

TERMINATING A RETIREMENT PLAN: PRICING SCHEDULE

Depending on past Retirement Plan activity and actions required to wrap up the affairs of your Retirement Plan, additional services may be necessary to terminate the Plan.

1. Corporate resolution, waiver of meeting notice, & notice of meeting to approve termination of the Plan.
2. Corporate Minutes approving termination of the Plan, instructions for winding up the affairs of the plan and description of business reason(s)/necessity for terminating the plan.
3. Preparation of notice to plan participants of the plan's pending termination, for distribution of the notice to employees and participants, by the Plan Sponsor.
4. Transfer/rollover of remaining retirement monies from existing Retirement Plan to a new or existing retirement account.
5. Coordinate and assist your new service providers with the transfer of plan/participant assets and participant and contribution records.
6. Closing/final IRS Form 5500, submitted electronically via the Department of Labor's E-Fast system.
7. Company and participant reports required for the final plan year (year in which all assets are removed from the plan).
8. IRS required Amendments(s) and/or Restatement(s) to bring your plan document into compliance prior to termination (as required by the IRS).

SERVICES*	COST	
Final Plan Administration	\$1,000-\$2,500/year	<input type="checkbox"/>
Termination of Third-Party Administration Services	\$300	<input type="checkbox"/>
Termination of Recordkeeping Services	\$500	<input type="checkbox"/>
Final Accountholder Administration	\$25-\$50 per participant	<input type="checkbox"/>
Early Termination-Third-Party Administration Services or Recordkeeping Services Terminated within 24 months of beginning services to the plan	\$600	<input type="checkbox"/>
Company Resolution to Terminate Plan	\$350	<input type="checkbox"/>
Participant Notice of Plan Termination	\$250	<input type="checkbox"/>
IRS Required Amendment(s)	\$350 per amendment	<input type="checkbox"/>
IRS Required Restatement(s)	\$600-\$800 per restatement	<input type="checkbox"/>
Custodial Account Closure**	\$350	<input type="checkbox"/>
Additional Notices	\$300 per notice type	<input type="checkbox"/>
Missing accountholder notice	75 per notice/participant	<input type="checkbox"/>
Assistance with locating lost participants, securing lost participant search provider, assistance with IRA Rollover Service	\$75/hour	<input type="checkbox"/>
Transfer/Distribution of funds (includes IRS Form 1099)	\$85/distribution	<input type="checkbox"/>
Missed/Late IRS Form 5500	\$385 (min.) per outstanding 5500	<input type="checkbox"/>
Plan Correction/Submission to IRS or DOL	Request Quote	<input type="checkbox"/>
Reasonable Cause Letter	\$350 per letter	<input type="checkbox"/>
IRS Form 8717 requesting a waiver of the Determination Letter fee	Request Quote	<input type="checkbox"/>
IRS Form 5310 requesting a Determination Letter	Request Quote	<input type="checkbox"/>

*Does not include IRS Determination Letter fee or other government assessed fees, penalties or taxes.

**Only for QES Plan Type.