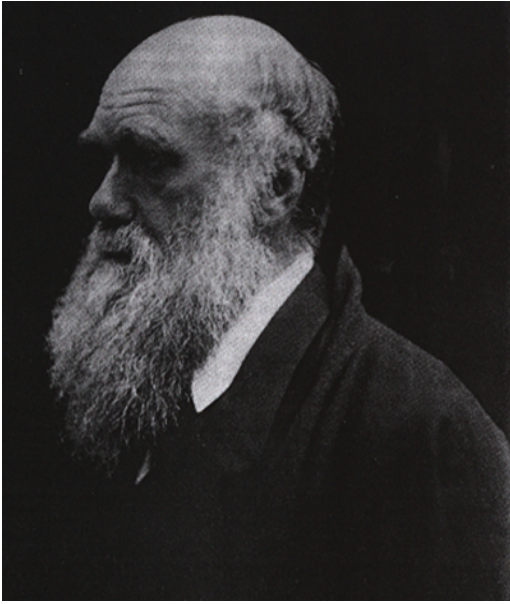


# Evolution by Natural Selection<sup>^</sup> in computers



Charles Darwin, 1809–1882



John Holland

- <sup>^</sup>Organisms inherit traits from parents  
**Computer** <sup>^</sup>(e.g., programs)
- Traits are inherited with some variation, via mutation and sexual recombination
- Due to competition for limited resources, the organisms best adapted to the environment tend to produce the most offspring.
- This way traits producing adapted individuals spread in the population

AN INTRODUCTORY ANALYSIS WITH APPLICATIONS TO  
BIOLOGY, CONTROL, AND ARTIFICIAL INTELLIGENCE

ADAPTATION  
IN  
NATURAL  
AND  
ARTIFICIAL  
SYSTEMS

JOHN H. HOLLAND

# Some real-world uses of genetic algorithms

- **Designing parts of aircraft (GE and Boeing)**
- **Spacecraft antenna design (NASA)**
- **Assembly line scheduling (John Deere Co.)**
- **Automated drug discovery (several companies)**
- **Fraud detection (credit cards, financial trading)**
- **Automated analysis of satellite images (Los Alamos National Lab)**
- **Generation of realistic computer animation (*Lord of the Rings: The Return of the King* and *Troy*)**



### Optimization of the 787 Horizontal Stabilizer CFRP Composite Main Box

The -3 and -9 derivatives of Boeing's revolutionary 787 face a significant weight challenge due to very aggressive weight targets to achieve the desired efficiency. A major weight-trade study was launched to determine the optimal configuration and detail-sizing for the horizontal stabilizer CFRP co-cured main box. Using a genetic algorithm-based optimization solver (OptiStruct), various multi-spar configurations were optimized and evaluated. To determine the best path for further possible testing and allowable development, optimization was constrained to various buckling limits as well as to explore the addition of honeycomb core to both the skins and spars. The lowest additional development cost and risk, combined with a minimal weight, is chosen for each of the derivative models. Ultimately, the complete design of experiments highlights the development path toward the lightest possible composite main box structure.



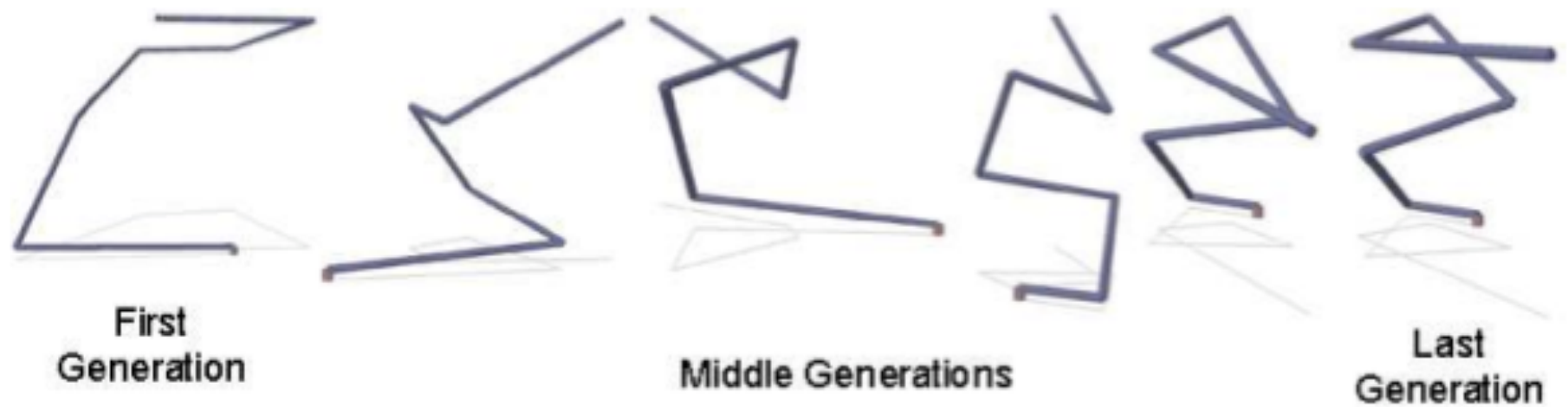


Fig. 10. Sequence of evolved antennas leading up to antenna ST5-33.142.7.

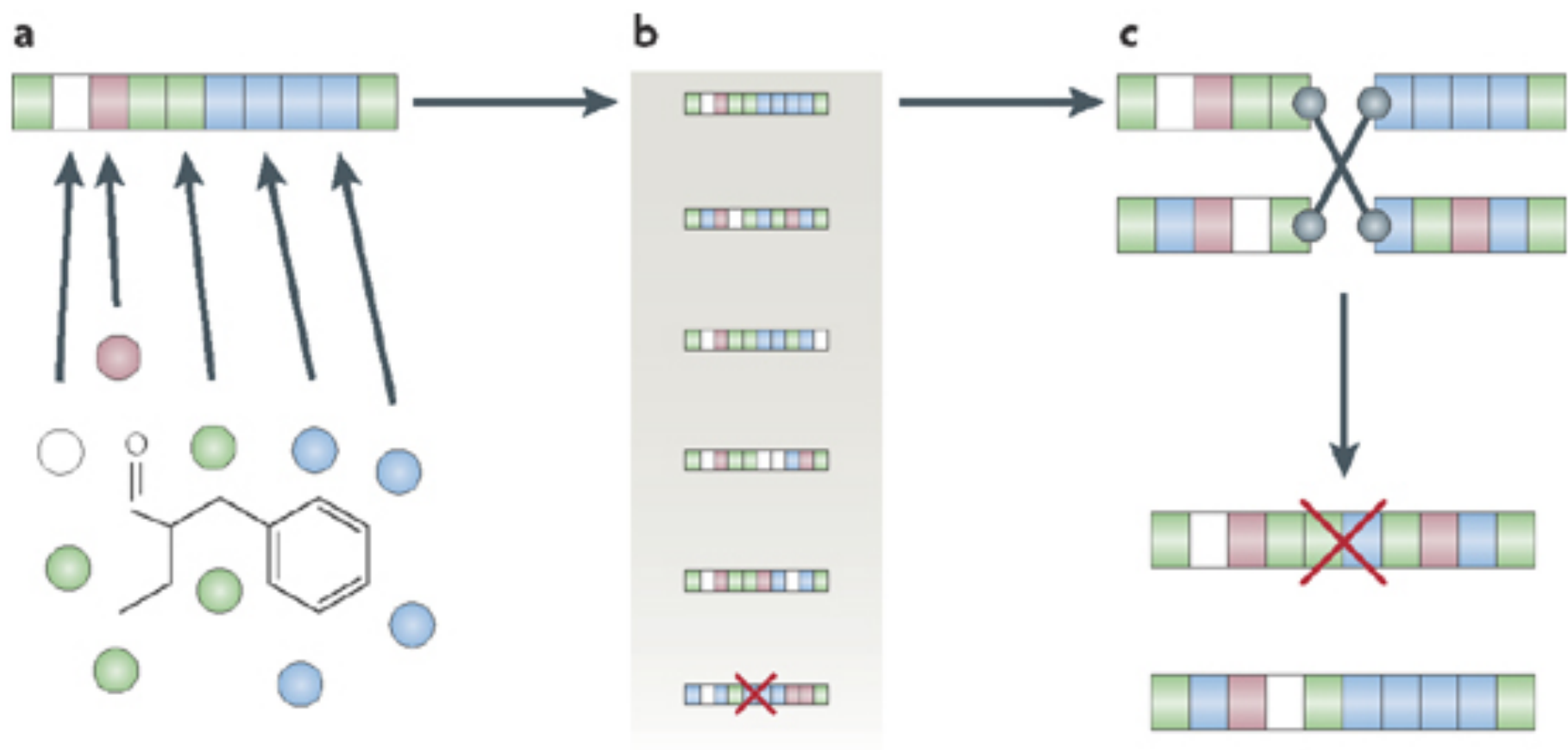
[http://idesign.ucsc.edu/papers/lohn\\_gptp05.pdf](http://idesign.ucsc.edu/papers/lohn_gptp05.pdf)

# **The Living Factory: Applications of Artificial Life to Manufacturing**

**Bill Fulkerson  
Deere & Company  
Technology Integration  
Moline IL, 61265-8098  
wf28155@deere.com**

**Van Parunak  
Industrial Technology Institute  
PO Box 1485  
Ann Arbor, MI 48106  
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<http://ieeexplore.ieee.org/stamp/stamp.jsp?tp=&arnumber=398998>



Nature Reviews | Drug Discovery

[http://www.nature.com/nrd/journal/v7/n8/fig\\_tab/nrd2615\\_F4.html](http://www.nature.com/nrd/journal/v7/n8/fig_tab/nrd2615_F4.html)

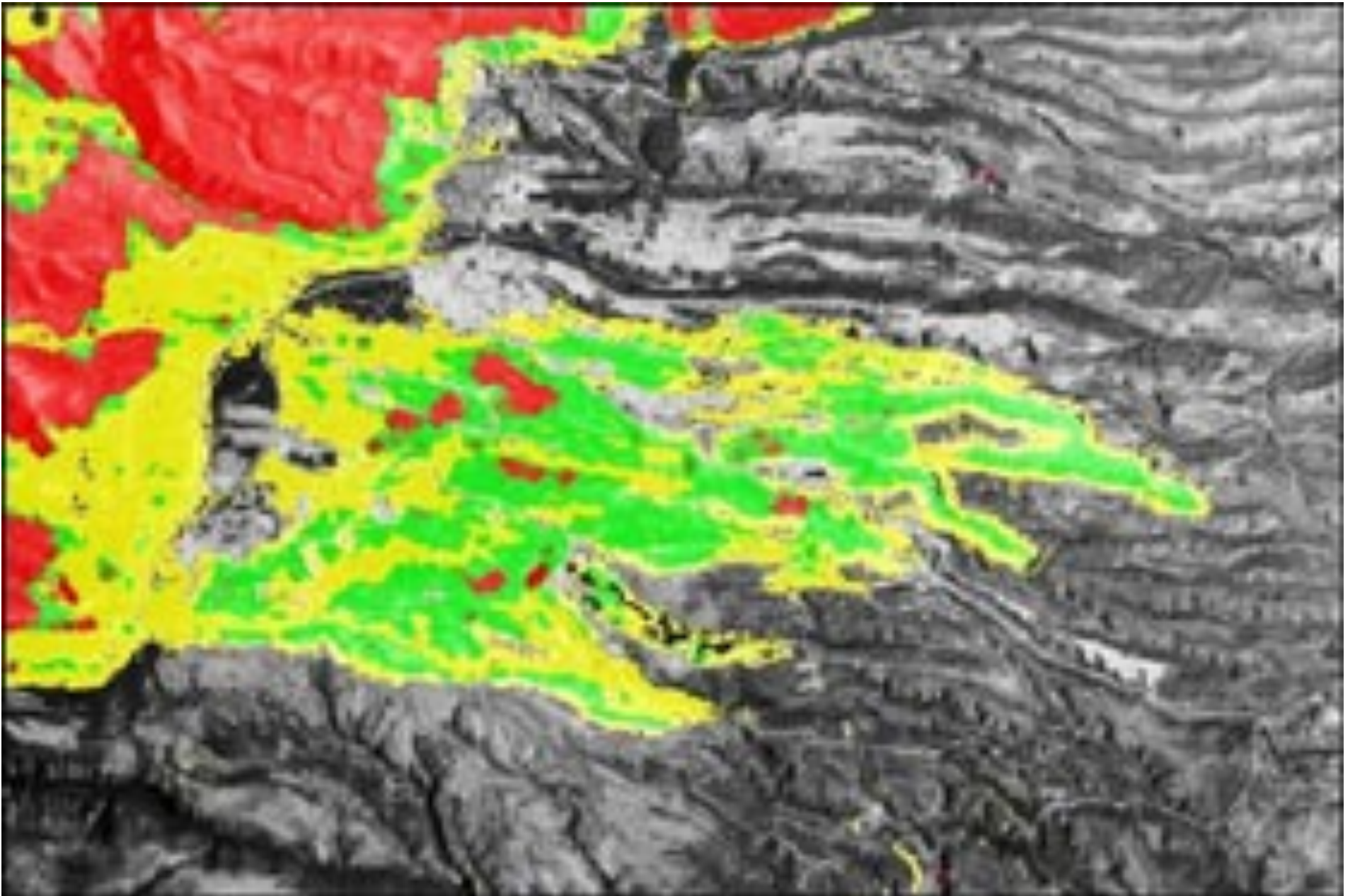
*Expert Systems With Applications*

2011 | 38 | 10 | 13057-13063

## **Detecting credit card fraud by genetic algorithm and scatter search**

Ekrem Duman M. Hamdi Ozcelik





<http://www.eurekaalert.org/features/doe/images/danl-lag080702.1.jpg>



<http://www.wired.com/wired/archive/12.01/stuntbots.html>

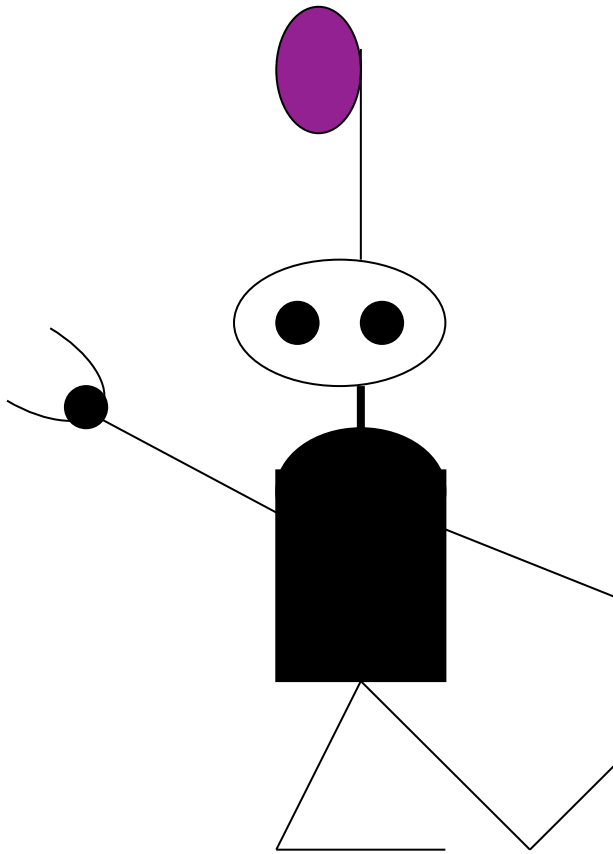
## **Genetic Algorithm Example:**

**Evolving a Control Program for a Virtual “Robot”**



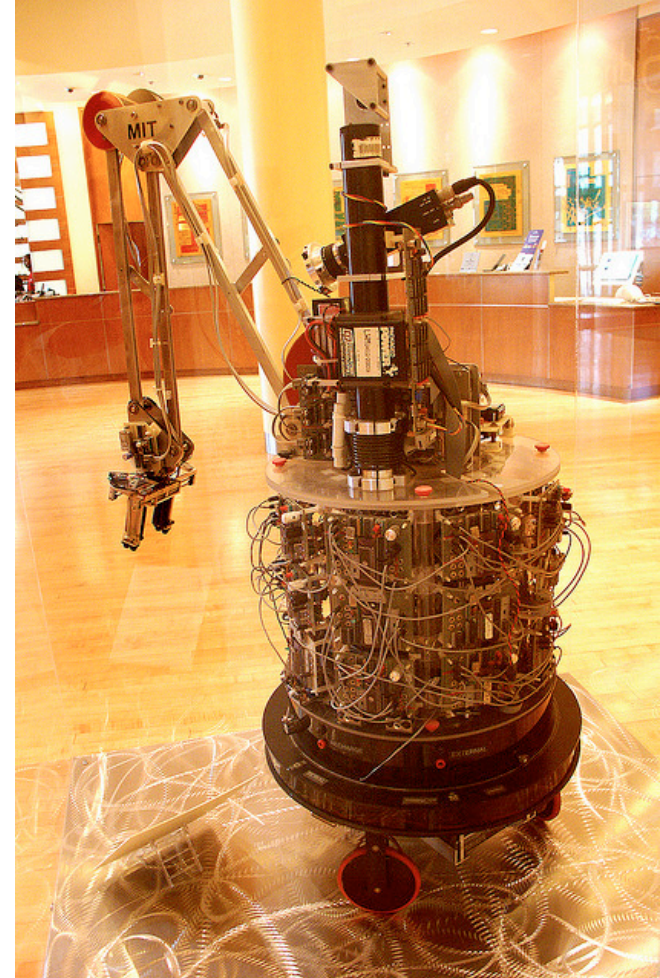
## **Robby:**

The Virtual Soda Can Collecting Robot  
(Mitchell, 2009)



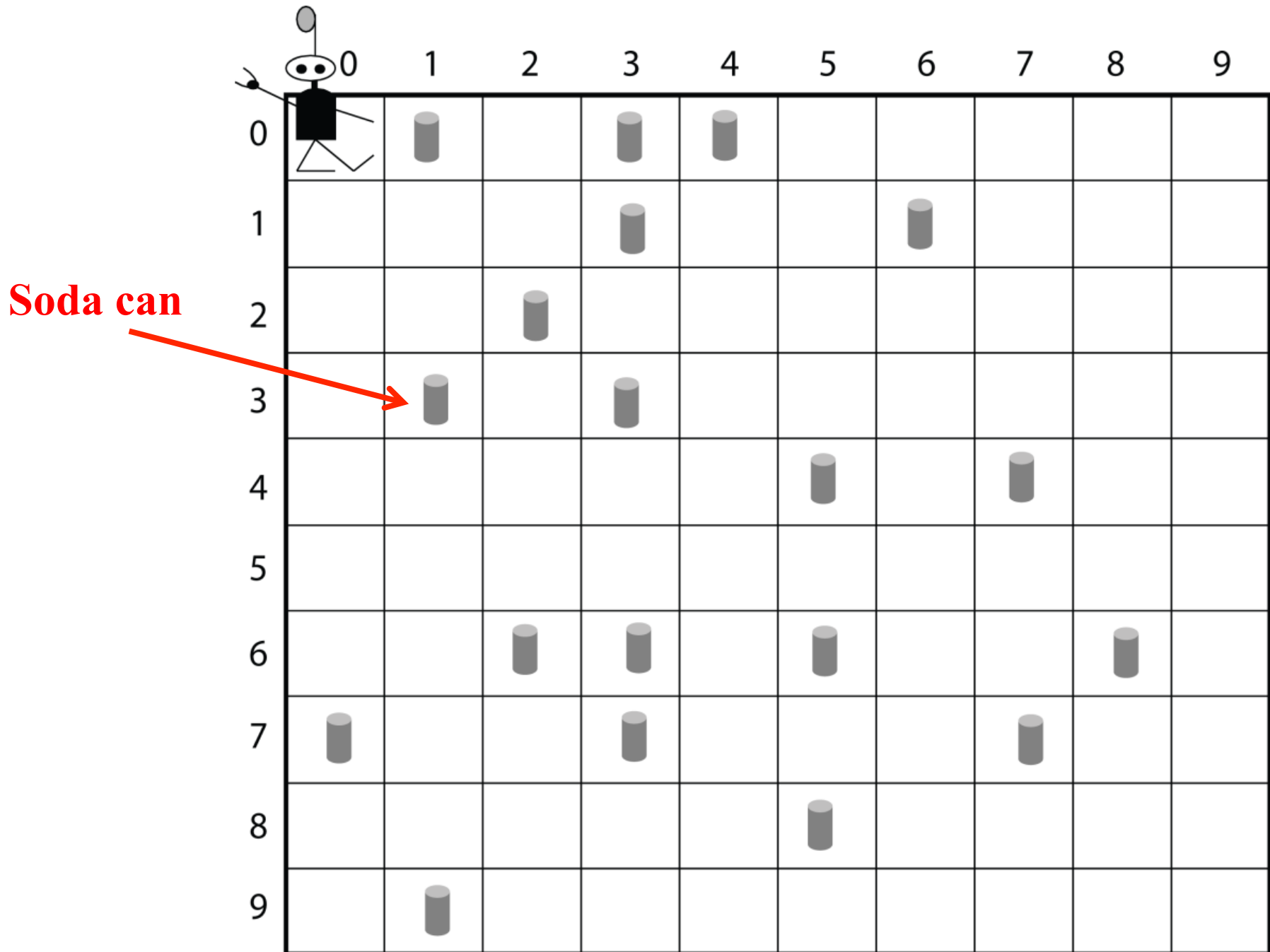
## **Herbert:**

The Soda Can Collecting Robot  
(Connell, Brooks, Ning, 1988)



<http://cyberneticzoo.com/?p=5516>

# Robby's World



# What Robby Can See and Do

## Input:

Contents of **N**orth, **S**outh, **E**ast,  
**W**est, **C**urrent

## Possible actions:

Move N

Move S

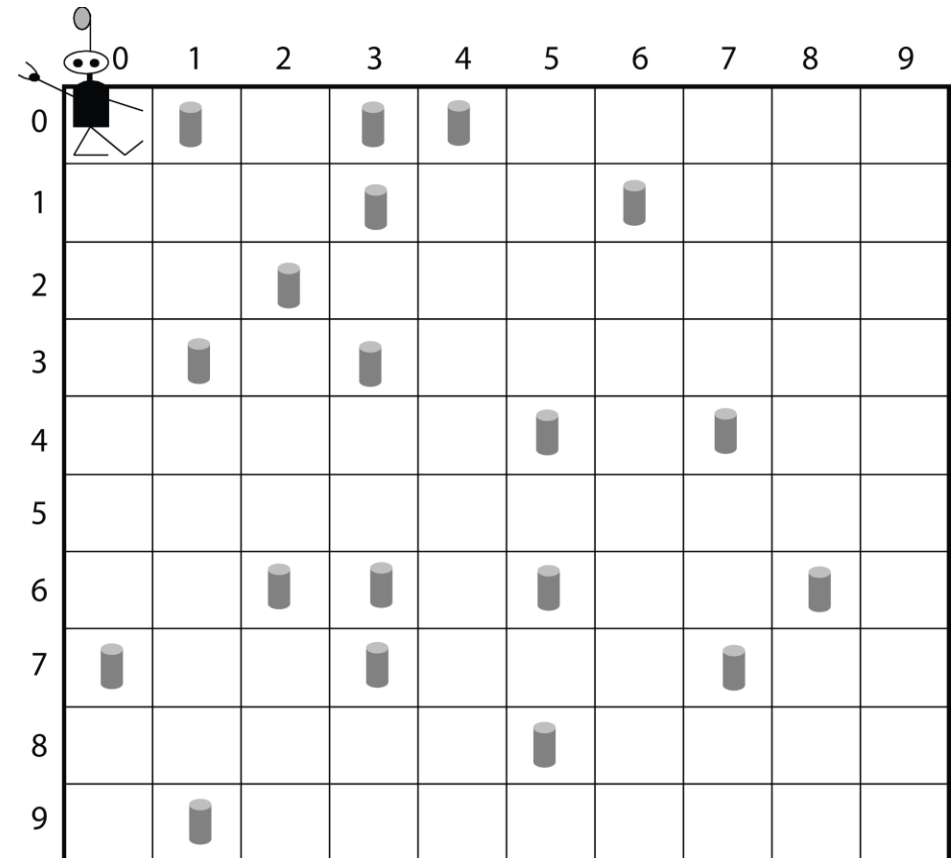
Move E

Move W

Move random

Stay put

Try to pick up can



## Rewards/Penalties (points):

Picks up can: **10**

Tries to pick up can on empty site: **-1**

Crashes into wall: **-5**

**Robby's Score:** Sum of rewards/penalties

**Goal: Use a genetic algorithm to evolve a control program (i.e., *strategy*) for Robby.**

# What is a “strategy”?

**Strategy:** A set of rules that specifies an *action* for every possible *situation*.

**Possible Situations** = possible inputs to Robby

<i><u>North</u></i>	<i><u>South</u></i>	<i><u>East</u></i>	<i><u>West</u></i>	<i><u>Current Site</u></i>	<i>Action</i>
Empty	Empty	Empty	Empty	Empty	
Empty	Empty	Empty	Empty	Can	

.

.

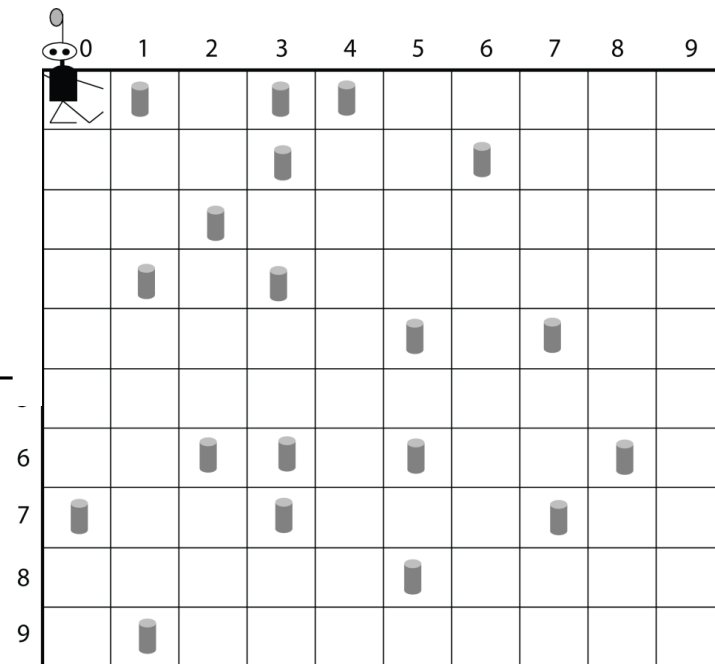
.

North: 3 possibilities (Empty, Can, Wall)  $\times$  South : 3 possibilities  $\times$  East: 3 possibilities  
 $\times$  West: 3 possibilities  $\times$  Current Site : 3 possibilities  $= 3 \times 3 \times 3 \times 3 \times 3 = 243$



# One Example Strategy

	Situation					Action
	<i>North</i>	<i>South</i>	<i>East</i>	<i>West</i>	<i>Current Site</i>	
1	Empty	Empty	Empty	Empty	Empty	MoveNorth
2	Empty	Empty	Empty	Empty	Can	MoveEast
3	Empty	Empty	Empty	Empty	Wall	MoveRandom
4	Empty	Empty	Empty	Can	Empty	PickUpCan
⋮	⋮	⋮	⋮	⋮	⋮	⋮
⋮	Wall	Empty	Can	Wall	Empty	MoveWest
⋮	⋮	⋮	⋮	⋮	⋮	⋮
243	Wall	Wall	Wall	Wall	Wall	StayPut



**Question:** What will Robby’s score be after following this strategy for three time steps?

**Answer:** −15

# Encoding a Strategy

[illegible]

# Encoding a Strategy

---

Action

---

1	MoveNorth
2	MoveEast
3	MoveRandom
4	PickUpCan
.	⋮
.	MoveWest
.	⋮
243	StayPut

---

# Encoding a Strategy

---

Action

---

1	MoveNorth
2	MoveEast
3	MoveRandom
4	PickUpCan
.	:
.	MoveWest
.	:
243	StayPut

---

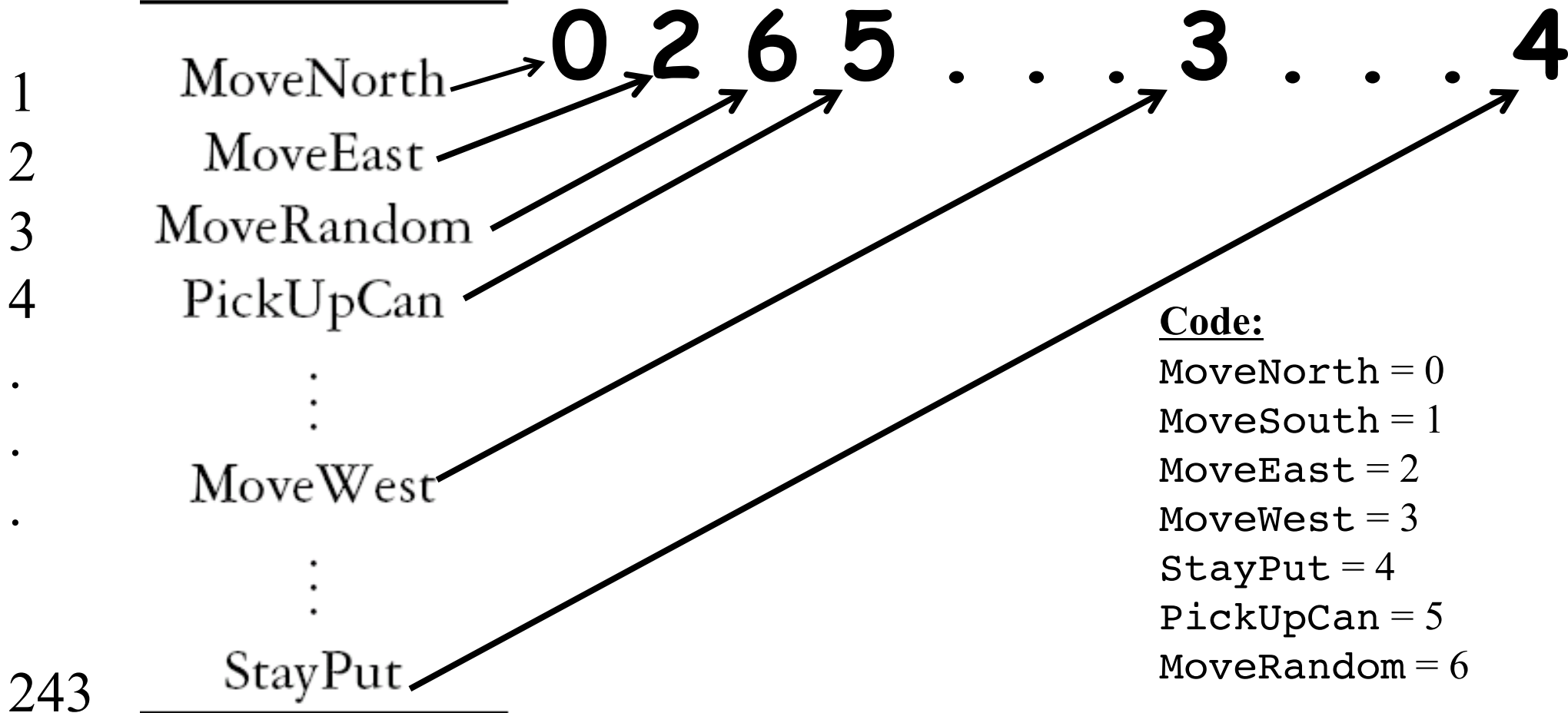
## Code:

MoveNorth = 0  
MoveSouth = 1  
MoveEast = 2  
MoveWest = 3  
StayPut = 4  
PickUpCan = 5  
MoveRandom = 6

# Encoding a Strategy

Action

← 243 values →



**Question:** How many possible strategies are there in our representation?

← 243 values →

0 2 6 5 . . . 3 . . . 4

7 possible actions for each position:

7 × 7 × 7 × ...

× 7

**Answer:**  $7^{243}$

**Goal:** Have GA search intelligently in this vast space for a good strategy

# Genetic algorithm for evolving strategies

1. Generate 200 random strategies (i.e., programs for controlling Robby)
2. For each strategy, calculate fitness (average reward minus penalties earned on random environments)
3. The strategies pair up and create offspring via “sexual recombination” with random mutations — the fitter the parents, the more offspring they create.
4. Keep going back to step 2 until a good-enough strategy is found!

# Random Initial Population

Individual 1:

23300323421630343530546006102562515114162260435654334066511514  
15650220640642051006643216161521652022364433363346013326503000  
40622050243165006111305146664232401245633345524126143441361020  
150630642551654043264463156164510543665346310551646005164

Individual 2:

16411343121025360340361241431201104235462525304202044516433665  
61035322153105131440622120614631432154610256523644422025340345  
30502005620634026331002453416430151631210012214400664012665246  
351650154123113132453304433212634555005314213064423311000

Individual 3:

20423344402411226132136452632464212206122122252660626144436125  
32512664061335340153411110206164226653145522540234051155031302  
22020065445125062206631426135532010000400031640130154160162006  
134440626160505641421553133236021503355131253632642630551

.  
.  
.

Individual 200:

34632525136001012225612106043301135205155320130656005322235043  
32425064124255265534635345523053326612010632124554423440613654  
30246240160663016464641103026540006334126150352262106063624260  
550616616344255124354464110023463330440102533212142402251



**Parent 1:**

16411343121025360340361241431201104235462525304202044516433665  
61035322153105131440622120614631432154610256523644422025340345  
30502005620634026331002453416430151631210012214400664012665246  
351650154123113132453304433212634555005314213064423311000

**Parent 2:**

20423344402411226132136452632464212206122122252660626144436125  
32512664061335340153411110206164226653145522540234051155031302  
22020065445125062206631426135532010000400031640130154160162006  
134440626160505641421553133236021503355131253632642630551

Parent 1:

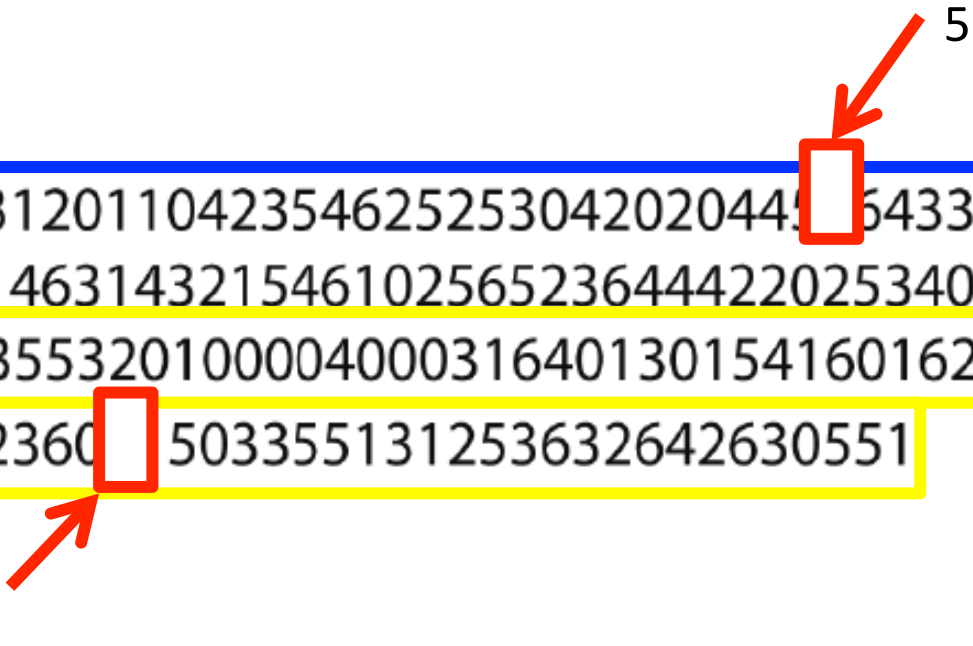
16411343121025360340361241431201104235462525304202044516433665  
61035322153105131440622120614631432154610256523644422025340345  
30502005620634026331002453416430151631210012214400664012665246  
351650154123113132453304433212634555005314213064423311000

Parent 2:

20423344402411226132136452632464212206122122252660626144436125  
32512664061335340153411110206164226653145522540234051155031302  
22020065445125062206631426135532010000400031640130154160162006  
134440626160505641421553133236021503355131253632642630551

Child:

16411343121025360340361241431201104235462525304202044516433665  
61035322153105131440622120614631432154610256523644422025340345  
30502005620634026331002456135532010000400031640130154160162006  
1344406261605056414215531332360503355131253632642630551



**Maximum possible fitness  $\approx 500$**

- There are 100 squares total, and each environment starts out with about 50 cans.
- Each can is worth 10 points

## My hand-designed strategy:

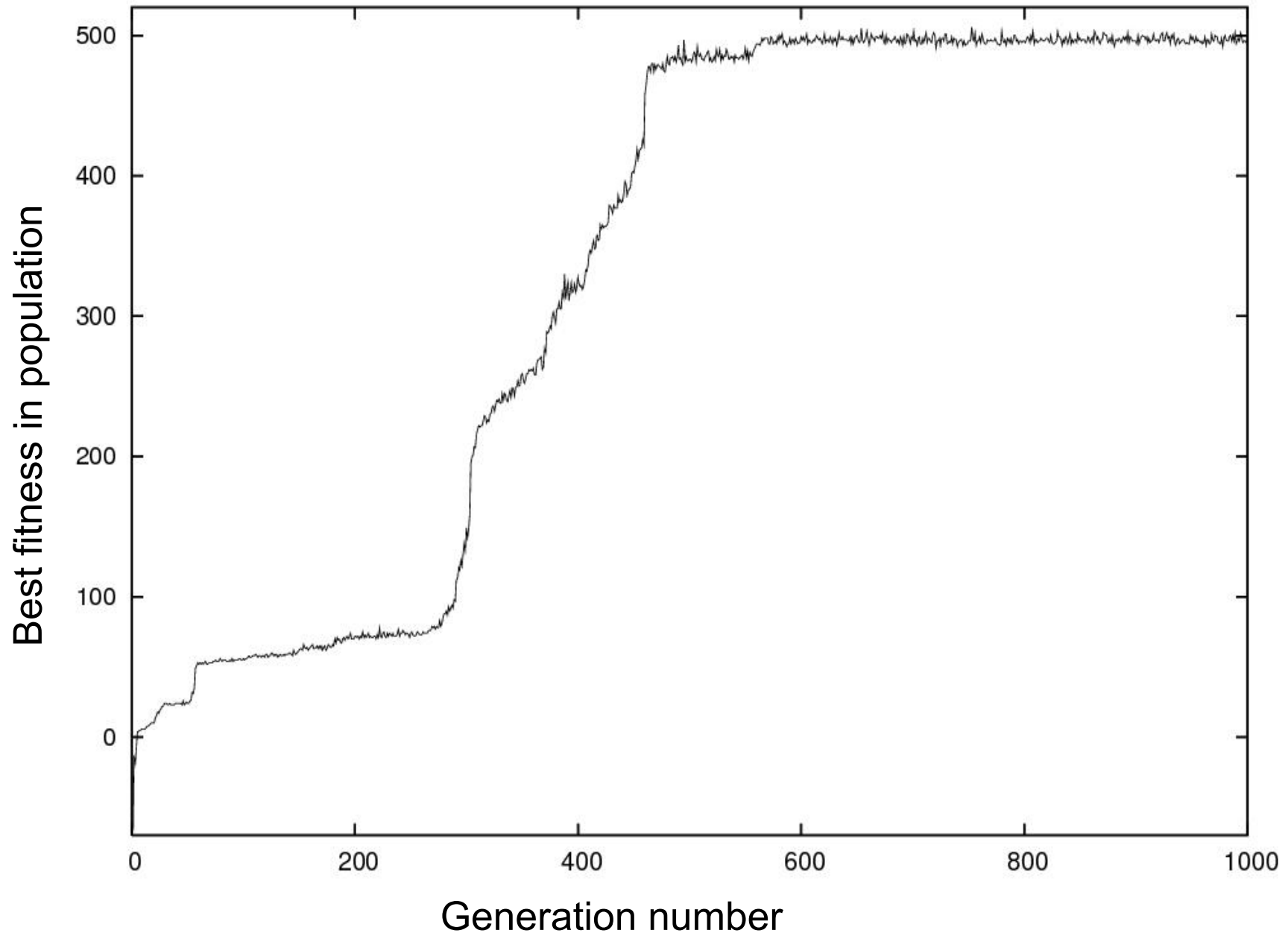
“If there is a can in the current site, pick it up.”

“Otherwise, if there is a can in one of the adjacent sites, move to that site.”

“Otherwise, choose a random direction to move in.”

Average fitness of this strategy: <b>346</b>	Average fitness of GA evolved strategy: <b>486</b>
(out of max possible $\approx$ 500)	(out of max possible $\approx$ 500)
	

# One Run of the Genetic Algorithm (C version)



# Principles of Evolution Seen in Genetic Algorithms

- Natural selection works!
- Evolution proceeds via periods of stasis “punctuated” by periods of rapid innovation
- *Exaptation* is common. **Exaptation**: “shifts in the function of a trait during evolution.
- Dynamics and results of evolution are unpredictable and hard to analyze

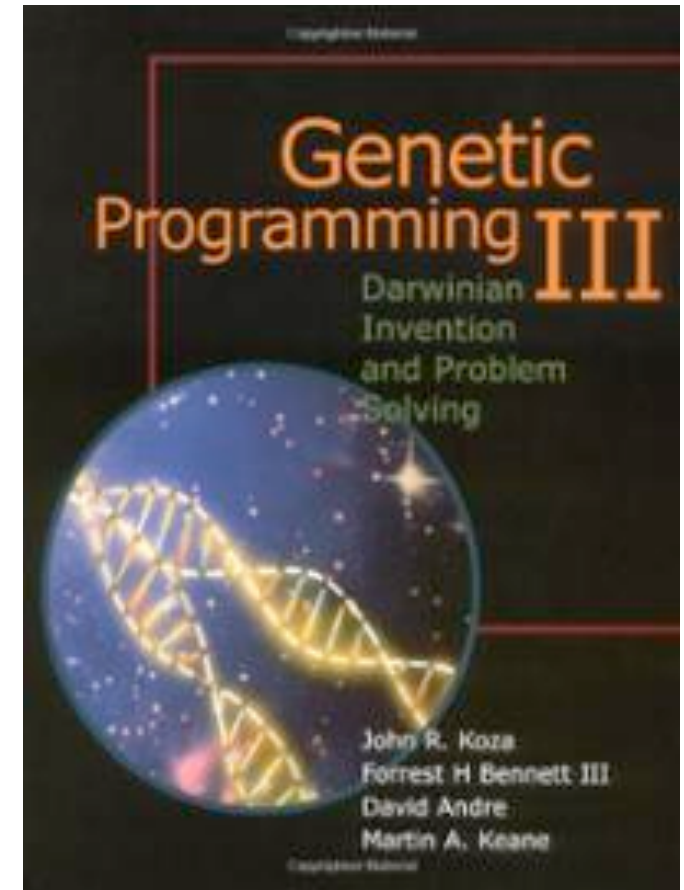
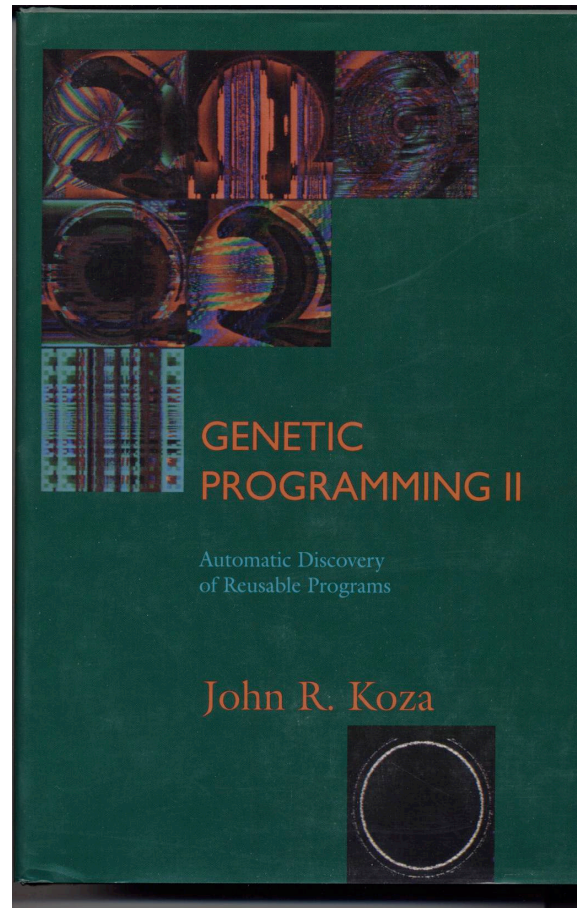
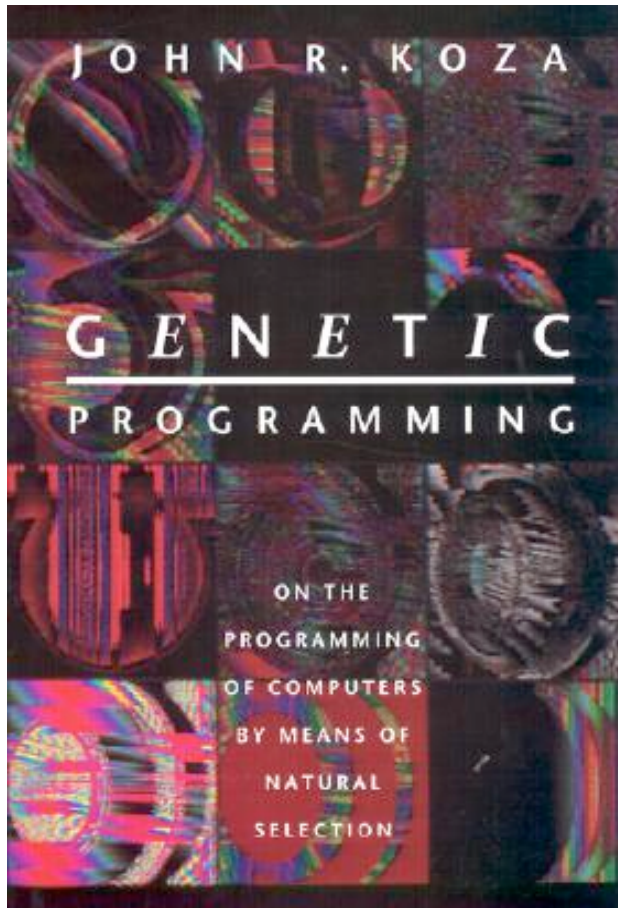
# Genetic Programming (John Koza, 1990s)



John Koza



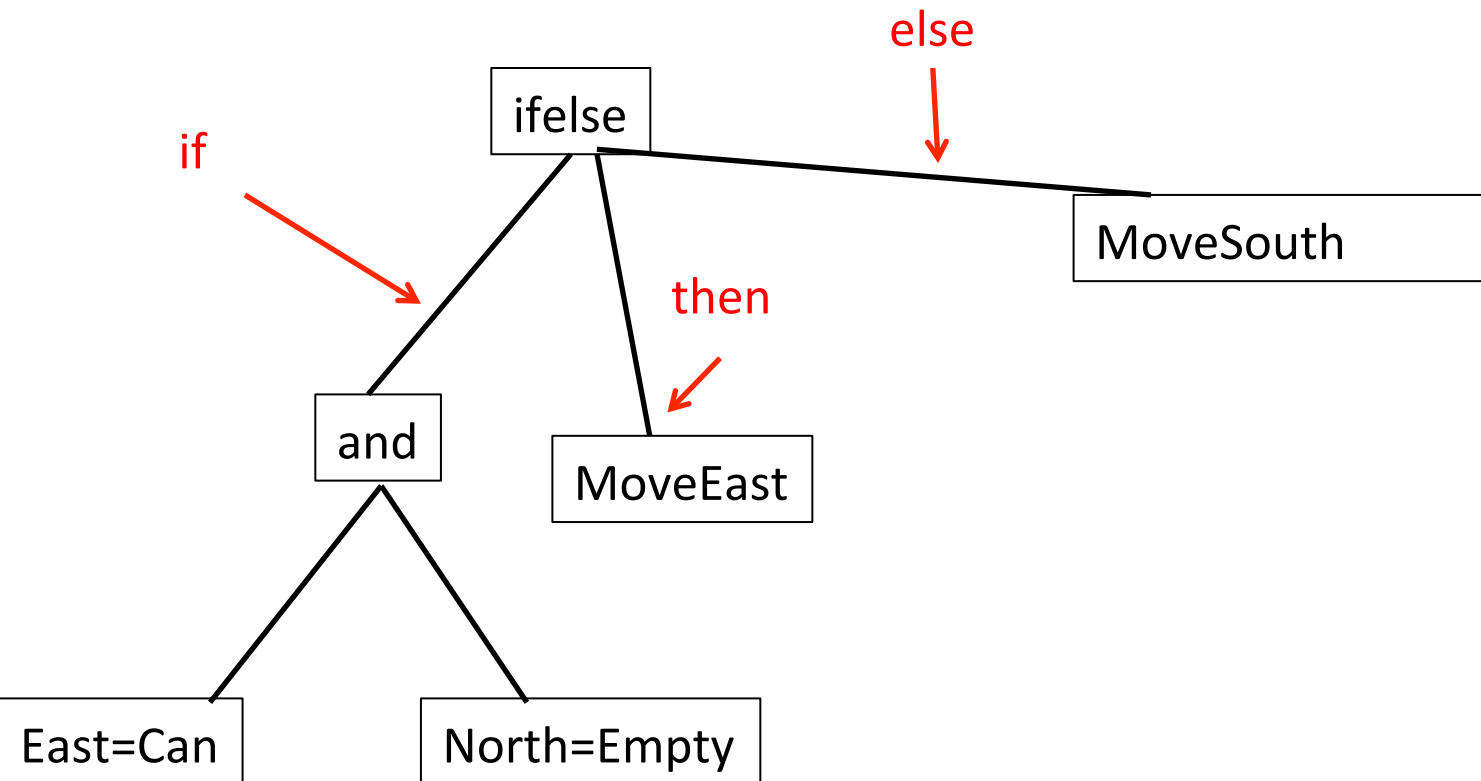
# Genetic Programming (John Koza, 1990s)





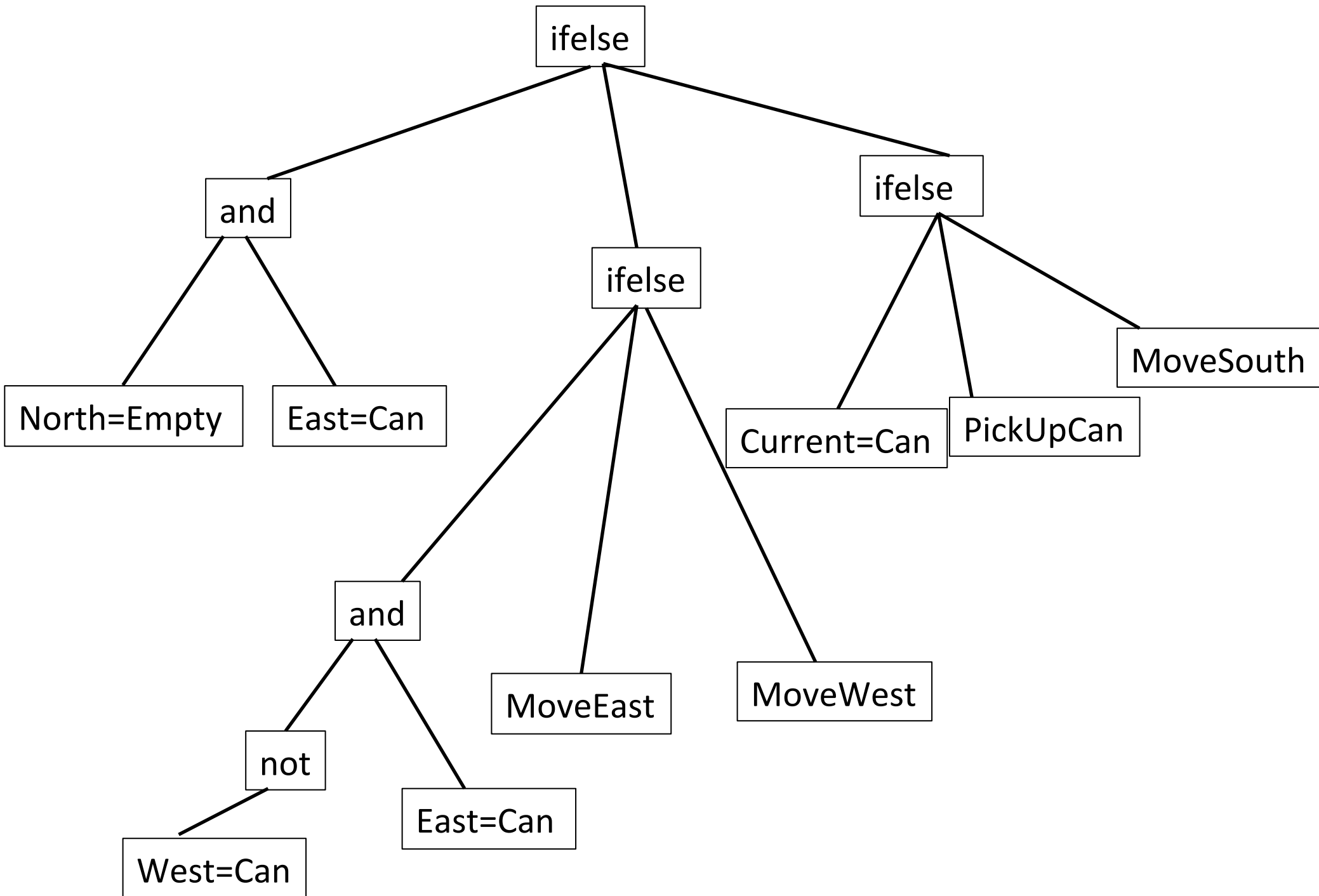
# Genetic Programming (John Koza, 1990s)

*Tree representation of programs*



if (East=Can and North=Empty)  
then MoveEast  
else MoveSouth

# A more complicated tree



# Initial Population

**Generate a population of *random trees***

**Need to enforce some syntactic constraints, e.g., *ifelse* at root of tree, etc.**

# **Fitness Calculation and Selection**

## **Fitness:**

Have Robby try out each strategy in a variety of environments; compute each strategy's average score

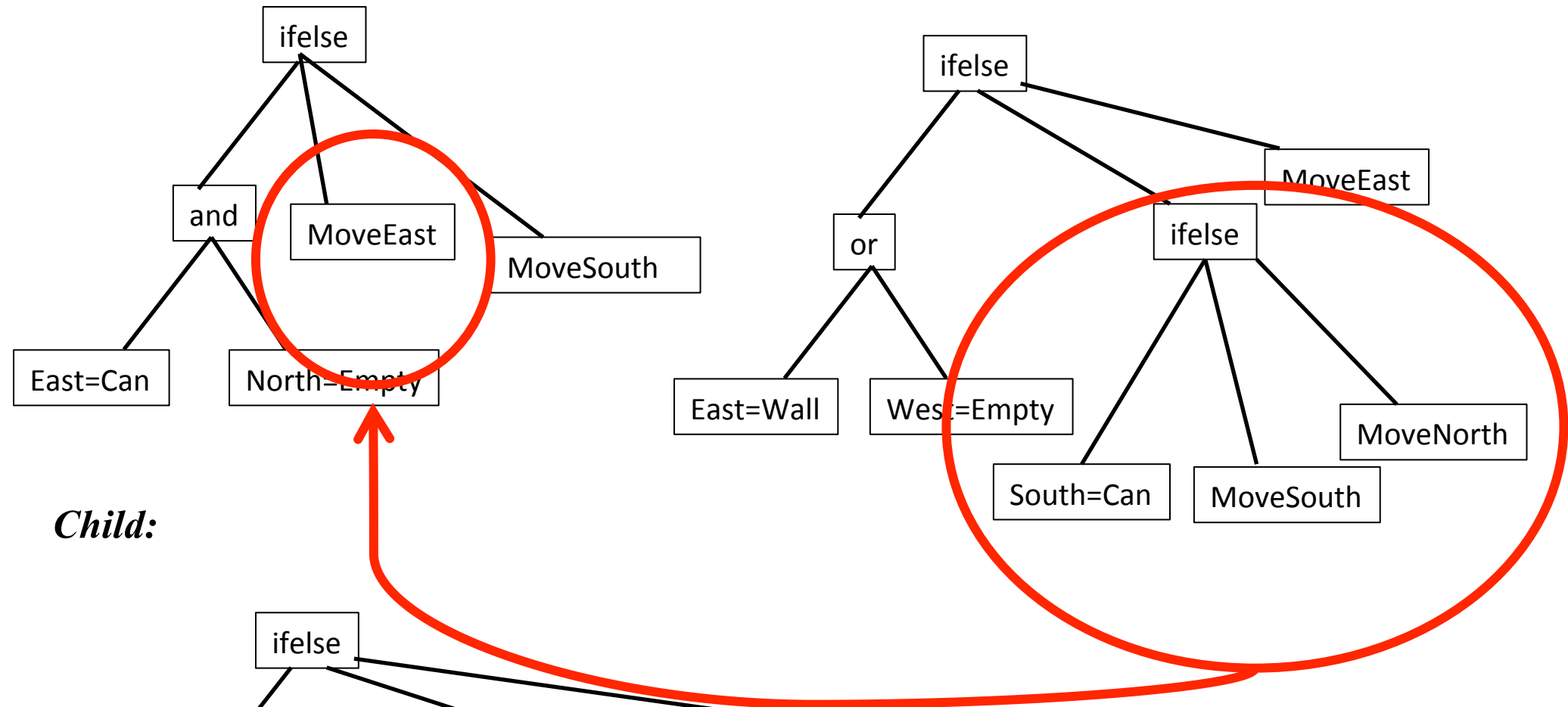
## **Selection:**

Fitter individuals create more offspring than less fit individuals

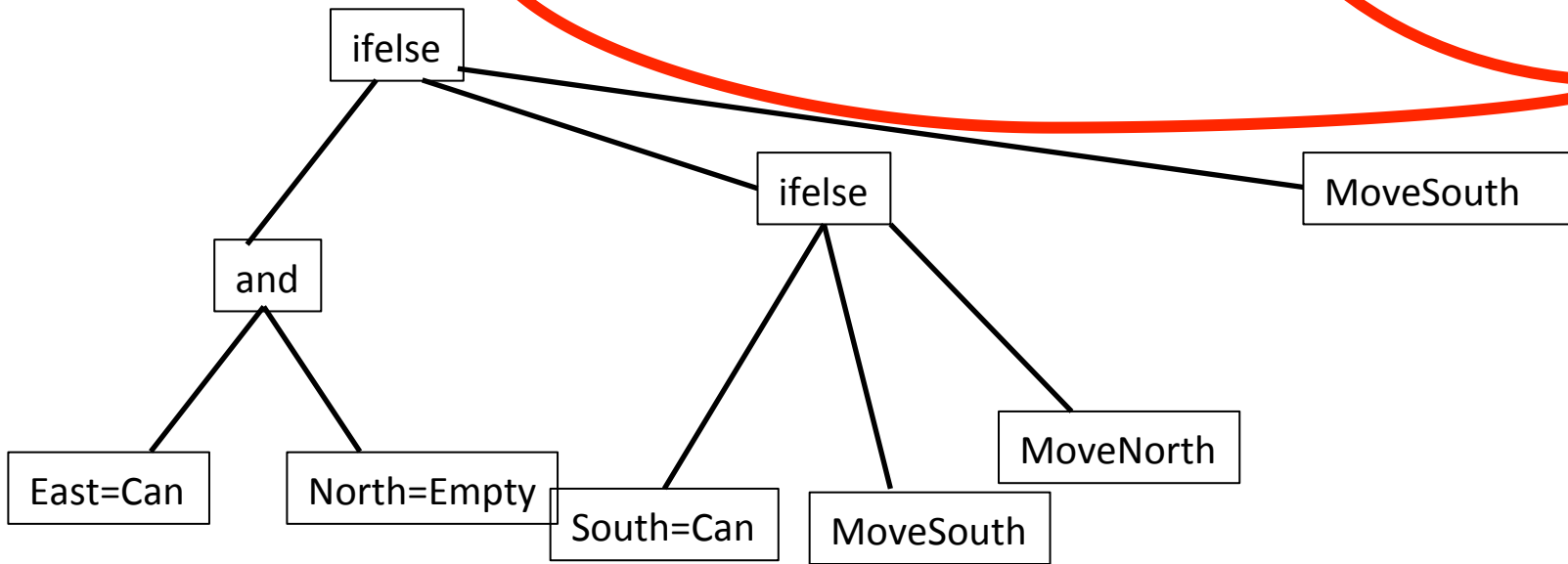
# Crossover:

Exchange subtrees in corresponding branches to create child

*Parents:*

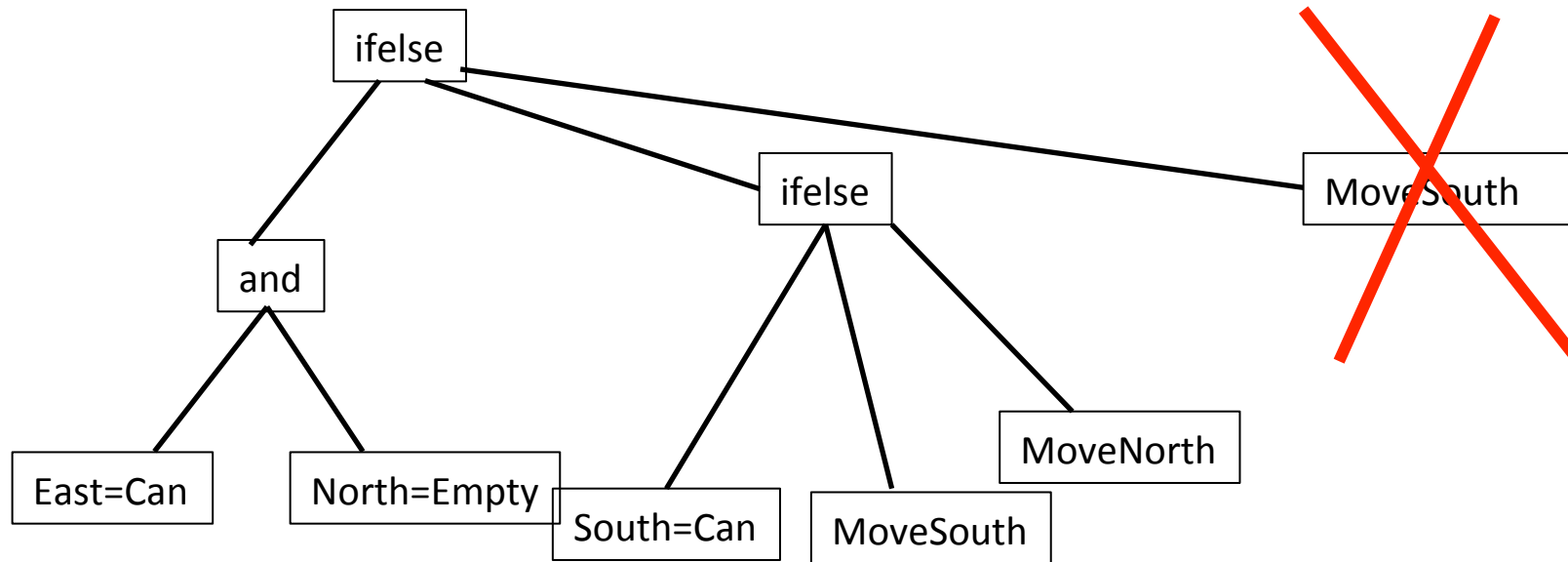


*Child:*



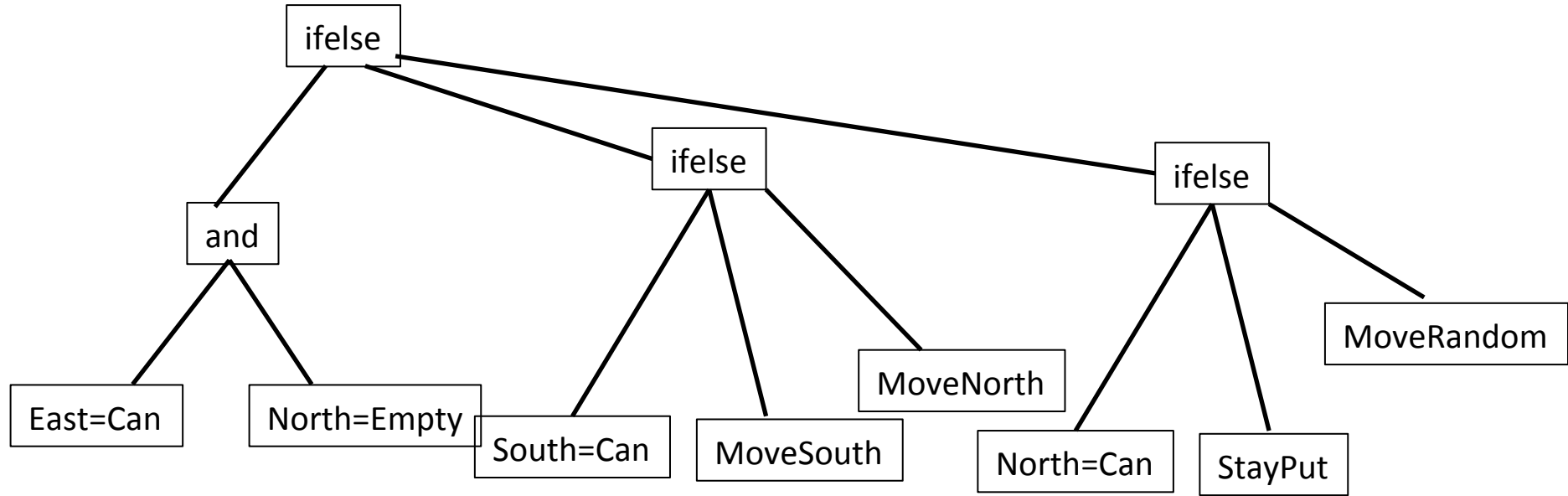
# Mutation:

Replace a subtree by a randomly generated subtree



# Mutation:

## Replace a subtree by a randomly generated subtree



# Genetic programming applied to Computer Graphics (Karl Sims, 1993)



Karl Sims

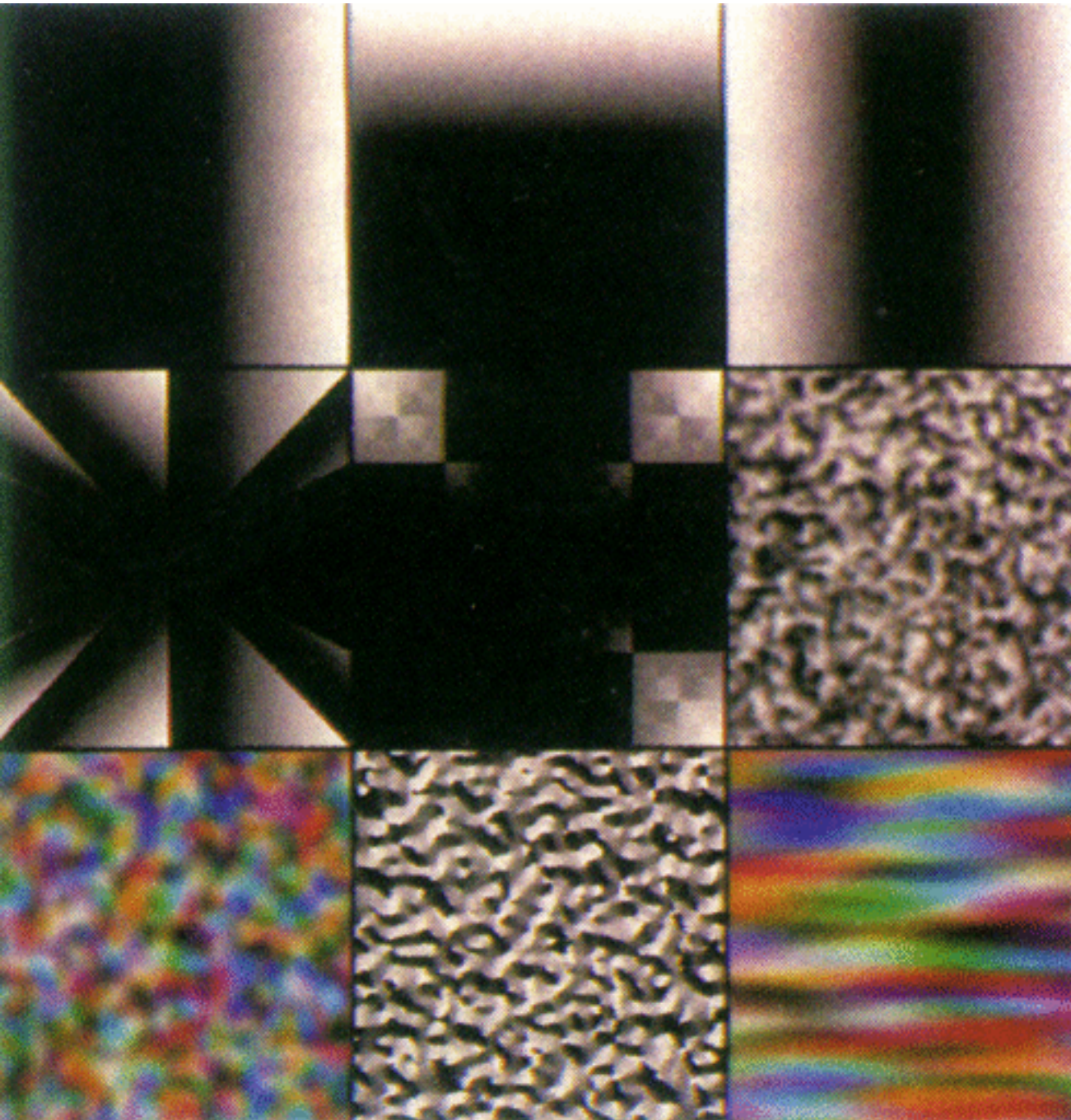


# **Genetic programming applied to Computer Graphics**

## **(Karl Sims, 1993)**

- GA individuals: trees representing equations that generate a color for each pixel coordinate

**Each function returns an image  
(an array of pixel colors)**

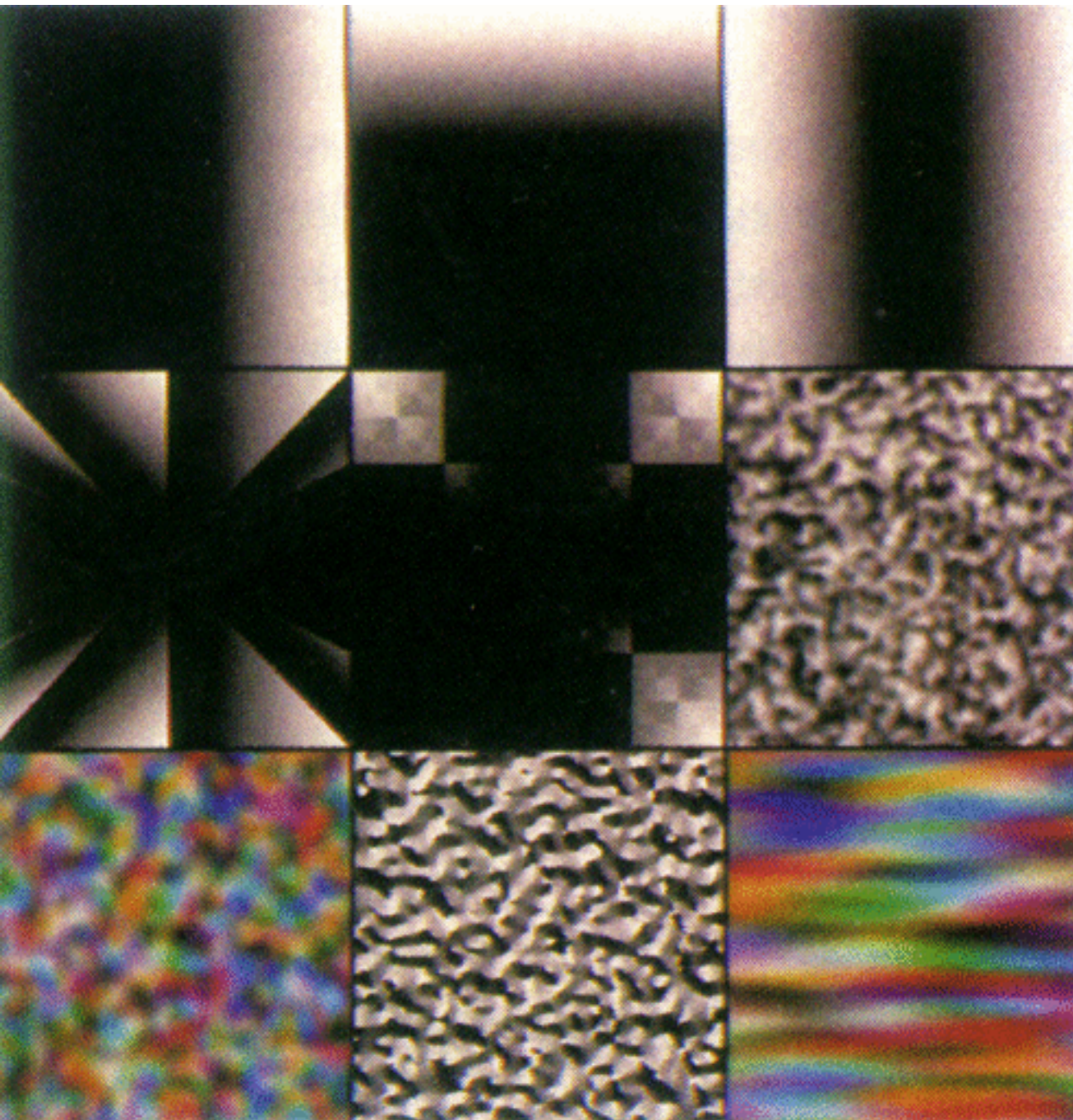


Left to right, top to bottom:

- a. X
- b. Y
- c. (abs X)
- d. (mod X (abs Y))
- e. (and X Y)
- f. (bw-noise .2 2)
- g. (color-noise .1 2)
- h. (grad-direction  
(bw-noise .15 2)  
0 0)
- i. (warped-color-noise  
(\* X .2) Y .1 2)



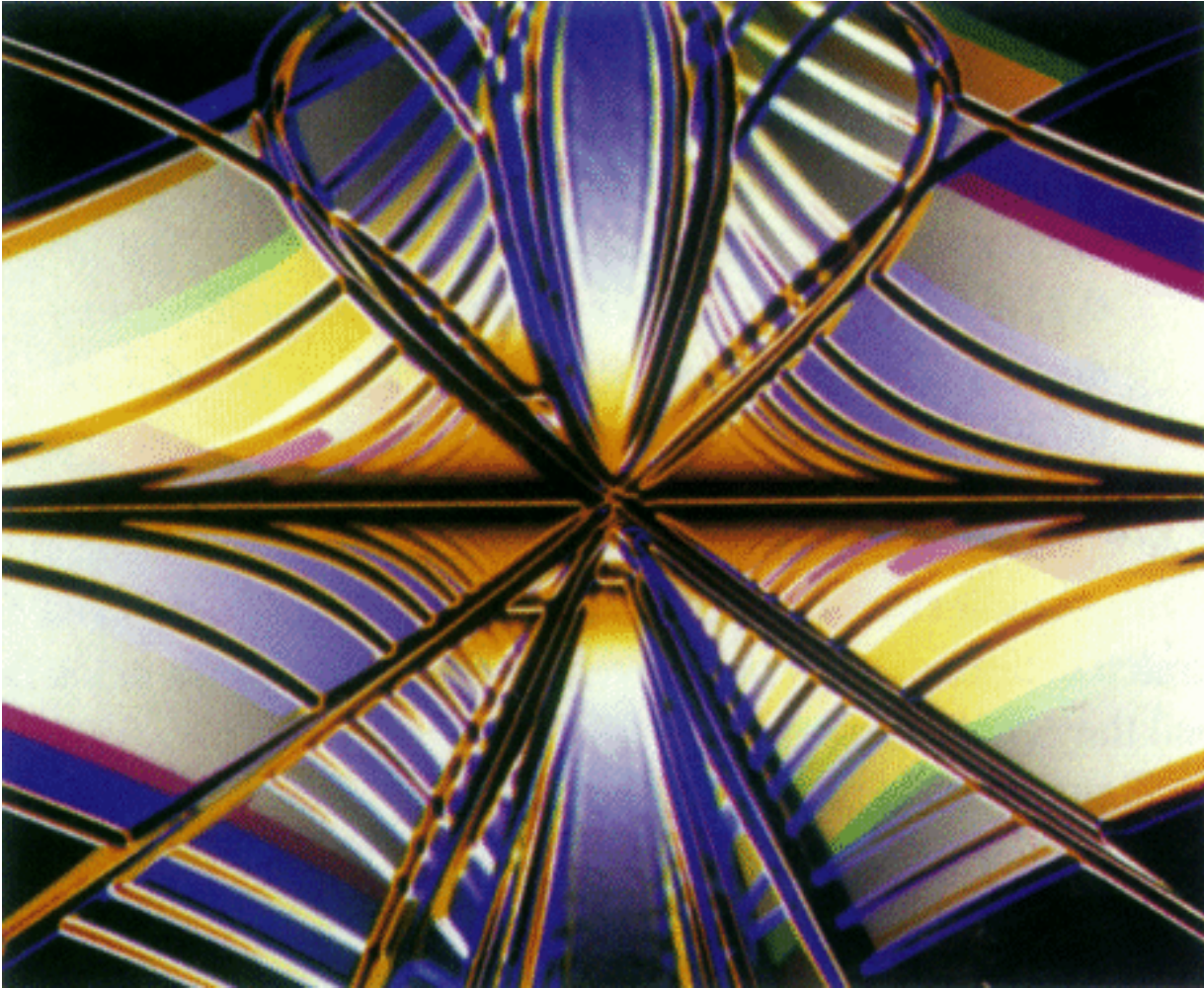
## Each function returns an image (an array of pixel colors)



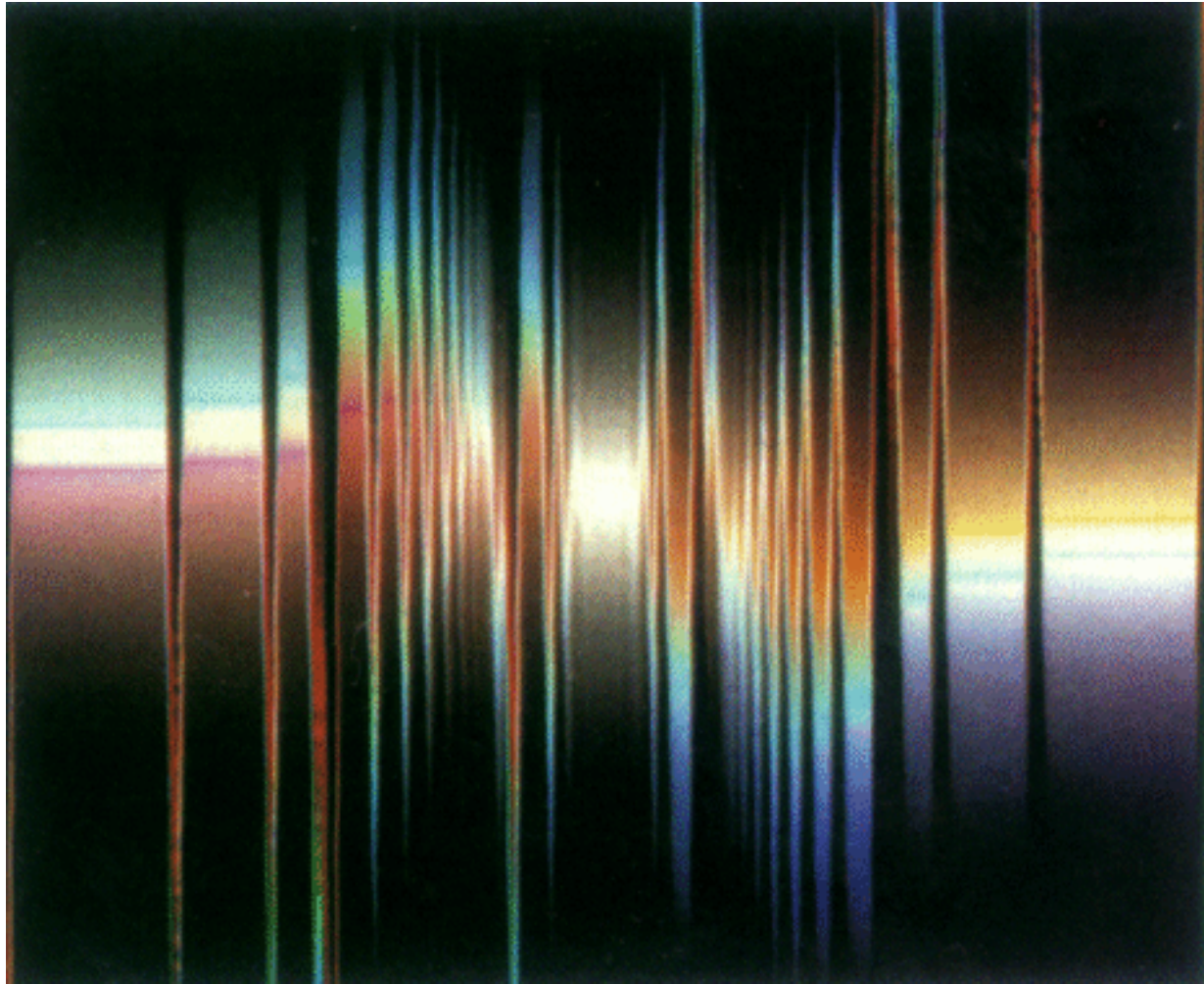
## Fitness function???

# Some Results





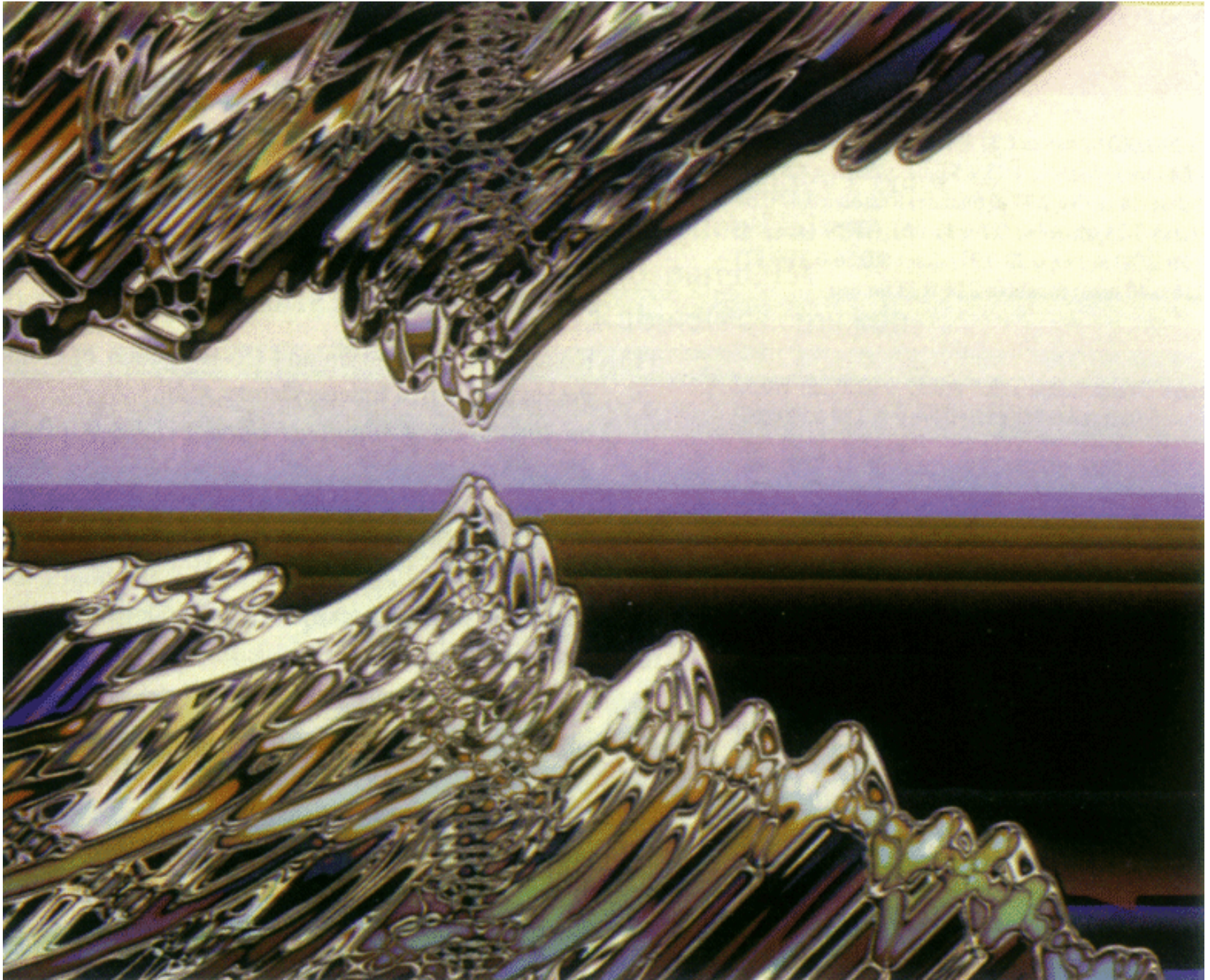
```
(round (log (+ y (color-  
grad (round (+ (abs (round  
(log (+ y (color-grad  
(round (+ y (log (invert y)  
15.5)) x) 3.1 1.86 #(0.95  
0.7 0.59) 1.35)) 0.19) x))  
(log (invert y) 15.5)) x) 3.1  
1.9 #(0.95 0.7 0.35) 1.35))  
0.19) x)
```















- **Website:** <http://www.karlsims.com/>
- **Applet:** <http://www.jhlab.com/java/art.html>





<http://www.virtualart.at/typo3temp/pics/ecc79d3cb6.jpg>

From: <http://www.karlsims.com/genetic-images.html>

**The viewers at this exhibit can observe a computer-simulated evolution in progress: an evolution of images. But in this evolution, the viewers are not just observers: they cause the evolution and direct its course.**

**A population of images is displayed by the computer on an arc of 16 video screens. The viewers determine which images will survive by standing on sensors in front of those they think are the most aesthetically interesting. The pictures that are not selected are removed and replaced by offspring from the surviving images. The new images are copies and combinations of their parents, but with various alterations. This is an artificial evolution in which the viewers themselves interactively determine the "fitness" of the pictures by choosing where they stand. As this cycle continues, the population of images can progress towards more and more interesting visual effects.**

**This interactive installation is an unusual collaboration between humans and machine: the humans supply decisions of visual aesthetics, and the computer supplies the mathematical ability for generating, mating, and mutating complex textures and patterns. The viewers are not required to understand the technical equations involved. The computer can only experiment at random with no sense of aesthetics — but the combination of human and machine abilities permits the creation of results that neither of the two could produce alone.**



From: <http://www.karlsims.com/genetic-images.html>

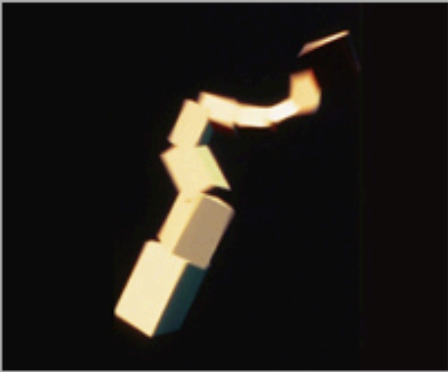
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**The viewers of this simulated evolution collectively determine its pathway to previously unseen populations of pictures.**

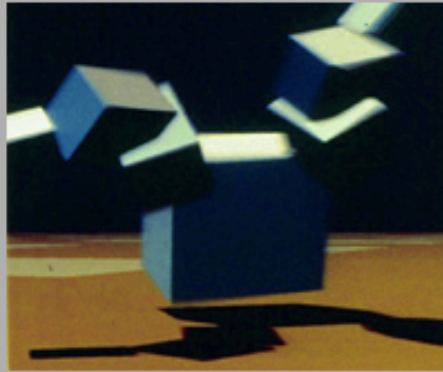
**This interactive installation is an unusual collaboration between humans and machine: the humans supply decisions of visual aesthetics, and the computer supplies the mathematical ability for generating, mating, and mutating complex textures and patterns. The viewers are not required to understand the technical equations involved. The computer can only experiment at random with no sense of aesthetics — but the combination of human and machine abilities permits the creation of results that neither of the two could produce alone.**

# Evolving Virtual Creatures

## Sims, 1994



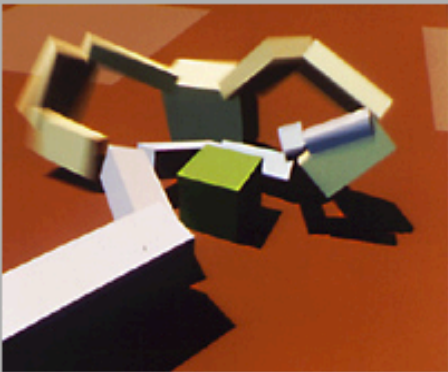
Swimming



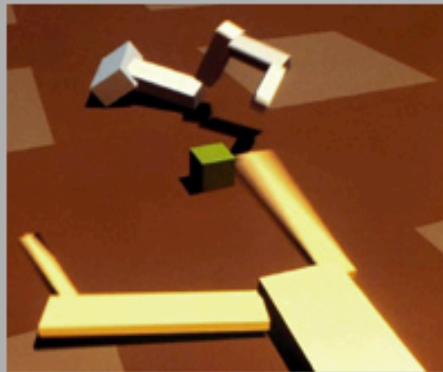
Hopping



Following



Competing



# **Evolving Virtual Creatures**

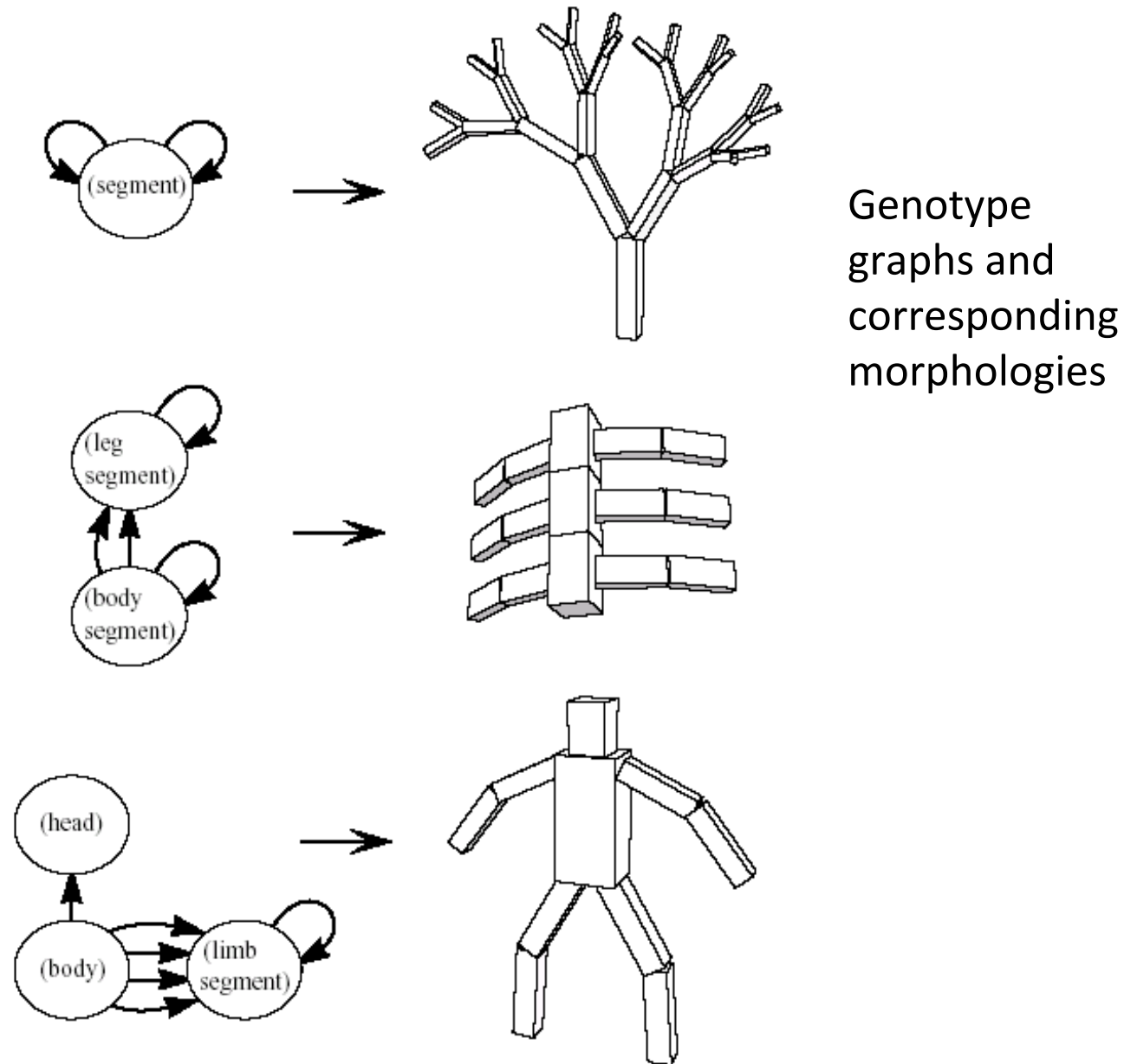
## **Sims, 1994**

- Goal is to evolve life-like simulated “creatures”.
- Simultaneously evolve morphology and control systems (neural networks) of simulated creatures that can “walk”, swim, jump, follow light.
- Environment is detailed simulation of physics.

**Evolving virtual creatures: Some details**

**(optional)**

The creatures' body morphologies were represented as “grammars” and evolved.



# Creatures' “brains”

## Neural network controlling each body part:

- Function that inputs sensor values and outputs effector values (forces or torques at joints)

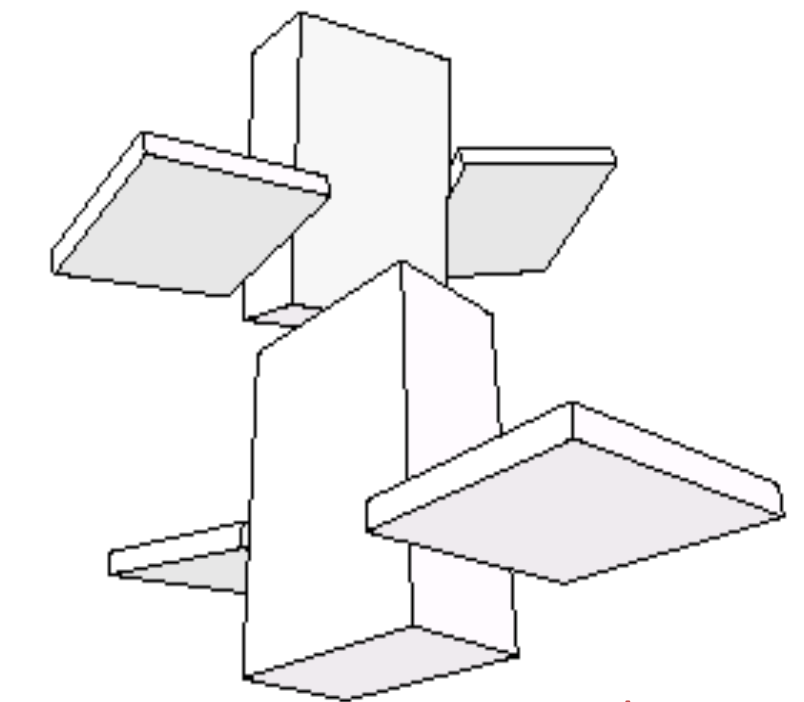
## Sensors:

- **Joint-angle sensors:** returns value of angle
- **Contact sensors:** 1.0 if contact is made (with anything), -1.0 if no contact
- **Photosensors:** return coordinates of light source direction relative to orientation of part

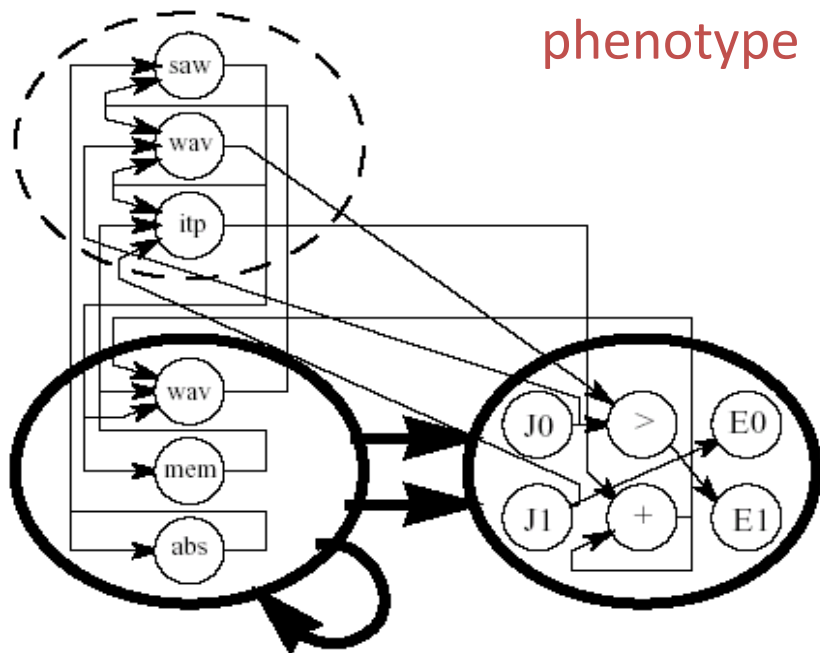
# Creatures' “brains”

- **Neurons:** At each simulated time step, every neuron computes its output value from its inputs.
- **Effectors (“muscles”):**
  - Each effector controls a degree of freedom of a joint.
  - Each effector gets input from a single neuron or sensor, and outputs joint force

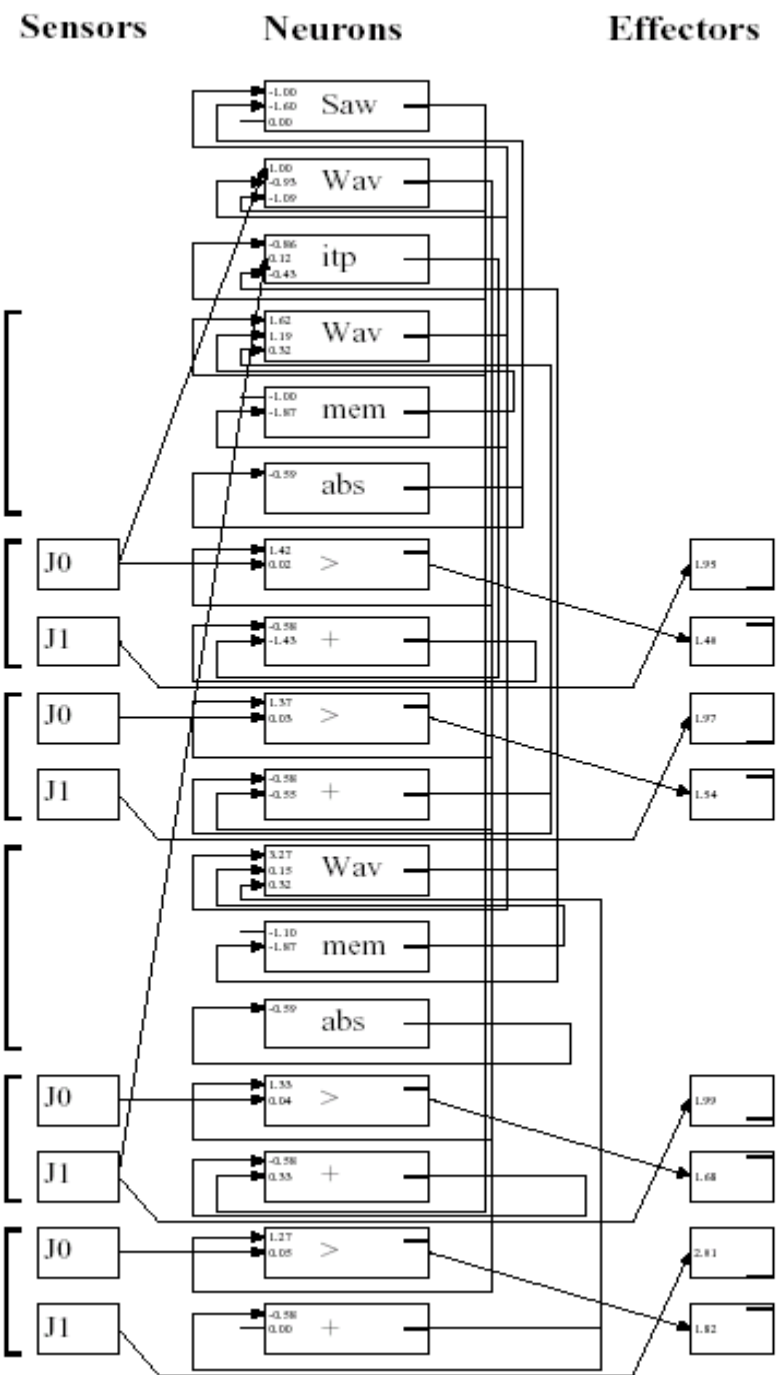




Body  
phenotype



Genotype



Neural network  
phenotype

# Physical Simulation

- **Complicated physical simulation is used:**
  - articulated body dynamics, numerical integration, collision detection, collision response, friction, viscous fluid effect.
- **Parallel implementation on a Connection Machine**

# Evolution of Creatures

- **Creature grown from its genetic description.**
- **Run in simulation for some period of time**
  - Sensors provide data about world and creature's body to brain
  - Brain produces effector forces which move parts of creature
  - Fitness: how successful was desired behavior by end of allotted time