

**Seminar: Devolution, Privatization, and the New Public Management**  
CRP 4120/6120, AEM 4330/6330, FGSS 4110/6110  
Fall 2015, 4 credit hours

For Fall 2015 this course will co-meet with CRP 5074 Economic Development Workshop

Class meeting location: 208 W. Sibley Hall, Wednesday/Friday 10:10 am – 11:50 am

Professor: Mildred Warner, 215 W. Sibley Hall, 5-6816, mew15@cornell.edu

Office hours: Wednesday 2:30 – 4:30 and Friday 2:00 – 4:00 or by appointment

Class Website: <http://blackboard.cornell.edu>

Restructuring Local Government Website: <http://www.mildredwarner.org/gov-restructuring>

Upstate New York Fiscal Stress website <http://www.mildredwarner.org/restructuring/fiscal-stress>

### **Course Description**

Devolution, decentralization and privatization of government services are international trends. This seminar will review these trends in a national and international context and focus on the local public sector response. Concerns for efficiency as well as changing notions of the appropriate role for the public sector drive these shifts. Privatization and public private partnerships are perhaps the most controversial form of restructuring. Special attention will be given to the implications of these shifts for citizenship and urban governance, especially under austerity.

Decentralization and devolution reflect efforts to promote government responsiveness to citizens – by bringing decisions closer to the community, and to promote economic competitiveness by encouraging developmental over redistributive investments. While decentralization of service delivery is presented as a means to enhance citizen access and involvement, it also helps justify the shrinking of the social welfare state, especially in times of fiscal crisis. Current policies promote network governance involving coalitions of government, private and non profit and citizen actors. Planners are often key architects of these initiatives and need to be aware of their potential and their limitations. Many cities face deteriorating infrastructure and fiscal stress, and as commitment to social welfare erodes, cities are left with increasing responsibilities. New solutions will be critical for planners to help cities address the challenge of linking investments in infrastructure, economic and human development with more participatory forms of governance.

### **Learning Goals**

**1. Students will develop an understanding of urban governance and service delivery in a comparative global context that gives attention to emerging trends in infrastructure finance and provision.** Measure: Students will read, discuss and summarize relevant literature.  
URS Goals: Historical and Global Comprehension/World Citizenship

MRP Goals: The Future (Emerging Trends)/ Growth and Development/Global Dimensions of Planning

**2. Students will refine their critical thinking and writing skills to address critical topics in urban governance, service delivery and finance.** Measure: Written Papers and Group Projects.

URS: Writing, Critical Thinking/Complex Problem Solving

MRP: Critical Thinking, Research, Written, Oral and Graphic Communication

**Assignments**

As a discussion based seminar, class participation is essential. Students will have read materials prior to class and be prepared to discuss them.

Reading Summaries – Students will be expected to prepare short written summaries (1-2 single spaced pages) of readings several times during the semester. **These must be posted to File Exchange (under Group “Entire Class”) on Blackboard 24 hours before class or students will be docked a letter grade.** Some of these summaries may be selected for editing and posting to the Restructuring Local Government Website. Discussion between classes may occur on the class website discussion board. Students will be evaluated on the basis of their participation (both questions raised and insights offered).

All students will write **four** papers for the course and several shorter article summaries. This gives you a chance to practice various types of writing: summative, synthesis, professional, academic research. Students are welcome to rewrite assignments to improve their writing skills (and raise their grade) if they choose. The goal is to help you become a better writer.

Theory Paper– Select a theoretical issue and discuss its implications based on the theoretical readings in the course. This is essentially an exam where you choose the question that helps you integrate across the readings in the course and shows me your ability to write a critical synthesis demonstrating knowledge of the material. You must use at least 5 sources. (5 double spaced pages – bibliography can be one extra page). Due as hard copy in class on Oct 21.

Second Paper: Group Paper Due November 11 (topic and work plan due Sept 25) – Practical policy analysis. Work in groups (minimum 4 people) and develop a 15-30 double spaced page report suitable for a professional practitioner audience on the web (maximum 8000 words with bibliography, appendices and case studies can be in addition to the word limit). Topics can look at new forms of providing or financing public services. Topics could be: club or neighborhood based approaches, shared services, meeting the needs of informal settlements, a report on the pros and cons of contracting or mixed public/private management techniques in public works or infrastructure provision (current interest is especially high in water privatization and PPPs for highway infrastructure), or how cities are responding to fiscal stress. Identify the key issues and discuss them in depth. Bring in practical case material. Conduct original research (secondary and primary sources). Develop a presentation for the class (presentations Nov 4 and 6). Revise based on group feedback and turn in full report on Nov 11. As a web document it can have hotlinks, appendices, etc. Alternative to the Final Paper Some students will opt to edit

and improve their group paper en lieu of completing the final paper for the course. This could involve creating a shorter, desktop published issue brief for the web. If you would like to do this, discuss with me, as it depends on the quality of your original group report.

Book Review – Read and summarize a new book. Work in groups. Some groups will split up with 1-2 page summaries of each chapter and then a summary of the entire book. Others will write their summaries in the format of a professional book review (less than 1000 words), suitable for publication in a journal. Present to class Nov 18-20.

Final Paper (for Undergraduates): Synthesis Paper– Analyze one of the following themes – decentralization, state rescaling, regionalism, citizenship – from the last third of the course. Give particular emphasis to discussing the implications for governance and citizenship (5 double spaced pages with bibliography). Due Dec. 16.

Final Paper (for Graduates): Original Research Paper– Graduate students will outline a research paper on a topic of their own choosing. Write a concept paper outlining your focus and argument (3-4 pages) and include an annotated bibliography (3-4 pages). The final paper will not be more than 8 double spaced pages. Due Dec. 16.

## **Grading**

### All Students

Class Participation 25%

Discussion in Class and on Website (5%)

Article Summaries (20%)

Theory Paper	20%
Group Paper	30%*
Book Review	5%
Final Paper	20%

\*For the group project paper, peer grading will form half of the group paper grade. Each member will submit via paper or email a list with each team member's name, what they contributed, and a letter grade.

### Ethics

Collaborative learning is the goal of this class. Group projects and class discussion depend on full participation of all students. Failure to deliver your component of the work on time undermines the learning experience of others and therefore constitutes an ethics violation of the class code (and will result in a grade reduction). If you find that you cannot complete an assignment for which you volunteered, then post a request to switch with someone to the class list and most likely someone will pick up for you. If not, you are still responsible. Grades for class participation and peer grading for the group project reflect this collaborative learning framework.

Academic Integrity: Each student in this course is expected to abide by the Cornell University Code of Academic Integrity. Any work submitted by a student in this course for academic credit will be the student's own work. Citation of sources is fundamental to the academy – when in doubt, cite. Use your own words. If you use a direct quote from an author, cite the author including page number. *Students caught plagiarizing will be given an F.* Each student in this course is expected to abide by the Cornell University Code of Academic Integrity. See <http://cuinfo.cornell.edu/Academic/AIC.html>

Academic Accommodation: In compliance with the Cornell University policy and equal access laws, I am available to discuss appropriate academic accommodations that may be required for students with disabilities. Requests for academic accommodations are to be made during the first three weeks of the semester, except for unusual circumstances, so arrangements can be made.

Ownership and Posting of Course Materials – Lectures and other course materials developed in this class or posted on Blackboard are intellectual property belonging to the author. Students are not permitted to buy or sell any course materials. No taping of lectures is allowed unless permission is explicitly granted by the instructor. Such permission is only for the student's own study purposes. No additional posting of course materials is allowed without the express permission of the instructor. Such unauthorized behavior constitutes academic misconduct. Some materials developed by the class will be published to my website, but only after they have been thoroughly vetted and approved by me.

#### Computer/Phone /Tablet Use in Class

Computers, tablets and smart phones can be disruptive to class discussion and cause students to not be fully present. Thus, computers, tablets and smart phones may be used in this class *only* if they are used to take notes or to enhance class discussion. Violators will be called out.

#### **Readings**

Most of the readings for class are articles available on line through the Cornell Library System – on reserve in the Fine Arts Library, or linked via Blackboard.

Books listed for the 'book fest' part of the course will be read by only a few members of the class. Wait to purchase (or borrow) until the class has divided into groups and the book for each group is selected. All book fest books will be on reserve in the Fine Arts Library.

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## **COURSE OUTLINE**

### **Aug 26 Introduction: Overview of Course**

Warner, Mildred E. 2010. "The Future of Local Government: 21<sup>st</sup> Century Challenges," *Public Administration Review*, 70(S-II): 145-147.

<http://search.proquest.com.proxy.library.cornell.edu/docview/853424110/fulltextPDF/>

McDonald, David. 2015 The Motely World of Making Public, Introduction (pp 1-8), *Making Public in a Privatized World*. Zed. On Blackboard.

### **August 28 Austerity and Fiscal Stress**

New Economics Foundation, 2013. Framing the Economy: The Austerity Story. Download pdf at <http://www.neweconomics.org/publications/entry/framing-the-economy-the-austerity-story>

Dolan, Karen and Carr, Jodi. 2015. The Poor Get Prison: The Alarming Spread of the Criminalization of Poverty. Institute for Policy Studies, Washington, DC  
<http://www.ips-dc.org/wp-content/uploads/2015/03/IPS-The-Poor-Get-Prison-Final.pdf>

Peck, J. (2012). Austerity urbanism. *City*, 16(6), 626-655. doi: 10.1080/13604813.2012.734071  
<http://www.tandfonline.com/doi/pdf/10.1080/13604813.2012.734071>

Joyce, P. G. and Pattison, S. 2010. Public Budgeting in 2020: Return to Equilibrium, or Continued Mismatch between Demand and Resources?" *Public Administration Review*, Vol 70, No. S-II, pp. S24-S32.  
<http://onlinelibrary.wiley.com.proxy.library.cornell.edu/doi/10.1111/j.1540-6210.2010.02243.x/full>

Warner, M.E. and Clifton, J. (2014). Marketization, Public Services and the City: The Potential for Polanyian Counter Movements, *Cambridge Journal of Regions, Economy and Society*, 7(1): 45-61. <http://cjres.oxfordjournals.org/content/7/1/45.full.pdf+html>

### **Recommended**

[Tax Caps in Other States](#): Lessons for New York - By Hector Chang and Christine Wen, Cornell University

Pagano, Cities and the Great Recession, pp 3-18 in Pagano, M. ed. *Metropolitan Resilience in a Time of Economic Turmoil*, Univ of Il press. (Book on reserve)

Pagano, M. A., & McFarland, C. (2013). City Fiscal Conditions in 2013 *Research Brief on America's Cities*: National League of Cities.  
[http://www.nlc.org/Documents/Find%20City%20Solutions/Research%20Innovation/Finance/Fin\\_al\\_CFC2013.pdf](http://www.nlc.org/Documents/Find%20City%20Solutions/Research%20Innovation/Finance/Fin_al_CFC2013.pdf)

McDonald, J. F. (2014). What happened to and in Detroit? *Urban Studies*. doi: 10.1177/0042098013519505  
<http://usj.sagepub.com/content/early/2014/01/23/0042098013519505.full.pdf+html>

Jamie Peck (2014) Pushing austerity: state failure, municipal bankruptcy and the crises of fiscal federalism in the USA, *Cambridge J Regions Econ Soc* (2014) 7 (1): 17-44.  
<http://cjres.oxfordjournals.org/content/7/1/17.full.pdf+html>

Bankrupt Cities, Municipalities List and Map  
<http://www.governing.com/gov-data/municipal-cities-counties-bankruptcies-and-defaults.html>

Stiglitz, G. 2013. The Wrong Lesson From Detroit's Bankruptcy, the New York Times, Aug 11, 2013. [http://opinionator.blogs.nytimes.com/2013/08/11/the-wrong-lesson-from-detroits-bankruptcy/?\\_r=0](http://opinionator.blogs.nytimes.com/2013/08/11/the-wrong-lesson-from-detroits-bankruptcy/?_r=0)

Snell, Leigh. (2011). Setting the Record Straight About Public Pensions," *Government Finance Review*, Feb. 2011, pp. 8-16.  
<http://search.proquest.com.proxy.library.cornell.edu/docview/856831231>

Picur, Ronald D. and Weiss, Lance J. 2011. "Addressing the Media Misconceptions about Public-Sector Pensions and Bankruptcy," *Government Finance Review*, Feb. 2011, pp 18-27.  
<http://search.proquest.com.proxy.library.cornell.edu/docview/856831476/>

## **Sept 2 Growth Machine, Austerity Machine or Pragmatic Municipalism?**

MacLeod, G. (2011). Urban Politics Reconsidered Growth Machine to Post-democratic City? *Urban Studies*, 48(12), 2629-2660. <http://usj.sagepub.com/content/48/12/2629.full.pdf+html>

Kim, Yunji and Warner, M.E. 2015. Geographies of Stress after the Great Recession (on Blackboard)

Sotomayor, L. (Forthcoming, October 2015) Equitable Planning through Territories of Exception: The Contours of Medellin's Urban Development Projects, *International Development Planning Review*. (on blackboard)

### **Recommended**

Molotch, Harvey, (1976). The City as a Growth Machine: Toward a Political Economy of Place, *The American Journal of Sociology*, Vol. 82, No. 2. (Sep., 1976), pp. 309-332.  
<http://www.jstor.org.proxy.library.cornell.edu/stable/pdf/2777096.pdf?acceptTC=true>

## **Sept 4 Measuring Well Being - Guest Lecture by Yunji Kim**

[Social Indicators for New York Local Governments](#) - By Ana Huckfeldt, Irene Hung & Roya Sabri, Cornell University. 2014.

Scott, K. (2012). *Measuring Wellbeing: Towards Sustainability?* : Routledge. Chapter 1. Introduction, pp. 3-14

[http://books.google.com/books?hl=en&lr=&id=lWBXjk1nocIC&oi=fnd&pg=PP2&dq=Scott,+K+\(2012\).+Measuring+Wellbeing:+Towards+Sustainability%3F+:+Routledge.+Chapter+1.+Introduction&ots=O4MM0aAE7p&sig=V8befLYtVw2ZBwyKXIEm1hwrJXw#v=onepage&q&f=false](http://books.google.com/books?hl=en&lr=&id=lWBXjk1nocIC&oi=fnd&pg=PP2&dq=Scott,+K+(2012).+Measuring+Wellbeing:+Towards+Sustainability%3F+:+Routledge.+Chapter+1.+Introduction&ots=O4MM0aAE7p&sig=V8befLYtVw2ZBwyKXIEm1hwrJXw#v=onepage&q&f=false)

Walsh, C. (2010). Development as Buen Vivir: Institutional arrangements and (de) colonial entanglements. *Development*, 53(1), 15-21.

<http://www.palgrave-journals.com.proxy.library.cornell.edu/development/journal/v53/n1/pdf/dev200993a.pdf>

### **Recommended**

Sawicki, D. S. (2002). Improving community indicator systems: Injecting more social science into the folk movement. *Planning Theory & Practice*, 3(1), 13-32.

<http://www.tandfonline.com/doi/pdf/10.1080/14649350220117780>

Phillips, R.. (2003). *Community indicators*. American Planning Association Planning Advisory Service Report Number 517. Chicago, IL: APA.

<http://www.planning.state.ri.us/documents/comp/CommunityIndicators.pdf>

## **Sept 9 Local Economic Development after the Recession**

Warner, Mildred E. and Lingwen Zheng, (2013). "Business Incentive Adoption in the Recession," *Economic Development Quarterly*, 27(2): 90-101. DOI:

10.1177/0891242413479140 <http://edq.sagepub.com/content/27/2/90.full.pdf+html>

Weber, Rachel (2010) Selling City Futures: The Financialization of Urban Redevelopment Policy, *Economic Geography* 86(3): 251-274.

<http://onlinelibrary.wiley.com/doi/10.1111/j.1944-8287.2010.01077.x/pdf>

Smith, N. (2002). New globalism, new urbanism: gentrification as global urban strategy.

*Antipode*, 34(3), 427-450. <http://onlinelibrary.wiley.com/doi/10.1111/1467-8330.00249/pdf>

### **Recommended**

[Can START-UP NY jumpstart the NY economy?](#) Nidhi Subramanyam, Department of City and Regional Planning, Cornell University.

<http://cardi.cornell.edu/cals/devsoc/outreach/cardi/publications/loader.cfm?csModule=security/getfile&PageID=1124348>

Bartik, T. J. (2005). Solving the problems of economic development incentives. *Growth and Change*, 36(2), 139-166. <http://onlinelibrary.wiley.com/doi/10.1111/j.1468-2257.2005.00272.x/epdf>

### **Sept 11 Privatization Trends**

Warner, Mildred and Amir Hefetz (2012), In-Sourcing and Outsourcing: The Dynamics of Privatization among US Municipalities 2002-2007, *Journal of the American Planning Association*, 78(3): 313-327. DOI:10.1080/01944363.2012.715552

<http://www.tandfonline-com.proxy.library.cornell.edu/doi/pdf/10.1080/01944363.2012.715552>

Ramesh, M. 2008 Introduction: Reasserting the state in public services, pp 1-16 in *Reasserting the Public in Public Services: New Public Management Reforms*, ed by M. Ramesh, E Araral and X. Wu, London: Routledge. (on Blackboard).

Bel, Germà, Trevor Brown and Mildred E. Warner (2014). Mixed and Hybrid Models of Public Service Delivery *International Public Management Journal*, 17(3): 297-307.

<http://www.tandfonline.com/doi/full/10.1080/10967494.2014.935231>

Bel, Germà and Mildred E. Warner (2015). Inter-municipal cooperation and costs: Expectations and Evidence, *Public Administration: An International Quarterly*, 93(1), 52-67.

<http://onlinelibrary.wiley.com/doi/10.1111/padm.12104/epdf>

### **Recommended**

Florio, M. 2013. *Networked Industries and Social Welfare: The Experiment that Reshuffled European Utilities*, Oxford: Oxford University Press. (book on reserve)

Hefetz, Amir, Mildred Warner and Eran Vigoda-Gadot (2014), Concurrent Sourcing in the Public Sector: A Strategy to Manage Contracting Risk, *International Public Management Journal*, 17(3). DOI: 10.1080/10967494.2014.935242

<http://www.tandfonline.com/doi/full/10.1080/10967494.2014.935242#.VAMhpUijkXw>

Hefetz, Amir, Mildred E. Warner and Eran Vigoda-Gadot, 2012. Privatization and Inter-Municipal Contracting: US Local Government Experience 1992-2007, *Environment and Planning C: Government and Policy* 30 (4): 675-692. doi:10.1068/c11166

<http://www.envplan.com.proxy.library.cornell.edu/epc/fulltext/c30/c11166.pdf>

Hall, D., Lobina, E. and Terhorst, P. (2013) Re-municipalisation in the early twenty-first century: Water in France and energy in Germany, *International Review of Applied Economics* 27(2): 193-214. <http://www.tandfonline.com/doi/pdf/10.1080/02692171.2012.754844>

Pigeon, M. and McDonald, D.A. eds., (2012). *Remunicipalization: Putting Water Back into Public Hands*, Amsterdam: Transnational Institute.



[http://www.tni.org/sites/www.tni.org/files/download/remunicipalisation\\_book\\_final\\_for\\_web\\_0.pdf](http://www.tni.org/sites/www.tni.org/files/download/remunicipalisation_book_final_for_web_0.pdf)

Kishimoto, S., Lobina, E. and Petitjean, O. 2015. *Our public water future: The global experience with remunicipalisation* TNI, PSIRU, Multinationals Observatory, MSP and EPSU  
<http://www.municipalservicesproject.org/publication/our-public-water-future-global-experience-remunicipalisation#sthash.yENCEIjC.dpuf> Introduction -  
<http://www.municipalservicesproject.org/userfiles/OurPublicWaterFuture-Introduction.pdf>

Wang, H. Warner, M. Tian, Y., Zhu, D. (2014). "Public Owner with Business Delivery Mode: Case Study of the Shanghai Public Bus System, China," *Annals of Public and Cooperative Economics*, 85(1): 147-164 SPECIAL ISSUE ON MIXED PUBLIC/PRIVATE FIRMS  
<http://onlinelibrary.wiley.com/doi/10.1111/apce.12030/pdf>

Warner, M. E. 2012. "Privatization and Urban Governance: The Continuing Challenges of Efficiency, Voice and Integration," *Cities*, 29(Supplement 2) s38-s43.  
<http://dx.doi.org.proxy.library.cornell.edu/10.1016/j.cities.2012.06.007>

### **Sept 16            Group Work Session**

Form Groups and Decide Your Group Project Focus.

Read Examples of prior student work on my website

<http://www.mildredwarner.org/restructuring/fiscal-stress>

<http://www.mildredwarner.org/restructuring/special-projects>

### **Sept. 18 Contracting Challenges: Cost Savings, Competition, Contract Design, Power**

Warner, M.E. 2011. "Water Privatization Does Not Yield Cost Savings," in *Reclaiming Public Water: Achievements, Struggles and Vision from Around the World*, published by the Transnational Institute and Corporate Europe Observatory. <http://www.tni.org/article/water-privatization-does-not-yield-cost-savings>.

Girth, A., A. Hefetz, J. Johnston and M.E. Warner 2012. "Outsourcing Public Service Delivery: Management Responses in Noncompetitive Markets," *Public Administration Review*, 72(6): 887-900. DOI: 10.1111/j.1540-6210.2012.02596.x.  
<http://onlinelibrary.wiley.com.proxy.library.cornell.edu/doi/10.1111/j.1540-6210.2012.02596.x/full>

Kohl, Benjamin. 2004. "Privatization Bolivian Style: A Cautionary Tale," *International Journal of Urban and Regional Research*, 28(4): 893-908.  
<http://www.blackwell-synergy.com/doi/abs/10.1111/j.0309-1317.2004.00558.x>

### **Recommended**

Bel, Germà , Xavier Fageda and Mildred E. Warner 2010. "Is Private Production of Public Services Cheaper than Public Production? A meta-regression analysis of solid waste and water services," *Journal of Policy Analysis and Management*, 29(3): 553-577.

<http://search.ebscohost.com.proxy.library.cornell.edu/login.aspx?direct=true&db=bth&AN=51532236&site=ehost-live>

Brown, T. L., Potoski, M. and Van Slyke, D. M. 2006. "Managing Public Service Contracts: Aligning Values, Institutions, and Markets." *Public Administration Review*, 66: 323-331. <http://search.proquest.com.proxy.library.cornell.edu/docview/197173069/fulltextPDF>

### **Sept 23 Theoretical Bases for Privatization: New Public Management**

Osborne, Stephen 2008. The New Public Governance, *Public Management Journal* 8(3): 377-387. <http://citeseerx.ist.psu.edu/viewdoc/download?doi=10.1.1.476.2364&rep=rep1&type=pdf>

Denhardt, R. B., & Denhardt, J. V. (2000). The new public management: Serving rather than steering. *Public Administration Review*, 60(6), 549–559. <http://onlinelibrary.wiley.com/doi/10.1111/0033-3352.00117/pdf>

Schick, Alan. 1998. "Why Most Developing Countries Should Not Try New Zealand's Reforms," *The World Bank Research Observer*, 13(1): 123-131. <http://proquest.umi.com/pqdweb?index=10&did=28582440&SrchMode=3&sid=7&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187200472&clientId=8424&aid=8>

### **Recommended**

Read chapter summaries of Osborne, David, and Ted Gaebler. 1992. *Reinventing Government: How the Entrepreneurial Spirit Is Transforming the Public Sector*. Reading, MA: Addison-Wesley. <http://cms.mildredwarner.org/summaries/osborne1992>

Warner, M.E. 2008. "Reversing Privatization, Rebalancing Government Reform: Markets, Deliberation and Planning," *Policy and Society*, 27(2): 163-174. [http://ac.els-cdn.com/S1449403508000271/1-s2.0-S1449403508000271-main.pdf?\\_tid=2315b512-2c89-11e4-8c0b-0000aab0f01&acdnat=1408993004\\_e2a722c3dade48775f2364699876bdb1](http://ac.els-cdn.com/S1449403508000271/1-s2.0-S1449403508000271-main.pdf?_tid=2315b512-2c89-11e4-8c0b-0000aab0f01&acdnat=1408993004_e2a722c3dade48775f2364699876bdb1)

Alonso, J. M., Clifton, J., & Díaz-Fuentes, D. (2013). Did New Public Management Matter? An empirical analysis of the outsourcing and decentralization effects on public sector size. *Public Management Review*, 1-18. doi: 10.1080/14719037.2013.822532 [http://www.cocops.eu/wp-content/uploads/2011/12/COCOPS\\_workingpaper\\_No4.pdf](http://www.cocops.eu/wp-content/uploads/2011/12/COCOPS_workingpaper_No4.pdf)

Saint-Martin, D. (2001) "How the Reinventing Government Movement in Public Administration was Exported from the U.S. to Other Countries," *International Journal of Public Administration*; 24(6).

Ramamurti, Ravi. 1999. "Why Haven't Developing Countries Privatized Deeper and Faster?" *World Development*, 27(1): 137-155. [http://dx.doi.org/10.1016/S0305-750X\(98\)00131-4](http://dx.doi.org/10.1016/S0305-750X(98)00131-4)

Feigenbaum, Harvey B. and Jeffrey R. Henig. 1994. "The Political Underpinnings of

Privatization: A Typology,” *World Politics*, 46(2): 185-208.  
<http://www.jstor.org/stable/2950672>

DeLeon, Linda and Robert B. Denhardt. 2000. “The Political Theory of Reinvention,” *Public Administration Review*, 60(2): 89-97.  
<http://onlinelibrary.wiley.com/doi/10.1111/0033-3352.00068/pdf>

Moe, Ronald C. 1994. “The ‘Reinventing Government’ Exercise: Misinterpreting the Problem, Misjudging the Consequences,” *Public Administration Review*, 54(2): 111-122.  
<http://proquest.umi.com/pqdweb?index=37&did=709222&SrchMode=3&sid=8&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187200565&clientId=8424&aid=9>

Blanchard, Lloyd A., Charles C. Hinnant and Wilson Wong. 1998. “Market-Based Reforms in Government: Toward a Social Subcontract?” *Administration & Society*, 30(5): 483-512.  
<http://proquest.umi.com/pqdweb?index=5&did=35514668&SrchMode=3&sid=1&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187213135&clientId=8424&aid=1>

Rosenbloom, David H. and Suzanne J. Piotrowski. 2005. “Outsourcing the Constitution and Administrative Law Norms,” *The American Review of Public Administration*, 35(2): 103-121.  
<http://arp.sagepub.com/cgi/content/abstract/35/2/103>

Schamis, Hector E. 2002. *Re-forming the State: The Politics of Privatization in Latin America and Europe*. Ann Arbor, MI: University of Michigan Press. (Book on reserve)

**Sept 25 Group Work Plan Due. Two – three page outline due (Focus, outline, plan of work, data sources, analysis plans)**

**Sept 25 Public Choice Theory and Consumer Sovereignty**

Tiebout, Charles M. 1956. “A Pure Theory of Local Expenditures,” *The Journal of Political Economy*, 64(5): 416-424. <http://www.jstor.org.proxy.library.cornell.edu/stable/1826343>

Lowery, David. 1998. “Consumer Sovereignty and Quasi-Market Failure,” *Journal of Public Administration Research and Theory*, 8(2): 137-172.  
<http://proquest.umi.com/pqdweb?index=2&did=29426160&SrchMode=3&sid=4&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187198853&clientId=8424&aid=5>

Graham, Carol. 1998. *Private Markets for Public Goods: Raising the Stakes in Economic Reform*. Washington, DC: The Brookings Institution Press. Chapter 1 (Book on Reserve).

Recommended

Lowery, David. 2000. "A Transactions Costs Model of Metropolitan Governance: Allocation versus Redistribution in Urban America," *Journal of Public Administration Research and Theory*, 10(1): 49-78.

<http://proquest.umi.com/pqdweb?index=3&did=52634951&SrchMode=3&sid=1&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187197098&clientId=8424&aid=1>

Warner, Mildred E. and Amir Hefetz. 2002. "Applying Market Solutions to Public Services: An Assessment of Efficiency, Equity, and Voice," *Urban Affairs Review*, 38(1): 70-89.

<http://uar.sagepub.com/cgi/content/abstract/38/1/70>

Boyne, George A. 1998. "Bureaucratic Theory Meets Reality: Public Choice and Service Contracting in U.S. Local Government," *Public Administration Review*, 58(6): 474-483.

<http://proquest.umi.com/pqdweb?index=5&did=36339483&SrchMode=3&sid=2&Fmt=2&VInst=PROD&VType=PQD&RQT=309&VName=PQD&TS=1187197458&clientId=8424&aid=3>

Jimenez, B. S. (2014). Separate, Unequal, and Ignored? Inter-jurisdictional Competition and the Budgetary Choices of Poor and Affluent Municipalities. *Public Administration Review*, 74(2), 246-257. <http://onlinelibrary.wiley.com/doi/10.1111/puar.12186/pdf>

### **Sept 30                    Voluntary Bargaining and Transaction Costs**

North, D. C. (1987). Institutions, transaction costs and economic growth. *Economic inquiry*, 25(3), 419-428. <http://onlinelibrary.wiley.com/doi/10.1111/j.1465-7295.1987.tb00750.x/epdf>

Webster, Christopher J. and Lawrence Wai-Chung Lai. 2003. *Property Rights, Planning and Markets: Managing Spontaneous Cities*. Cheltenham, UK; Northampton, MA: Edward Elgar. Read chapter summaries on website <http://cms.mildredwarner.org/summaries/websterlai2003> (Book on reserve)

#### **Recommended**

Coase, R.H. 1960. "The Problem of Social Cost," *Journal of Law and Economics*, 3: 1-44. <http://www.jstor.org/stable/724810> focus especially on pp 15-19, 28-25, attacks Pigou 35-42.

Kidd, Jeremy, Kindergarten Coase (March 10, 2014). Available at SSRN: <http://ssrn.com/abstract=2421621> or <http://dx.doi.org/10.2139/ssrn.2421621>

Hefetz, Amir and Mildred E. Warner 2012. "Contracting or Public Delivery? The importance of service, market and management characteristics," *Journal of Public Administration Research and Theory*, 22(2): 289-317. DOI 10.1093/jopart/MUR006

<http://jpart.oxfordjournals.org/content/early/2011/04/11/jopart.mur006.full.pdf+html>

Williamson, O.E. (1999). Public and Private Bureaucracies: A Transaction Cost Economics Perspective. *Journal of Law, Economics, & Organization*, 15 (1), 306-342.

<http://jleo.oxfordjournals.org/content/15/1/306.full.pdf+html>

## **Oct. 2 Club Goods**

Ostrom, Elinor. (2010). "Analyzing Collective Action." *Agricultural Economics* 41(S1) (November 2010): 155–66.

<http://onlinelibrary.wiley.com/doi/10.1111/j.1574-0862.2010.00497.x/pdf>

Warner, M.E. 2011. "Club Goods and Local Government: Questions for Planners," *Journal of the American Planning Association*, 77(2): 155-166.

<http://search.ebscohost.com.proxy.library.cornell.edu/login.aspx?direct=true&db=bth&AN=60040799&site=ehost-live>

Gonzalez, M. Beers, K., Weber-Shirk, M., and Warner, M. (2014). "Analyzing the Potential of Community Water Systems--The Case of AguaClara" *Water Policy*, 16 (2014) 557–577.

[http://marcelagr.gspia.pitt.edu/wp-content/uploads/2013/08/GonzalezRivasetal\\_WaterPolicy2014.pdf](http://marcelagr.gspia.pitt.edu/wp-content/uploads/2013/08/GonzalezRivasetal_WaterPolicy2014.pdf)

Additional Optional Reading: Practical Applications of Market Based Approaches: CIDs, BIDs, TDRs

Buchanan, James M. (1965). An Economic Theory of Clubs *Economica* 32(25): 1-14.

<http://www.jstor.org/stable/pdfplus/2552442.pdf>

Staley, Samuel and Lynn Scarlett. 1997. "Market Oriented Planning: Principles and Tools," Working Paper. Los Angeles, CA: The Reason Foundation.

<http://reason.org/files/a1ef59e1735a02906d93c5b86b8dde82.pdf>

Mitchell, J. (1999), *Business Improvement Districts and Innovative Service Delivery*, Grant Report for the PriceWaterhouse Coopers Endowment for Government. Focus on pp 6-13.

<http://www.businessofgovernment.org/report/business-improvement-districts-and-innovative-service-delivery>

McCabe, Barbara Coyle, and Jill Tao. "Private Governments and Private Services: Homeowners Associations in the City and Behind the Gate." *Review of Policy Research* 23, no. 6 (2006): 1143-1157.

<http://onlinelibrary.wiley.com.proxy.library.cornell.edu/doi/10.1111/j.1541-1338.2006.00257.x/pdf>

## **Oct 7 Governance by Network**

Salamon, Lester. 2002. "The New Governance and the Tools of Public Action: An Introduction" pp 1-47 in *The Tools of Government: A Guide to the New Governance*. Oxford; New York: Oxford University Press. (Book on Reserve) See especially tables on p 9, p 21 and pp 26, 29, 33, 36)

Miraftab, Faranak. 2004. "Public-Private Partnerships: The Trojan Horse of Neoliberal Development?" *Journal of Planning Education and Research*, 24(1): 89-101.  
<http://jpe.sagepub.com/cgi/content/abstract/24/1/89>

Barter, Paul. 2008 Public planning with business delivery of excellent urban public transport, *Policy and Society* 27(2): 103–114  
[http://ac.els-cdn.com/S1449403508000222/1-s2.0-S1449403508000222-main.pdf?\\_tid=ad9099d6-4340-11e5-afea-00000aab0f6c&acdnat=1439638257\\_c185f98268c53cc29a4f983d482d3691](http://ac.els-cdn.com/S1449403508000222/1-s2.0-S1449403508000222-main.pdf?_tid=ad9099d6-4340-11e5-afea-00000aab0f6c&acdnat=1439638257_c185f98268c53cc29a4f983d482d3691)

### Recommended

Milward, H. B., & Provan, K. G. (2000). Governing the hollow state. *Journal of Public Administration Research and Theory*, 10(2), 359-380.  
<http://jpart.oxfordjournals.org.proxy.library.cornell.edu/content/10/2/359.full.pdf+html>

Rhodes, R.A.W. 1996. "New Governance: Governing Without Government," *Political Studies* XLIV: 652-667.  
<http://search.ebscohost.com.proxy.library.cornell.edu/login.aspx?direct=true&db=a2h&AN=9701241797&site=ehost-live>

Brinkerhoff, D. W., & Brinkerhoff, J. M. (2011). Public–private partnerships: perspectives on purposes, publicness, and good governance. *Public Administration and Development*, 31(1), 2-14  
<http://onlinelibrary.wiley.com/doi/10.1002/pad.584/pdf>

Osborne, S. P. (2010). *The New Public Governance?: Emerging Perspectives on the Theory and Practice of Public Governance*: Taylor & Francis. Ch. 19 From new public management to networked community governance? Strategic local public service networks in England by S. Martin - gives nice overview of localism in UK context, Ch. 23 Conclusions. Public governance and public services delivery: a research agenda for the future. (Book on Reserve)

### **Oct 9 Corporatization**

McDonald, D.A. (2014) "Ambiguity and Multiple Meanings of Corporatization," pp 1- 30 in *Corporatization and Public Services in the Global South*, ed by D. McDonald, Zed Books, NY. (on Blackboard, Book on Reserve)

VINING A., BOARDMAN A. and MOORE M., 2014, 'The theory and evidence pertaining to local government mixed enterprises', *Annals of Public and Cooperative Economics*, 85(1), 53–86. <http://onlinelibrary.wiley.com.proxy.library.cornell.edu/doi/10.1111/apce.12029/full>

Clifton, J., Díaz-Fuentes and Warner, M. (2014) “The Loss of Public Values when Public Shareholders Go Abroad”. Paper prepared for the international workshop on “The Public Shareholder”, 2-4 May, University of Montreal, Canada, organized by Kathryn Furlong. (On Blackboard)

### **Oct 14 Infrastructure as Universal?**

O’Neill, Phillip. (2010). Infrastructure Financing and Operation in the Contemporary City, *Geographic Research* 48(1):3-12.

<http://onlinelibrary.wiley.com/doi/10.1111/j.1745-5871.2009.00606.x/full>

Warner, M.E. and Prentice, S. (2013). “Toward Social Rights: Linking Childcare, Economic Development and Social Investment in the U.S. and Canada,” *Journal of Urban Affairs*, 35(2): 195-217. <http://onlinelibrary.wiley.com.proxy.library.cornell.edu/doi/10.1111/j.1467-9906.2012.00622.x/pdf>

Sultana, F., Mohanty, C.T. and Miraglia, S. 2015 Gender Equity, Citizenship and Public Water, in *Making Public in a Privatized World*, ed by David McDonald, Zed. (on Blackboard)

### **Recommended**

Graham, S. and Marvin, S. (2001) *Splintering Urbanism: Networked Infrastructures, Technological Mobilities and the Urban Condition*, London and New York: Routledge. Chapter 4, Practices of Splintering Urbanism, pp 137-177. (Book on reserve)

Furlong, Kathryn, (2013) The Dialectics of Equity: Consumer Citizenship and the Extension of Water Supply in Medellín, Colombia, *Annals of the Association of American Geographers*, <http://dx.doi.org/10.1080/00045608.2013.782599>

### **Oct 16 Review Session**

### **Oct 21 Theory Paper Due. Begin to Form Groups for Book Fest**

### **Oct 21 New Forms of Infrastructure Financing**

Sclar, E. (2015). The political economics of investment Utopia: public–private partnerships for urban infrastructure finance. *Journal of Economic Policy Reform*, 18(1), 1-15.

<http://www.tandfonline-com.proxy.library.cornell.edu/doi/pdf/10.1080/17487870.2014.950857#.Vc5-TkXDTkA>

See Table 1, p 19 for list of elements in PPP enabling legislation

Geddes R and Wagner, B. (2012) **DO STATE PUBLIC-PRIVATE PARTNERSHIP ENABLING LAWS INCREASE INVESTMENT IN TRANSPORTATION INFRASTRUCTURE?** Working Paper <http://www.human.cornell.edu/pam/cpip/upload/Do-PPP-Enabling-Laws-Cause-Investment-Aug-12.pdf>



Lake, Robert. 2014 "The Financialization of Urban Policy in the Age of Obama." *Journal of Urban Affairs* 37: 75-78. <http://onlinelibrary.wiley.com/doi/10.1111/juaf.12167/epdf>

Clifton, Judith, Daniel Diaz-Fuentes and Julio Revuelta (2014). Financing utilities: How the role of the European Investment Bank shifted from regional development to making markets *Utilities Policy* 29(June 2014), Pages 63–71  
<http://www.sciencedirect.com/science/article/pii/S0957178713000623>

### Recommended

Warner, M.E. (2013). "Private Interest in Public Finance: Social Impact Bonds, *Journal of Economic Policy Reform*, 16(4): 303-319. DOI:10.1080/17487870.2013.835727  
<http://s3.amazonaws.com/mildredwarner.org/attachments/000/000/413/original/d116aef904347207d0dd8d5916616045>

Ashton, P., Doussard, M. and Weber, R. (2012). "The Financial Engineering of Infrastructure Privatization: What are Public Assets Worth to Private Investors?" *Journal of the American Planning Association*, 78(3): 300-312.  
<http://www.tandfonline.com.proxy.library.cornell.edu/doi/pdf/10.1080/01944363.2012.715540>

CUNHA MARQUES, R., & Berg, S. (2011). PUBLIC-PRIVATE PARTNERSHIP CONTRACTS: A TALE OF TWO CITIES WITH DIFFERENT CONTRACTUAL ARRANGEMENTS. *Public administration*, 89(4), 1585-1603.  
<http://onlinelibrary.wiley.com/doi/10.1111/j.1467-9299.2011.01944.x/pdf>

Geddes, R. (2011). *The Road to Renewal*, AEI: Washington, DC.

Hodge, G.A. and Greve, C. (2009). "PPPs: The Passage of Time Permits a Sober Reflection." *Economic Affairs*, 29: 33-39.  
<http://search.ebscohost.com.proxy.library.cornell.edu/login.aspx?direct=true&db=bth&AN=36790883&site=ehost-live>

Dannin, E. (2011). Crumbling Infrastructure- Crumbling Democracy: Infrastructure Privatization Contracts and Their Effects on State and Local Governance, *Northwestern Journal of Law and Social Policy*. 6: 47-105.  
<http://heinonline.org.proxy.library.cornell.edu/HOL/Page?handle=hein.journals/nwjlso6&collection=journals&id=47>

De Wang, Li Zhang, Zhao Zhang and Simon Xiaobin Zhao (2011) Urban Infrastructure Financing in Reform-era China, *Urban Studies* 48(14) 2975–2998  
<http://usj.sagepub.com/content/48/14/2975.full.pdf>

### **October 23 Group Work Session**



**October 26 – Special Lecture Lisa Hanley, 115 W Sibley 1:00 -2:30 pm**

“Conceptualizing the Social Enterprise Landscape: Insights from Colombia, Mexico, Kenya and South Africa”

**Oct 28 Fiscal Decentralization: Empirical Evidence - Guest Lecture by Yuanshuo Xu**

Martinez-Vazquez, J. Lago-Peñas, S. & Sacchi, A. 2015. The Impact of Fiscal Decentralization: A Survey. GEN Working Paper A 2015 – 5, Vigo, Spain.

<http://infogen.webs.uvigo.es/WP/WP1505.pdf>

Xu, Yuanshuo and Mildred Warner (2015). “Does Devolution Crowd out Development? A Spatial Analysis of US Local Government Fiscal Effort.” *Environment and Planning A* (forthcoming) (on blackboard)

**Recommended**

Brenner, Neil. 2004. “Urban Governance and the Production of New State Spaces in Western Europe, 1960-2000,” *Review of International Political Economy*, 11(3): 447-488.

<http://www.informaworld.com/smpp/content~content=a714024842~db=all>

Lessmann C, 2012, “Regional inequality and decentralization: An empirical analysis”

*Environment and Planning A* 44(6) 1363-1388

Lobao, L., Adua, L., & Hooks, G. (2014). Privatization, Business Attraction, and Social Services across the United States: Local Governments’ Use of Market-Oriented, Neoliberal Policies in the Post-2000 Period. *Social Problems*, 61(4), 644-672.

<http://socpro.oxfordjournals.org.proxy.library.cornell.edu/content/socpro/61/4/644.full.pdf>

Lobao, L. and Adua, L. (2011) State rescaling and local governments' austerity policies across the USA, 2001–2008, *Cambridge Journal of Regions, Economies and Society*, 4(3): 419-435.

<http://cjres.oxfordjournals.org.proxy.library.cornell.edu/content/4/3/419.full.pdf+html>

Katz, Michael B. 2001. *The Price of Citizenship: Redefining America’s Welfare State*. New York, NY: Metropolitan Books. (Book on reserve)

Rodríguez -Pose, A. and Ezcurra, R. 2011. “Is fiscal decentralization harmful for economic growth? Evidence from the OECD countries,” *Journal of Economic Geography* 11 (4): 619-643.

<http://joeg.oxfordjournals.org.proxy.library.cornell.edu/content/11/4/619.full.pdf+html>

Swyngedouw, Eric. 1997. “Neither Global nor Local: ‘Glocalization’ and the Politics of Scale,” in K. Cox (Ed.) *Spaces of Globalization* pp 137-166. New York: Guilford Press. (Book on reserve)

**October 30 Decentralization: Challenges and Opportunities**

Prud homme, Remy. 1995. "The Dangers of Decentralization," *The World Bank Research Observer*, 10(2): 201-220. <http://www.jstor.org.proxy.library.cornell.edu/stable/3986582>

Tendler, Judith. 1997. *Good Government in the Tropics*. Baltimore, MD: Johns Hopkins University Press. Chapter 1 "Introduction" pp 1-20. (Book on Reserve)

Herrera, Veronica and Post, Alison. 2014. Can Developing Countries Both Decentralize and Depoliticize Urban Water Services? Evaluating the Legacy of the 1990s Reform Wave. *World Development* Vol. 64, pp. 621–641.

<http://www.sciencedirect.com/science/article/pii/S0305750X14001922>

#### Recommended

Beard, V.A., Miraftab, F. and Silver, C. 2008. *Planning and Decentralization: Contested Spaces for Public Action in the Global South*, Routledge. Chapter 1 (Book on reserve).

Shah, Anwar and Theresa Thompson. 2004. "Implementing Decentralized Local Governance: A Treacherous Road with Potholes, Detours, and Road Closures," World Bank Policy Research Working Paper 3353, Washington, DC: The World Bank.

[http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2004/07/29/000090341\\_20040729134144/Rendered/PDF/wps3353.pdf](http://www-wds.worldbank.org/external/default/WDSContentServer/WDSP/IB/2004/07/29/000090341_20040729134144/Rendered/PDF/wps3353.pdf)

Litvak, Jennie, Junaid Ahmad and Richard M. Bird. 1998. "Rethinking Decentralization in Developing Countries," Washington, DC: The World Bank.

<http://www1.worldbank.org/publicsector/decentralization/RethinkingDecentralization.pdf>

#### **Nov 4 and 6 Group Project Presentations (Group Reports Due Nov 11)**

#### **Nov 11 Straitjacketing the State**

Clifton, Judith, 2014. "Beyond Hollowing Out: Straitjacketing the state?" *Political Quarterly*. 85 (4): 437-444.

<http://onlinelibrary.wiley.com.proxy.library.cornell.edu/doi/10.1111/1467-923X.12123/pdf>

Warner, Mildred E. 2009. "Regulatory Takings and Free Trade Agreements: Implications for Planners," *Urban Lawyer*, 41(3): 427-443.

<http://search.proquest.com.proxy.library.cornell.edu/docview/208559569>

#### Chapter 8 Trade agreements and investor protection: A global threat to public water

Satoko Kishimoto - See more at: <http://www.municipalservicesproject.org/publication/our-public-water-future-global-experience-remunicipalisation#sthash.yENCEIjC.AT56jhIa.dpuf>

[http://www.municipalservicesproject.org/userfiles/OurPublicWaterFuture\\_Chapter\\_eight.pdf](http://www.municipalservicesproject.org/userfiles/OurPublicWaterFuture_Chapter_eight.pdf)

in Kishimoto, S., Lobina, E. and Petitjean, O. 2015. *Our public water future: The global experience with remunicipalisation* TNI, PSIRU, Multinationals Observatory, MSP and EPSU

### Recommended

Sinclair, Scott and Hadrian Mertins-Kirkwood, 2014. TISA versus Public Services, Public Services International, [http://www.world-psi.org/sites/default/files/documents/research/en\\_tisaresearchpaper\\_final\\_web.pdf](http://www.world-psi.org/sites/default/files/documents/research/en_tisaresearchpaper_final_web.pdf)

Trew, Stuart and Scott Sinclair (2014) Why workers should unite against Canada's next-generation trade deals, <https://www.policyalternatives.ca/publications/commentary/why-workers-should-unite-against-canadas-next-generation-trade-deals>

Gerbas, Jennifer and M.E. Warner 2007. "Privatization, Public Goods and the Ironic Challenge of Free Trade Agreements," *Administration and Society*, 39(2):127-149. <http://aas.sagepub.com/content/39/2/127.full.pdf>

Gerbas, Jennifer and Mildred Warner. 2004, "[Is There a Democratic Deficit in the Free Trade Agreements? What Local Governments Should Know](#)," *Public Management* 86:2 (16-21).

### Nov 13      Re-centralization Challenges

Rodríguez-Pose, A. (2008). The rise of the "city-region" concept and its development policy implications. *European Planning Studies*, 16(8), 1025-1046. <http://www.tandfonline-com.proxy.library.cornell.edu/doi/pdf/10.1080/09654310802315567#.VdIAZkXDTkA>

Wheeler, Stephen M., 2002, "The New Regionalism - Key Characteristics of an Emerging Movement" *Journal of the American Planning Association*, 68 (3): 267-278  
<http://proquest.umi.com/pqdlink?Ver=1&Exp=08-20-2014&FMT=7&DID=128776231&RQT=309>

Imbroscio, David L. 2006. Shaming the Inside Game: A Critique of the Liberal Expansionist Approach to Addressing Urban Problems, *Urban Affairs Review* 42: 224-248.  
<http://uar.sagepub.com/cgi/content/abstract/42/2/224>

### Recommended

Orfield, Myron. 2002. *American Metropolitics: The New Suburban Reality*. Washington, DC: Brookings Institution Press. (Book on reserve)

Rusk, David. 1999. *Inside Game/Outside Game: Winning Strategies for Saving Urban America*. Washington, DC: Brookings Institution Press. (Book on reserve)

Pastor, Manuel, Chris Benner and Martha Matsuoka 2009. *This could be the start of something big: How social movements for regional equity are reshaping metropolitan America*, Cornell University Press: Ithaca, NY. (Book on Reserve) Summaries found at <http://cms.mildredwarner.org/summaries/pastoretal2009>

Jonas, A. E. G., Goetz, A. R., & Bhattacharjee, S. (2013). City-regionalism as a Politics of Collective Provision: Regional Transport Infrastructure in Denver, USA. *Urban Studies*. doi: 10.1177/0042098013493480  
<http://usj.sagepub.com/content/early/2013/07/11/0042098013493480.full.pdf>

Jonas, A. E. (2012). City-regionalism Questions of distribution and politics. *Progress in Human Geography*, 36(6), 822-829. <http://phg.sagepub.com/content/36/6/822.full.pdf>

Feiock, Richard C., 2013 forthcoming. The institutional collective action framework. *Policy Studies Journal*, 41(3): 397-425.  
<http://onlinelibrary.wiley.com/doi/10.1111/psj.12023/pdf>

Harrison, J. (2013). Rethinking city-regionalism as the production of new non-state spatial strategies: the case of Peel Holdings Atlantic Gateway Strategy. *Urban Studies*, 0042098013493481.  
<http://usj.sagepub.com/content/early/2013/08/19/0042098013493481.full.pdf>

Bollens, Scott. 2003. In through the back door: Social Equity and Regional Governance. *Housing Policy Debate* 13 (4): 631–57.  
<http://www.tandfonline.com.proxy.library.cornell.edu/doi/pdf/10.1080/10511482.2002.9521458>

Swanstrom, Todd. 2006. Regionalism, Equality, and Democracy, *Urban Affairs Review* 42: 249-257. <http://uar.sagepub.com/content/42/2/249.full.pdf>

Clark, Jennifer and Susan Christopherson, 2009. Integrating Investment and Equity: A Critical Regionalist Agenda for a Progressive Regionalism, *Journal of Planning Education and Research* 28:341-354 <http://jpe.sagepub.com/content/28/3/341.abstract>

### **Nov 18-20 Book Fest**

Class divides into groups and each reads one book and leads class discussion. Write a 5 page summary of the book suitable for a book review in a journal. All books on reserve in Fine Arts Library.

Fainstein, S. 2010 *The Just City*. Cornell University Press.

Katz, B. and J. Bradley, 2013. *The Metropolitan Revolution: How Cities and Metros Are Fixing Our Broken Politics and Fragile Economy*, Washington, DC: Brookings.

Kishimoto, S, Lobina, E, and Petitjean, O (eds.) (2015) *Our public water future: The global experience with remunicipalisation*. Transnational Institute: Amsterdam .

McDonald, D.A. (2014) *Corporatization and Public Services in the Global South*, ed by D. McDonald, Zed Books, NY.

McDonald, D.A. (2015). *Making Public in a Privatized World*, Zed.

Newman, Katherine S. and Rourke O'Brien 2011. *Taxing the poor: Doing Damage to the Truly Disadvantaged*. Berkeley : University of California Press.  
<http://www.apa.org/pi/ses/resources/indicator/2013/08/taxing-poor.aspx>

Martin, I.W. and Niedt, C. 2014. *Foreclosed America*. Stanford University Press.

McKenzie, E. (2011) *Beyond privatopia: Rethinking private residential government*. Washington, DC: Urban Institute Press. (e-book)  
<http://site.ebrary.com/lib/cornell/docDetail.action?docID=10552195>

Sassen, Saskia (2014) *Expulsions: Brutality and Complexity in the Global Economy*, Harvard University Press.

Storper, M. (2013). *Keys to the City: How Economics, Institutions, Social Interaction, and Politics Shape Development*. Princeton University Press.

Stickler, D. and Basu, S. 2013 *The body economic: why austerity kills : recessions, budget battles, and the politics of life and death*, NY: Basic Books

### **Nov 23 (Monday) Progressive Planning**

Miraftab, F. (2009) Insurgent Planning: Situating Radical Planning in the Global South, *Planning Theory*, 8 (1): 32-50.  
<http://plt.sagepub.com.proxy.library.cornell.edu/content/8/1/32.full.pdf+html>

Parra, Federico. 2013. "Waste Pickers' Recognition as Public Waste Managers in Colombia," Presentation prepared for the Conference "Putting Public in Public Services: Research, Action and Equity in the Global South." WEIGO. On Blackboard.

Cabannes, Y. (2004). Participatory budgeting: a significant contribution to participatory democracy. *Environment and Urbanization*, 16(1), 27-46.  
<http://eau.sagepub.com/content/16/1/27.full.pdf+html>

### **Recommended**

Abers, Rebecca. 1998. "From Clientelism to Cooperation: Local Government, Participatory Policy, and Civic Organizing in Porto Alegre, Brazil," *Politics and Society*, 26(4): 511-537.  
<http://pas.sagepub.com/content/vol26/issue4/>

Fairbrother, Peter (2008), 'Social Movement Unionism' or Trade Unions as Social Movements, *Employ Respons Rights J* (2008) 20:213–220, DOI 10.1007/s10672-008-9080-4  
<http://link.springer.com/article/10.1007%2Fs10672-008-9080-4?LI=true#page-1>

Rossi, U. (2013) On Life as a Fictitious Commodity: Cities and the Biopolitics of Late Neoliberalism, *International Journal of Urban and Regional Research*, 37(3):1067–1074.  
<http://onlinelibrary.wiley.com.proxy.library.cornell.edu/doi/10.1111/1468-2427.12063/pdf>

Miraftab, Faranak and Shana Wills. 2005. “Insurgency and Spaces of Active Citizenship: The Story of Western Cape Anti-Eviction Campaign in South Africa,” *Journal of Planning Education and Research*, 25(2): 200-217.  
<http://jpe.sagepub.com/cgi/content/abstract/25/2/200>

Clavel, P. (2010). *Activists in city hall: The progressive response to the Reagan era in Boston and Chicago*. Cornell University Press.

“Contemporary Challenges in Local Government: Evolving Roles and Responsibilities, Structures, and Processes,” by John Nalbandian, Bob O’Neill, Michael Wilkes, and Amanda Kaufman, in *Public Administration Review* 73, no. 4 (July/August 2013): 567--574.  
<http://onlinelibrary.wiley.com/doi/10.1111/puar.12059/pdf>

Miraftab, F. (2004). Making neo-liberal governance: The disempowering work of empowerment. *International Planning Studies*, 9(4), 239-259.  
<http://urban.uiuc.edu/faculty/miraftab/miraftab/IPS-Miraftab.pdf>

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