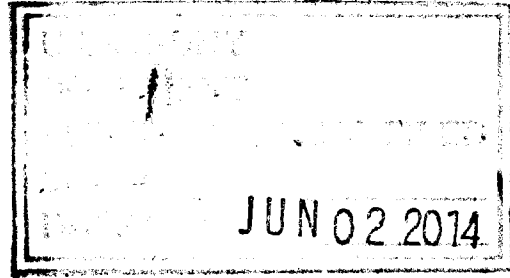


UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK



----- X  
SECURITIES AND EXCHANGE COMMISSION, :  
 :  
Plaintiff, :  
v. :  
 :  
NELSON J. OBUS, PETER F. BLACK, and :  
THOMAS BRADLEY STRICKLAND, :  
 :  
Defendants. :  
----- X

Jury Verdict Form  
06 Civ. 3150 (GBD)

**Thomas Bradley Strickland**

1. Did Plaintiff SEC prove by a preponderance of the evidence that Defendant **Strickland** engaged in insider trading by disclosing material non-public information about the SunSource acquisition to Defendant Black to trade in SunSource stock, in breach of Strickland's duty to GE Capital, from which Strickland benefitted?

\_\_\_\_\_ Yes                      ✓ \_\_\_\_\_ No

**Peter F. Black**

2. Did Plaintiff SEC prove by a preponderance of the evidence that Defendant **Black**, knowing or having reason to know that Defendant Strickland had provided material non-public information about the SunSource acquisition in breach of his duty to GE Capital, engaged in insider trading by providing that information to Defendant Obus to trade in SunSource stock, from which Black benefitted?

\_\_\_\_\_ Yes                      ✓ \_\_\_\_\_ No

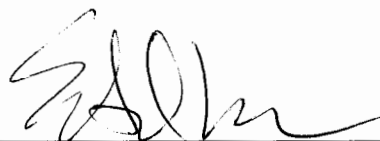
**Nelson J. Obus**

3. Did Plaintiff SEC prove by a preponderance of the evidence that Defendant **Obus** engaged in insider trading by buying SunSource stock on behalf of Wynnefield Capital, while in knowing possession of material non-public information about the SunSource acquisition?

\_\_\_\_\_ Yes                      ✓ \_\_\_\_\_ No

Ct Ex # 9

Dated: This 30<sup>th</sup> day of May, 2014

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke at the end, positioned above a horizontal line.

Signature of Foreperson