work as required by the Contract Documents. The Contractor shall furnish to the Designer in a timely manner all coordination Drawings, shop details, Shop Drawings, and setting diagrams which may be necessary for acquiring and installing materials. These shall be reviewed as required by the Designer. A minimum of four (4) copies shall be submitted for final approval, one of which shall be returned to the Contractor, one to the OPM, one to the Awarding Authority and one filed with the Designer. The inspection and approval by the Designer of Shop Drawings, etc. shall be general and shall in no way relieve the Contractor from responsibility for proper fitting, coordinating, construction, and construction sequencing. The Contractor shall furnish to the Designer such information and vouchers relative to the Work, the materials therefore, and the persons employed thereon, as the Designer shall from time to time request.
   B. Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. The purpose of their submission is to demonstrate for those portions of the Work for
which submittals are required the way the Contractor proposes to conform to the information given
and the design concept expressed in the Contract Documents.

C. The Contractor shall review, approve, and submit to the Designer, Shop Drawings,
Product Data, Samples and similar submittals required by the Contract Documents with
reasonable promptness and in such sequence as to cause no delay in the Work or in the activities
of the Awarding Authority or of separate contractors. Submittals made by the Contractor which
are not required by the Contract Documents or which do not comply with the Contract
Documents may be returned without action. The Contractor's attention is directed to the
provisions of Section 4 of this Article V and to the Specifications.

D. The Contractor shall prepare and keep current for the Designer's approval a schedule of
submittals which is coordinated with the Progress Schedule and allows the Designer reasonable
time to review submittals.

E. The Contractor shall perform no portion of the Work requiring submittal and review of
Shop Drawings, Product Data, Samples or similar submittals until the respective submittal has
been approved by the Designer. Such Work shall be in accordance with Approved submittals.

F. By submitting Shop Drawings, Product Data, Samples and similar submittals, the
Contractor represents that the Contractor has determined and verified materials, field
measurements, and field construction criteria related thereto and has checked and coordinated the
information contained within such submittals with the requirements of the Work and of the
Contract Documents.

G. The Contractor shall not be relieved of responsibility for deviations from requirements of the
Contract Documents by the Designer's approval of Shop Drawings, Product Data, Samples or similar
submittals unless the Contractor has specifically informed the Designer in writing of such deviation at
the time of submittal and the Awarding Authority has given explicit written approval to the specific
deviation. The Contractor shall not be relieved of responsibility for errors or omissions in Shop
Drawings, Product Data, Samples, or similar submittals by the Designer's or the Awarding Authority's
actions.

H. The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings,
Product Data, Samples or similar submittals, to revisions other than those requested by the
Designer on previous submittals.

I. Informational submittals upon which the Designer is not expected to take responsive action
may be so identified in the Contract Documents.

J. When professional certification of performance criteria of materials, systems or equipment
is required by the Contract Documents, such certification must be stamped by a registered
Massachusetts professional in the discipline required. The Designer shall be entitled to rely upon
the accuracy and completeness of such calculations and certifications.

K. Materials furnished or used or employed under the Contract must be equal in quality to
the samples furnished and be satisfactory to the Designer.

3. Tests.

A. Any material to be used in the Work may be tested or inspected at any time by the Designer
with the prior Approval of the Awarding Authority and may be rejected if it fails to comply with
specified tests. The Awarding Authority shall pay for all testing of specified materials. If the
Contractor requests permission to use a material that was not specified, then the Contractor shall
pay for such testing. The cost of testing of materials that fail the testing criteria shall be borne by
the Contractor.

B. The Contractor shall notify the Designer and the Awarding Authority of the proposed
sources of materials in time to permit all required testing and inspection before the material is
needed for incorporation into the Work. The Contractor shall have no claim arising from
Contractor's failure to designate the proposed source or to order the material in time for adequate
testing and inspection. Necessary arrangements shall be made to permit the Designer to make
factory, shop or other inspection of materials or equipment ordered for the Work in process of
manufacture or fabrication, or in storage elsewhere than the Site.

4. "Or Equal" Submissions.

A. Where products or materials are prescribed by manufacturer name, trade name, or catalog
reference, the words "or Approved equal" shall be understood to follow. An item shall be
considered equal to the item so named or described if in the opinion of the Awarding Authority
(a) it is at least equal in quality, durability, appearance, strength and design, (b) it performs at least equally the function imposed in the general design for the Work, and (c) it conforms substantially, even with deviations, to the detailed requirements for the items as indicated by the Specifications. Any structural or mechanical changes made necessary to accommodate products or materials substituted as an "or equal" shall be at the expense of the Contractor. "Approved equal" shall mean an item with respect to which the Awarding Authority shall have issued a written statement to the Contractor to the effect that the item is, in the Awarding Authority's opinion, equal within the meaning of this paragraph to that prescribed in the Contract Documents.

B. The Contractor shall be responsible for providing the Designer with any information and test results that the Designer reasonably requires to determine whether or not a material is equal to a material named or described in the Contract Documents.

C. Whenever the Contractor submits a material for approval as a substitute for a material named or described in the Contract Documents, such submission shall be made at least sixty (60) days prior to the date the materials will be used in the Work. In no event shall the Contractor maintain a claim for delays based upon the Designer's review of such substituted materials if the Contractor has failed to comply with the sixty (60) day submission requirement.

D. The Contractor shall save the written calculations, pricing information, and other data that the Contractor used to calculate the General Bid (the "Bid Pricing Materials") for at least six years after the Awarding Authority makes Final Payment under this Contract. No increase in the Contract Price shall be allowed for any material later found to have been improperly rejected as not being equal unless the Contractor can show persuasive evidence that the rejection increased the Contractor's costs over those provided for in the Bid Pricing Materials, net of all savings the Contractor obtained by substituting other "or-equal" items. Without limiting the foregoing, if the Awarding Authority rejects a proposed substitution on the basis that the item is not equal and if the appropriate authority finds that the proposed substitution was equal, the Contract Price may be increased only to the extent that (1) the item that the Contract Documents specifically require costs more than the item later approved as equal, (2) the Bid Pricing Materials prove that the Contractor calculated its bid using the cost of the item later found as equal, (3) any increase is reduced by any cost the Contractor would have incurred for structural or mechanical changes necessary to accommodate the substitute item, (4) the Contractor shall not be entitled to any adjustment for overhead and profit, (5) any increase must exceed the aggregate amount that the Contractor saved using products or materials that the Awarding Authority approved as equal under this Contract. In calculating the Contractor's aggregate saving under the preceding clause (5), the Contractor shall provide the Awarding Authority with the Bid Pricing Materials and a calculation based on the Bid Pricing Materials that compare the price (stated in the Bid Pricing Materials) of each item replaced with an "or equal" item, with the cost of the approved equal item, specifically describes all costs that Contractor would have incurred making structural or mechanical changes to include within the Work the item later found to have been improperly rejected and copies of all plans, specifications, shop Drawings, and other design documents that the Awarding Authority deems necessary or desirable.

5. Delivery and Storage of Materials; Inspection.

   A. Materials and equipment shall be progressively delivered to the Site so that there will be neither delay in the progress of the Work nor an undue accumulation of materials that are not to be used within a reasonable time and so that their security, quality, and fitness of the materials for the Work is preserved.

   B. Materials stored off Site shall be insured and stored at the expense of the Contractor so as to guarantee the preservation of their security, quality and fitness for the Work. Without derogating from the Contractor's responsibilities in the previous sentence, when necessary to avoid deterioration or damage, material (on or off Site) shall be placed on wooden platforms or other hard clean surfaces and not on the ground and shall be properly protected.

   C. Expenses for inspection of material by the Designer and/or the Awarding Authority personnel including travel, quarters, and subsistence shall be borne by the Contractor requesting the inspection of material stored outside the Commonwealth of Massachusetts as part of the Contract Price. The policy of the Awarding Authority precludes the payment for material stored outside the boundaries of Massachusetts except in extremely limited circumstances with the
express written consent of the Awarding Authority. If the Contractor requests an inspection of material stored outside the Commonwealth of Massachusetts, the Awarding Authority will initially pay for all expenses of inspecting the material incurred by the Designer and/or Awarding Authority’s personnel, including travel, quarters, and subsistence. The Awarding Authority will then give Contractor an invoice for those costs and the Contractor shall submit a Change Order for the amount of those expenses.

D. Stored materials either at the Site or at some other location agreed upon in writing shall be so located as to facilitate prompt inspection and even though approved before storage, may again be inspected prior to their use in the Work.

E. All storage sites shall be restored to their original condition by the Contractor at the Contractor’s expense.

F. The Contractor shall take charge of and be liable for any loss of or injury to the materials for his use delivered to or in the vicinity of the place where the Work is being done, whether furnished by the Owner or otherwise; the Contractor shall notify the Designer as soon as any such materials are so delivered, allow them to be examined by the Designer, and furnish workers to assist therewith.

6. Defective, Damaged, or Deteriorated Materials and Rejection Thereof.
The Designer or Awarding Authority may reject materials if either reasonably determines that such materials do not conform to the Contract Documents in any manner, including but not limited to materials that have become damaged or deteriorated from improper storage whether or not such materials have previously been accepted. The Contractor at its own expense shall remove rejected materials from the Work. No rejected material, the defects of which have been subsequently corrected, shall be used except with the written permission of the Designer. Should the Contractor fail to remove rejected material within a reasonable time, the Designer and/or Awarding Authority may, in addition to any other available remedies, remove and/or replace the rejected material, and to deduct the cost of such removal and/or replacement from any moneys due or to become due the Contractor. No extra time shall be allowed for completion of Work by reason of such rejection. The inspection of the Work shall not relieve the Contractor of any of its obligations herein prescribed, and any defective Work shall be corrected. Work not conforming to the Contract Documents may be rejected notwithstanding that such Work and materials have been previously overlooked or misjudged by the Designer and accepted for payment. If the Work or any part thereof shall be found defective at any time before Final Acceptance of the whole Work, the Contractor shall forthwith make good such defect in a manner satisfactory to the Designer. Nothing in the Contract shall be construed as vesting in the Contractor any property rights in the materials used after they have been attached or affixed to the Work or the Site; but all such materials shall upon being so attached or affixed become a property of the Owner.

ARTICLE VI: PROSECUTION AND PROGRESS

1. Beginning, Progress Schedule, and Completion of Work.
   A. The Contract time shall commence upon the date specified in the Notice to Proceed. The Contractor shall begin Work at the Site within ten days of said date unless otherwise ordered in writing by the Awarding Authority.
   B. Within ten days after the Work has commenced, the Contractor shall submit to the Designer and to the Awarding Authority, a progress schedule for the term of the Contract as required by the Contract Documents, showing in detail his proposed progress for the construction of the various parts of the Work and the proposed times for receiving required materials. Upon Approval by the Awarding Authority, said schedule shall constitute the Progress Schedule. The Contractor shall at the end of each month, or more often if required, furnish to the Designer and to the Awarding Authority a schedule meeting the requirements of the Specifications showing the actual progress of the parts of the Work in comparison with the Progress Schedule.
   C. Time is of the essence of this Contract. The Work shall be completed within the time specified in Article 2 of the Owner - Contractor Agreement. Should the Contractor require additional time to complete the Work, the Contractor shall document the reasons therefore and submit a written request for an extension of time within 20 days of the occurrence of the event
alleged to be the cause of the delay, as provided in this Article and in Article VII of these
General Conditions of the Contract. Failure to submit said written request within the time
required by the preceding sentence shall preclude the Contractor from subsequently claiming any
time extension due to said delay.

D. If, in the opinion of the Designer or the Awarding Authority, the Contractor fails to comply
with the Progress Schedule, the Awarding Authority may give the Contractor a notice specifying
the time limits and performance standards that the Contractor is failing to meet whereupon (1)
the Contractor shall, if the notice requires, discontinue all or any portion of the Work (which
discontinuance shall neither terminate the Contract nor give the Contractor any claim for an
increase in the Contract Price, damages, or an extension of any completion deadlines); or (2) at
Contractor's sole cost increase the work force, equipment and plant, or any of them, employed on
the whole or any part of the Work, to the extent required by such notice, and employ the same
from day to day until the completion of the Work or such part thereof, or until the failure
regarding the rate of progress, in the opinion of the Designer or the Awarding Authority, shall
have been sufficiently corrected.

E. If, in the opinion of the Awarding Authority, the Contractor fails to comply with the
Progress Schedule, and whether or not the Awarding Authority shall have given the Contractor a
notice described in D above, the Awarding Authority may (but shall not be required to) give the
Contractor notice of such failure and five days to cure the same. Unless the Contractor shall
within that five days take all necessary steps to do so (including, if the Awarding Authority
requires, increasing its forces, equipment and plant) and continue to do so until in the opinion of
the Awarding Authority the failure is corrected, the Awarding Authority may at the Contractor's
expense and without terminating this Contract take exclusive or joint possession of all or a
portion of the Site and employ and direct the labors of existing or such additional forces,
equipment and plant as may in the Designer's or Awarding Authority's opinion be necessary to
insure the completion of the Work or such part thereof within the time specified in the Contract
Documents or at the earliest possible date thereafter. The Awarding Authority may exercise its
rights under this Article at any time and from time to time without waiving any of its rights under
this Contract, at law or in equity, including, without limitation, the right to deem this Contract
terminated or to order the Contractor to discontinue the Work at any time thereafter. The
Contractor shall continue to perform the remaining Work under this Contract even if the
Awarding Authority elects to have another contractor perform a portion of the Work under this
Article.

F. The Awarding Authority shall deduct the cost of any actions the Awarding Authority takes
under this Article from any amount then due or which might have become due to the Contractor
under this Contract had the Contractor performed as required. On demand, the Contractor shall
pay the Awarding Authority any amount by which the cost of completing all or any portion of
the Work exceeds the amount attributable to that Work under the Contract Documents. The
Awarding Authority's sole goal will be to complete the Work that it elects to complete within the
time limits stated in the Contract or at the earliest possible date thereafter. Consequently, the
Awarding Authority shall have no obligation to obtain competitive bids or the lowest cost for
completing the Work or any part thereof. The Awarding Authority's election to complete all or
part of the Work shall not release the Contractor from any liability for failure to complete the
Work as the Contract Documents require, and shall not entitle the Contractor to a claim for an
increase in the Contract Price or an extension of the time for completing the Work. If the cost
that the Awarding Authority incurs in completing all or any portion of the Work is less than the
amount that the Contract Documents attribute to that Work, the Awarding Authority will pay or
credit the difference to the Contractor, less any other costs and expenses that the Awarding
Authority incurs, including the cost of supervision, and the Designer's and attorneys' fees and
costs.

2. Failure to Complete Work on Time - Liquidated Damages.
A. If liquidated damages are specified in the Owner - Contractor Agreement, the Awarding
Authority has determined that its damages as a result of Contractor's failure to complete the
Work to the point at which it qualifies for the issuance of a Certificate of Use and Occupancy
will be difficult or impracticable to ascertain. Accordingly, if the Work is not completed to such
point by the date specified in this Contract, the Contractor shall pay to the Awarding Authority
the sum designated as liquidated damages in the Contract for each and every calendar day that
the Contractor is in default in completing the Work to such point. Such monies shall be paid as liquidated damages, not as a penalty, to cover losses and expenses to the Awarding Authority and/or the User Agency resulting solely from the fact that the Work is not completed on time.

B. Similarly, if the Contract states that by a specified date a designated portion of the Work shall be prosecuted to the point at which it qualifies for the issuance of a Certificate of Agency Use and Occupancy, and if such portion has not been prosecuted to such point by said date, the Contractor shall pay to the Awarding Authority the sum designated in the Contract for each calendar day that the Contractor is in default in completing such portion of the Work to such point. Such monies shall also be paid as liquidated damages not as a penalty, to cover losses and expenses to the Owner resulting solely from the fact that the Work is not completed on time.

C. The Awarding Authority may recover such liquidated damages by deducting the amount thereof from any moneys due or that might become due the Contractor, and if such moneys shall be insufficient to cover the liquidated damages, then the Contractor or the Surety shall pay to the Awarding Authority the amount due.

D. Permitting the Contractor to continue and finish the Work or any portion of it after the time fixed in the Contract for its completion shall not be deemed as a waiver of any of the Owner’s rights hereunder, at law or in equity.

E. Liquidated damages or a portion thereof may be waived by the Awarding Authority if the Contractor submits evidence satisfactory to the Awarding Authority that the delay was caused solely by conditions beyond the control of the Contractor and that the Awarding Authority has not suffered any damages as a result of said delay.

F. Failure by the Awarding Authority to specify a sum as liquidated damages in the Owner-Contractor Agreement, or the insertion of "N/A" or "none" in the space provided therein for liquidated damages, shall not be deemed a waiver of the Awarding Authority’s right to recover actual damages arising from the Contractor’s failure to complete the Work on time.


A. Notwithstanding any provision of this Contract to the contrary, except as otherwise provided by law as set forth in paragraph B below, the Contractor shall not be entitled to increase the Contract Price or to receive damages on account of any hindrances or delays, avoidable or unavoidable; but if any delay is caused in the opinion of the Designer by the Awarding Authority, the Contractor shall be entitled to an extension of time. The length of the extension shall be sufficient in the opinion of the Designer for the Contractor to complete the Work. Although no delay shall increase the Contract Price, the Awarding Authority may require that any change in the date by which the Contractor must complete all or any part of the Work be processed on a standard Change Order form.

B. If a suspension, delay, interruption or failure to act of the Awarding Authority increases the cost of performance to any Subcontractor, that Subcontractor shall have the same rights against the Contractor with respect to such increase as the Contractor shall have against the Awarding Authority by virtue of *(a) and (b) of M.G.L. c. 30, s. 39O set forth above, but nothing in provisions (a) and (b) shall alter any other rights which the Contractor or the subcontractor may have against each other. As used in the statutory language of (a) and (b) below, "contract" means this Contract, "general contractor" means the Contractor and "awarding authority" means the Awarding Authority:

"(a) The awarding authority may order the general contractor in writing to suspend, delay, or interrupt all or any part of the work for such period of time as it may determine to be appropriate for the convenience of the awarding authority; provided, however, that if there is a suspension, delay or interruption for fifteen days or more or due to a failure of the awarding authority to act within the time specified in this contract, the awarding authority shall make an adjustment in the contract price for any increase in the cost of performance of this contract but shall not include any profit to the general contractor on such increase; and provided further, that the awarding authority shall not make any adjustment in the contract price under this provision for any suspension, delay, interruption or failure to act to the extent that such is due to any cause for which this contract provides for an equitable adjustment of the contract price under any other contract provision.

(b) The general contractor must submit the amount of a claim under provision (a) to the awarding authority in writing as soon as practicable after the end of the suspension, delay, interruption or failure to act and, in any event, not later than the date of final payment under this
contract and except for costs due to a suspension order, the awarding authority shall not
approve any costs in the claim incurred more than twenty days before the general contractor
notified the awarding authority in writing of the act or failure to act involved in the claim."

4. Use and Occupancy Prior to Final Acceptance.
   A. The Contractor agrees to the use and occupancy of the Project or any portion thereof before
      Final Acceptance of the Work by the Awarding Authority.
   B. The Awarding Authority will cooperate with the Contractor with respect to the completion
      of the Work by taking such reasonable steps as may be possible to avoid interference with the
      Contractor’s Work provided that they do not interfere with the proper functioning of the facility.
   C. The Contractor shall not be responsible for wear and tear or damage resulting solely from
      temporary occupancy.
   D. Use and occupancy of any part of the Work prior to Final Acceptance by the Awarding
      Authority shall not relieve the Contractor from maintaining the required payment and
      performance bonds and insurance (to the extent that insurance is required to be maintained after
      Substantial Completion) required by this Contract.

   A. When the Work, or portion thereof which the Awarding Authority agrees to accept
      separately has reached the state of Substantial Completion as shown on Approved payment
      request, the Contractor shall develop, with the participation of the Designer and the Awarding
      Authority, the Punch List identifying those items of unfinished or unacceptable Work that remain
      to be performed or corrected under the Contract.
   B. Before the Work shall be deemed completed to the point where it is ready for the issuance
      of a Certificate of Occupancy, the Contractor shall:
         (1) Provide Contractor’s proposed Punch List containing a statement of the reason for each
             item listed thereon;
         (2) Advise the Awarding Authority of proposed changes in insurance in accordance with
             the provisions of this Contract, and provide to the Awarding Authority evidence of
             Contractor’s Completed Operations insurance coverage to the extent required by the
             Contract Documents;
         (3) Execute and submit a notarized warranty on a form provided by the Awarding
             Authority meeting the requirements of Article IX of these General Conditions of the
             Contract, to commence upon the date of the issuance of the Certificate of Occupancy for
             the Work or the designated portion thereof, unless otherwise provided in the Certificate of
             Occupancy;
         (4) Submit signed special warranties and warranties of longer than one year as required by
             the Contract Documents;
         (5) Submit signed maintenance agreements for all portions of the Work specified to receive
             maintenance after the issuance of the Certificate of Occupancy;
         (6) Submit all preliminary record Drawings and documents and framed data in the forms
             required by the Contract Documents to the Awarding Authority and Designer;
         (7) Complete all items required to be completed by the New Bedford Department of
             Inspectional Services and obtain a Certificate of Occupancy from the Department of
             Inspectional Services and similar releases which permit the Awarding Authority full and
             unrestricted use of the areas claimed to be ready for occupancy;
         (8) Deliver specified maintenance stocks of materials, required spare parts, and all special
             tools furnished by manufacturers to persons designated by the Awarding Authority and
             obtain written receipts for same;
         (9) Make final changes of lock cylinders or cores and advise the Awarding Authority of the
             change of project security responsibility;
         (10) Complete start-up of systems and instruct Awarding Authority personnel on proper
              operation and routine maintenance of all systems and equipment and notify the Awarding
              Authority that start-up and instruction have been completed;
         (11) Remove all remaining temporary facilities that are no longer needed, surplus
              materials, and debris; (the Contractor shall not remove construction offices and trailers
              without the prior Approval of the Awarding Authority);
(12) Submit final utility meter readings and similar information and advise the Awarding Authority of the change of responsibility for utility charges and payments upon the issuance of the Certificate of Occupancy;
(13) Complete final clean-up of all Work, restoration of damaged finishes, and replacement of all damaged and broken glass not listed on the Contractor's Punch List.
(14) Complete such other items as may be called for in the Supplementary General Conditions, if any, or in the Specifications.

C. After completing the items specified in subsection A above, the Contractor shall make a written request for the Designer's inspection for a Certificate of Occupancy in accordance with the Contract Documents. The Designer shall review the submittals and the Work and shall either 1) sign a Certificate of Occupancy or 2) notify the Contractor of incomplete and/or incorrect Work that must be completed and corrected prior to the issuance of the Certificate of Occupancy. The Contractor shall notify the Contractor of any additions to the Punch List. In connection with the execution of the Certificate of Occupancy the Designer shall assign dollar values to each item on the Punch List. Failure to include any incomplete or defective item on the Punch List shall not relieve the Contractor of the obligation to complete all Work in accordance with the Contract Documents.

   A. Prerequisites for Final Acceptance. After the issuance of a Certificate of Occupancy for the entire Work, and after the Contractor has completed all of the Work required by this Contract, including Change Orders and Punch List Items, the Contractor shall submit the following completed items to the Awarding Authority together with such additional items as may be specified in the Contract Documents:
   (1) A completed Final Application for Payment showing a final accounting of all changes in the Work, on the form provided by the Awarding Authority.
   (2) Certification and satisfactory evidence that all taxes, fees, and similar obligations have been paid.
   (3) Consent of the Surety to Final Payment executed by applicable bonding companies.
   (4) Certified copy of the Punch List stating that the Contractor has completed or corrected every item listed.
   (5) Evidence of Contractor's continuing Completed Operations Insurance coverage to the extent required by the Contract Documents.
   (6) All final record Drawings and documents in the forms specified by the Contract Documents.
   (7) A notarized certification that all purchases made under the tax exemption certificate were legitimate and entitled to exemption.
   (8) Written certifications from the Department of Inspectional Services and the Designer to the effect that: a) the Work has been inspected for compliance with the Contract Documents and has satisfied the Department of Inspectional Services; b) all equipment and systems included in the Work have been tested in the presence of the Designer and are operational and satisfactory; c) the Work is completed and ready for final inspection.
   (9) Such other items as may be required by the Contract Documents.

B. Re-inspection; Final Acceptance. After notification from the Contractor that all remaining contract exceptions, omissions and incomplete items have been completed (with the exception of Contractor's continuing warranty, insurance, indemnification, and such other obligations as are intended by the terms of the Contract Documents to extend beyond the date of Final Acceptance), the Awarding Authority and the Designer shall inspect the Work to verify the completion of the same. If the Work is satisfactory, the Awarding Authority shall prepare a Certificate of Final Acceptance or shall notify Contractor of items which remain to be completed prior to Final Acceptance.

7. One-Year Warranty Repair List and Inspection.
Approximately 30 days prior to the expiration of the comprehensive one-year warranty period, the Contractor shall schedule an appointment with the Awarding Authority for a re-inspection of the Work with the Awarding Authority, and shall thereafter inspect the work at the time scheduled. Based on this inspection and on prior inspections, the Awarding Authority shall issue a "Warranty Repair List" of items to be corrected by the Contractor. The Contractor shall make
the repairs and/or replacements listed within 30 days of the issuance of the Warranty Repair List unless otherwise agreed by the Awarding Authority in writing.

ARTICLE VII: CHANGES IN THE WORK

   A. No changes in the Work shall be made in absence of a Change Order (sometimes called a "Notice to Proceed") defined in Article I of these General Conditions of the Contract, directing the Contractor to perform such changes. A request for a change in the provisions of this Contract may be submitted to the Awarding Authority by the Contractor, Designer, or OPM. The request must be made in writing and in accordance with the provisions of this Contract, Laws, and the procedures of the Awarding Authority.
   B. A Change Order may be issued by the Awarding Authority for changes in the Work within the scope of the Contract, including but not limited to, changes in: (1) the Plans and Specifications; (2) the method or manner of performance of the Work; (3) the Owner-furnished facilities, equipment, materials, services or Site; (4) the schedule for performance of the Work.
   C. The Contractor shall immediately perform any Change Order work that is ordered by the Awarding Authority.
   D. Whenever a Change Order is issued and said Change Order will cause a change in the Contractor’s cost, the Contractor or the Awarding Authority may request an equitable adjustment in the Contract Price. A request for such an adjustment shall be in writing and shall be submitted by the party making such claim to the other party before commencement of the pertinent work or as soon thereafter as possible.
   E. The Awarding Authority and the Contractor shall negotiate in good faith an agreement on an equitable adjustment in the Contract Price, and/or time if appropriate, before commencement of the pertinent work or as soon thereafter as is possible. In the absence of an agreement for an equitable adjustment, the Awarding Authority shall unilaterally determine the costs attributable to the change and provide the Contractor with a written notice to that effect. The Contractor may appeal the decision of the Awarding Authority within thirty days of receipt of said notice, to the chief executive official of the Awarding Authority or his designee. However, if the Contractor shall exercise its rights to appeal the decision of the Awarding Authority as aforesaid, the Contractor shall be required to engage in the mandatory mediation procedures set forth in Section 5 of this Article VII.
   F. During the negotiation of an equitable adjustment in the Contract Price, the Contractor shall, if requested, provide the Awarding Authority with all cost and pricing data used by him in computing the amount of the equitable adjustment, and the Contractor shall certify that the pricing data used was accurate, complete and current. If the Awarding Authority subsequently determines that the data submitted by the Contractor was incomplete, incorrect or not current, the Awarding Authority may exclude such data from consideration under the equitable adjustment request.

   A. Equitable adjustments in the Contract Price shall be determined according to one of the following methods, or a combination thereof, as determined by the Awarding Authority: (1) fixed price basis, provided that the fixed price shall be inclusive of items (a) through (e) below and shall be computed in accordance with those provisions; (2) estimated lump sum basis to be adjusted in accordance with Contract unit prices or other agreed upon unit prices provided that the unit prices shall be inclusive of all costs related to such equitable adjustment; (3) time and materials basis to be subsequently adjusted on the basis of actual costs (but subject to a predetermined "not to exceed limit") calculated as follows:
      (a) the direct cost (or credit) for labor at the minimum wage rates established for this Contract pursuant to M.G.L. c. 149, s 26-27H, and the direct cost for material and use of equipment;
      (b) plus (or minus) the cost of Workmen’s Compensation Insurance, Liability Insurance, Federal Social Security and Massachusetts Unemployment Compensation, or as an alternative the Contractor may elect to use a flat 30% of the total labor rate computed in accordance with subparagraph (a) above;
(c) plus an allowance equal to 20% of the amount of (a) above for overhead, superintendence and profit; (In the case of Item 1 work, which is the work of the Contractor and all his non-filed Subcontractors, said 20% allowance shall be paid to the Contractor and said non-filed Subcontractors shall agree upon the distribution of this amount as a matter of contract between them. In the case of Item 2 work, which is work performed by a Subcontractor filed pursuant to M.G.L. c. 149, s. 44F, said 20% allowance shall be paid to the filed Subcontractor, it being understood that this provision does not apply to other Subcontractors including sub-Subcontractors listed under paragraph E of the form for sub-Bid);

(d) plus, for work performed by a Subcontractor filed pursuant to M.G.L. c. 149, s. 44F, an additional allowance equal to 7% of the sum of (a) through (c) above as full compensation to the Contractor for processing forms and assuming full responsibility for the faithful performance of such work by said filed Subcontractor(s);

(e) plus (or minus) the actual direct premium cost of payment and performance bonds required of Contractor and filed Subcontractors for this Contract.

B. If the net change is an addition to the Contract Price, it shall include the Contractor’s overhead, superintendence and profit. On any change that involves a net credit, no allowance for overhead, superintendence and profits shall be included. For any change that does not include labor performed or materials installed in the project, there will be no markup for the Contractor’s overhead, superintendence, and profit, even though there may be a net increase in the Contract Price. Charges for small tools known as “tools of the trade” are not to be computed in the amount of any change in the Contract Price.

C. Statutory Contract adjustments made under the provisions of M.G.L. c. 149, s.44F shall not be considered Change Orders and shall not entitle the Contractor to any adjustments for overhead, profit, and superintendence, although the Awarding Authority may require that such Contract adjustments be processed on standard Change Order and equitable adjustment forms.

The Contractor agrees to perform work as directed by the Awarding Authority, and if the OPM determines that certain work that the Contractor believes to be or to warrant a Change Order under this Article does not represent a change in the work, the Contractor shall perform said work. The Contractor shall be deemed to have concurred with the OPM’s determination as aforesaid unless the Contractor shall perform work under protest in compliance with the following sub-paragraphs (1) and (2) below:

(1) If the Contractor claims compensation for a change in the Work that is not deemed by the OPM to be a change or to warrant additional compensation as claimed by the Contractor, the Contractor shall on or before the first working day following the commencement of any such work or the sustaining of any such damage submit to the Designer, OPM and the Awarding Authority a written statement of the nature of such work or claim. The Contractor shall not be entitled to additional compensation for any work performed or damage sustained for which written notice is not given within the time limit specified in the preceding sentence, even though similar in character to work or damage with respect to which notice is timely given.

(2) On or before the second working day after the commencement of such work or the sustaining of such damage, and daily thereafter, the Contractor shall file to the extent possible with the OPM, the Designer, and the Awarding Authority, itemized statements of the details and costs of such work performed or damage sustained.

A. Criminal Penalties: The Contractor’s attention is directed to M.G.L. c. 30, s. 39l, which provides criminal penalties for unauthorized deviations from the Plans and Specifications, and to M.G.L. c. 30, s. 39l. The Contractor’s attention is also directed to M.G.L. 266, s. 67B which provides criminal penalties for false claims by Contractor under this Contract: "Whoever makes or presents to any employee, department, agency or public instrumentality of the commonwealth, or of any political subdivision thereof, any claim upon or against any department, agency, or public instrumentality of the commonwealth, or any political subdivision thereof, knowing such claim to be false, fictitious, or fraudulent, shall be punished by a fine of not more than ten thousand dollars or by imprisonment in the state prison for not more than five years, or in the house of correction for not more than two and one-half years, or both."
B. Differing Site Conditions (M.G.L. c. 30, s. 39N): "If, during the progress of the work, the contractor or the awarding authority discovers that the actual subsurface or latent physical conditions encountered at the Site differ substantially or materially from those shown on the plans or indicated in the contract documents either the contractor or the contracting authority may request an equitable adjustment in the contract price of the contract applying to work affected by the differing Site conditions. A request for such an adjustment shall be in writing and shall be delivered by the party making such claim to the other party as soon as possible after such conditions are discovered. Upon receipt of such a claim from a contractor, or upon its own initiative, the contracting authority shall make an investigation of such physical conditions, and, if they differ substantially or materially from those shown on the plans or indicated in the contract documents or from those ordinarily encountered and generally recognized as inherent in work of the character provided for in the plans and contract documents and are of such a nature as to cause an increase or decrease in the cost of performance of the work or a change in the construction methods required for the performance of the work which results in an increase or decrease in the cost of the work, the contracting authority shall make an equitable adjustment in the contract price and the contract shall be modified in writing accordingly."

C. Timely Decision By Awarding Authority( M.G.L. c. 30, s. 39P): "Every contract subject to section thirty-nine M of this chapter or section forty-four A of chapter one hundred forty-nine which requires the awarding authority, any official, its architect or engineer to make a decision on interpretation of the specifications, approval of equipment, material or any other approval, or progress of the work, shall require that the decision be made promptly and, in any event, no later than thirty days after the written submission for decision; but if such decision requires extended investigation and study, the awarding authority, the official, architect or engineer shall, within thirty days after the receipt of the submission, give the party making the submission written notice of the reasons why the decision cannot be made within the thirty day period and the date by which the decision will be made."

5. Mandatory Mediation. In the case of every dispute where the dollar amount in dispute (or the estimated dollar value of the extension of time in dispute) is $50,000 or more and the Contractor appeals the decision of the chief executive officer of the Awarding Authority or his designee described in Section 4.B above, the Awarding Authority and the Contractor shall engage in good faith in a non-binding mediation process, which process shall be concluded within sixty days from the date that the Contractor files an appeal from said decision as provided in Section 4.B above. In the case of such disputes where the dollar amount in dispute (or the estimated dollar value of the extension of time in dispute) is $500,000 or more, the parties shall, if the mediation process fails, submit the dispute to a third-party Neutral which shall within sixty days render a non-binding advisory opinion. Unless the parties have previously agreed in writing to a process for submitting disputes to mediation, the Awarding Authority shall determine in its reasonable discretion the procedures to be followed and shall give the Contractor notice of the same in writing within 7 days of the date that the Awarding Authority receives notice of the Contractor's appeal from the decision of the chief executive officer of the Awarding Authority or his designee. The mediator shall be selected jointly by the parties to this Contract. The cost of the services of any mediator selected jointly by the parties to this Contract or jointly by mediators selected by the parties to this Contract shall be borne equally by the Contractor and the Awarding Authority.

ARTICLE VIII: PAYMENT PROVISIONS

1. Schedule of Values. Before the first application for payment the Contractor shall submit to the Designer and the Awarding Authority a schedule of values allocated to various portions of the Work in sufficient detail to reflect the various major components of each trade (with filed Subcontractors as well as MBE/WBE noted), including quantities when requested, aggregating the total Contract Price and divided so as to facilitate payments for work under each section of the Specifications. The
schedule shall be prepared in such form and supported by such data to substantiate its accuracy as the Designer or the Awarding Authority may require. Each item in the schedule shall include its proper share of overhead and profit. When Approved by the Designer and the Awarding Authority, it shall constitute the Schedule of Values and shall be used only as a basis for the Contractor's requests for payments.

2. Payment Liabilities of Contractor.
   A. The Contractor shall pay to the Owner all expenses, losses and damages, as determined by the Awarding Authority or the Designer, incurred in consequence of any default, defect, omission or mistake of the Contractor or his employees or Subcontractors or the making good thereof.
   B. If the Work (or a portion thereof) is not completed to Substantial Completion and the Contractor has not satisfied the requirements for the issuance of a Certificate of Occupancy by the date specified in Article 2 of the Owner - Contractor Agreement, the Contractor shall pay to the Owner liquidated damages as provided in Article VI, Section 2 of these General Conditions of the Contract.

3. Retention of Moneys by Awarding Authority.
   A. The Awarding Authority may keep any moneys which would otherwise be payable at any time hereunder, and apply the same, or so much as may be necessary therefore, to (1) the Owner's expenditures for the Contractor's account, (2) to secure the Awarding Authority's remedies against the Contractor for the Contractor's breach of its obligations under this Contract or the breach of any person performing any part of the Work and (3) the payment of any expenses, losses or damages incurred by the Awarding Authority or any agency of the Commonwealth as a result of the failure of the Contractor to perform its obligations hereunder. The Awarding Authority may retain, until all claims are settled, such moneys as the Awarding Authority estimates to be the fair value of the Awarding Authority's claims against the Contractor, and of all claims for labor performed or furnished and for materials used or employed in or in connection with the Work and for the rental of vehicles, appliances and equipment employed and for the employment of substitute contractors and labor in connection with the Work filed in accordance with M.G.L. c. 30, s. 39A and s. 39F. The Awarding Authority may make such settlements and apply thereto any moneys retained under this Contract.
   B. The Contractor shall each week examine all claims so filed, and if the same are in any respect incorrect or do not correctly show the amount due from the Contractor to the claimant for such labor and materials, the Contractor shall forthwith file with the Awarding Authority a separate written statement of all inaccuracies in each claim and of the correct amount due from the Contractor to each claimant therefore, and shall immediately file a statement of all payments thereafter made to such claimants. Each such statement shall be sworn to and contain a detailed breakdown required by M.G.L. c. 30 s. 39F(d) and (e). Unless such statements are so filed by the Contractor the amount shown by the claims filed shall at the option of the Awarding Authority be conclusively deemed to be the accurate amount due from the Contractor therefore in all accounting with the Awarding Authority. If the moneys retained under this Contract are insufficient to pay the sums found by the Awarding Authority to be due under the claims for labor and materials filed as aforesaid, the Awarding Authority may, at its discretion, pay the same, and the Contractor shall repay to the Awarding Authority all sums paid out. The Awarding Authority may also at its discretion use any moneys retained, due to or become due under this Contract, for the purpose of paying for both labor and materials used or employed in the Work for which claims have not been filed with the Awarding Authority.
   C. No moneys retained under the provisions of this Article shall be held to be statutory security for the payment of claims filed in accordance with the provisions of M.G.L. c. 149, s. 29, as amended, for which security is provided by bond.

4. Applications for Payment.
   A. The Contractor shall, once in each month on the day of the month corresponding to the day of the month specified in the Notice to Proceed referenced in Article 2 of the Owner - Contractor Agreement, on forms provided and in the manner prescribed by the Awarding Authority, submit to the Awarding Authority a statement showing the total amount of Work done to the time of such estimate and the value thereof as approved by the OPM and the Designer. It shall be the
sole responsibility of the Contractor to deliver or cause to be delivered to the OPM (the
"designee" as provided by M.G.L. c. 30, s. 39K) said periodic estimate in proper form, approved
as provided above and arithmetically correct. All periodic estimates shall contain such
certifications and other evidence supporting the Contractor's right to payment as the Awarding
Authority may require, including without limitation, lien waivers and other evidence, on such
forms as the Awarding Authority may require, establishing that title to the equipment or
materials is unencumbered and has been transferred to the Owner. If there is no OPM assigned
to the Contract, the Designer shall be the designee. If there is neither an OPM nor a Designer the
designee shall be a person designated by the Awarding Authority at the project field office or
alternatively the home office of the Awarding Authority. The Contractor shall include in such
periodic estimate only such materials as are incorporated in the Work, except as provided in
paragraph C below. The Awarding Authority shall retain five percent of such estimated value as
part security for the completion of the Work and shall pay to the Contractor while carrying on
the Work the balance not retained as aforesaid, subject to the Approval of the Awarding
Authority after deducting therefrom all previous payments and all sums to be kept under the
provisions of this Contract.

B. Each periodic estimate shall constitute the Contractor's representation that (1) the payment
then requested to be disbursed has been incurred by the Contractor on account of the Work and is
justly due to Subcontractors or, to the Contractor in the case of other Work performed by the
Contractor on account thereof, (2) the materials, supplies and equipment for which Application for
Payment is being submitted have been installed or incorporated into the Work or have been stored
at the Site or at such off Site storage locations as the Awarding Authority shall have Approved, (3)
the materials, supplies and equipment are insured in accordance with the provisions of this
Contract, (4) the materials, supplies and equipment are owned by the Owner and are not subject to
any liens or encumbrances, (5) the Work which is the subject of such periodic estimate has been
performed in accordance with the Contract Documents and (6) that all due and payable bills with
respect to the Work have been paid to date or shall be paid from the proceeds of such periodic
estimate. The Contractor's attention is directed to the criminal penalties for false claims referenced
in paragraph A above.

C. The Contractor may include in a periodic estimate the value of materials or equipment
delivered at the Site (or at some location agreed to in writing) only upon delivery to the Awarding
Authority of: (1) an acceptable transfer of title on the form provided by the Awarding Authority;
(2) written certification by the Contractor (or applicable subcontractor) on the form provided by the
Awarding Authority that the Contractor (or the Subcontractor which executed the transfer of title)
is the lawful owner and that the materials or equipment are free from any encumbrances,
accompanied by receipted invoices or other acceptable proof of prior payment for such materials;
(3) a stored materials insurance binder that covers the materials for which payment is requested,
that names the Owner as an insured party should the stored materials be subjected to any casualty,
loss, or theft prior to their inclusion in the Work. The material(s) or equipment must, in the judgment
of the Designer (1) meet the requirements of the Contract, including prior shop drawing, product data,
and sample approval, (2) be ready for use, and (3) be properly stored by the Contractor and be
adequately protected until incorporated into the Work. See also Article V.5.C of these General
Conditions of the Contract concerning the cost of inspections.

D. The Awarding Authority may make changes in any periodic estimate submitted by the
Contractor in accordance with M.G.L. c.30, s. 39K (see below) and the payment due shall be
computed in accordance with the changes so made. The provisions of said section 39K shall
govern payments on which the Awarding Authority has made changes.

E. No certificate for payment and no progress payment shall constitute acceptance of Work that
is not in accordance with the Contract Documents.

F. The Contractor and all Subcontractors furnishing labor on this Contract agree to furnish
certified payroll reports if requested to do so, at no additional expense to the Awarding
Authority. The Awarding Authority may at all reasonable times audit such reports.
5. Periodic Payments (M.G.L. c. 30, s. 39K).
The Awarding Authority shall make payment to the Contractor in accordance with M.G.L. c. 30, s. 39K.

6. Payment of Subcontractors (M.G.L. c. 30, s. 39F).
The Contractor shall make payments to Subcontractors in accordance with M.G.L. c. 30, s. 39F which is quoted in this section below. For the purposes of this Contract, the word "forthwith" appearing in paragraph (1) (a) of c. 30, § 39F shall be deemed to mean "within five (5) business days."

7. Final Payment; Release of Claims by Contractor.
Upon Final Acceptance of the Work the Contractor shall be entitled to payment of the balance of the Contract Price. Final payment shall be as provided in this Article above and in accordance with any process set forth in the Supplementary General Conditions. The Contractor agrees to execute a Certificate of Final Inspection, Release (with Contractor’s own exceptions listed thereon) and Acceptance as a condition precedent to Final Payment. The acceptance by the Contractor of the Final Payment made as aforesaid, or the execution of the Certificate of Final Acceptance by the Contractor, shall constitute a release of the Owner, the Awarding Authority, the Designer, and every member and agent of any of them, from all claims of and liability to the Contractor for anything done or furnished for or relating to the Work, or for any act or neglect of the Owner, the Designer, or of any person relating to or affecting the Work, except the claim against the Owner or the Designer for the remainder, if any there be, of the amounts set forth by the Contractor in the Certificate of Final Inspection, Release and Acceptance. Final Acceptance shall not relieve Contractor of the requirements of Articles IX, XIV, and XV of these General Conditions of the Contract, or of other provisions of this Contract, to the extent that the same are intended to survive Final Acceptance.

ARTICLE IX. GUARANTEES AND WARRANTIES

1. General Warranty.
If at any time during the period of one (1) year from the date of the issuance of the Certificate of Occupancy by the Awarding Authority or the date of Final Acceptance, whichever occurs first, any part of such Work shall in the reasonable opinion of the Awarding Authority be defective or require replacing or repairing, or damage to other property of the Owner is caused by any defect in the Work, the Awarding Authority shall notify the Contractor in writing to make the required repairs or replacements and repair such damage. If the Contractor shall neglect to commence such repairs or replacements to the satisfaction to the Awarding Authority within ten (10) days from the date of the giving of such notice, the Awarding Authority may employ other persons to make the same. The Contractor agrees, upon demand, to pay to the Awarding Authority all amounts which it expends for such repairs, replacements, and/or damages. During this one-year guarantee period any corrective work shall be performed under all the applicable terms of this Contract, and if Change Orders are issued in accordance with the terms of this Contract, the Contractor shall be entitled to compensation for special insurance, as required. This one-year guarantee shall not limit any express guaranty or warranty provided elsewhere in the Contract.

2. Special Guarantees and Warranties.
A. The Contractor's obligation to correct Work as set forth in paragraph 1 above is in addition to, and not in substitution of, such guarantees or warranties as may be required in the various sections of the Specifications.
B. Guarantees and warranties required in the various sections of the Specifications must be delivered to the Owner before final payment to the Contractor may be made, or in the case of guarantees and warranties which originate with a subcontractor's section of the Work, before final payment for the amount of that subtrade or for the phase of Work to which the guarantee or warranty relates.
C. The failure to deliver a required guarantee or warranty shall constitute a failure to fully complete the Work in accordance with the Contract Documents and a breach of the Owner-Contractor General Contract.

ARTICLE X: MISCELLANEOUS LEGAL REQUIREMENTS.

1. Contractor to be Informed.
The Contractor shall inform itself of all existing and future Laws in any manner affecting those engaged or employed in the Work, or the materials used or employed in the Work, or in any way affecting the conduct of the Work, and of all orders and decrees of bodies or tribunals having any applicable jurisdiction or authority over the Work.

2. Compliance with all Laws.
The Contractor shall cause all persons employed in the performance of the Work to comply with all existing and future Laws, including but not limited to those set forth below:

A. Corporate Disclosures. The Contractor, if a foreign corporation, shall comply with M.G.L. c. 181, s.3 and s. 5, and M.G.L. c. 30, s.39L.

A ½. Employment Eligibility Verification
The Contractor shall comply with Federal Department of Homeland Security Requirements in hiring any and all “Employees” to be employed in the Project who are required to be listed in the certified payroll reports for the Project. Such compliance shall include, but not be limited to the faithful completion of the Federal Department of Homeland Security Form I-9 process by the Contractor for each of its Employees. The Contractor shall execute a Certificate of Compliance with Employment Eligibility Verification Requirements (I-9 Certificate) with the execution of its Contract. The Contractor shall require each of its subcontractors and sub subcontractors to execute and provide to Contractor an I-9 Certificate with the execution of each subcontract, and Contractor shall immediately provide a copy to the Awarding Authority. Contractor acknowledges that the weekly workforce report form contained in the contract documents, which must be submitted by the Contractor on a weekly basis, contains a statement that the Form I-9 process was faithfully completed for all employees listed on the weekly certified payroll report. By the signature of the Contractor’s Authorized Signatory on the I-9 Certificate, the Contractor certifies under the pains and penalties of perjury that the Contractor shall not knowingly use undocumented workers in connection with the performance of this contract; that pursuant to federal requirements, the Contractor shall verify the immigration status of all workers assigned to the contract without engaging in unlawful discrimination; and that the Contractor shall not knowingly or recklessly alter, falsify, or accept altered or falsified documents from any such worker. The Contractor understands and agrees that breach of any of these terms during the period of a contract may be regarded as a material breach, subjecting the Contractor to sanctions, including but not limited to monetary penalties, withholding of payments, contract suspension or termination.

B. Veterans Preference. In the employment of mechanics and apprentices, teamsters, chauffeurs, and laborers in the performance of Work in the Commonwealth, preference shall first be given to citizens of the Commonwealth who have been residents of the Commonwealth for at least six months at the commencement of their employment and who are veterans as defined M.G.L. c.4, s.7 (34), and who are qualified to perform the work to which the employment relates; and secondly, to citizens of the Commonwealth generally who have been residents of the Commonwealth for at least six months at the commencement of their employment, and if they cannot be obtained in sufficient numbers, then to citizens of the United States.

C. Prevailing Wages. The Contractor shall comply with M.G.L. c. 149, s. 26-27H. The prevailing wage schedule is found in Exhibit A to the Instructions to Bidders, listing the prevailing minimum wage rates that must be paid to all workers employed in the Work. The Awarding Authority is not responsible for any errors, omissions, or misprints in the said schedule. Such Schedule shall continue to be the minimum rate wages payable to workers employed in the Work throughout the term of this Contract, subject to the exceptions provided in M.G.L c.149, s. 26-27H. The Contractor shall not have any claim for extra compensation from
the Owner if the actual wages paid to workers employed in the Work exceeds the rates listed on the schedule or as otherwise provided by law. The Contractor shall cause a copy of said Schedule to be kept in a conspicuous place at the Site during the term of the Contract. If reserve police officers are employed by the Contractor, they shall be paid the prevailing wage of regular police officers. (See M.G.L c.149, s.34B).

**D. Payroll Records and Statement of Compliance.** The Contractor shall comply and shall cause its Subcontractors to comply with Massachusetts General Law c. 149, s. 27B, which requires that a true and accurate record be kept of all persons employed on the a project for which the prevailing wage rates have been provided. The Contractor and all Subcontractors shall keep these records and preserve them for a period of three years from the date of completion of the Contract. Such records shall be open to inspection by any authorized representative of the Owner at any reasonable time, and as often as may be necessary. The Contractor shall, and shall cause its subcontractors to, submit weekly copies of their weekly payroll records to the Awarding Authority. In addition, the Contractor and each Subcontractor shall furnish to the Awarding Authority within fifteen days after completion of its portion of the Work a signed Statement of Compliance in the form required by c. 149, § 27B.

**E. Vehicle operators.** If the Director of the Department of Labor and Workforce Development has established a Schedule of wage rates to be paid to the operators of trucks, vehicles or equipment for the Work, the Contractor shall be obligated to pay such operators at least the minimum wage rate contained on such Schedule. (See M.G.L. c.149, s.26-27H).

**F. Eight Hour Day.** The Contractor shall comply with M.G.L. c. 149, s. 30, 34 and 34A which provide that no laborer, workman, mechanic, foreman or inspector working within the Commonwealth in the employ of the Contractor, subcontractor or other person doing or contracting to do the whole or part of the Work shall be required or permitted to work more than eight hours in any one day or more than forty-eight hours in any one week, or more than six days in any one week, except in cases of extraordinary emergency.

**G. Timely Payment of Wages.** The Contractor shall comply with, and shall cause its Subcontractors to comply with M.G.L. c. 149, s. 148 which requires the weekly or biweekly payment of employees within six days of the end of the pay period during which wages were earned if employed for five or six days of a calendar week, and within other periods of time under certain circumstances as set forth therein.

**H. Lodging, etc.** The Contractor shall comply with, and shall cause its Subcontractors to comply with, M.G.L. c. 149, s. 25 which provides that every employee under this Contract shall lodge, board and trade where and with whom he elects, and neither the Contractor nor his agents or employees shall, either directly or indirectly, require as a condition of the employment of any person that the employee shall lodge, board or trade at a particular place or with a particular person.

**I. Truck Rates.** The use by the Contractor of trucks or other motor vehicles hired from either common or contract motor carriers in the course of performance of this Contract is subject to such minimum rates and charges, and rules and regulations as may from time to time be promulgated by the Department of Public Utilities of the Commonwealth of Massachusetts or other agency of the State of Federal government which may be authorized by law to set rates or otherwise regulate the use of such vehicles. The Contractor expressly assumes the risk of any additional expense that may arise by reason of any change in such minimum rates and charges, and rules and regulations, and shall be entitled to no additional compensation or reimbursement by reason thereof.

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**ARTICLE XI: CONTRACTOR'S ACCOUNTING METHOD REQUIREMENTS (M.G.L. c. 30, s. 39R)**

1. **Definitions.**
The words defined herein shall have the meaning stated below whenever they appear in this Article XI:

-- "Contractor" means any person, corporation, partnership, joint venture, sole proprietorship, or other entity awarded a Contract pursuant to M.G.L. c. 30, s. 39M, M.G.L. c. 149, s. 44A-J, and M.G.L. c. 7, s. 30B-P.

-- "Contract" means any Contract awarded or executed pursuant to M.G.L. c. 30, s. 39M, M.G.L. c. 149, s. 44A-J, and M.G.L. c. 7, s. 30B-P, which is for an amount or estimated amount greater than one hundred thousand dollars.

-- "Independent Certified Public Account" means a person duly registered in good standing and entitled to practice as a certified public accountant under the laws of the place of his/her residence or principal office and who is in fact independent. In determining whether an accountant is independent with respect to a particular person, appropriate consideration should be given to all relationships between the accountant and that person or any affiliate thereof. Determination of an accountant's independence shall not be confined to the relationships existing in connection with the filing of reports with the awarding authority.

-- "Records" means books of original entry, accounts, checks, bank statements and all other banking documents, correspondence, memoranda, invoices, computer printouts, tapes, discs, papers and other documents or transcribed information of any type, whether expressed in ordinary or machine language.

-- "Audit", when used in regard to financial statements, means an examination of records by an independent certified public accountant in accordance with generally accepted accounting principles and auditing standards for the purpose of expressing a certified opinion thereon, or, in the alternative, a qualified opinion or a declination to express an opinion for stated reasons.

or other person or persons primarily responsible for the financial and operational policies and practices of the Contractor.

Accounting terms, unless otherwise defined herein, shall have a meaning in accordance with generally accepted accounting principles and auditing standards.

2. Record Keeping.

A. The Contractor shall make, and keep for at least six years after final payment, books, records, and accounts that in reasonable detail accurately and fairly reflect the transactions and dispositions of the Contractor.

B. Until the expiration of six years after final payment, the Inspector General, DCAM, and the Awarding Authority shall have the right to examine any books, documents, papers or records of the Contractor and Subcontractors that directly pertain to, and involve transactions relating to the Contractor and Subcontractors.

C. The Contractor shall describe any change in the method of maintaining records or recording transactions which materially affects any statements filed with the Awarding Authority including the date of the change and reasons therefore, and shall accompany said description with a letter from the Contractor's independent certified public accountant approving or otherwise commenting on the changes.

D. The Contractor represents that it has, prior to the execution of the Contract, filed a statement of management on internal accounting controls as set forth in Section 3 below.

E. The Contractor represents that it has, prior to the execution of the Contract, filed an audited financial statement for the most recent completed fiscal year as set forth in section 4 below and will continue to file such statement annually during the term of the Contract.


A. The Contractor shall file with the Awarding Authority a statement of management as to whether the system of internal accounting controls of the Contractor and its subsidiaries reasonably assures that:

(1) transactions are executed in accordance with management’s general and specific authorization;
(2) transactions are recorded as necessary to:
a) to permit preparation of financial
statements in conformity with generally accepted accounting principles, and
b) to maintain accountability for assets;
(3) access to assets is permitted only in accordance with management’s general or specific
authorization; and
(4) the recorded accountability for assets is compared with the existing assets at
reasonable intervals and appropriate action was taken with respect to any difference.

B. The Contractor shall file with the Awarding Authority a statement prepared and signed by an
independent certified public accountant, stating that the accountant has examined the statement of
management on internal accounting controls, and expressing an opinion as to:
(1) whether the representations of management in response to subparagraph 3 above
are consistent with the results of management’s evaluation of the system of internal
accounting controls; and
(2) whether such representations of management are reasonable with respect to
transactions and assets in amounts which would be material when measured in relation to the
applicant’s financial statement.

A. Every Contractor awarded a contract shall annually file with DCAM during the term of the
Contract a financial statement prepared by an independent certified public accountant on the basis of an
audit by such accountant. The final statement filed shall include the date of final payment. All
statements shall be accompanied by an accountant’s report.
B. The office of Inspector General and DCAM shall have the right to enforce the
provisions of this Article. A Contractor’s failure to satisfy any of the requirements of this section
may be grounds for debarment pursuant to M.G.L. c. 149, s. 44C.

The Contractor shall save the written calculations, pricing information, and other data that the
Contractor used to calculate the bid that induced the Awarding Authority to enter into this
Contract (the “Bid Pricing Materials”) for at least six years after the Awarding Authority makes
final payment under this Contract.

ARTICLE XII: INSURANCE REQUIREMENTS

1. Insurance Generally.
A. The Contractor shall take out and maintain the insurance coverages listed in this Article with
respect to the operations as well as the completed operations of this Contract. This insurance shall
be provided at the Contractor’s expense and shall be in full force and effect for the full term of the
Contract or for such longer period as this Article requires.
B. All policies shall be written on an occurrence basis and be issued by companies authorized to
write that type of insurance under the laws of the Commonwealth and rated in Best’s Insurance
Guide (or any successor thereto or replacement thereof) as having a general policy holder rating of
"A" or better and a financial rating of at least "9" or otherwise acceptable to the Awarding
Authority.
C. Contractor shall submit three originals of each certificate of insurance, acceptable to the
Awarding Authority, simultaneously with the execution of this Contract. Certificates shall show
the Awarding Authority and the Owner as an additional insured as to all policies of liability
insurance and shall state that Contractor has paid all premiums and that none of the coverages shall
be cancelled, terminated, or materially modified unless and until 30 days prior notice is given in
writing to the Awarding Authority. Contractor shall submit updated certificates prior to the
expiration of any of the policies referenced in the certificates so that the Awarding Authority shall
at all times possess certificates indicating current coverage. Certificates shall indicate that the
contractual liability coverage, and Contractor’s Protective Liability coverage is in force.
Certificates shall include specific acknowledgment that the following coverages are included in the
policies:
-Contractual liability
-Contractor’s protective
-Owner as additional insured by form CG2010 (11/85 ed.) to the general liability
-Owner as additional insured to automobile liability, umbrella liability, and pollution liability
-General Liability is endorsed with CG2404, Waiver of Subrogation, in favor of the Owner
-Builder’s Risk or Installation Floater includes Owner, Contractor and subcontractors of any tier as named insureds. Builder’s Risk or Installation floater is on an All Risk basis including earthquake and flood.

D. The Contractor shall file one certified copy of all policies with the Awarding Authority within sixty days after Contract award. If the Awarding Authority or the Owner is damaged by the Contractor’s failure to maintain such insurance and to comply with the terms of this Article, then the Contractor shall be responsible for all costs and damages to the Owner attributable thereto.

E. Termination, cancellation, or material modification of any insurance required by this Contract, whether by the insurer or the insured, shall not be valid unless written notice thereof is given to the Awarding Authority at least thirty days prior to the effective date thereof, which shall be expressed in said notice.

2. **Contractor’s Commercial General Liability.**
   A. The Contractor shall provide the following minimum general liability coverage with respect to the operations performed by Contractor and any employee, subcontractor, or supplier, unless a higher coverage is specified in Exhibit A to the Owner - Contractor Agreement, in which case the Contractor shall provide the additional coverage:
   - Bodily Injury & Property Damage $1,000,000 each occurrence $2,000,000 general aggregate, per project
   - Products & Completed Operations $1,000,000 annual aggregate
   - Personal & Advertising Injury $1,000,000 each occurrence
   - Medical Expenses $10,000

B. This policy shall include coverage relating to explosion, collapse, and underground property damage.

C. This policy shall include contractual liability coverage.

D. The completed operations coverage shall be maintained for a period of three (3) years after Substantial Completion.

E. If the Work includes work to be performed within 50 feet of a railroad, any exclusion for liability assumed under contract for work within 50 feet of a railroad shall be deleted.

F. This policy shall include endorsement CG2010 (10/85 edition), Owner as Additional Insured and CG2404 (11/85 edition) Waiver of Subrogation in Favor of Owner.

3. **Vehicle Liability.**
   A. The Contractor shall provide the following minimum coverage with respect to the operations of any employee, including coverage for owned, non-owned, and hired vehicles, unless a higher coverage is specified in Exhibit A to the Owner - Contractor Agreement, in which case the Contractor shall provide the additional coverage:
      - Combined Single Limit $1,000,000
   
B. The policy shall include a CA9948 Pollution Endorsement and shall name the Owner as an Additional Insured.

4. **Pollution Liability.**
   The Contractor shall provide coverage for bodily injury and property damage resulting from liability arising out of pollution related exposures such as asbestos abatement, lead paint abatement, tank removal, removal of contaminated soil, etc. The Awarding Authority and the Owner shall be named as an additional insured and coverage must be on an occurrence basis. The amount of coverage shall be $1,000,000 per occurrence and $3,000,000 in the aggregate unless a higher amount is specified in Exhibit A to the Owner - Contractor Agreement, in which case the Contractor shall provide the additional coverage.

5. **Worker’s Compensation.**
A. The Contractor shall provide the following coverage in accordance with M.G.L. c.149 §34A and c.152 as amended, unless a higher coverage is specified in Exhibit B to the Owner - Contractor Agreement, in which case the Contractor shall provide the higher coverage:

<table>
<thead>
<tr>
<th>Worker's Compensation</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Part One</td>
<td>Provide Statutory Minimum</td>
</tr>
<tr>
<td>Employer's Liability</td>
<td>$500,000 each accident</td>
</tr>
<tr>
<td>Part Two</td>
<td>$500,000 disease per employee</td>
</tr>
<tr>
<td></td>
<td>$500,000 disease policy aggregate</td>
</tr>
</tbody>
</table>

B. If specified in Exhibit A to the Owner - Contractor Agreement the policy must be endorsed to cover United States Longshoremens & Harbormenrs Act (USLHW), Maritime Liability for $1,000,000/$1,000,000, or Federal Employer's Liability Act liability.


A. The Contractor shall provide coverage against loss or damage on all Work included in this Contract in an amount equal to the Contract Price. Such coverage shall be written on an all risks basis or equivalent form and shall include, without limitation, insurance against perils of fire (with extended coverage) and physical loss or damage including, without duplication of coverage, theft, vandalism, malicious mischief, collapse, earthquake, flood (if the project is not in an "A" or a "V" flood Zone), windstorm, falsework, testing and startup, temporary buildings and debris removal including demolition occasioned by enforcement of any applicable legal requirements, and shall cover reasonable compensation for Architect's and Contractor's services and expenses required as a result of such insured loss. This policy and/or installation floater shall indicate if Stored Materials coverage is provided as required below.

B. When Work will be completed on existing buildings owned by the Owner, the Contractor shall provide an installation floater, in the full amount of the Contract Price. Such coverage shall be written on an all risks basis or equivalent form and shall include, without limitation, insurance against perils of fire (with extended coverage) and physical loss or damage including, without duplication of coverage, theft, vandalism, malicious mischief, collapse, earthquake, flood (if the project is not in an "A" or a "V" flood Zone), windstorm, falsework, testing and startup, temporary buildings and debris removal including demolition occasioned by enforcement of any applicable legal requirements, and shall cover reasonable compensation for Architect's and Contractor's services and expenses required as a result of such insured loss. This policy and/or installation floater shall indicate if Stored Materials coverage is provided as required below.

C. The Contractor shall maintain insurance on delivered and/or stored material designated to be incorporated in the Work against fire, theft or other hazards. Any loss or damage of whatever nature to such material while stored at some approved off Site location shall be forthwith replaced by the Contractor at no expense to the Awarding Authority.

D. The policy or policies shall specifically state that they are for the benefit of and payable to the Awarding Authority, Owner, the Contractor, and all persons furnishing labor or labor and materials for the Contract Work, as their interests may appear. The policy or policies shall list the Awarding Authority, Owner, the Contractor, and Subcontractors of any tier as named insureds.

E. Coverage shall include any costs for work performed by the Designer or any consultant as the result of a loss experienced during the term of this Contract.

F. Coverage shall include temporary occupancy and waiver of subrogation.

7. Umbrella Coverage.
The Contractor shall provide Umbrella Coverage in form at least as broad as primary coverages required by Sections 2, 3 and 5 of this Article in the following amount unless a higher amount is specified in Exhibit A to the Owner - Contractor Agreement, in which case the Contractor shall provide the higher amount:

<table>
<thead>
<tr>
<th>Contract Price:</th>
<th>Umbrella Coverage:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Under $1,000,000</td>
<td>$2,000,000</td>
</tr>
<tr>
<td>$1,000,000 - $5,000,000</td>
<td>$5,000,000</td>
</tr>
<tr>
<td>$5,000,001 - $10,000,000</td>
<td>$10,000,000</td>
</tr>
<tr>
<td>$10,000,001 and over</td>
<td>$25,000,000</td>
</tr>
</tbody>
</table>
8. Additional types of Insurance.
The Contractor shall provide such other types of insurance as may be required by Exhibit A to the Owner - Contractor Agreement.

ARTICLE XIII: INDEMNIFICATION

1. Generally.
To the fullest extent permitted by law, the Contractor shall indemnify, defend, and hold harmless the Owner, Awarding Authority and Designer and their officers, agents, divisions, agencies, employees, representatives, successors and assigns from and against all claims, damages, losses and expenses, including but not limited to court costs and attorneys' fees, arising out of or resulting from the performance of the Work, including but not limited to those arising or resulting from:
- labor performed or furnished and/or materials used or employed in the performance of the Work;
- violations by Contractor, any Subcontractor, or by any person directly or indirectly employed or used by any of them in the performance of the Work or anyone for whose acts any of them may be liable (Contractor, subcontractor and all such persons herein collectively called "Contractor's Personnel") of any Laws;
- violations of any provision of this Contract by any of Contractor's Personnel;
- injuries to any persons or damage to any property in connection with the Work;
- any act, omission, or neglect of Contractor's Personnel.

The Contractor shall be obligated as provided above, regardless of whether or not such claims, damages, losses and/or expenses, are caused in whole or in part by the actions or inactions of a party indemnified hereunder. In any and all claims by Contractor's Personnel against parties indemnified hereunder, the Contractor's indemnification obligation set forth above shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor or any subcontractor under workers' or workmen's compensation acts, disability benefit acts or other employee benefit acts. Such obligation shall not be construed to negate, abridge, or otherwise reduce any other right or obligation of indemnity which would otherwise exist as to any party or person described in this Article XV.

2. Designer's Actions.
The obligations of the Contractor under Section 1 above shall not extend to the liability of the Designer, its agents or employees, arising out of (i) the preparation or approval of maps, Drawings, opinions, reports, surveys, Change Orders, designs or specifications, or (ii) the giving of or the failure to give directions or instructions by the Designer, its agents to employees provided such giving or failure to give is the primary cause of the injury or damage.

The provisions of this Article XV are intended to survive Final Acceptance and/or any termination of this Contract.

ARTICLE XIV: PERFORMANCE AND PAYMENT BONDS

1. Contractor Bonds.
A. The Contractor shall provide performance and payment (labor and materials) bonds in the form provided by the Awarding Authority, executed by a surety licensed by the Commonwealth of Massachusetts Division of Insurance. Each such bond shall be in the amount of the Contract Price.
B. If at any time prior to final payment to the Contractor, the Surety:
- is adjudged bankrupt or has made a general assignment for the benefit of its creditors;
- has liquidated all assets and/or has made a general assignment for the benefit of its
creditors;
is placed in receivership;
otherwise petitions a state or federal court for protection from its creditors; or
allows its license to do business in Massachusetts to lapse or be revoked;
then the Contractor shall, within 21 days of any such action listed above, provide the Awarding Authority with new performance and payment bonds as described in Paragraph A above. Such bonds shall be provided solely at the Contractor's expense.

2. Subcontractor Bonds.
   A. If the Contractor provided in its General Bid that any or all filed subcontractors shall provide the Contractor with payment and performance bonds for the full amount of their respective Subcontracts, then the costs for said bonds shall be the responsibility of the Contractor.
   B. If the Contractor provided in its General Bid that filed Subcontractors shall provide bonds, and subsequently waives the requirement, the Contractor shall give the Awarding Authority a written certification that the Contractor understands that if the filed Subcontractor defaults or is terminated, the Contractor shall have full responsibility for all costs and expenses related to said default or termination but shall be entitled to a credit adjustment to the Contract Price in an amount equal to the bond premium Contractor would have paid had Contractor required the filed Subcontractor to provide such bonds.

ARTICLE XV: TERMINATION OF CONTRACT

1. Termination for Cause.
   A. The Awarding Authority may without prejudice to any other right or remedy deem this Contract terminated for cause if any of the following defaults shall occur and not be cured within three (3) days after the giving of notice thereof by the Awarding Authority to the Contractor and any surety that has given bonds in connection with this Contract:
      (1) The Contractor has filed a petition, or a petition has been filed against the Contractor with its consent, under any federal or state law concerning bankruptcy, reorganization, insolvency or relief from creditors, or if such a petition is filed against the Contractor without its consent and is not dismissed within sixty (60) days; or if the Contractor is generally not paying its debts as they become due; or if the Contractor becomes insolvent; or if the Contractor consents to the appointment of a receiver, trustee, liquidate, custodian or the like of the Contractor or of all or any substantial portion of its assets and such appointment or possession is not terminated within sixty (60) days; or if the Contractor makes an assignment for the benefit of creditors;
      (2) The Contractor refuses or fails, except in cases for which extension of time is provided under this Contract's express terms, to supply enough properly skilled workers or proper materials to perform its obligations under this Contract, or the Designer has determined that the rate of progress required for the timely completion of the Work is not being met;
      (3) The Contractor fails to make prompt payment to Subcontractors or for materials, equipment, or labor;
      (4) All or a part of the Work has been abandoned;
      (5) The Contractor has sublet or assigned all or any portion of the Work, the Contract, or claims thereunder, without the prior written consent of the Owner, except as expressly permitted in this Contract;
      (6) The Contractor has failed to comply with Laws;
      (7) The Contractor fails to maintain, or provide to the Awarding Authority evidence of the insurance or bonds required by this Contract, or
      (8) The Contractor has failed to prosecute the Work or any portion thereof to the standards required under this Contract or has otherwise breached any material provision of this Contract.
   B. The Awarding Authority shall give the Contractor and any surety notice of such termination for cause, but the giving of notice of such termination shall not be a condition precedent or subsequent to the termination's effectiveness. In the event of such termination, and without limiting any other available remedies, the Awarding Authority may, at its option:
      (1) hold the Contractor and its sureties liable in damages for a breach of Contract;
      (2) notify the Contractor to discontinue all work, or any part thereof, and the Contractor shall discontinue all work, or any part thereof, as the Owner may designate;
(3) complete the Work, or any part thereof, and charge the expense of completing the Work or part thereof, to the Contractor;
(4) require the surety or sureties to complete the Work and perform all of the Contractor's obligations under this Contract.
If the Awarding Authority elects to complete all or any portion of the Work as specified in (3) above, it may take possession of all materials, equipment, tools, machinery, implements at or near the Site owned by the Contractor and finish the Work at the Contractor's expense by whatever means the Awarding Authority may deem expedient; and the Contractor shall cooperate at its expense in the orderly transfer of the same to a new contractor or to the Awarding Authority as directed by the Awarding Authority. In such case the Awarding Authority shall not make any further payments to the Contractor until the Work is completely finished. The Owner shall not be liable for any depreciation, loss or damage to said materials, machinery, implements or tools during said use and the Contractor shall be solely responsible for their removal from the Site after the Owner has no further use for them. Unless so removed within fifteen days after notice to the Contractor to do so, they may be sold at public auction, after publication of notice thereof at least twice in any newspaper published in the county where the Work is being performed, and the proceeds credited to the Contractor's account; or they may, at the option of the Awarding Authority, be stored at the Contractor's expense subject to a lien for the storage charges.
C. Damages and expenses incurred under paragraph B above shall include, but not be limited to, costs for the Designer's extra services and OPM services required, in the opinion of the Awarding Authority, to successfully inspect and adminster the construction contract through final completion of the Work.
D. Expenses charged under paragraph B above may be deducted and paid by the Awarding Authority out of any moneys then due or to become due the Contractor under this Contract.
E. All sums, damages, and expenses incurred by the Owner to complete the Work shall be charged to the Contractor. In case the damages and expenses charged are less than the sum that would have been payable under this Contract if the same had been completed by the Contractor, the Contractor shall be entitled to receive the difference. In case such expenses shall exceed the said sum, the Contractor shall pay the amount of the excess to the Owner.

2. Termination For Convenience.
   A. The Awarding Authority may terminate this Contract for convenience even though the Contractor is not in default by giving notice to the Contractor specifying in said notice the date of termination.
   B. In case of such termination without cause, the Contractor shall be paid:
(1) all sums due and owing under this Contract through the date of termination, including any retainage withheld to the date of termination, less any amount which the Awarding Authority determines is necessary to correct or complete the Work performed to the date of termination; plus
(2) a reasonable sum to cover the expenses which Contractor would not have incurred but for the early termination of the Contract, such as demobilization of the work force, restocking charges, termination fees payable to Subcontractors.
C. The payment provided in paragraph B above shall be considered to fully compensate the Contractor for all claims and expenses and those of any consultants, Subcontractors, and suppliers, directly or indirectly attributable to the termination, including any claims for lost profits.

3. Contractor's Duties upon Termination for Convenience.
Upon termination of this Contract for convenience as provided in Section 2 of this Article, the Contractor shall: (1) stop the Work; (2) stop placing orders and Subcontracts in connection with this Contract; (3) cancel all existing orders and Subcontracts; (4) surrender the Site to the Awarding Authority in a safe condition; (5) transfer to the Awarding Authority all materials, supplies, work in process, appliances, facilities, equipment and machinery of this Contract, and all plans, Drawings, specifications and other information and documents used in connection with this Contract.

ARTICLE XVI: MISCELLANEOUS PROVISIONS

1. No Assignment by Contractor.
The Contractor shall not assign by power of attorney or otherwise, or sublet or subcontract, the Work or any part thereof, without the previous written consent of the Awarding Authority and shall not, either legally or equitably, assign any of the moneys payable under this Contract, or Contractor's claims hereunder, unless with the like consent of the Awarding Authority, whether said assignment is made before, at the time of, or after the execution of the Contract. The Contractor shall remain responsible for satisfactory performance of all Work sublet or assigned. Consent of the Awarding Authority shall not be deemed to constitute a representation or waiver of any right hereunder by the Awarding Authority as to the qualifications or the responsibility of the Contractor or Subcontractor(s).

2. **Non-Appropriation.**
   If the Awarding Authority is unable to obtain an appropriation of funds sufficient to discharge its obligations under this Agreement for any fiscal year during the term of this Agreement, it shall not be obligated to make any further payments, and this Agreement may be terminated immediately by either the Awarding Authority or the Contractor, provided that the Awarding Authority shall make payment to the Contractor for obligations incurred during the period for which funding was included in an annual or supplemental appropriation.

3. **Claims by Others Not Valid.**
   No person other than the Contractor shall acquire any interest in this Contract or claim against the Awarding Authority or Owner hereunder, and no claim by any other person shall be valid except as provided in M.G.L. c. 30, s. 39F of the General Laws.

4. **No Personal Liability of Public Officials.**
   No public official, employee, or agent of the Awarding Authority or Owner shall have any personal liability for the obligations of the Awarding Authority or Owner set forth in this Contract.

5. **Severability.**
   The provisions of this Contract are severable, and if any of these provisions shall be held unconstitutional or unenforceable by any court of competent jurisdiction, the decision of such court shall not affect or impair any of the other provisions of this Contract.

6. **Choice of Laws.**
   This Contract shall be governed by the laws of the Commonwealth of Massachusetts for all purposes, without regard to its laws on choice of law. All proceedings under this Contract or related to the Project shall be brought in the courts of the Commonwealth of Massachusetts.

7. **Standard Forms.** NOT APPLICABLE

8. **No Waiver of Subsequent Breach.**
   No waiver of any breach or obligation of this Contract shall constitute a waiver of any other or subsequent breach or obligation.

9. **Remedies Cumulative.**
   All remedies of the Awarding Authority provided in this Contract shall be construed as cumulative and may be exercised simultaneously or in any order as determined by the Awarding Authority in its sole discretion. The Awarding Authority shall also be entitled as of right to specific performance and equitable relief including the right to an injunction against any breach of any of the provisions of this Contract.

10. **Notices.**
    Notices to the Contractor shall be deemed given when hand delivered to the Contractor's temporary field office at or near the Site, or when deposited in the U.S. mail addressed to the Contractor at the Contractor's address specified in the Owner - Contractor Agreement, or when delivered by courier to either location. Unless otherwise specified in writing by the Awarding
Authority, notices and deliveries to the Awarding Authority shall be effective only when delivered to the Awarding Authority at the address specified in the Owner - Contractor Agreement and date-stamped at the reception desk or for which a receipt has been signed by the agent or employee designated by the Awarding Authority to receive official notices.

ARTICLE XVII: EQUAL EMPLOYMENT OPPORTUNITY, NON-DISCRIMINATION AND AFFIRMATIVE ACTION PROGRAM.

This Contract includes the provisions of the Awarding Authority's "Equal Employment Opportunity, Non-Discrimination, and Affirmative Action Program" attached as Appendix A to these General Conditions of the Contract and incorporated herein by reference.

ARTICLE XVIII: GOALS FOR PARTICIPATION BY MINORITY BUSINESS ENTERPRISES AND WOMEN BUSINESS ENTERPRISES

This Contract includes the provisions of the Awarding Authority's program relating to Goals for Participation by Minority Business Enterprises and Women Business Enterprises attached as Appendix B to these General Conditions of the Contract and incorporated herein by reference.
INSTRUCTIONS TO BIDDERS
For
AFFIRMATIVE ACTION ISSUES
for Public Works and Construction Projects

Office of Equal Opportunity & Contract Compliance
133 William Street, Room 208
New Bedford, Massachusetts 02740
ph: 508-979-1446 / fax: 508-991-6148
Revised May 2018
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AA.01: Definitions

Relevant to the requirements set forth in this bidding document

Construction Bidding Statutes*

Public Works Projects
Governed under Massachusetts General Laws, ch. 30, sec. 39M.
Includes all municipal contracts for construction, reconstruction, alteration, remodeling, and/or repair/s estimated to cost more than $10,000 which does not include work on a building. Includes the construction and repair of roads, bridges, water mains, sewers, and the like, as well as improvement to public land (i.e.: operation of a municipal landfill, removal of waste materials, grading, erosion control, and other forms of improvement and maintenance.

Also governs contracts of $50,000-150,000 for construction, reconstruction, installation, demolition, maintenance, or repair work on a building.

Building Projects
Governed under Massachusetts General Laws, Ch. 149, sec. 44.
Includes all contracts for the construction, reconstruction, installation, demolition, maintenance, or repair of a building at an estimated cost of more than $25,000.

Lowest Eligible & Responsible Bidder*
Massachusetts G.L. c. 30, sec. 39M; c. 149, sec. 44A state that the contract be awarded to the lowest eligible and responsible bidder.

Eligible means the bidder meets all of the requirements set forth in the bidding documents.

Responsible means the bidder possesses the skill, ability, and integrity to complete the job.

Reasonable Accommodations
Any change in work environment or the way job duties are customarily performed that enables individuals with disabilities to perform the essential functions of the job in issue, or that ensures equal opportunity for individuals with disabilities with respect to the application process or the enjoyment of benefits and privileges of employment.

Administering Agency
The agency that administers the city, state, state-assisted, or federally assisted contract awarded by the contracting agency

Contracting Agency
The agency that directly awards the contract

Contractor
Any general contractor and all subcontractors

* This information is taken directly from “Designing and Constructing Municipal Facilities: Legal Requirements, Recommended Practices, Sources of Assistance” Published by, William Francis Galvin, Secretary of the Commonwealth, Office of the Inspector General, Oct 1989.
Minority / Women Business Enterprise
As defined by the Massachusetts SDO (State Diversity Office) (formerly known as SOMWBA). In summary, an MBE/WBE is a business at least fifty-one percent (51%) owned or controlled by minority/women group members, or an individual contractor or professional who is a minority/women group member (as defined by SDO).

Minority refers to:

Native American
A person having origin in any of the original people of North America, who is recognized as American Indian by a tribe or tribal organization or is recognized as such within his/her community

Asian
A person having origin in any of the original people of the Far East, Southeast Asia, Indian Subcontinent, Korea, Philippines, and Samoa

Black
A person having origin in any of the black racial groups of Africa

Cape Verdean
A person having origin in any of the original people of the Cape Verde Islands

Eskimo / Aleut
A person having origin in any of the original people of Northern Canada, Greenland, Alaska, and East Siberia

Hispanic
A person of Spanish descent and culture having origin in Mexico, the Island of the Caribbean, Central America or South America
City of New Bedford
133 William Street
New Bedford, MA 02740

FED/AA POLICY STATEMENT

City of New Bedford has a statutory mandate under law to guarantee equal treatment for all who seek access to its services or opportunities for employment and advancement. No discrimination will be tolerated on the basis of race, creed, political affiliation, color, sex, national origin, age, or handicap. The ultimate goal is for personnel of this organization to reflect the proportions of minority, female, and handicapped persons in the populations they serve.

City of New Bedford will meet its legal, moral, social, and economic responsibilities for Equal Employment Opportunity/Affirmative Action as authorized and required by all pertinent state and federal legislation, executive orders and rules and regulations, including the following:

1. Title II of the Civil Rights Act of 1964 (42 USC §2000e et seq.), which prohibits discrimination in employment on the basis of race, color, religion, sex, or national origin; and

2. The Age Discrimination in Employment Act of 1967 (29 USC §621 et seq.), which prohibits discrimination in employment on the basis of age with regard to those individuals who are at least 40 years of age, but less than 65 years of age; and

3. Section 504 of the Rehabilitation Act of 1973 (29 USC §794), and the regulations promulgated pursuant thereto (45 CFR Part 84), which prohibit discrimination against qualified handicapped individuals on the basis of handicap and requires employers to make reasonable accommodations to known physical or mental limitations of otherwise qualified handicapped applications and employees; and

4. M.G.L. c. 151B §4 (1), as amended by Chapter 533, 1983, which prohibits discrimination in employment on the basis of race, color, sex, religious creed, national origin, ancestry, age or handicap.

In addition, the Provider agrees to be familiar with and abide by:

+ Massachusetts Executive Order 524
+ Massachusetts Executive Order 526
+ Equal Pay Act of 1963
+ Massachusetts Architectural Barriers Board Act
+ Federal Executive Orders 11246 and 11375 as amended.
All employees, unions, sub contractors and vendors must make genuine and consistent efforts:

1. To ensure equal employment opportunities for present and future employees, and
2. To implement affirmative action, as legally required, to remedy the effects of
past employment discrimination and social inequalities.

The responsibility for implementing and monitoring this policy has been delegated to:

EEQ Contract Compliance Officer

Name and Title of Employee

Furthermore, City of New Bedford

prohibits that any employee, or applicant, be subjected to coercion, intimidation, interference or
discrimination for filing a complaint or assisting in an investigation under this program. No portion of this
Equal Employment Opportunity/Affirmative Action Policy shall be construed as conflicting with any existing
or future judicial or legislative mandate where a constituted consistent with that mandate is reasonable.

[Signature]
Signature of Chief Executive

Mayor
Title of Chief Executive

Date

2/16/12
AA.02: Statement of Policy

MINORITY/WOMAN BUSINESS ENTERPRISE PROGRAM

It is the policy of the government of the United State of America, the Commonwealth of Massachusetts and the City of New Bedford, that no person shall be discriminated against in any manner whatsoever, on the grounds of race, color, age, national origin, disability, religion, or sex.

Under this policy, the minority and woman business enterprises shall have the maximum practicable opportunity to participate in federally assisted projects, and shall not be excluded from such participation, nor denied the benefits of or be subjected to discrimination under any program or activity receiving federal assistance.

The City of New Bedford unequivocally subscribes to said policies as the recipient of Federal and state financial assistance, in connection with its activities, and may receive further Federal and State financial assistance in the future.

The City of New Bedford strongly affirms that it will not discriminate in any contractual procedure against any person because of race, color, age, national origin, disability, religion, or sex, or any other condition that is a bona fide qualification. This policy shall be administered at all levels with a positive, aggressive and supportive attitude by all department heads.

It is the responsibility of all department heads and employees to take affirmative steps to implement this policy to ensure equality of opportunity in conducting the affairs of the City of New Bedford, including notifying those persons and businesses doing business with the City of New Bedford, that contracts for goods and services and construction, shall be made without, reference to race, color, age, national origin, disability, religion or sex.

This Minority/Woman Business Enterprise Program sets forth the administrative standards for the further implementation of the City of New Bedford’s policy for the utilization of minority and female contractor, subcontractors, and suppliers.

Each department shall ensure that all solicitation in advertisements includes a statement of the City’s affirmative action policy, in an approved format.

The City’s Equal Opportunity Officer shall be responsible for ensuring that all aspects of the MBE/WBE program are initiated and undertaken. By virtue of the delegation of this responsibility and authority to direct the program, the Contract Compliance Officer will report directly to the Mayor on equal opportunity matters. The Equal Opportunity Officer shall be responsible for the development, administration, and monitoring of all activities necessary to ensure the accomplishment and success of this program.

NOW, THEREFORE, IT IS HEREBY RESOLVED that the following Minority/Woman Business Enterprise Program is instituted for and in behalf of the City of New Bedford.

[Signature]

[Date: 2/15/12]

Revised 2007
See Appendix A for complete City Ordinances relevant to this bid document

(1) Residency Requirements for Certain City-Supported Construction Projects, Chap. 10, Article II.

   (a) Shall apply to all general and subcontractors of public works projects which have a projected cost of more than $100,000.00

   (b) Fifty (50) percent of the total employee man-hours in each trade must be performed by residents of the City of New Bedford (excluding the employer's foreman or supervisor and two other key employees).*

   * Contact the N.B. EEO Dept. for further assistance in this matter.

   (c) Resident is defined as someone having his/her true, fixed, and permanent home and principal establishment in the City of New Bedford, for a minimum of six (6) months prior to the contract bid opening date.


   (a) Shall apply to all bidders and subcontractors for projects subject to MGL c. 149

   (b) Not applicable to construction projects where the low general bid was less than $100,000; to subcontracts bid for less than $25,000; or to re-bids for which the City receives fewer than three (3) qualified bidders in the original bid

   (c) Must pay appropriate lawful prevailing wage rates to employees

   (d) Must maintain or participate in a bona fide apprentice training program for each apprenticeable trade represented in the workforce

   (e) Must furnish hospitalization and medical benefits and maintain appropriate accident insurance coverage

   (f) Must classify all employees as employees rather than independent contractors, and treat accordingly regarding workers compensation, unemployment taxes, social security taxes and income tax withholding.

AA.04: Contractors Agreements under Executive Order 11246, as Amended by Executive Order 11375

During the performance of this contract, the contractor agrees as follows:

(1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment of compensation; and selection of training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of this nondiscrimination clause.
2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin.

(3) The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice, to be provided by the agency contracting officer, advising the labor union or workers' representative of the contractor's commitments under Section 202 of Executive Order No. 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(4) The contractor will comply with all provisions of Executive Order No. 11246 of Sept. 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(5) The contractor will furnish all information and reports required by Executive Order No. 11246 of Sept. 24, 1965, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(6) In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of such rules, regulations or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order No. 11246 of Sept. 24, 1965, or by rule, regulation or order of the Secretary of Labor, or as otherwise provided by law.

(7) The contractor will include the provisions of paragraphs (1) through (7) in every subcontract or purchase order, unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Executive Order No. 11246 of Sept. 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions including sanctions for noncompliance: provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

AA.05: Dept. of Labor, 41 Code of Federal Regulations Parts 60-1, 60-6 - Government Contractors, Affirmative Action Requirements, Executive Order 11246

(1) Segregated Facilities. The contractor hereby certifies that it does not and will not maintain or provide any facilities for its employees in a segregated manner, or permit its employees to perform their services at any location, under its control, where segregated facilities are maintained. Further, the contractor shall obtain a similar certification of non-segregated facilities prior to the award of any contract or subcontract, which is subject to Executive Order 11246, and shall provide a copy thereof to the Association.

This clause prohibits segregation on the basis of race, color, religion, national origin, or sex, and applies to all contracts regardless of the amount thereof. The term facilities includes, but is not limited to, waiting rooms, work areas, restaurants and other eating areas, time clock, parking
lots, drinking fountains, recreation or entertainment areas, transportation, employer-provided housing, washrooms, locker rooms or other storage or dressing areas.

(2) **Affirmative Action Compliance Program.** The contractor certifies that it has developed a written affirmative action compliance program for each of its establishments consistent with the rules and regulations published by the Department of Labor in 41 CFR Chapter 60, and agrees to require a similar certification from each of its nonexempt subcontractors. Such an affirmative action program shall contain a set of specific and result-oriented procedures, the objective of which shall be the achievement of equal employment opportunity. An acceptable affirmative action program must include an analysis of areas within which the contractor is deficient in the utilization of minority groups and women and further, goals and timetables to which the contractor’s good faith efforts must be directed to correct any deficiencies and, thus, to achieve prompt and full utilization of minorities and women, at all levels and in all segments of his work force where deficiencies exist.

The contractor’s affirmative action plan shall be summarized and updated annually and the program summary shall be submitted to the Office of Federal Contract Compliance Programs (OFCCP) on the anniversary date of the contractor’s affirmative action program.

(3) **Contractor’s Compliance with Exec. Order and 41 CFR Par 60-4.** The contractor’s compliance with E.O. 11246 and 41 C.F.R. Part 60-4, shall be based on its implementation of the Equal Opportunity clause, specific affirmative action obligations required by the specifications set forth in 41 C.F.R. 60-4.3, and its efforts to meet the goals established for the geographical area where the contract resulting from this solicitation is to be performed.

**AA.06: Section 503 of the Rehabilitation Act of 1973**


Parties holding a Government contract or subcontract in excess of $10,000 must take affirmative action to employ and advance in employment-qualified individuals with disabilities. Contractors are required to use effective practices to recruit qualified individuals with disabilities.

Applicants with disabilities must be provided a reasonable accommodation if they are qualified with respect to the application process (e.g., if they present themselves at the correct location and time to fill out an application).

**AA.07: MBE / WBE Policy (for the life of the project)**

(1) Eleven (11) percent of the work on this project shall be performed by Minority Business Enterprises (MBEs) and five (5) percent of the work shall be performed by Women Business Enterprises (WBEs) for a total of 16% overall. Four and one-third (4.33%) percent of all Airport projects shall be performed by Disadvantaged Business Enterprises (DBE). Proven documentation of non-availability and the filing of a MBE/WBE/DBE Request for Waiver will be required to be submitted by the general contractor in circumstances where the EEO goals are not met.

(2) If it is determined that one or more of the MBE/WBE or DBE contractors, as submitted by the Contractor on the EEO forms, is not SDO (State Diversity Office) (formerly known as SOMWBA)
certified or certified by the Local Government Unit, in accordance with the provision of Executive Order 237, the bidder shall have five (5) working days following notification to either find a certified MBE/WBE/DBE contractor to perform work equal to or greater than that of the uncertified contractor, or to submit a waiver request.

(3) The contractor shall not enter into any subcontract with any person or firm debarred from government contracts, pursuant to Executive Order 11246.

**AA.08: Workforce Utilization (for the life of the project)**

(1) Minimum percentages for employment (workforce utilization) on the project are at 18% minority and 6.9% female participation. The employment percentages shall apply to the contractor and to all subcontractors, regardless of tier, for all on-site work.

A single goal for minorities and a separate goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the contractor has achieved its goals for women generally, the contractor may be in violation of the Exec. Order if a specific minority group of women is under-utilized.)

(3) The contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

**AA.09: Contractor's EEO / Records Monitor**

The contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof, as may be required by the Government, and to keep records which shall at least include, for each employee, the name, address, telephone numbers, social security number, race, sex, status, (e.g.: mechanic, apprentice, trainee, helper, or laborer) dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents.

**AA.10: Bidder's Eligibility**

The lowest responsible and eligible bidder shall mean the General Bidder whose bid is the lowest of those bidders demonstrating possession of the skill, ability and integrity necessary for the faithful performance of the work, and

(a) who shall certify that he/she is able to furnish labor that can work in harmony with other labor employed on the work;

(b) who shall certify that he/she will demonstrate good faith efforts to obtain the minority workforce goal (18%) the woman workforce goal (6.9%) and, for projects $100,000+, NB residency goal of 50%; the insurance that all subcontractors and/or sub-subcontractors are
also in compliance with workforce utilization goals; including compliance with the minority business goal (11%) and woman business goal (5%), for a total of 16% (or 4.33% for Airport projects) of the total dollar amount of the contract, and will certify that it will meet all applicable City Ordinances in accordance with this contract provision.

AA.11: Bid Submission Requirements

(1) Required bid forms that must be completed, signed, and submitted with the bid at the time of the bid opening, are as follows:

(a) Certificate of Understanding; Certification of Compliance w/ Exec. Order 11246

(b) Schedule of Participation for MBE/WBE or DBE as required

(c) Letter of Intent (for each MBE/WBE/DBE participation)

(d) MBE/WBE/DBE Contractor Identification Statement (for each MBE/WBE/DBE)

(e) Bidder’s Certification (to be completed by both the General Contractor and each MBE/WBE/DBE)

(f) If applicable, a completed and signed MBE/WBE/DBE Unavailability Certification in the event that the work listed on the Schedule is not sufficient to fulfill the requirement for MBE/WBE/DBE Participation. This certification must include a statement by the bidder of the reasons why it believes it is in compliance with this provision, and a list of the names, addresses, telephone numbers and reason given for unavailability of the Minority/Women Contractor contacted by the bidder with respect to the performance of work under the contract.

(g) If applicable, a completed and signed Minority / Women / Disadvantaged Business Enterprises Request for Waiver.

(2) The successful bidder will also be required to submit, prior to award, its estimates of labor (permanent and trainee) and material required to carry out its work under the contract, for review by the City, so as to establish maximum feasible goals for the utilization of City residents and business concerns. These goals, and the basis for monitoring and reporting progress toward meeting them, will be established by mutual agreement, with the assistance of the City’s Contract Compliance Officer, and discussed in the Pre-Construction and/or Pre-Award Conference.

AA.12: Bid Approval or Disapproval

(1) At the time of the bid opening, the bidder will have five (5) days, from the date of the bid opening, to comply with the MBE/WBE/DBE requirements. Failure to meet these requirements within the five days will have the Bid/Proposal disapproved by the Office of Equal Opportunity.

(2) Each bidder, as part of its bid submission, must agree to make good faith efforts to contract with minority and woman owned businesses (and disadvantaged business when applicable), as defined by the State Diversity Office (SDO) (formerly known as Office of Minority and Woman Business Assistance (SOMWBA)) and the City of New Bedford’s affirmative action policies. The
amount of participation reserved for such enterprises shall not be less than 16% of the total bid amount, of which at least 11% of the total bid amount applies to minority businesses. The balance 5% is applied to women-owned businesses. Proven documentation of non-availability of either one of these entities provides that the available business may be awarded no less than 16% of the total contract dollar value.

(3) If the general bidder is either an MBE or WBE and is responsible for 100% of the project work, the 16% is fulfilled. If said MBE/WBE contractor is a joint venture, the MBE/WBE must be responsible for at least 51% of the project.

(4) The general contractor must submit, as part of its bid and as a condition of contract approval, signed Letters of Intent with all subcontractors and material suppliers listed on the participation schedule. Sub-bidders must submit the participation schedule with their bid and a participation schedule if they intend to sub-sub work.

AA.13: Steps to Ensure a Responsive Bid

The total price for work to be performed by Minority/Woman or Disadvantaged Contractors, as indicated in each bidder’s bid submission, is required to be sufficient to fulfill the MBE/WBE/DBE requirements, unless the bidder shall demonstrate to the satisfaction of the Awarding Authority that:

(1) it has made every possible effort to contact and negotiate with Minority/Women or Disadvantaged Contractors in an attempt to subcontract work, including every possible effort to select the portions of the work proposed to be subcontracted in order to meet the requirements;

(2) it was unable, notwithstanding such efforts, to achieve the stated requirement because Minority/Woman or Disadvantaged Contractors were not qualified or were unavailable (any proven non-availability of MBE/WBE/DBE must make up the difference to still fulfill the 16% goals with the available MBE or WBE or 6.0% DBE. If neither category is available to fulfill the goal, it must have a SDO statement as to no business listed);

(3) it included in its Schedule of Participation such proposed agreements as could be made with such efforts;

(4) the general contractor is a MBE or WBE and said contractor is performing 16% of work or the general contractor is a DBE and is performing 6.0% of the work and therefore, will be deemed as fulfilling the affirmative action bidding requirements;

(5) for contractors performing work under $50,000 that can demonstrate all work will be completed under the contractor’s own workforce, the contractor must be able to demonstrate how this will be accomplished and submit, at the time of the bid a statement requesting a waiver of the 16% MBE/WBE or 6.0% DBE participation goal. Said contractors will still be required to demonstrate good faith efforts regarding the prescribed employment workforce percentage goals.

AA.14: Bid Award or Rejection

(1) The Awarding Authority will responsible for awarding or rejecting any bid, with the
approval/disapproval of the Office of Equal Opportunity & Contract Compliance in its decision. The Awarding Authority also reserves the right to reject any or all bids, or to accept any other than the lowest bidder, should it be deemed to be in the best interest of the City of New Bedford, Massachusetts, to do so.

(2) The Awarding Authority may reject, as non-responsive, any bid, which it determines, fails to comply with the applicable requirements of this contract provision. Nothing, herein, shall relieve any bidder or any contractor performing any work under the contract, from any of the terms, conditions, or requirements of the contract.

AA.15: Awarded Contractor’s Obligations

(1) The Contractor shall specifically ensure that the City’s EEO policy and affirmative action obligations under this contract provision, is reviewed with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decision, including specific review of these terms with on-site supervisory personnel, prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

(2) Minority/Woman Work Hours must be maintained for the life of this project (at a minimum ratio of 18% minority work hours and 6.9% woman work hours to total work hours in each job category, including, but not limited to bricklayers, carpenters, cement masons, electricians, ironworkers, operating engineers, and those classes of work identified in Section 44C of M.G.L. ch. 149). (Please note the City of New Bedford’s Residency Ordinance requiring 50% City of New Bedford residents on projects of $100,000+)

(3) Apprentices and Trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability, in order for the apprentices and trainees to be counted toward the minority/woman work hour percentage goals.

(4) Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the contractor has a collective bargaining agreement to refer either minorities or women, shall excuse the contractor’s obligation under these specifications, Exec. Order 11246 or the regulations promulgated pursuant thereto.

(5) In the employment of journeymen, apprentices, teamsters and laborers, the Contractor shall give preference first, to citizens of the Commonwealth who have served in the armed forces of the United States in time of war and have been honorably discharged therefrom or released from active duty therein, and who are qualified to perform the work which the employment relates, and secondly, to citizens of the City of New Bedford, and if such cannot be obtained in sufficient numbers, the Commonwealth generally, then to citizens of the United States.

(6) Reports to Be Submitted to the Office of Equal Opportunity & Contract Compliance include:

(a) Licensing Statutes: Every contractor and subcontractor must submit, before starting work, a plan by which he/she will satisfy the requirements of licensing statutes, including the following, where applicable: MGL Ch. 149, Sec. 6 (painters); Ch. 146, Sec. 53 (hoisting engineers); Ch. 149, Sections 6B-6F (asbestos abatement workers, supervisors & contractors); Ch. 146, Sec. 3 & 3B (plumbers & gas fitters); Ch. 141, Sec. 1
(electricians); Ch. 14, Sec. 84 (pipefitters & sprinkler fitters); and Ch. 143, Sec. 94 (construction supervisor).

(b) **Work Hour Reports:** The contractor and each subcontractor shall prepare weekly reports in an approved form, of the hours worked in each trade by each employee, identified as minority or non-minority, and/or female, and/or resident. Copies of these shall be provided at the end of each such week to the City’s Office of Equal Opportunity & Contract Compliance.

(c) **Projected Manning Tables:** The contractor shall prepare projected manning tables on a quarterly basis. These shall be broken down into projections, by week, of workers required in each trade. Copies shall be furnished one week in advance of the commencement of the period covered, and also when updated, to the City. A copy of the certified payroll will be submitted with these reports.

(d) **Billing Reports:** The contractor shall prepare and submit monthly billing reports of amounts paid to MBES, WBEs and/or DBEs each monthly billing period, as well as the record of final payment accompanied by canceled checks.

(e) **Payroll Reports:** Every contractor and subcontractor shall submit weekly payroll reports to the City, indicating the following information for each employee and/or independent contractor employed on the project; name, address, hours worked, occupational classification, wages, and fringe benefit payments, if any. Said reports shall be signed by the employer or his authorized agent under the penalties of perjury (see MGL Ch. 149, Section 278).

**AA.16 Recruitment/Referral Responsibilities**

(1) In the hiring of minority/woman journeymen, apprentices, teamsters, and laborers, the contractor shall rely on referrals from a multi-employer affirmative action program approved by the City, traditional referral method utilized by the construction industry, and referrals from agencies, not more than three in number at any one time, designated by the City’s Equal Opportunity Officer.

(2) Records of employment referral orders, prepared by the contractor, shall be made available to the awarding authority.

(3) The contractor will maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source, or community organization, and of what action was taken with respect to each such individual.

(4) If such individual was sent to the union hiring hall for referral, and was not referred back to the contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the contractor may have taken.

(5) The contractor will document and maintain a record of all solicitations of offers for subcontractors from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractors associations and groups.

(6) The contractor will, in all solicitations or advertisements for employees placed by or on
behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex or national origin, and maintain a record thereof.

**AA.17: Subcontracts**

(1) The Contractor receiving the award of the contract shall be required to obtain from each of its subcontractors (filed or non-filed) and submit to the Authority prior to the performance of any work under said subcontract, a certification by said subcontractor, regardless of tier, that it will comply with the minority and women work hours/employee ratio and specific affirmative action steps, and to submit this information to the Office of Equal Opportunity, prior to the subcontractor's performance on the project.

(2) In order to ensure that the said subcontractor's certification becomes a part of all subcontracts under the prime contract, no subcontract shall be executed until an authorized representative of the Authority administering this project has determined, in writing, that the said certification has been incorporated in such subcontract, regardless of tier. Any subcontract executed without such written approval shall be void.

(3) Whenever the contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of $10,000, the contract provisions listed in this Instructions to Bidders for Affirmative Action Issues®, and the applicable goals for minority and female participation and which is set forth in the solicitation form which the contract resulted.

(4) Noncompliance of a subcontractor in compliance with these provisions, will result in the contractor taking such action, with respect to any subcontract or purchase order, as the administering agency may direct, as a means of enforcing such equal opportunity provisions; provided that, in the event a contractor becomes involved in, or is threatened with litigation with a subcontractor or vendor, as a result of such direction, the contractor may request the United States, the State of Massachusetts or the City of New Bedford, to enter into such litigation to protect the interests of the U.S., the State or the City.

**AA.18: Wage Rates**

(1) Attention is called to Labor Standards provisions regarding conditions of employment, including State and Federal Wage Rates, the Davis-Bacon Act, the Copeland Anti-Kickback Act, and the Contract Work Hours and Safety Standards Act. Where Federal and State wage rates differ, the higher rates shall be used as a minimum.

(2) The rate per hour of the wages to be paid to mechanics, apprentices, teamsters, chauffeurs, and laborers employed on the work shall be not less than the rate of wages in Minimum Wage Rates as determined by the Commissioner of Labor and Industries, as required by M.G.L. Chapter 149, Sections 26 & 27-27h. This schedule shall be in place for said employees during the life of this contract.

(3) Contractor shall keep posted on the site, a legible copy of said schedule. The Contractor shall keep on file wage rates and classifications of labor employed on this work, in order that they may be available for inspection by the Administrator, the Office of Equal Opportunity, or the Architect.

(4) Apprentices employed pursuant to this determination of wage rates must be registered and
approved by the State Apprenticeship Council, wherever rates for journeymen or apprentices are not listed.

(5) Pay reserve police officers employed on this work the prevailing rate of wages paid to regular police officers, as required by M.G.L. Chap. 149, Sec. 34B, as amended. Such police officers shall be covered by Workmen’s Compensation Insurance and Employer’s Liability Insurance by the Contractor.

(6) Noncompliance by the contractor or any subcontractor will result in the City’s Contract Compliance Office and/or Legal Office, to consult with the Department of Labor and Industries, and will result in the contractor or subcontractor receiving notification of such, and subsequently must respond to the City of New Bedford within five (5) business days.

AA.19: Access to Compliance Information & Reports

(1) The contractor will provide all information and reports, required by the administering agency or the City of instructions issued by either of them, and will permit access to its facilities and any books, records, accounts, and other sources of information pertinent to the City’s affirmative action contract requirements.

(2) Where the information required is in the exclusive possession of another who fails or refuses to furnish this information, the contractor shall so certify to the administering agency or the City, and shall set forth what efforts he/she has made to obtain the information.

AA.20: Noncompliance

(1) Investigation
Whenever the administering agency or the City believe the general contractor or any subcontract may not be operating in compliance with the terms of this provision, the City directly or through its designated agent, shall conduct an appropriate investigation, and may confer with the parties, to determine if such contractor is operating in compliance with the terms of this contract provision. If noncompliance is found, then a preliminary report on noncompliance will be made, and the City or its agent will notify such contractor, in writing, of such steps as will, in the judgment of the city or its agent, bring such contractor into compliance.

(2) Report of Noncompliance
In the event that such contractor fails or refuses to fully perform such affirmative action steps, the City shall make a final report of non-compliance, and recommend to the administering agency, the imposition of one or more of the sanctions identified in these provisions. Within fourteen (14) days of the receipt of the recommendations of the City, the administering agency shall move to impose one or more of the following sanctions as it may deem appropriate to attain full and effective enforcement.

(3) Any disagreement between the City and a contractor or subcontractor shall be submitted for a hearing pursuant to the provisions of Chapter 30A. The City shall impose one or more of the following sanctions, as it may deem appropriate, to attain full and effective enforcement.

AA.21: Sanctions

(1) The recovery by the administering agency from the general contractor of 1/100 of 1% of the
contract award price, or $1,000.00, whichever sum is greater, in the nature of liquidated
damages, or if a subcontractor is in non-compliance, the recovery by the administering
agency from the general contractor, a back charge against the subcontractor, of 1/10 of 1%
of the subcontract price or $400.00, whichever sum is greater, in the nature of liquidated
damages, for each week that such party fails or refuses to comply.

(2) The suspension of any payment or part thereof, due under the contract, until such as the
general contractor or any subcontractor is able to demonstrate his/her compliance with the
terms of the preceding sections of the contract.

(3) The termination of employment of the contractor and taking possession of the site and
finishing the work by whatever method he/she may deem expedient, upon giving the
contractor and his/her surety, if any, seven days' written notice.

(4) The termination or cancellation of the contract, in whole or in part.

(5) The denial to the general contractor and any subcontractor of the right to participate in any
future contract awarded by the administering agency for a period of up to three years.

(6) Other sanctions to be applied, as stipulated in the City of New Bedford Ordinances
(Residency and Responsible Employer Plan ordinances) and other local, state, and federal
laws and regulations, as applicable.

AA.22: Appeal of Sanctions

If, at any time after imposition of one or more of the sanctions listed in these provisions, the
contractor or subcontractor is able to demonstrate that it is in compliance with the EEO/AA
program, the contractor or subcontractor may request the administering or contracting agency,
in consultation with the City's Equal Employment Opportunity compliance officer, to
conditionally suspend the sanction, pending final determination by the investigating officer,
whether the contractor is in compliance. Upon final determination by the investigating office,
the administering or contracting agency, based on the investigating officer’s recommendation,
shall either lift the sanctions or impose them.

Sanctions shall not be imposed by the contracting agency or administering agency except after
an adjudicatory proceeding, as defined by M.G.L. Chapter 30A, has been conducted. No
investigation by the Office of Equal Opportunity shall be initiated without prior notice to the
contractor or the subcontractor.

AA.23: Severability

The provisions of this section are severable, and if any of these provisions shall be held
unconstitutional by any court of competent jurisdiction, the decision of such court shall not
affect or impair any of the remaining provisions.
APPENDIX A

BID SUBMISSION DOCUMENTS
Bid Submission Checklist

THE GENERAL BIDDER SHALL SUBMIT ALL THE FOLLOWING FORMS AS A PART OF ITS BID SUBMISSION, AND SHALL SUBMIT A COPY OF SUCH TO:

The City of New Bedford
Office of Equal Opportunity
133 William Street Room 208
New Bedford, MA 02740
ph: 979-1446 / fax: 508-991-6148

1. Certificate of Understanding: Certification of Compliance with Executive Order 11246

2. Schedule of Participation for Minority, Woman & Disadvantaged Business Enterprises

3. Letter of Intent - for each MBE/WBE/DBE Participation

4. MBE/WBE Contractor Identification Statement - for each MBE/WBE/DBE

5. Bidder's Certification - must be completed and signed by the General Contractor and all Subcontractors who will work on the project (to include MBE/WBE/DBE and non-MBE/WBE/DBEs)

6. If applicable, a completed and signed MBE/WBE/DBE Unavailability Certification in the event that the work listed on the Schedule is not sufficient to fulfill the Requirement for MBE/WBE/DBE Participation. This certification must include a statement by the bidder of the reasons why it believes it is in compliance with this Provision, and a list of the names, addresses, telephone numbers and reason given for unavailability of the Minority /Woman/ Disadvantaged Contractor who was contacted by the Bidder with respect to the performance of work under the contract.
Commonwealth of Massachusetts

BIDDERS CERTIFICATE OF UNDERSTANDING


Contractor ________________________________________ Project __________________

Address ________________________________________ Tel. # ____________________ Project # ______

_________________________________________ Fax # ____________________

I, the undersigned, understand that:

A. Minority Business Enterprises are to be awarded at least 11% of the total contract amount for construction/public works projects.

B. Woman Business Enterprises are to be awarded at least 5% of the total contract amount for construction/public works projects.

C. Disadvantaged Business Enterprises are to be awarded at least 4.33% of the total contract amount for airport projects.

D. All required MBE/WBE/DBE forms included in Instructions to Bidders are to be completed and submitted with the bid.

E. Prior to award of the contract, a pre-construction conference must be held (to be attended by the general contractor and all subcontractors, regardless of tier) at which time the following requirements will be discussed:

1. Weekly Workforce Utilization Reports (Form CAD85) are to be submitted weekly with payroll reports within five (5) days of last payroll;

2. Quarterly Manpower Projection Tables (Form CAD85-1) are to be submitted with the Start Construction notification;

3. Any project in the amount of $100,000+ is subject to the New Bedford Resident Hiring and Responsible Employer Plan ordinances;

4. A minimum goal of 18% minority manpower utilization, in terms of total work hours in the aggregate workforce, in each trade or craft, on each project, will be maintained. The goal for female manpower utilization will be maintained at 6.9% according to regulations;

5. Minority and female work hours are to be uniform in each trade, and minorities and females are to be employed evenly on each project;

6. Minority or female employees are not be transferred from project to project for the purpose of meeting goals;

7. A roster of all minority and/or female applicants for employment must be maintained at each project site (Federal & Non-Federal) in the New Bedford Hometown Plan Area.

F. The submission of the above reports and adherence to hiring practices and equal opportunity performance of subcontractors is the responsibility of the prime contractor.

The bidder hereby certifies that he/she shall comply with the minority manpower ratio and specific affirmative action steps contained in the EEO above, including compliance with the minority contractor compliance specifications. The Contractor receiving the award of the contract shall be required to obtain from each of its subcontractors, and submit to the contracting or administering agency prior to the performance of any work under said contract, a certification by said subcontractor, regardless of tier, that it will comply with the minority manpower ratio and specific affirmative action steps contained in this appendix.

Authorized Signature ________________________________________ Date __________

Name (Please Print or Type) ________________________________________ Title ________

III-26
# SCHEDULE OF PARTICIPATION

**DISADVANTAGED/MINORITY/WMAN BUSINESS ENTERPRISES**

to be completed by the Bidder

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<tr>
<th>Item I - Minority Or Disadvantaged Business Enterprise Participation</th>
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<td><strong>1.</strong> Name:</td>
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<td>Address:</td>
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<td>Nature of Participation:</td>
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<tr>
<td>Dollar Value / % of Bid:</td>
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<td><strong>2.</strong> Name:</td>
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<td>Address:</td>
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<tr>
<td>Nature of Participation:</td>
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<tr>
<td>Dollar Value / % of Bid:</td>
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<th>TOTAL BID PRICE</th>
<th>TOTAL DBE or MBE COMMITMENT</th>
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<th>Item II – Woman Or Disadvantaged Business Enterprise Participation</th>
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<td><strong>1.</strong> Name:</td>
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<td>Nature of Participation:</td>
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<th>TOTAL BID PRICE</th>
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The bidder agrees to furnish implementation reports, as required by the awarding authority, to indicate the MBE/WBE/DBE which it has used or intends to use. Breach of this commitment constitutes a breach of the contract.

General Bidder: ____________________________

Signature: ____________________________ Date: ____________________________
LETTER OF INTENT
to be completed by the DBE/MBE/WBE

This form is to be completed by the DBE or MBE and WBE and must be submitted by the General Bidder as part of the Bid Proposal. A separate form must be completed for each MBE, WBE or DBE involved in the project.

Project Title: ____________________________________________ Project Location: ______________________

To: ____________________________________________

(Name of Bidder)

From: ____________________________________________

(Name of DBE/MBE/WBE)

Indicate DBE/MBE/WBE status

1 / we intend to perform work in connection with the above project as (Check One)

{ } an individual  { } a partnership

{ } a corporation  { } a joint venture with: ______________________

{ } other (explain): ______________________

It is understood that if you are awarded the contract, you intend to enter into an agreement to perform the activity described below for the prices indicated.

DBE/MBE/WBE PARTICIPATION:

<table>
<thead>
<tr>
<th>Description of Activity</th>
<th>Project Start Date</th>
<th>$ Amount</th>
<th>% of Bid Price</th>
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The undersigned certify that they will enter into a formal agreement upon execution of the contract for the above-referenced Project

BIDDER

Authorized Signature  Date

Address

Telephone / Fax

DBE/MBE / WBE

Authorized Signature  Date

Address

Telephone / Fax
MINORITY / WOMAN BUSINESS ENTERPRISE PROGRAM

CONTRACTOR IDENTIFICATION STATEMENT

Project Name: ___________________________ Project #: __________________

Total Bid Price: $ ______________________ Bid Date: __________________

In accordance with the New Bedford Minority Business Enterprise Program, the undersigned bidder certifies that he/she:

1. is a bona fide Minority/Woman/Disadvantaged Business Enterprise currently certified by the State Office of Minority/Woman Business Assistance (SOMWBA); and such SOMWBA certification has not changed; and in the event of said status changing, it will immediately forward written notification to the City of New Bedford and SOMWBA; and

2. intends to perform certain work (specified by formal bid proposal) under a contract in connection with the above-named project, and that work will not be sublet to any company at any tier; and

3. will comply with the minority/woman workforce ratio and specific affirmative action steps contained in the EEO/AA Contract Provisions and shall obtain from each of its subcontractors a copy of the bidder’s certification and submit to the administering agency, prior to the award of such subcontract, regardless of tier, that he/she will comply with the minority/woman workforce ratio and specific affirmative action steps contained in these and the EEO/AA Contract Provisions.

SOMWBA CERTIFICATION CATEGORY: ________________________________

{ } MBE { } WBE { } DBE

CONTRACTORS NAME: ________________________________

ADDRESS: ____________________________________________

TELEPHONE #: _________________________ FAX #: _________________________

REPRESENTATIVE NAME & TITLE: ________________________________

AUTHORIZED SIGNATURE: __________________________________________

GENERAL BIDDERS NAME: ________________________________
BIDDERS CERTIFICATION

To be completed by General Contractor & each of its Subcontractors (MBE/WBE/DBE and non-MBE/WBE/DBE)

The undersigned bidder hereby certifies that he/she will comply with the Minority/Woman Workforce Ratio and Specific Affirmative Action Steps contained in the EEO/AA Provisions of this contract, including compliance with the Minority/Woman/Disadvantaged Business Enterprise as required under these contract provisions.

The contractor receiving the award of the contract shall be required to obtain, from each of its subcontractors, regardless of tier, a copy of this Bidder’s Certification indicating that it will comply with the Minority/Woman Workforce Ratio and Specific Affirmative Action Steps contained in these EEO/AA Contract Provisions, and submit it to the contracting agency prior to the award of such contract and subcontract.

Name of General Contractor

Name of Subcontractor
{ } MBE { } WBE { } DBE { } Non-MBE/WBE

Signature of Authorized Representative

Signature of Authorized Representative

Name & Title (Printed or Typed)

Name & Title (Printed or Typed)

Date

Date
MINORITY / WOMAN BUSINESS ENTERPRISES
UNAVAILABILITY CERTIFICATIONS
to be completed by General Contractor

(The Bidder shall prepare additional copies of this information form
in the quantity necessary to comply with the bidding requirements)

I, __________________________________________, Name
__________________________________________, Title

of ________________________________________, Contractor Name

certify that on _____________________________________, Date of Contact
requesting a bid for

Project ___________________________________ as an { } MBE, { } WBE or { } DBE for the provision of

{ } Goods & Services or { } Labor to accomplish ___________________________________________

Subcontract Work Offered to this MBE/WBE/DBE Company

Name of Prospective Sub-Contractor

___________________________________________

Address ____________________________________
City and State ____________________________ Telephone #

Contact was made by { } Telephone { } In Person

Said sub-contractor was unavailable for work on this project or unable to prepare a bid for the following reason(s): (check appropriate answer):

{ } MBE/WBE/DBE Firm Declined Job

{ } MBE/WBE/DBE Firm offered to do a job at the price of $________________________, which was not
acceptable because: ___________________________________________________________

{ } Other __________________________________________

The above information is accurate and complete, to the best of my knowledge and belief. Signed under the pains and penalties of perjury.

___________________________________________
Signature of Authorized Representative, General Contractor

___________________________________________
Date

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MINORITY / WOMAN/DISAVANTAGED BUSINESS ENTERPRISES

REQUEST FOR WAIVER

Upon exhausting all known sources and making every possible effort to meet the minimum requirements for MBE/WBE/DBE participation, the Contractor may seek relief from these requirements by filing this form (completed) NO LATER THAN FIVE (5) working days following the bid opening. Failure to comply with this process shall cause the bidder to be rejected, thereby rendering the contractor not eligible for award of the contract.

General Information

Project Title: ____________________________ Location: ____________________________

Bid Opening (time/date): ____________________________ Location: ____________________________

Bidder: ____________________________________________

Mailing Address: ____________________________________________

Contact Person: ____________________________________________

Telephone No.: (______) ____________ Ext.

Minimum Requirements

The contractor must show that good faith efforts were undertaken to comply with the percentage goals, as specified. The bidder seeking relief must show that such efforts were taken appropriately, in advance of the time set for opening bid proposals, to allow adequate time for response(s) by submitting the following: (please check all that apply and attach applicable documentation)

A. A detailed record of the effort made to contact and negotiate with minority, woman or disadvantaged business enterprises, to include:

( ) 1. Names, addresses and telephone numbers of all such companies contacted;

( ) 2. Copies of written notice(s) which were sent to MBE/WBE/DBE potential subcontractors prior to bid opening;

( ) 3. Copies of advertisements prior to bid opening, as appearing in general publications, trade-oriented publications, and applicable minority/women focused media detailing the opportunities for participation;

( ) 4. A detailed statement as to why each subcontractor contacted (a) was not willing to do the job or (b) was not qualified to perform the work as solicited; and

( ) 5. In the case(s) where a negotiated price could not be reached, the bidder should detail what efforts were made to reach an agreement on a competitive price

( ) 6. Contractor certifies that 100% of the project is to be carried out with his/her own workforce. No subcontractors are to be utilized.

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